

Columbia Threadneedle Investments Voting Rationales 2021

We vote actively at company meetings, applying our principles on a pragmatic basis. This document provides our reason for voting against management recommendations. All our voting decisions are available for inspection on our website seven days after each company meeting. Further information around our responsible investment activities can be found in our quarterly reports.

Columbia Threadneedle Investments is an engaged and active investor.

We view a joined-up approach to stewardship as an integral part of our responsible approach to investment. This includes engagement with companies, both unilaterally and collaboratively, ongoing monitoring and proxy voting.

We vote actively at company meetings, applying our principles on a pragmatic basis. We view this as one of the most effective ways of signalling approval (or otherwise) of a company's governance, management, board and strategy.

While analysing meeting agendas and making voting decisions, we use a range of research sources and consider various ESG issues, including companies' risk management practices and evidence of any controversies.

While we subscribe to proxy advisors' research, we maintain our own custom voting policy that is updated regularly. The RI team assesses how the policy is applied and makes final voting decisions in collaboration with the firm's portfolio managers and analysts. Votes are cast identically across all mandates for which we have voting authority.

This report contains a record of why we cast dissenting votes. These are where a vote is cast against (or where we abstain/withhold from voting) a management-tabled proposal, or where we support a shareholder-tabled proposal not endorsed by management.

All our voting decisions are available for inspection on our website seven days after each company meeting.

| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | A | | | |
| C | A&D Co., Ltd. Japan 24-Jun-21 | | | |
| D | Appoint Statutory Auditor Umezawa, Hideo | For | Against | Independence concerns |
| E | Elect Director Morishima, Yasunobu | For | Abstain | Independence and gender diversity concerns |
| F | A. O. Smith Corporation USA 13-Apr-21 | | | |
| G | Elect Director Ilham Kadri | For | Withhold | Overboarding concerns |
| H | A2A SpA Italy 29-Apr-21 | | | |
| I | Approve Remuneration Policy | For | Against | Strategic concerns |
| J | Approve Second Section of the Remuneration Report | For | Against | Strategic concerns |
| K | Aavas Financiers Limited India 10-Aug-21 | | | |
| L | Approve Equity Stock Option Plan For Employees 2021 (ESOP-2021) and Grant of Options to Eligible Employees of the Company | For | Against | Remuneration concerns |
| M | ABB Ltd. Switzerland 25-Mar-21 | | | |
| N | Reelect Jacob Wallenberg as Director | For | Abstain | Gender diversity concerns |
| O | Transact Other Business (Voting) | For | Against | Technical vote |
| P | Abbott Laboratories USA 23-Apr-21 | | | |
| Q | Require Independent Board Chair | Against | For | In shareholders best interest |
| R | AbbVie Inc. USA 7-May-21 | | | |
| S | Elect Director Glenn F. Tilton | For | Against | Combined CEO/Chair |
| T | Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| U | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| V | Abcam Plc United Kingdom 1-Jul-21 | | | |
| W | Approve Profitable Growth Incentive Plan | For | Against | Remuneration concerns |
| X | Approve Remuneration Policy | For | Against | Remuneration concerns |
| Y | Abertis Infraestructuras SA Spain 20-Apr-21 | | | |
| Z | Approve Non-Financial Information Statement | For | Against | Audit concerns |
| | Authorize Issuance of Convertible Bonds, Debentures, Warrants, and Other Debt Securities without Preemptive Rights | For | Against | Dilutive impact |
| | ABIOMED, Inc. USA 11-Aug-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Jeannine M. Rivet | For | Withhold | Remuneration concerns |
| | Aboitiz Power Corp. Philippines 26-Apr-21 | | | |
| | Approve Other Matters | For | Against | Technical vote |
| | Elect Danel C. Aboitiz as Director | For | Abstain | Independence concerns |
| | Elect Edwin Bautista as Director | For | Abstain | Overboarding concerns |
| | Elect Eric Ramon O. Recto as Director | For | Abstain | Overboarding concerns |
| | Elect Sabin M. Aboitiz as Director | For | Abstain | Overboarding Concerns |
| | Acacia Communications, Inc. USA 1-Mar-21 | | | |
| | Adjourn Meeting | For | Abstain | Technical vote |
| | Advisory Vote on Golden Parachutes | For | Against | Technical vote |
| | Advisory Vote on Golden Parachutes | For | Abstain | Technical vote |
| | Approve Merger Agreement | For | Abstain | Technical vote |
| | Acadia Pharmaceuticals Inc. USA 22-Jun-21 | | | |
| | Elect Director Daniel B. Soland | For | Withhold | Corporate governance concerns |
| | Acadia Realty Trust USA 6-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director William T. Spitz | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Acceleron Pharma Inc. USA 16-Jun-21 | | | |
| Elect Director Karen L. Smith | For | Against | Overboarding concerns |
| Accor SA France 29-Apr-21 | | | |
| Approve Compensation of Sebastien Bazin, Chairman and CEO | For | Against | Remuneration concerns |
| Authorize Board to Issue Free Warrants with Preemptive Rights During a Public Tender Offer | For | Against | Anti-takeover device |
| AcelRx Pharmaceuticals, Inc. USA 17-Jun-21 | | | |
| Elect Director Mark G. Edwards | For | Withhold | Audit Concerns |
| Aclaris Therapeutics, Inc. USA 3-Jun-21 | | | |
| Elect Director Bryan Reasons | For | Withhold | Independence concerns |
| ACNB Corporation USA 4-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| ACOM Co., Ltd. Japan 23-Jun-21 | | | |
| Elect Director and Audit Committee Member Akiyama, Takuji | For | Against | Independence concerns |
| Elect Director Kinoshita, Masataka | For | Abstain | Independence and gender diversity concerns |
| Acorda Therapeutics, Inc. USA 2-Jun-21 | | | |
| Elect Director Barry Greene | For | Withhold | Overboarding concerns |
| ACRES Commercial Realty Corp. USA 9-Jun-21 | | | |
| Elect Director Murray S. Levin | For | Against | Corporate governance concerns |
| ACS Actividades de Construcción y Servicios SA Spain 6-May-21 | | | |
| Advisory Vote on Remuneration Report | For | Against | Remuneration concerns |
| Reelect Jose Eladio Seco Dominguez as Director | For | Abstain | Gender diversity concerns |
| Activision Blizzard, Inc. USA 21-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Acuity Brands, Inc. USA 6-Jan-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Mary A. Winston | For | Against | Overboarding concerns |
| Adani Enterprises Limited India 12-Jul-21 | | | |
| Reelect Pranav V. Adani as Director | For | Against | Risk Management concerns |
| Reelect V. Subramanian as Director | For | Abstain | Gender diversity concerns |
| Adani Green Energy Limited India 13-Jul-21 | | | |
| Approve Appointment and Remuneration of Vneet S. Jaain as Managing Director & Chief Executive Officer | For | Against | Lack of disclosure |
| Elect Raminder Singh Gujral as Director | For | Abstain | Independence concerns |
| Elect Vneet S. Jaain as Director | For | Abstain | Independence concerns |
| Reelect Rajesh S. Adani as Director | For | Against | Independence and Overboarding concerns |
| Adaptimmune Therapeutics Plc United Kingdom 14-May-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Authorise Issue of Equity without Pre-emptive Rights | For | Against | Excessive issuance |
| Adaptive Biotechnologies Corporation USA 11-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| ADC Therapeutics SA Switzerland 10-Jun-21 | | | |
| Approve Creation of CHF 3.1 Million Pool of Capital without Preemptive Rights | For | Against | Excessive issuance |
| Approve Remuneration of Directors in the Amount of USD 5 Million | For | Against | Strategic concerns |
| Reappoint Stephen Evans-Freke as Member of the Compensation Committee | For | Against | Independence concerns |
| Reelect Stephen Evans-Freke as Director | For | Against | Independence concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Adecco Group AG Switzerland 8-Apr-21 | | | |
| C | Transact Other Business (Voting) | For | Against | Technical vote |
| D | Adobe Inc. USA 20-Apr-21 | | | |
| E | Elect Director Frank Calderoni | For | Abstain | Combined CEO/Chair |
| F | ADT Inc. USA 26-May-21 | | | |
| G | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| H | ADTRAN, Inc. USA 12-May-21 | | | |
| I | Elect Director Balan Nair | For | Against | Overboarding concerns |
