

**Threadneedle (Lux)**  
Société d'Investissement à Capital Variable  
Registered Office: 31, Z.A. Bourmicht, L-8070 Bertrange  
Grand Duchy of Luxembourg  
R.C.S. Luxembourg B 50 216  
(the “SICAV”)

**NOTICE TO THE SHAREHOLDERS OF**  
**THREADNEEDLE (LUX) – PAN EUROPEAN SMALL CAP OPPORTUNITIES**  
**(THE “PORTFOLIO”)**

**IMPORTANT**

**This document is important and requires your immediate attention. If you are in any doubt about the content of this document, you should seek independent professional financial advice.**

**If you have sold or transferred all of your Shares in Threadneedle (Lux), please pass this document at once to the purchaser or transferee or to the stockbroker, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee as soon as possible.**

**The board of directors of the SICAV accept responsibility for the information contained in this notice.**

29 June 2023

Dear Shareholder,

**Important information: Change in reference index for the Portfolio**

As you are an investor in the Portfolio, we are writing to let you know that the Board of Directors of the SICAV (the “**Board**”) is making a change to the reference index for the Portfolio, with effect from 31 July 2023 (the “**Effective Date**”).

For any capitalised terms that are not specifically defined within this letter, please refer to the definition in the Prospectus.

**What is changing?**

The reference index, which provides a suitable target benchmark against which the Portfolio performance is measured and evaluated over time, will be changing on the Effective Date. The changes are set out in the table below, which are extracted from the “Investment Objectives and Policies” section of the Prospectus:

<b>Portfolio</b>	<b>Current Reference Index</b>	<b>Reference Index from the Effective Date</b>
Threadneedle (Lux) - Pan European Small Cap Opportunities	EMIX Smaller European Companies Index	MSCI Europe Small Cap Index

## **Why are we making the change?**

The decision to change the Portfolio reference index follows notification from the index provider (IHS Markit Benchmark Administration Limited (IMBA)) that they have decided to cease publication of all EMIX branded indices as of 31 July 2023. As the Portfolio currently uses an EMIX index as the reference index it is necessary to change to an alternative.

Following a review of alternatives indices, the MSCI Europe Small Cap Index has been selected by the Board as it is considered a close equivalent to the existing EMIX Smaller European Companies index and will provide an appropriate alternative for the Portfolio.

The Portfolio will continue to be actively managed in reference to the MSCI Europe Small Cap Index, which is broadly representative of the companies in which the Portfolio invests. The Sub-Advisor will continue to have discretion to select investments with weightings different to the index, and that are not in the index, and the Portfolio may display significant divergence from the index. The index change will not have any impact on current holdings in the Portfolio.

## **What do I need to do?**

You do not need to do anything as a result of the change, which does not result in a change to the way in which the Portfolio is managed or the risk profile of the Portfolio. The change will take effect automatically on the Effective Date.

## **What can I do if I disagree with the change?**

Shareholders may redeem their Shares in the Portfolio, or exchange their Shares into Shares of an alternative Portfolio of the SICAV which is authorised by the SFC in Hong Kong, free of any charges, by submitting a written request to the Authorised Sub-Distributor until 15.00 Luxembourg time on 28 July 2023. Such redemption or exchange requests will be processed in the normal manner in accordance with the Hong Kong offering documents. The SFC's authorisation is not a recommendation or endorsement of the SICAV or its Portfolios nor does it guarantee the commercial merits of the SICAV or its Portfolios or their performance. It does not mean the SICAV or its Portfolios are suitable for all investors nor is it an endorsement of the suitability of the SICAV or its Portfolios for any particular investor or class of investors. Please refer to the Hong Kong offering documents of the SICAV and its Portfolios for further details. If Shareholders take no action, they will retain their Shares in the Portfolio after the changes become effective.

We are unable to provide financial or tax advice and we therefore suggest that you seek professional advice about potential tax implications.

## **Additional information**

For more information on the above changes, please refer to the updated version of the Hong Kong offering documents which will reflect the amendments detailed above and will be available at the office of the Hong Kong Representative and on the website [www.columbiathreadneedle.com](http://www.columbiathreadneedle.com) in due course. Please note that this website has not been reviewed by the SFC. The website is not specifically directed to Hong Kong residents and may contain information with respect to non-SFC authorised funds of the SICAV which are not available for public offer in Hong Kong.

Should you have any questions on this notice, please contact the Hong Kong Representative, HSBC Institutional Trust Services (Asia) Limited, at 1 Queen's Road Central, Hong Kong, Attention: SIFS Investor Services, tel: (852) 3663 5500, fax: (852) 3409 2697. Alternatively, for further assistance, please contact your financial adviser.

