

# Application for a new account

## CT General Investment Account (GIA)

- This application form is an offer to enter into an agreement with Columbia Threadneedle Investments ("Columbia Threadneedle") for a CT General Investment Account (GIA).
- You can invest individually or jointly.
- You can invest a lump sum, or monthly savings, or both.
- If you need further application forms, please download them from our website at [ctinvest.co.uk](http://ctinvest.co.uk), email [invest@columbiathreadneedle.com](mailto:invest@columbiathreadneedle.com) or call 0800 136 420\*\*. You can also photocopy this form, although signatures must be original.
- Before making your investments, please ensure that you have read the latest Key Information Documents (KIDs) for your preferred investment(s), and the Pre-Sales Cost & Charges Disclosure for your savings plan and selected investment(s). These documents can be found at [ctinvest.co.uk/documents](http://ctinvest.co.uk/documents). Paper copies can be provided on request.

Please complete the form in block capitals and in black ink.

Please return the form to the following address:

**Columbia Threadneedle Management Limited**  
**PO Box 11114**  
**Chelmsford**  
**CM99 2DG**

22DLU/1  
07/22

### Part 1 Personal details

Please ensure you supply your address, date of birth, Nationality and National Insurance number – all fields marked with \* are required to open your plan.

#### 1.1 First joint/only applicants details

Title (Mr/Mrs/Miss/Ms/Other)\* First name(s) in full\*

Surname\*

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Permanent residential address (including postcode)\*

Postcode

<input type="text"/>	<input type="text"/>
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Country

Date of birth\*

National Insurance number\*

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Telephone

Email address

Nationality\*

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Providing your telephone number will help us contact you quickly if there are any queries with this form.

#### 1.2 Joint applicants (if required)

Title (Mr/Mrs/Miss/Ms/Other)\* First name(s) in full\*

Surname\*

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Permanent residential address (including postcode)\*

Postcode

<input type="text"/>	<input type="text"/>
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Country

Date of birth\*

National Insurance number\*

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Telephone

Email address

Nationality\*

<input type="text"/>	<input type="text"/>	<input type="text"/>
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Providing your telephone number will help us contact you quickly if there are any queries with this form.

- If there are more than two joint applicants, you can download the Multi Applicant Form from our website or contact us on 0800 136 420.
- All applicants must sign the Declaration in Part 5.
- We will send all correspondence to the address of the first joint/only applicant.

#### Data Protection

All personal information submitted with this application form will be treated in accordance with Columbia Threadneedle's Privacy Policy, which is available at: [ctinvest.co.uk/privacy](http://ctinvest.co.uk/privacy). This privacy policy includes full details about the type of information we collect, what we use this information for, and your related rights.

In addition, the Key Features and Terms & Conditions document for the selected account contains a summary of the key information about how we process your personal information. Should you have any questions regarding how we process your personal information key contact information can be found both in the Privacy Policy and the Terms & Conditions document.

#### Marketing

We would like to provide you with details of financial services and products that we offer which we think you might find interesting. **If you would NOT like to receive such information, please tick this box** . If at any time you change your mind, please let us know by emailing us at [preferences@columbiathreadneedle.com](mailto:preferences@columbiathreadneedle.com).

## Part 2 Investment details

### To make a lump sum

Tell us how much you'd like to invest, and into what fund(s), in the "Lump Sum" column below. The minimum lump sum is £100. There is a dealing charge of £12 for each fund selected.

### To set up a direct debit

Tell us the amount you'd like to invest, and into what fund(s), in the "Monthly Savings" column below. The minimum direct debit is £25. There is no dealing charge on contributions made by direct debit. You will need to complete the Direct Debit instruction overleaf in Part 6 and provide proof of your bank/building society details with this form. We can accept a pre-printed pay-in slip or a cancelled cheque.

Trust name	SEDOL Code (for office use only)	Lump sum (min £100 per account)	Monthly savings (min £25 per account)
European Assets Trust (ordinary shares)	BHJVQ59	£	£
CT UK Capital and Income Investment Trust (ordinary shares)	0346328	£	£
Balanced Commercial Property Trust (ordinary shares)	B4ZPCJ0	£	£
The Global Smaller Companies Trust (ordinary shares)	BKLDX97	£	£
CT Global Managed Portfolio Trust (growth shares)	B2PP252	£	£
CT Global Managed Portfolio Trust (income shares)	B2PP3J3	£	£
CT Private Equity Trust (ordinary shares)	3073827	£	£
F&C Investment Trust (ordinary shares)	0346607	£	£
CT UK High Income Trust (ordinary shares)	B1N4G29	£	£
CT UK High Income Trust (B shares)	B1N4H59	£	£
CT UK High Income Trust (units)	B1N4H93	£	£
TR Property Investment Trust (ordinary shares)	0906409	£	£
	<b>Total amount</b>	£	£

- Lump sums must be paid by cheque made payable to 'Columbia Threadneedle Management Limited'.