| J | Advanced Emissions Solutions, Inc. USA 15-Jun-21 | | | |
| K | Elect Director Carol Eicher | For | Withhold | Lack of virtual-only meeting disclosure |
| L | Advanced Info Service Public Co., Ltd. Thailand 29-Mar-21 | | | |
| M | Approve Remuneration of Directors | For | Against | Remuneration concerns |
| N | Elect Gerardo C. Ablaza, Jr. as Director | For | Against | Overboarding concerns |
| O | Other Business | For | Against | Technical vote |
| P | AECOM USA 24-Feb-21 | | | |
| Q | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| R | Elect Director Clarence T. Schmitz | For | Against | Remuneration concerns |
| S | Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| T | Aedifica SA Belgium 11-May-21 | | | |
| U | Approve Change-of-Control Clause Re: Credit Agreement between Hoivatilat, the Company and OP Corporate Bank | For | Against | Lack of disclosure |
| V | Approve Change-of-Control Clause Re: Credit Agreement between the Company and ABN AMRO Bank | For | Against | Lack of disclosure |
| W | Approve Change-of-Control Clause Re: Note Purchase Agreement | For | Against | Lack of disclosure |
| X | Approve Change-of-Control Clause Re: Provisions of Credit between the Company and Belfius Banque SA | For | Against | Lack of disclosure |
| Y | Approve Change-of-Control Clause Re: Provisions of Credit between the Company and Societe Generale | For | Against | Lack of disclosure |
| Z | Aedifica SA Belgium 30-Jul-21 | | | |
| | Renew Authorization to Increase Share Capital by Various Means With or Without Preemptive Rights That is Set Within the Limits of the Proposed Sub-Authorizations | For | Against | Dilutive impact |
| | Aegion Corporation USA 14-May-21 | | | |
| | Approve Merger Agreement | For | Against | Seeking increased shareholder value |
| | Aena S.M.E. SA Spain 27-Apr-21 | | | |
| | Advisory Vote on Company's Climate Action Plan | For | Against | Lack of disclosure |
| | AEON Co., Ltd. Japan 26-May-21 | | | |
| | Approve Takeover Defense Plan (Poison Pill) | For | Against | Anti-takeover device |
| | Elect Director Ono, Kotaro | For | Abstain | Independence concerns |
| | Elect Director Tsukamoto, Takashi | For | Abstain | Independence concerns |
| | AEON Financial Service Co., Ltd. Japan 21-May-21 | | | |
| | Elect Director Fujita, Kenji | For | Abstain | Independence concerns |
| | AerCap Holdings NV Netherlands 12-May-21 | | | |
| | Authorize Board to Exclude Preemptive Rights from Share Issuances under Item 12.c | For | Against | Dilutive impact |
| | Grant Board Authority to Issue Additional Shares Up To 10 Percent of Issued Capital in Case of Merger or Acquisition | For | Against | Dilutive impact |
| | Aerojet Rocketdyne Holdings, Inc. USA 5-May-21 | | | |
| | Elect Director Thomas A. Corcoran | For | Withhold | Corporate governance concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|---|
| Aeroports de Paris SA France 11-May-21 | | | |
| Ratify Appointment of Jean-Benoit Albertini as Director | For | Against | Independence concerns |
| Ratify Appointment of Robert Carsouw as Director | For | Against | Independence concerns |
| Affimed NV Netherlands 15-Jun-21 | | | |
| Reelect Ulrich Grau to Supervisory Board | For | Against | Lack of disclosure |
| ageas SA/NV Belgium 19-May-21 | | | |
| Approve Discharge of Directors | For | Against | Poor response to prior dissent |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Receive Information on Company's Dividend Policy | For | Abstain | Non-transparent voting item |
| Aggreko Plc United Kingdom 22-Apr-21 | | | |
| Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Re-elect Barbara Jeremiah as Director | For | Abstain | Remuneration concerns |
| Re-elect Barbara Jeremiah as Director | For | Against | Remuneration concerns |
| Re-elect Ken Hanna as Director | For | Abstain | Gender diversity concerns |
| Agilysys, Inc. USA 18-Nov-21 | | | |
| Elect Director Michael A. Kaufman | For | Withhold | Corporate Governance concerns |
| AGL Energy Limited Australia 22-Sep-21 | | | |
| Approve Paris Goals and Targets | Against | For | Supporting better ESG risk management disclosures |
| Elect Jacqueline Hey as Director | For | Abstain | Audit concerns |
| Ahlstrom-Munksjo Oyj Finland 19-Feb-21 | | | |
| Approve Issuance of up to 115 Million Shares without Preemptive Rights | For | Against | Dilutive impact |
| Reelect Alexander Ehrnrooth and Lasse Heinonen as Directors; Elect Halvor Meyer Horten, Peter Seligson, Ivano Sessa, Michael Siefke and Karl-Henrik Sundstrom as New Directors | For | Abstain | Technical vote |
| Ahresty Corp. Japan 16-Jun-21 | | | |
| Elect Director and Audit Committee Member Asakuno, Shuichi | For | Against | Independence concerns |
| Elect Director Takahashi, Arata | For | Abstain | Independence and gender diversity concerns |
| AIA Group Limited Hong Kong 20-May-21 | | | |
| Elect Chung-Kong Chow as Director | For | Against | Gender Diversity concerns |
| Air France-KLM SA France 26-May-21 | | | |
| Approve Compensation of Benjamin Smith, CEO | For | Against | Remuneration concerns |
| Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 129 Million | For | Against | Dilutive impact |
| Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 65 Million, Including in the Event of a Public Tender Offer | For | Against | Dilutive impact |
| Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 27 to 30 | For | Against | Anti-takeover device |
| Authorize Capital Increase of up to Aggregate Nominal Amount of EUR 33 Million for Contributions in Kind | For | Against | Anti-takeover device |
| Authorize Capitalization of Reserves of Up to EUR 161 Million for Bonus Issue or Increase in Par Value | For | Against | Anti-takeover device |
| Authorize Issuance of Equity or Equity-Linked Instruments without Preemptive Rights up to Aggregate Nominal Amount of EUR 65 Million, During Public Tender Offer | For | Against | Anti-takeover device |
| Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1930 Million | For | Against | Dilutive impact |
| Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 161 Million, Including in the Event of a Public Tender Offer | For | Against | Dilutive impact |
| Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 643 Million | For | Against | Dilutive impact |
| Air Products and Chemicals, Inc. USA 28-Jan-21 | | | |
| Elect Director Chadwick C. Deaton | For | Abstain | Combined CEO/Chair |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Air Transport Services Group, Inc. USA 26-May-21 | | | |
| C | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| D | Air Water Inc. Japan 25-Jun-21 | | | |
| E | Elect Director Matsui, Takao | For | Against | Independence concerns |
| F | Elect Director Toyoda, Kikuo | For | Abstain | Independence concerns |
| G | Airbus SE Netherlands 14-Apr-21 | | | |
| H | Approve Implementation of Remuneration Policy | For | Against | Remuneration concerns |
| I | Reelect Amparo Moraleda as Non-Executive Director | For | Against | Overboarding and Remuneration concerns |
| J | Airgain, Inc. USA 23-Jun-21 | | | |
| K | Elect Director Joan H. Gillman | For | Withhold | Corporate governance concerns |
| L | Airport City Ltd. Israel 8-Aug-21 | | | |
| M | Elect Yafit Yehuda as External Director and Approve Her Remuneration | For | Abstain | Bundled election |
| N | Elect Yaron Afek as Director and Approve His Remuneration | For | Abstain | Bundled election |
| O | Reelect Itamar Volkov as Director and Approve His Remuneration | For | Abstain | Bundled election |
| P | Reelect Mazal Cohen Bahary as External Director and Approve Her Remuneration | For | Abstain | Bundled election |
| Q | Aisin Corp. Japan 18-Jun-21 | | | |
| R | Elect Director Kobayashi, Koji | For | Against | Independence concerns |
| S | Elect Director Toyoda, Kanshiro | For | Abstain | Independence concerns |
| T | AJ Bell Plc United Kingdom 27-Jan-21 | | | |
| U | Re-elect Leslie Platts as Director | For | Against | Gender diversity concerns |
| V | Akerna Corp. USA 7-Jun-21 | | | |
| W | Elect Director Scott Sozio | For | Withhold | Independence concerns |
| X | AKWEL SA France 26-May-21 | | | |
| Y | Approve Transaction with Coutier Developpement Re: Renew Services Agreement | For | Against | Insufficient rationale from the company |
| Z | Approve Transaction with Coutier Senior Re: Renew Services Agreement | For | Against | Insufficient rationale from the company |
| | Akzo Nobel NV Netherlands 22-Apr-21 | | | |
| | Amend Remuneration Policy for Management Board | For | Abstain | Remuneration concerns |
| | Amend Remuneration Policy for Management Board | For | Against | Remuneration concerns |
| | Alarm.com Holdings, Inc. USA 9-Jun-21 | | | |