Yours faithfully,

The Board

天利（盧森堡）  
Société d'Investissement à Capital Variable  
註冊辦事處：31, Z.A. Bourmicht, L-8070 Bertrange  
Grand Duchy of Luxembourg  
R.C.S. Luxembourg B 50 216  
（「**SICAV**」）

天利（盧森堡）- 泛歐洲小型公司機會基金

（「組合基金」）

致股東通知書

重要事項

此乃重要文件，務須閣下即時垂注。如閣下對本文件的內容有任何疑問，應尋求獨立專業財務意見。

如閣下已出售或轉讓閣下於天利（盧森堡）的全部股份，請立即將本文件交予買方或承讓人，或經手出售或轉讓的股票經紀、銀行或其他代理人，以便盡快轉交買方或承讓人。

**SICAV** 董事會願就本通知書所載資料承擔責任。

親愛的股東：

**重要資訊：組合基金參考指數的更改**

由於閣下為組合基金的投資者，我們現致函通知閣下 **SICAV** 的董事會（「**董事會**」）現正對組合基金的參考指數作出更改，由 2023 年 7 月 31 日（「**生效日期**」）起生效。

任何詞彙如於本函件未有特別界定，請參閱說明書內的定義。

**更改的內容是甚麼？**

參考指數提供一個合適的目標基準，將可用作隨著時間計量及評估組合基金的表現，參考指數將於生效日期有所更改。有關更改載於下表，其摘錄自說明書「投資目標與政策」一節。

組合基金	現時的參考指數	由生效日期起的參考指數
天利（盧森堡）- 泛歐洲小公司機會基金	較小型歐洲公司指數（EMIX Smaller European Companies Index）	摩根士丹利資本國際歐洲小型公司指數（MSCI Europe Small Cap Index）

**我們為甚麼要作出有關更改？**

更改組合基金參考指數的決定是在指數提供者（IHS Markit Benchmark Administration Limited (IMBA)）通知彼等已決定由 2023 年 7 月 31 日起停止發佈所有 EMIX 品牌指數之後而作出。由於組合基金現時使用 EMIX 指數作為參考指數，故有必要更改為一項替代指數。

在對各替代指數進行審查後，董事會已選定摩根士丹利資本國際歐洲小型公司指數，因為該指數被視為與現有的 EMIX 小型歐洲公司指數非常相近，並將為組合基金提供適當的替代指數。

組合基金將繼續參考摩根士丹利資本國際歐洲小型公司指數接受積極管理，該指數廣泛代表組合基金所投資的公司。代理顧問將繼續擁有酌情權挑選比重不同於該指數的投資及並非在該指數內的投資，以及組合基金可展現出大幅偏離該指數。指數的更改不會對當前在組合基金中的持股產生任何影響。

### 本人需要採取甚麼行動？

閣下無需因有關更改而採取任何行動，有關更改並不導致組合基金的管理方式或組合基金的風險概況有所變更。有關更改將於生效日期自動生效。

### 倘本人不同意有關更改，本人可以怎麼辦？

股東可藉於 2023 年 7 月 28 日下午 3 時正（盧森堡時間）前向認可代理分銷商提交書面要求，以免費贖回其在組合基金的股份或將其股份轉換為 SICAV 獲香港證監會認可的另一組合基金的股份。該等贖回或轉換要求將根據香港銷售文件按照一般方式處理。證監會之認可並非對 SICAV 或其組合基金的推薦或認許，亦不是對 SICAV 或其組合基金的商業利弊或其表現作出保證。證監會之認可並不意味 SICAV 或其組合基金適合所有投資者，亦不是認許 SICAV 或其組合基金適合任何特定投資者或投資者類別。有關進一步詳情，請參閱 SICAV 及其組合基金的香港銷售文件。若股東不採取任何行動，其將於有關更改生效後把其股份保留在組合基金內。

我們無法提供財務或稅務意見，因此我們建議閣下尋求有關潛在稅務影響的專業意見。

### 其他資料

有關上述更改的更多資料，請參閱香港銷售文件的更新版本，當中將反映上文詳述的修改，並將於適當時候在香港代表的辦事處及網站 [www.columbiathreadneedle.com](http://www.columbiathreadneedle.com) 可供索取。請注意，此網站並未經證監會審閱。該網站並非特定為香港居民而設，並可能載有關於 SICAV 未經證監會認可及不可供在香港作公開發售的基金的資料。

閣下如對本通知書有任何疑問，請聯絡香港代表 – 滙豐機構信託服務（亞洲）有限公司，地址為香港皇后大道中 1 號（收件人：SIFS Investor Services）；電話：(852) 3663 5500；傳真：(852) 3409 2697。或者，如需進一步協助，請聯絡閣下的財務顧問。

董事會

謹啟

2023 年 6 月 29 日