## Part 3 Annual management charge

The annual management charge of £40+VAT is applied in two equal instalments on 5 April and 5 October each year and collected shortly thereafter. If you would like to pay this charge by Direct Debit please tick the box below and complete the Direct Debit Form in Part 6.

I would like to pay my management charge by Direct Debit. (please complete Part 6)

If you do not select this option or Part 6 is not completed your annual management charge will be paid out of any available cash on your account. If there is insufficient cash in the plan the outstanding management charge will be settled by the sale of shares.

## Part 4 Dividend options

Dividends will be automatically reinvested to buy more shares. If you would prefer dividends to be paid out to your bank/building society, bank account verification is required, we can accept a pre-printed pay-in slip or a cancelled cheque. Please complete your details below.

Name of bank or building society

Branch sort code

Name of account holder(s)

Account number

Bank or building society address

Roll Number

Postcode

## Part 5 Individual Self-Certification/Declaration

### Tax residency

Tax regulations<sup>1</sup> require us to collect information about each investor's tax residency<sup>2</sup>. In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with Her Majesty's Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions<sup>3</sup>.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. If you have any questions about your tax residency<sup>2</sup>, please contact your tax adviser. If you indicate that you are a UK Tax resident only and not a US citizen, you do not need to provide further details of your Tax Identification Number as you have already provided your National Insurance Number in part 1.

Name	Country/Countries of Tax Residency	Tax Identification Number <sup>4</sup>

<sup>1</sup> The term "tax regulations" refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).

<sup>2</sup> In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.

<sup>3</sup> Those countries that have agreed to exchange information under FATCA and the CRS.

<sup>4</sup> If you are not resident in a jurisdiction that is reportable under CRS or FATCA and also not a US citizen, you are not required to provide your 'Tax Identification Number'

### Declaration

**I/We declare that** the information on this completed application form is correct to the best of my/our knowledge. **I/We confirm that I/we** have read the Key Features of the CT General Investment Account.

**I/We agree** to be bound by the Terms & Conditions relating to the plan. **I/We understand that** this application is subject to acceptance by Columbia Threadneedle. **I/We declare that I/we are not a US Person. I/We agree** to notify Columbia Threadneedle Management Limited immediately if any of this information changes in the future. **I/We confirm that I/we** have read the latest Key Information Documents for the selected investment trust(s). **I/We confirm that I/we** have read and understood the Pre-Sales Cost & Charges Disclosure for the selected investment trust(s) and savings plan.

<b>Registered Holder (Applicant 1):</b>
Name (please print in full): _____
Date of birth: _____
Signature: _____
Date _____

<b>Registered Holder (Applicant 2):</b>
Name (please print in full): _____
Date of birth: _____
Signature: _____
Date _____

### Application checklist Please ensure:

- that you have read and understood the Key Features of the General Investment Account and Terms & Conditions brochure.
- if you are investing a lump sum, that you have enclosed a cheque and it is made out to 'Columbia Threadneedle Management Limited'. Please remember to sign and date your cheque. Post-dated cheques will not be accepted.
- if you are investing monthly, you have completed the Direct Debit mandate (Part 6) and enclosed proof of your bank/building society details. We can accept a pre-printed pay-in slip or a cancelled cheque.
- that you have signed and dated the Declaration in Part 5.
- that you do not detach any part of the form other than the Direct Debit Guarantee.
- that you have read the latest Key Information Document and Pre-Sales Cost & Charges Disclosure for the selected investment trust(s) and savings plan.



Please fill in the whole form using a ball point pen and send it to:

Columbia Threadneedle Management Limited  
PO Box 11114  
Chelmsford  
CM99 2DG

Service user number

2 7 8 6 0 1

Name(s) of account holder(s)

[Empty box for account holder name]

Reference number (for office use only)

[Empty box for reference number]

Please pay Columbia Threadneedle Management Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee.

I understand that this instruction may remain with Columbia Threadneedle Management Limited and, if so, details will be passed electronically to my bank/building society.

Bank/building society account number

[Empty box for account number]

Branch sort code

[Empty box for branch sort code]

Name and full postal address of your bank or building society

To the Manager bank/building society  
Address  
Postcode

Signature(s)

[Empty box for signature]

Date

[Empty box for date]

Banks and building societies may not accept Direct Debit instructions for some types of account.

To be detached and retained by the payer



### The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Columbia Threadneedle Management Limited will notify you 5 working days in advance of your account being debited or as otherwise agreed. If you request Columbia Threadneedle Management Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Columbia Threadneedle Management Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when Columbia Threadneedle Management Limited asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



**Columbia Threadneedle Management Limited**

\*\* 0800 136 420, 8.30am - 5.30pm, weekdays, calls may be recorded or monitored for training and quality purposes.