| | Require a Majority Vote for the Election of Directors | Against | For | Supporting better corporate governance practices |
| | Alaska Air Group, Inc. USA 6-May-21 | | | |
| | Elect Director Bradley D. Tilden | For | Abstain | Climate risk management concerns |
| | Albany International Corp. USA 13-May-21 | | | |
| | Elect Director John R. Scannell | For | Withhold | Overboarding concerns |
| | Albemarle Corporation USA 4-May-21 | | | |
| | Elect Director Gerald A. Steiner | For | Abstain | Lack of disclosure |
| | Alcoa Corporation USA 6-May-21 | | | |
| | Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| | Alcon Inc. Switzerland 28-Apr-21 | | | |
| | Approve Remuneration Report (Non-Binding) | For | Against | Remuneration concerns |
| | Reappoint Keith Grossman as Member of the Compensation Committee | For | Against | Overboarding concerns |
| | Reelect Keith Grossman as Director | For | Against | Overboarding concerns |
| | Transact Other Business (Voting) | For | Against | Technical vote |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Alector, Inc. USA 17-Jun-21 | | | |
| Elect Director Richard Scheller | For | Withhold | Independence Concerns |
| Alfa Laval AB Sweden 27-Apr-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Alfresa Holdings Corp. Japan 25-Jun-21 | | | |
| Elect Director Arakawa, Ryuji | For | Abstain | Independence concerns |
| Elect Director Hara, Takashi | For | Against | Independence concerns |
| Alibaba Group Holding Limited Cayman Islands 17-Sep-21 | | | |
| Elect Director E. Borje Ekholm | For | Against | Overboarding concerns |
| Elect Director Joseph C. Tsai | For | Against | Combined CEO/Chair, Corporate governance and Independence concerns |
| Alibaba Health Information Technology Limited Bermuda 30-Jul-21 | | | |
| Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Approve Mandate to Grant Awards of Options and/or Restricted Share Units Under the Share Award Scheme and Related Transactions | For | Against | Remuneration concerns |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect Wong King On, Samuel as Director | For | Against | Audit and Gender diversity concerns |
| Alico, Inc. USA 25-Feb-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| A-Living Smart City Services Co., Ltd. China 25-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Domestic Shares/Unlisted Foreign Shares/H Shares | For | Against | Dilutive impact |
| Allakos Inc. USA 25-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Allegiant Travel Company USA 23-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| Allegion plc Ireland 3-Jun-21 | | | |
| Elect Director Charles L. Szews | For | Against | Gender diversity concerns |
| Allegro.eu SA Luxembourg 17-Jun-21 | | | |
| Renew Appointment of PwC as Auditor | For | Against | Concerns regarding audit fees |
| Alliant Energy Corporation USA 20-May-21 | | | |
| Elect Director Carol P. Sanders | For | Withhold | Climate risk management concerns |
| Alpen Co., Ltd. Japan 28-Sep-21 | | | |
| Elect Director and Audit Committee Member Yamauchi, Kazuo | For | Against | Independence concerns |
| Elect Director Mizuno, Atsushi | For | Against | Independence concerns |
| Elect Director Mizuno, Taizo | For | Against | Independence concerns |
| Alpha Pro Tech, Ltd. USA 9-Jun-21 | | | |
| Elect Director Donna Millar | For | Withhold | Independence concerns |
| Elect Director James Buchan | For | Withhold | Corporate Governance concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | Alphabet Inc. USA 2-Jun-21 | | | |
| C | Approve Omnibus Stock Plan | For | Against | Excessive cost |
| D | Approve Omnibus Stock Plan | For | Against | Remuneration concerns |
| E | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| F | Assess Feasibility of Including Sustainability as a Performance Measure for Senior Executive Compensation | Against | For | Supporting better corporate governance practices |
| G | Elect Director Ann Mather | For | Against | Overboarding concerns |
| H | Elect Director L. John Doerr | For | Against | Overboarding concerns |
| I | Require Independent Director Nominee with Human and/or Civil Rights Experience | Against | For | Supporting better corporate governance practices |
| J | ALSO Holding AG Switzerland 17-Mar-21 | | | |
| K | Approve Creation of CHF 2.5 Million Pool of Capital without Preemptive Rights | For | Against | Dilutive impact |
| L | Approve Remuneration Report (Non-Binding) | For | Against | Remuneration Concerns |
| M | Approve Variable Remuneration of Executive Committee in the Amount of EUR 9.5 Million | For | Against | Remuneration concerns |
| N | Reappoint Frank Tanski as Member of the Compensation Committee | For | Against | Independence concerns |
| O | Reappoint Peter Athanas as Member of the Compensation Committee | For | Against | Nom Chair-Corporate governance concerns, Gender diversity concerns, Independence concerns |
| P | Reelect Frank Tanski as Director | For | Against | Independence concerns |
| Q | Reelect Gustavo Moeller-Hergt as Board Chairman | For | Abstain | Independence concerns |
| R | Reelect Gustavo Moeller-Hergt as Director | For | Abstain | Independence concerns |
| S | Reelect Peter Athanas as Director | For | Against | Nom Chair-Corporate governance concerns, Gender diversity concerns, Independence concerns |
| T | | | | |
| U | Transact Other Business (Voting) | For | Against | Non- transparent voting item |
| V | Alstom SA France 28-Jul-21 | | | |
| W | Renew Appointment of PricewaterhouseCoopers Audit as Auditor | For | Against | Concerns regarding audit fees |
| X | Alteryx, Inc. USA 13-May-21 | | | |
| Y | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Z | Altice Europe NV Netherlands 7-Jan-21 | | | |
| | Amend Terms and Conditions of the 2016 FPPS and 2018 FPPS in Connection with the Recommended Public Offer Held by Alain Weill | For | Against | Remuneration concerns |
| | Approve Settlement of Stock Option Held by Natacha Marty | For | Against | Not in shareholders best interest |
| | Altice USA, Inc. USA 16-Jun-21 | | | |
| | Elect Director David Drahi | For | Against | Other unique issue |
| | Elect Director Patrick Drahi | For | Against | Gender diversity concerns |
| | Altisource Asset Management Corporation | Virgin Isl (US) | 2-Nov-21 | |
| | Elect Director Ricardo C. Byrd | For | Against | Lack of virtual-only meeting disclosure |
| | Altisource Portfolio Solutions S.A. Luxembourg 18-May-21 | | | |
| | Amend Omnibus Stock Plan | For | Against | Strategic concerns |
| | Elect Director Joseph L. Morettini | For | Against | Corporate governance and Gender diversity concerns |
| | Elect Director Scott E. Burg | For | Against | Independence concerns |
| | Altria Group, Inc. USA 20-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Report on Lobbying Payments and Policy | Against | For | Supporting better ESG risk management disclosures |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| AMADA Co., Ltd. Japan 25-Jun-21 | | | |
| Elect Director Isobe, Tsutomu | For | Abstain | Independence and gender diversity concerns |
| Elect Director Miyoshi, Hidekazu | For | Against | Independence concerns |
| Amadeus IT Group SA Spain 16-Jun-21 | | | |
| Advisory Vote on Remuneration Report | For | Against | Remuneration concerns |
| Amano Corp. Japan 29-Jun-21 | | | |
| Elect Director Tsuda, Hiroyuki | For | Abstain | Independence concerns |
| Elect Director Watanabe, Sumie | For | Against | Independence concerns |
| Amarin Corporation Plc United Kingdom 14-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director David Stack | For | Against | Overboarding and Remuneration concerns |
| Amazon.com, Inc. USA 26-May-21 | | | |
| Elect Director Jamie S. Gorelick | For | Abstain | Combined CEO/Chair |
| Oversee and Report on a Civil Rights, Equity, Diversity and Inclusion Audit | Against | For | Supporting better corporate governance practices |
| Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| Report on Board Oversight of Risks Related to Anti-Competitive Practices | Against | For | Supporting better corporate governance practices |
| Report on Customers' Use of its Surveillance and Computer Vision Products Capabilities or Cloud Products Contribute to Human Rights Violations | Against | For | Supporting better corporate governance practices |
| Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| Report on Potential Human Rights Impacts of Customers' Use of Rekognition | Against | For | Supporting better corporate governance practices |
| Report on Promotion Data | Against | For | Supporting better corporate governance practices |
| Report on the Impacts of Plastic Packaging | Against | For | Supporting better sustainability practices and better corporate governance practices |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| Ambac Financial Group, Inc. USA 25-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Ambarella, Inc. Cayman Islands 17-Jun-21 | | | |
| Approve Omnibus Stock Plan | For | Against | Strategic concerns and Not in shareholders best interest |
| Ambev SA Brazil 29-Apr-21 | | | |
| Approve Remuneration of Company's Management | For | Against | Remuneration concerns |
| Elect Fiscal Council Members | For | Abstain | Corporate governance concerns |
| AMC Entertainment Holdings, Inc. USA 29-Jul-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| AMC Networks Inc. USA 16-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| Require a Majority Vote for the Election of Directors | Against | For | Supporting better corporate governance practices |
| Amerant Bancorp, Inc. USA 9-Jun-21 | | | |
| Elect Director Gustavo Marturet M. | For | Against | Independence concerns |
| AMERCO USA 19-Aug-21 | | | |
| Elect Director John P. Brogan | For | Withhold | Corporate governance and Gender diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | America Movil SAB de CV Mexico 26-Apr-21 | | | |
| C | Elect or Ratify Pablo Roberto Gonzalez Guajardo as Director for Series L Shareholders | For | Against | Overboarding concerns |
| D | American Airlines Group Inc. USA 9-Jun-21 | | | |
| E | Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |
| F | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| G | Elect Director John T. Cahill | For | Abstain | Climate risk management concerns |
| H | American Eagle Outfitters, Inc. USA 3-Jun-21 | | | |
| I | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| J | Elect Director Janice E. Page | For | Against | Remuneration concerns |
| K | American Express Company USA 4-May-21 | | | |
| L | Elect Director Thomas J. Baltimore | For | Against | Overboarding concerns |
| M | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| N | Publish Annually a Report Assessing Diversity, Equity, and Inclusion Efforts | Against | For | Supporting better corporate governance practices |
| O | American Finance Trust, Inc. USA 12-Apr-21 | | | |
| P | Elect Director Edward G. Rendell | For | Against | Remuneration concerns |
| Q | Elect Director Stanley R. Perla | For | Against | Remuneration concerns |
| R | American Financial Group, Inc. USA 19-May-21 | | | |
| S | Elect Director James E. Evans | For | Withhold | Independence concerns |
| T | Elect Director William W. Verity | For | Withhold | Gender diversity concerns |
| U | American International Group, Inc. USA 12-May-21 | | | |
| V | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| W | Elect Director W. Don Cornwell | For | Against | Remuneration concerns |
| X | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | In shareholders best interest |
| Y | American National Group, Inc. USA 22-Apr-21 | | | |
| Z | Elect Director James D. Yarbrough | For | Abstain | Gender diversity concerns |
| | American Tower Corporation USA 26-May-21 | | | |
| | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| | Ameriprise Financial, Inc. USA 28-Apr-21 | | | |
| | Elect Director Robert F. Sharpe, Jr. | For | Against | Corporate governance concerns |
| | AmerisourceBergen Corporation USA 11-Mar-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Strategic concerns |
| | Elect Director Henry W. McGee | For | Against | Corporate governance concerns |
| | Require Independent Board Chairman | Against | For | Supporting better corporate governance practices |
| | Amgen Inc. USA 18-May-21 | | | |
| | Elect Director Greg C. Garland | For | Abstain | Combined CEO/Chair |
| | Amkor Technology, Inc. USA 18-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | AMP Ltd. Australia 30-Apr-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Amplifon SpA Italy 23-Apr-21 | | | |
| | Approve Second Section of the Remuneration Report | For | Against | Poor response to prior dissent and Remuneration concerns |
| | Authorize Share Repurchase Program and Reissuance of Repurchased Shares | For | Against | Dilutive impact |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Amryt Pharma Plc United Kingdom 28-Jul-21 | | | |
| Accept Financial Statements and Statutory Reports | For | Against | Independence concerns |
| Authorise Issue of Equity without Pre-emptive Rights | For | Against | Excessive issuance |
| ams AG Austria 2-Jun-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Amundi SA France 10-May-21 | | | |
| Approve Compensation of Yves Perrier, CEO | For | Against | Remuneration concerns |
| Reelect Michel Mathieu as Director | For | Against | Attendance concerns |
| Reelect William Kadouch-Chassaing as Director | For | Against | Attendance concerns |
| Amuse, Inc. Japan 28-Jun-21 | | | |
| Elect Director Masuda, Muneaki | For | Against | Independence concerns |
| Amyris, Inc. USA 26-Jul-21 | | | |
| Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| Approve Issuance of a Performance-Vesting Restricted Stock Unit Award to John Melo | For | Against | Remuneration concerns |
| ANA HOLDINGS INC. Japan 29-Jun-21 | | | |
| Appoint Statutory Auditor Miura, Akihiko | For | Against | Independence concerns |
| Elect Director Ito, Shinichiro | For | Abstain | Audit concerns |
| Elect Director Yamamoto, Ado | For | Against | Independence concerns |
| Analog Devices, Inc. USA 10-Mar-21 | | | |
| Elect Director Anantha P. Chandrakasan | For | Against | Independence concerns |
| Anavex Life Sciences Corp. USA 25-May-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | remuneration concerns |
| Andritz AG Austria 24-Mar-21 | | | |
| Elect Alexander Isola as Supervisory Board Member | For | Against | Gender diversity concerns |
| Anglo American Plc United Kingdom 5-May-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Anne Stevens as Director | For | Abstain | Remuneration concerns |
| Anheuser-Busch InBev SA/NV Belgium 28-Apr-21 | | | |
| Approve Change-of-Control Clause Re: Revolving Credit and Swingline Facilities Agreement | For | Against | Lack of disclosure |
| Approve Discharge of Directors | For | Against | Poor response to prior dissent |
| Approve Remuneration policy | For | Against | Poor response to prior dissent and Remuneration concerns |
| Approve Remuneration Report | For | Against | Poor response to prior dissent and Remuneration concerns |
| Reelect Alejandro Santo Domingo Davila as Director | For | Against | Independence concerns |
| Anhui Conch Cement Company Limited China 28-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| Anika Therapeutics, Inc. USA 16-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Ansell Limited Australia 11-Nov-21 | | | |
| Elect John Bevan as Director | For | Abstain | Audit concerns |
| Elect Morten Falkenberg as Director | For | Against | Overboarding concerns |
| ANSYS, Inc. USA 14-May-21 | | | |
| Adopt Simple Majority Vote | Against | For | In shareholders best interest |
| ANTA Sports Products Limited Cayman Islands 7-May-21 | | | |
| Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| Elect Lai Hin Wing Henry Stephen as Director | For | Against | Gender diversity concerns and Combined CEO/Chair |
| Elect Yiu Kin Wah Stephen as Director | For | Against | Audit concerns |

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| A | Company Name | | | Management Recommendation | Our Vote | Rationale |
|---|--|----------------|-----------|---------------------------|----------|--|
| B | Antofagasta Plc | United Kingdom | 12-May-21 | | | |
| C | Adopt New Articles of Association | | | For | Against | Not in shareholders best interest |
| D | Approve Remuneration Report | | | For | Against | Remuneration concerns |
| E | Re-elect Francisca Castro as Director | | | For | Against | Remuneration concerns |
| F | Re-elect Ramon Jara as Director | | | For | Against | Independence concerns |
| G | Adopt New Articles of Association | | | For | Against | Not in shareholders best interest |
| H | Aozora Bank Ltd. | Japan | 24-Jun-21 | | | |
| I | Elect Director Mizuta, Hiroyuki | | | For | Against | Independence concerns |
| J | Elect Director Takeda, Shunsuke | | | For | Against | Independence concerns |
| K | APA Group | Australia | 21-Oct-21 | | | |
| L | Elect Michael Fraser as Director | | | For | Abstain | Corporate Governance concerns |
| M | Apellis Pharmaceuticals, Inc. | USA | 1-Jun-21 | | | |
| N | Elect Director Paul Fonteyne | | | For | Withhold | Audit concerns |
| O | Ratify Deloitte & Touche LLP as Auditors | | | For | Against | Audit concerns |
| P | Apollo Commercial Real Estate Finance, Inc. | USA | 8-Jun-21 | | | |
| Q | Elect Director Carmencita N. M. Whonder | | | For | Withhold | Independence concerns |
| R | Apollo Global Management, Inc. | USA | 1-Oct-21 | | | |
| S | Elect Director David Simon | | | For | Withhold | Overboarding concerns |
| T | Elect Director Pauline Richards | | | For | Withhold | Audit concerns |
| U | Ratify Deloitte & Touche LLP as Auditors | | | For | Against | Audit concerns |
| V | Apollo Medical Holdings, Inc. | USA | 17-Jun-21 | | | |
| W | Elect Director Matthew Mazdyasni | | | For | Withhold | Independence concerns |
| X | AppFolio, Inc. | USA | 14-May-21 | | | |
| Y | Advisory Vote to Ratify Named Executive Officers' Compensation | | | For | Withhold | Lack of disclosure |
| Z | Applegreen Plc | Ireland | 17-Feb-21 | | | |
| | Amend Share Plans | | | For | Against | Remuneration concerns |
| | Applied Energetics, Inc. | USA | 27-Oct-21 | | | |
| | Elect Director Bradford T. Adamczyk | | | For | Withhold | Corporate Governance and Audit concerns |
| | Elect Director John E. Schultz, Jr. | | | For | Withhold | Audit concerns |
| | Elect Director Jonathan R. Barcklow | | | For | Withhold | Independence concerns |
| | Elect Director Mary P. O'Hara | | | For | Withhold | Corporate governance and Independence concerns |
| | Applied Materials, Inc. | USA | 11-Mar-21 | | | |
| | Require Independent Board Chairman | | | Against | For | Supporting better corporate governance practices |
| | Applied Therapeutics, Inc. | USA | 3-Jun-21 | | | |
| | Elect Director Joel S. Marcus | | | For | Withhold | Overboarding concerns |
| | Aprea Therapeutics, Inc. | USA | 9-Jun-21 | | | |
| | Elect Director Fouad Namouni | | | For | Withhold | Gender diversity concerns |
| | Aramark | USA | 2-Feb-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | | | For | Against | Concerning pay practices |
| | Elect Director Susan M. Cameron | | | For | Against | Remuneration concerns |
| | Arbor Realty Trust, Inc. | USA | 26-May-21 | | | |
| | Elect Director Elliot Schwartz | | | For | Withhold | Gender diversity concerns |
| | ArcelorMittal SA | Luxembourg | 8-Jun-21 | | | |
| | Approve Discharge of Directors | | | For | Against | ESG risk management concerns |
| | Reelect Tye Burt as Director | | | For | Against | ESG risk management concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|---|
| Arch Capital Group Ltd. Bermuda 6-May-21 | | | |
| Elect Director Chiara Nannini as Designated Company Director of Non-U.S. Subsidiaries | For | Against | Corporate governance concerns |
| Elect Director John M. Pasquesi | For | Abstain | Gender diversity concerns |
| Arcturus Therapeutics Holdings Inc. USA 18-Jun-21 | | | |
| Elect Director Andy Sassine | For | Withhold | Independence and Overboarding concerns |
| Arcus Biosciences, Inc. USA 3-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Kathryn Falberg | For | Withhold | Overboarding concerns |
| Arcutis Biotherapeutics, Inc. USA 9-Jun-21 | | | |
| Elect Director Ricky Sun | For | Against | Corporate governance concerns |
| Elect Director Terrie Curran | For | Against | Overboarding concerns |
| Ardagh Group SA Luxembourg 19-May-21 | | | |
| Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights and Amend Article 7 of the Articles of Association | For | Against | Dilutive impact |
| Arena Pharmaceuticals, Inc. USA 11-Jun-21 | | | |
| Elect Director Jennifer Jarrett | For | Withhold | Overboarding concerns |
| Arena REIT Australia 25-Nov-21 | | | |
| Elect Dennis Wildenburg as Director | For | Abstain | Gender diversity concerns |
| Ares Capital Corporation USA 7-Jun-21 | | | |
| Elect Director Robert L. Rosen | For | Against | Independence concerns |
| Ares Management Corporation USA 16-Jun-21 | | | |
| Elect Director Antony P. Ressler | For | Against | Corporate governance concerns |
| Elect Director Michael Lynton | For | Against | Overboarding concerns |
| Arezzo Industria e Comercio SA Brazil 29-Apr-21 | | | |
| Elect Guilherme Affonso Ferreira as Independent Director | For | Against | Overboarding concerns |
| Arezzo Industria e Comercio SA Brazil 12-Aug-21 | | | |
| Approve Stock Option Plan | For | Against | Remuneration concerns |
| argenx SE Netherlands 11-May-21 | | | |
| Adopt Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Aristocrat Leisure Limited Australia 26-Feb-21 | | | |
| Approve Grant of Performance Share Rights to Trevor Croker | For | Against | Remuneration concerns |
| Elect Neil Chatfield as Director | For | Against | Audit concerns |
| Arlington Asset Investment Corp. USA 15-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Armada Hoffer Properties, Inc. USA 16-Jun-21 | | | |
| Elect Director George F. Allen | For | Against | Lack of virtual-only meeting disclosure |
| ARMOUR Residential REIT, Inc. USA 13-May-21 | | | |
| Elect Director Marc H. Bell | For | Withhold | Independence concerns |
| Armstrong World Industries, Inc. USA 24-Jun-21 | | | |
| Elect Director Roy W. Templin | For | Withhold | Audit concerns |
| Aroundtown SA Luxembourg 30-Jun-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Approve Share Repurchase | For | Against | Strategic concerns |

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| A | Company Name | | | Management Recommendation | Our Vote | Rationale |
|---|---|--|--|---------------------------|----------|--|
| B | Arrow Global Group Plc United Kingdom 21-May-21 | | | | | |
| C | Approve Scheme of Arrangement | | | For | Against | Strategic concerns |
| D | Approve Recommended Cash Acquisition of Arrow Global Group plc by Sherwood Acquisitions Limited | | | For | Against | Strategic concerns |
| E | Arrow Global Group Plc United Kingdom 2-Jun-21 | | | | | |
| F | Re-elect Jonathan Bloomer as Director | | | For | Abstain | Strategic concerns |
| G | Arrowhead Pharmaceuticals, Inc. USA 18-Mar-21 | | | | | |
| H | Advisory Vote to Ratify Named Executive Officers' Compensation | | | For | Against | Remuneration concerns |
| I | Elect Director Michael S. Perry | | | For | Against | Overboarding concerns |
| J | Arvinas, Inc. USA 8-Jun-21 | | | | | |
| K | Elect Director Briggs Morrison | | | For | Withhold | Overboarding concerns |
| L | Asahi Group Holdings Ltd. Japan 25-Mar-21 | | | | | |
| M | Elect Director Koji, Akiyoshi | | | For | Abstain | Independence concerns |
| N | Asahi Intecc Co., Ltd. Japan 29-Sep-21 | | | | | |
| O | Elect Director Miyata, Masahiko | | | For | Abstain | Independence concerns |
| P | Asahi Kasei Corp. Japan 25-Jun-21 | | | | | |
| Q | Appoint Statutory Auditor Shibata, Yutaka | | | For | Against | Independence concerns |
| R | Elect Director Kobori, Hideki | | | For | Abstain | Audit concerns |
| S | Elect Director Maeda, Yuko | | | For | Against | Independence concerns |
| T | Ascendas Real Estate Investment Trust Singapore 29-Apr-21 | | | | | |
| U | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | | | For | Against | Dilutive impact |
| V | Ascendis Pharma A/S Denmark 28-May-21 | | | | | |
| W | Approve Creation of DKK 9 Million Pool of Capital without Preemptive Rights | | | For | Against | Excessive issuance |
| X | Reelect James I Healy as Director | | | For | Against | Lack of disclosure and Overboarding concerns |
| Y | ASE Technology Holding Co., Ltd. Taiwan 22-Jun-21 | | | | | |
| Z | Approve Release of Restrictions of Competitive Activities of Newly Appointed Directors | | | For | Against | Lack of disclosure |
| | Ashland Global Holdings Inc. USA 28-Jan-21 | | | | | |
| | Other Business | | | For | Against | Technical vote |
| | Ashok Leyland Limited India 8-Sep-21 | | | | | |
| | Elect C Bhaktavatsala Rao as Director | | | For | Against | Independence concerns |
| | Reelect Jose Maria Alapont as Director | | | For | Abstain | Gender diversity concerns |
| | Ashtead Group Plc United Kingdom 16-Sep-21 | | | | | |
| | Approve Long-Term Incentive Plan | | | For | Against | Dilutive impact |
| | Approve Long-Term Incentive Plan | | | For | Against | Dilutive impact |
| | Approve Remuneration Policy | | | For | Against | Remuneration concerns |
| | Approve Remuneration Report | | | For | Against | Remuneration concerns |
| | Re-elect Lucinda Riches as Director | | | For | Against | Remuneration concerns |
| | Asian Paints Limited India 29-Jun-21 | | | | | |
| | Approve Asian Paints Employee Stock Option Plan 2021 and Grant of Options to Eligible Employees of the Company | | | For | Against | Remuneration concerns |
| | Approve Grant of Stock Options to Amit Syngle as Managing Director and CEO under the Asian Paints Employee Stock Option Plan 2021 | | | For | Against | Remuneration concerns |
| | Approve Grant of Stock Options to the Eligible Employees of the Company's Subsidiaries under the Asian Paints Employee Stock Option Plan 2021 | | | For | Against | Remuneration concerns |
| | Approve Secondary Acquisition of Shares Through Trust Route for the Implementation of the Asian Paints Employee Stock Option Plan 2021 | | | For | Against | Remuneration concerns |
| | Reelect Jigish Choksi as Director | | | For | Against | Independence concerns |
| | Reelect Abhay Vakil as Director | | | For | Against | Independence and Audit concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| ASM International NV Netherlands 17-May-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| ASM Pacific Technology Limited Cayman Islands 11-May-21 | | | |
| Elect Lok Kam Chong, John as Director | For | Against | Gender diversity concerns |
| ASML Holding NV Netherlands 29-Apr-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Assembly Biosciences, Inc. USA 20-May-21 | | | |
| Amend Omnibus Stock Plan | For | Against | Dilutive impact |
| Assertio Holdings, Inc. USA 9-Jun-21 | | | |
| Other Business | For | Against | Technical vote |
| Assicurazioni Generali SpA Italy 26-Apr-21 | | | |
| Approve Second Section of the Remuneration Report | For | Against | Poor response to prior dissent |
| Associated British Foods Plc United Kingdom 10-Dec-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Ruth Cairnie as Director | For | Against | Remuneration concerns |
| Associated Capital Group, Inc. USA 4-Jun-21 | | | |
| Elect Director Salvatore F. Sodano | For | Withhold | Audit concerns |
| AstraZeneca Plc United Kingdom 11-May-21 | | | |
| Amend Performance Share Plan | For | Against | Insufficient rationale from the company |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Michel Demare as Director | For | Against | Remuneration concerns |
| Re-elect Sheri McCoy as Director | For | Against | Overboarding concerns |
| Asure Software, Inc. USA 20-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Bjorn Reynolds | For | Withhold | Corporate governance and Independence concerns |
| ASX Limited Australia 29-Sep-21 | | | |
| Elect Peter Marriott as Director | For | Against | Independence concerns |
| At Home Group Inc. USA 16-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| AT&T Inc. USA 30-Apr-21 | | | |
| Lower Ownership Threshold for Action by Written Consent | Against | For | In shareholders best interest |
| Atea Pharmaceuticals, Inc. USA 18-Jun-21 | | | |
| Elect Director Franklin Berger | For | Withhold | Overboarding concerns |
| Athene Holding Ltd. Bermuda 31-Aug-21 | | | |
| Elect Director H. Carl McCall | For | Abstain | Gender diversity concerns |
| Atlantic Capital Bancshares, Inc. USA 16-Nov-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| Atlantius Holdings Corporation USA 13-May-21 | | | |
| Elect Director Deal W. Hudson | For | Withhold | Independence concerns |
| Elect Director Thomas G. Rosencrants | For | Withhold | Audit concerns |
| Atlas Copco AB Sweden 27-Apr-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Atlas Corp. (British Columbia) Marshall Isl 2-Nov-21 | | | |
| C | Approve KPMG LLP as Auditors | For | Against | Audit concerns |
| D | Elect Nicholas Pitts-Tucker as Director | For | Withhold | Audit concerns |
| E | Atmos Energy Corporation USA 3-Feb-21 | | | |
| F | Elect Director Diana J. Walters | For | Against | Independence concerns |
| G | ATOS SE France 12-May-21 | | | |
| H | Approve Consolidated Financial Statements and Statutory Reports | For | Against | Audit concerns |
| I | Reelect Vivek Badrinath as Director | For | Against | Audit concerns |
| J | Say-on-Climate | For | Abstain | Lack of disclosure |
| K | Atrion Corporation USA 21-May-21 | | | |
| L | Elect Director Hugh J. Morgan, Jr. | For | Against | Gender diversity concerns |
| M | Auckland International Airport Limited New Zealand 21-Oct-21 | | | |
| N | Elect Patrick Strange as Director | For | Abstain | Corporate Governance concerns |
| O | AudioCodes Ltd. Israel 14-Sep-21 | | | |
| P | Reelect Doron Nevo as Director | For | Against | Corporate governance concerns |
| Q | Aurubis AG Germany 11-Feb-21 | | | |
| R | Approve Creation of EUR 57.5 Million Pool of Capital with Partial Exclusion of Preemptive Rights | For | Against | Dilutive impact |
| S | AusNet Services Ltd. Australia 15-Jul-21 | | | |
| T | Elect Alan Chan Heng Loon as Director | For | Against | Independence concerns |
| U | Elect Robert Milliner as Director | For | Abstain | Gender diversity concerns |
| V | AutoNation, Inc. USA 22-Apr-21 | | | |
| W | Provide Right to Call A Special Meeting | Against | For | Supporting better corporate governance practices |
| X | Autoneum Holding AG Switzerland 25-Mar-21 | | | |
| Y | Reelect This Schneider as Director | For | Against | Overboarding concerns |
| Z | Transact Other Business (Voting) | For | Against | Technical vote |
| | AutoZone, Inc. USA 15-Dec-21 | | | |
| | Report on Annual Climate Transition | Against | For | Supporting better corporate governance practices |
| | Avadel Pharmaceuticals Plc Ireland 3-Aug-21 | | | |
| | Authorise Issue of Equity with Pre-emptive Rights | For | Against | Anti-takeover device |
| | Authorise Issue of Equity without Pre-emptive Rights | For | Against | Anti-takeover device |
| | Avenue Supermarts Limited India 17-Aug-21 | | | |
| | Reelect Elvin Machado as Director | For | Against | Independence concerns |
| | AVEVA Group Plc United Kingdom 7-Jul-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Jennifer Allerton as Director | For | Against | Remuneration concerns |
| | Re-elect Philip Aiken as Director | For | Abstain | Gender diversity concerns |
| | Avingtrans Plc United Kingdom 18-Nov-21 | | | |
| | Re-elect Les Thomas as Director | For | Abstain | Gender Diversity concerns |
| | Axis Bank Limited India 30-Jul-21 | | | |
| | Approve C N K & Associates LLP, Chartered Accountants, Mumbai as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| | Approve M P Chitale & Co., Chartered Accountants, Mumbai as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| | Axon Enterprise, Inc. USA 27-May-21 | | | |
| | Elect Director Richard H. Carmona | For | Withhold | Corporate governance concerns |
| | Require a Majority Vote for the Election of Directors | Against | For | Supporting better corporate governance practices |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Axos Financial, Inc. USA 21-Oct-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Axsome Therapeutics, Inc. USA 4-Jun-21 | | | |
| Elect Director Mark Coleman | For | Withhold | Gender diversity concerns |
| Ayala Land, Inc. Philippines 21-Apr-21 | | | |
| Approve Other Matters | For | Against | Technical vote |
| Elect Fernando Zobel de Ayala as Director | For | Against | Overboarding and Independence concerns |
| Ayala Land, Inc. Philippines 21-Apr-21 | | | |
| Elect Jaime Augusto Zobel de Ayala as Director | For | Against | Overboarding concerns |
| Aytu Biopharma, Inc. USA 21-May-21 | | | |
| Elect Director Carl C. Dockery | For | Withhold | Corporate Governance concerns |
| Azimut Holding SpA Italy 29-Apr-21 | | | |
| Approve Remuneration Policy | For | Against | Lack of disclosure and Poor response to prior dissent |
| Approve Second Section of the Remuneration Report | For | Against | Lack of disclosure |
| Azrieli Group Ltd. Israel 29-Dec-21 | | | |
| Reelect Menachem Einan as Director | For | Against | Independence concerns |
| Azul SA Brazil 29-Apr-21 | | | |
| Approve Remuneration of Company's Management | For | Against | Climate risk management concerns |
| Approve Remuneration of Company's Management | For | Against | Remuneration concerns |
| AZZ Inc. USA 13-Jul-21 | | | |
| Elect Director Daniel E. Berce | For | Withhold | Overboarding concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | B | | | |
| C | B&S Group SA Luxembourg 18-May-21 | | | |
| D | Elect L. (Leendert) Blijdorp to Supervisory Board | For | Against | Gender Diversity concerns |
| E | B. Riley Financial, Inc. USA 27-May-21 | | | |
| F | Elect Director Robert L. Antin | For | Against | Corporate governance concerns |
| G | B2W Companhia Digital Brazil 30-Apr-21 | | | |
| H | Elect Directors | For | Against | Bundled election |
| I | B3 SA-Brasil, Bolsa, Balcão Brazil 10-May-21 | | | |
| J | Amend Article 76 Re: Indemnity Provision | For | Against | Corporate governance concerns |
| K | Amend Long-Term Incentive Plan | For | Against | Strategic concerns |
| L | Bachem Holding AG Switzerland 15-Oct-21 | | | |
| M | Transact Other Business (Voting) | For | Against | Technical vote |
| N | Badger Meter, Inc. USA 30-Apr-21 | | | |
| O | Elect Director Gale E. Klappa | For | Withhold | Overboarding concerns |
| P | Report on Board Diversity | Against | For | In shareholders best interest |
| Q | BAE Systems Plc United Kingdom 6-May-21 | | | |
| R | Approve Remuneration Report | For | Against | Remuneration concerns |
| S | Re-elect Ian Tyler as Director | For | Against | Remuneration concerns |
| T | Bajaj Auto Limited India 22-Jul-21 | | | |
| U | Reelect Nirajkumar Ramkrishnaji Bajaj as Director | For | Against | Independence and Gender diversity concerns |
| V | Reelect Sanjivnayan Rahulkumar Bajaj as Director | For | Against | Overboarding concerns |
| W | Bajaj Finance Limited India 20-Jul-21 | | | |
| X | Reelect Rajivnayan Rahulkumar Bajaj as Director | For | Against | Overboarding concerns |
| Y | Bally's Corporation USA 18-May-21 | | | |
| Z | Authorize New Class of Preferred Stock | For | Against | Remuneration concerns |
| | Elect Director Terrence Downey | For | Against | Corporate governance concerns |
| | Baloise Holding AG Switzerland 30-Apr-21 | | | |
| | Transact Other Business (Voting) | For | Against | Technical Vote |
| | Banc of California, Inc. USA 13-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Banca Sistema SpA Italy 30-Apr-21 | | | |
| | Approve Remuneration of Directors | For | Abstain | Lack of disclosure |
| | Approve Remuneration Policy | For | Against | Strategic concerns |
| | Approve Second Section of the Remuneration Report | For | Against | Strategic concerns |
| | BancFirst Corporation USA 27-May-21 | | | |
| | Elect Director H.E. Rainbolt | For | Withhold | Independence concerns |
| | Banco Bradesco SA Brazil 10-Mar-21 | | | |
| | Elect Fiscal Council Members Appointed by Controlling Shareholder | For | Abstain | Corporate governance concerns |
| | Banco BTG Pactual SA Brazil 9-Apr-21 | | | |
| | Amend Articles 5 and 30 and Consolidate Bylaws | For | Against | Lack of disclosure |
| | Approve Increase in Authorized Capital | For | Against | Dilutive impact |
| | Approve Remuneration of Company's Management | For | Against | Remuneration concerns |
| | Elect Claudio Eugenio Stiller Galeazzi as Independent Director | For | Against | Independence concerns |
| | Elect Eduardo Henrique de Mello Motta Loyo as Director | For | Against | Independence concerns |
| | Elect Guillermo Ortiz Martinez as Director | For | Against | Independence concerns |
| | Elect John Huw Gwili Jenkins as Director | For | Against | Independence concerns |
| | Elect Nelson Azevedo Jobim as Director | For | Against | Independence concerns |
| | Elect Roberto Balls Sallouti as Director | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|-----------------------------------|
| Banco Comercial Portugues SA Portugal 20-May-21 | | | |
| Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights | For | Against | Anti-takeover device |
| Maintain Voting Right Ceiling | For | Against | Anti-takeover device |
| Banco de Chile SA Chile 25-Mar-21 | | | |
| Approve Remuneration and Budget of Directors and Audit Committee | For | Abstain | Lack of disclosure |
| Approve Remuneration of Directors | For | Abstain | Lack of disclosure |
| Designate Risk Assessment Companies | For | Abstain | Lack of disclosure |
| Elect Director | For | Abstain | Lack of disclosure |
| Banco de Sabadell SA Spain 25-Mar-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Banco do Brasil SA Brazil 28-Apr-21 | | | |
| Approve Share Matching Plan | For | Against | Remuneration concerns |
| Approve Share Matching Plan | For | Against | Remuneration concerns |
| Elect Aramis Sa de Andrade as Director | For | Against | Independence concerns |
| Elect Debora Cristina Fonseca as Director (Employee Representative) | For | Against | Independence concerns |
| Elect Fausto de Andrade Ribeiro as Director | For | Against | Independence concerns |
| Elect Ieda Aparecida de Moura Cagni as Director | For | Against | Independence concerns |
| Elect Waldery Rodrigues Junior as Director | For | Against | Independence concerns |
| Elect Walter Eustaquio Ribeiro as Director | For | Against | Independence concerns |
| Banco do Brasil SA Brazil 30-Sep-21 | | | |
| Elect Ariosto Antunes Culau as Director | For | Against | Independence concerns |
| Banco Inter SA Brazil 28-Apr-21 | | | |
| Approve Remuneration of Company's Management and Fiscal Council | For | Against | Remuneration concerns |
| Banco Inter SA Brazil 24-Jun-21 | | | |
| Approve Increase in Authorized Capital and Amend Article 6 Accordingly | For | Against | Corporate governance concerns |
| Banco Inter SA Brazil 25-Nov-21 | | | |
| Amend Article 52 | For | Against | Lack of disclosure |
| Approve Acquisition of All Company Shares by Inter Holding Financeira S.A. (HoldFin) Re: Reorganization Plan | For | Against | Strategic concerns |
| Approve Agreement to Acquire All Company Shares by Inter Holding Financeira S.A. (HoldFin) Re: Reorganization Plan | For | Against | Not in shareholders best interest |
| Approve Agreement to Acquire All Company Shares by Inter Holding Financeira S.A. (HoldFin) Re: Reorganization Plan | For | Against | Not in shareholders interest |
| Approve Independent Firm's Appraisal Re: Stock Merger Appraisal | For | Against | Corporate Governance concerns |
| Approve Independent Firm's Appraisal Re: Stock Merger Appraisal | For | Against | Insufficient information |
| Approve Independent Firm's Appraisals Re: Cash-Out Appraisal and 264 Appraisal | For | Against | Corporate Governance concerns |
| Approve Independent Firm's Appraisals Re: Cash-Out Appraisal and 264 Appraisal | For | Against | Insufficient information |
| Authorize Board to Ratify and Execute Approved Resolutions | For | Against | Not in shareholders interest |
| Ratify KPMG Auditores Independentes as Independent Firm to Appraise Proposed Transaction Re: Stock Merger Appraisal | For | Against | Audit concerns |
| Ratify KPMG Auditores Independentes as Independent Firm to Appraise Proposed Transaction Re: Stock Merger Appraisal | For | Against | Insufficient information |
| Ratify PricewaterhouseCoopers Corporate Finance & Recovery Ltda. as Independent Firm to Appraise Proposed Transaction Re: Cash-Out Appraisal and 264 Appraisal | For | Against | Audit concerns |
| Ratify PricewaterhouseCoopers Corporate Finance & Recovery Ltda. as Independent Firm to Appraise Proposed Transaction Re: Cash-Out Appraisal and 264 Appraisal | For | Against | Insufficient information |
| Banco Macro SA Argentina 30-Apr-21 | | | |
| Elect Director for a Three-Year Term Nominated by FGS-ANSES | For | Abstain | Insufficient Information |
| Fix Number of and Elect Members and their Alternates of Internal Statutory Auditors for a One-Year Term | For | Abstain | Lack of disclosure |
| Ratify Santiago Horacio Seeber as Director to Replace Jorge Horacio Brito | For | Against | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|---|
| B | Banco Santander (Brasil) SA Brazil 30-Apr-21 | | | |
| C | Approve Remuneration of Company's Management | For | Against | Remuneration concerns |
| D | Banco Santander Mexico SA Institucion de Banca Multiple Mexico 20-Apr-21 | | | |
| E | Amend Articles to Reflect Changes in Capital | For | Against | Strategic concerns |
| F | Authorize Increase in Capital Represented by Shares that will be Held in Treasury | For | Abstain | Lack of disclosure |
| F | Authorize Issuance of Subordinated Debentures | For | Abstain | Lack of disclosure |
| G | Banco Santander Mexico SA Institucion de Banca Multiple Mexico 29-Apr-21 | | | |
| H | Approve Allocation of Income | For | Abstain | Lack of disclosure |
| I | Elect and Ratify Directors and Their Alternates Representatives of Series F and B Shareholders; Fix Their Remuneration | For | Abstain | Corporate governance concerns |
| J | Elect or Ratify Directors and Commissioners Representing Series B Shareholders | For | Abstain | Lack of disclosure |
| K | BANDAI NAMCO Holdings Inc. Japan 21-Jun-21 | | | |
| L | Elect Director Kawaguchi, Masaru | For | Abstain | Independence concerns |
| M | Bandwidth Inc. USA 20-May-21 | | | |
| M | Elect Director Brian D. Bailey | For | Withhold | Corporate governance and Gender diversity concerns |
| N | Bank Hapoalim BM Israel 21-Oct-21 | | | |
| O | Elect Odelia Levanon as Director | For | Abstain | Not in shareholders interest/ Corporate Governance concerns |
| P | Elect Ron Hadassi as External Director | For | Against | Overboarding concerns and Not in shareholders interest |
| Q | Bank Leumi Le-Israel Ltd. Israel 13-Sep-21 | | | |
| R | Elect Dan Koller as External Director as defined in Directive 301 | For | Abstain | Technical vote |
| S | Elect Eliyahu Gonen as External Director | For | Abstain | Technical vote |
| T | Bank of America Corporation USA 20-Apr-21 | | | |
| U | Elect Director Maria T. Zuber | For | Against | Independence concerns |
| V | Elect Director Thomas J. May | For | Abstain | Combined CEO/Chair |
| W | Request on Racial Equity Audit | Against | For | In shareholders best interest |
| X | Request on Racial Equity Audit | Against | For | Supporting better corporate governance practices |
| Y | Bankia SA Spain 23-Mar-21 | | | |
| Y | Reelect Jose Ignacio Goirigolzarri Tellaeche as Director | For | Against | Gender diversity concerns |
| Z | Bankwell Financial Group, Inc. USA 26-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Lack of disclosure and Remuneration concerns |
| | Elect Director Carl M. Porto | For | Withhold | Independence concerns |
| | Elect Director Eric J. Dale | For | Withhold | Remuneration concerns |
| | Elect Director Richard E. Castiglioni | For | Withhold | Independence concerns |
| | Elect Director Todd Lampert | For | Withhold | Independence concerns |
| | Banque Cantonale Vaudoise Switzerland 29-Apr-21 | | | |
| | Transact Other Business (Voting) | For | Against | Technical vote |
| | Baoshan Iron & Steel Co., Ltd. China 18-May-21 | | | |
| | Elect Wang Zhen as Supervisor | For | Against | Independence concerns |
| | Elect Zhu Hanming as Supervisor | For | Against | Independence concerns |
| | Elect Zou Jixin as Director | For | Against | Independence concerns |
| | Baoshan Iron & Steel Co., Ltd. China 5-Jul-21 | | | |
| | Elect Qin Changdeng as Supervisor | For | Against | Independence concerns |
| | Baozun, Inc. Cayman Islands 7-May-21 | | | |
| | Authorize Board to Ratify and Execute Approved Resolutions | For | Against | Technical vote |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Barco NV Belgium 29-Apr-21 | | | |
| Approve 2021 Stock Option Plans | For | Against | Poor response to prior dissent and Remuneration concerns |
| Approve Discharge of Directors | For | Against | Poor response to prior dissent |
| Approve Remuneration Policy | For | Against | Poor response to prior dissent and Remuneration concerns |
| Approve Remuneration Report | For | Against | Lack of disclosure and Remuneration concerns |
| Barnes & Noble Education, Inc. USA 23-Sep-21 | | | |
| Other Business | For | Against | Technical vote |
| Barratt Developments Plc United Kingdom 13-Oct-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Barry Callebaut AG Switzerland 8-Dec-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Bata India Limited India 24-Mar-21 | | | |
| Approve Payment of Remuneration to Rajeev Gopalakrishnan as Managing Director | For | Against | Not in shareholders best interest |
| Approve Payment of Remuneration to Ram Kumar Gupta as Director Finance and Chief Financial Officer | For | Against | Not in shareholders best interest |
| Approve Payment of Remuneration to Sandeep Kataria as Whole-time Director and CEO | For | Against | Not in shareholders best interest |
| Approve Reappointment and Remuneration of Rajeev Gopalakrishnan as Managing Director | For | Against | Not in shareholders best interest |
| Elect Shaibal Sinha as Director | For | Against | Independence concerns |
| Baxter International Inc. USA 4-May-21 | | | |
| Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| BayCom Corp USA 15-Jun-21 | | | |
| Elect Director Lloyd W. Kendall, Jr. | For | Withhold | Corporate governance concerns |
| Elect Director Malcolm F. Hotchkiss | For | Withhold | Independence concerns |
| BayCurrent Consulting, Inc. Japan 28-May-21 | | | |
| Elect Director Abe, Yoshiyuki | For | Abstain | Independence concerns |
| Bayerische Motoren Werke AG Germany 12-May-21 | | | |
| Approve Discharge of Management Board for Fiscal Year 2020 | For | Abstain | Corporate Governance concerns |
| Approve Discharge of Management Board for Fiscal Year 2020 | For | Abstain | Ongoing investigations |
| Approve Discharge of Supervisory Board for Fiscal Year 2020 | For | Abstain | Corporate Governance concerns |
| Approve Discharge of Supervisory Board for Fiscal Year 2020 | For | Abstain | Ongoing investigations |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| BBX Capital, Inc. USA 17-May-21 | | | |
| Approve Omnibus Stock Plan | For | Against | Remuneration concerns |
| Elect Director Norman H. Becker | For | Withhold | Audit concerns |
| BCB Bancorp, Inc. USA 29-Apr-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| BDO Unibank, Inc. Philippines 23-Apr-21 | | | |
| Approve Other Matters | For | Against | Technical vote |
| Elect Jones M. Castro, Jr. as Director | For | Withhold | Independence concerns |
| Elect Jose F. Buenaventura as Director | For | Withhold | Audit concerns |
| Elect Vicente S. Perez, Jr. as Director | For | Withhold | Independence concerns |
| Beam Therapeutics, Inc. USA 9-Jun-21 | | | |
| Elect Director Kristina Buraw | For | Against | Overboarding concerns |
| Becton, Dickinson and Company USA 26-Jan-21 | | | |
| Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | Beiersdorf AG Germany 1-Apr-21 | | | |
| C | Approve Remuneration Policy | For | Against | Remuneration concerns |
| D | BeiGene, Ltd. Cayman Islands 16-Jun-21 | | | |
| E | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| F | Amend Articles | For | Against | Not in shareholders best interest |
| G | Approve Connected Person Placing Authorization I | For | Against | Dilutive impact |
| H | Approve Connected Person Placing Authorization IA | For | Against | Dilutive impact |
| I | Approve Connected Person Placing Authorization II | For | Against | Dilutive impact |
| J | Approve Connected Person Placing Authorization IIA | For | Against | Dilutive impact |
| K | Authorize the Board of Directors to Issue, Allot, or Deal with Unissued Ordinary Shares and/or American Depositary Shares | For | Against | Dilutive impact |
| L | Beijing Oriental Yuhong Waterproof Technology Co., Ltd. China 12-Apr-21 | | | |
| M | Approve Authorization of the Board to Handle All Related Matters | For | Against | Not in shareholders best interest |
| N | Approve Draft and Summary of Employee Share Purchase Plan | For | Against | Not in shareholders best interest |
| O | Approve Management Method of Employee Share Purchase Plan | For | Against | Not in shareholders best interest |
| P | Beijing Oriental Yuhong Waterproof Technology Co., Ltd. China 10-May-21 | | | |
| Q | Approve Guarantee Provision for the Comprehensive Credit Lines Applied by the Subsidiary | For | Against | Excessive guarantees |
| R | Belden Inc. USA 26-May-21 | | | |
| S | Elect Director Bryan C. Cressey | For | Withhold | Lack of virtual-only meeting disclosure |
| T | BELIMO Holding AG Switzerland 29-Mar-21 | | | |
| U | Reappoint Sandra Emme as Member of the Compensation Committee | For | Abstain | Gender diversity concerns |
| V | Reelect Adrian Altenburger as Director | For | Abstain | Gender diversity concerns |
| W | Reelect Sandra Emme as Director | For | Abstain | Corporate governance concerns and gender diversity concerns |
| X | Transact Other Business (Voting) | For | Against | Technical vote |
| Y | Belluna Co., Ltd. Japan 25-Jun-21 | | | |
| Z | Elect Director Yasuno, Kiyoshi | For | Abstain | Independence concerns |
| | Benchmark Electronics, Inc. USA 12-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Robert K. Gifford | For | Withhold | Remuneration concerns |
| | Benitec Biopharma Inc. USA 8-Dec-21 | | | |
| | Authorize New Class of Preferred Stock | For | Against | Strategic concerns |
| | Elect Director Peter Francis | For | Withhold | Corporate Governance concerns |
| | Berkeley Group Holdings Plc United Kingdom 3-Sep-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Glyn Barker as Director | For | Against | Remuneration concerns |
| | Berkeley Lights, Inc. USA 14-May-21 | | | |
| | Elect Director Gregory Lucier | For | Withhold | Corporate governance concerns |
| | Berkshire Hathaway Inc. USA 1-May-21 | | | |
| | Elect Director Susan L. Decker | For | Withhold | ESG risk management concerns |
| | Elect Director Thomas S. Murphy | For | Withhold | Corporate governance concerns |
| | Elect Director Walter Scott, Jr. | For | Withhold | Combined CEO/Chair |
| | Publish Annually a Report Assessing Diversity and Inclusion Efforts | Against | For | Supporting better sustainability practices |
| | Report on Climate-Related Risks and Opportunities | Against | For | Supporting better sustainability practices |
| | Best Buy Co., Inc. USA 16-Jun-21 | | | |
| | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Bezeq The Israeli Telecommunication Corp. Ltd. Israel 22-Apr-21 | | | |
| Reelect David Granot as Director | For | Against | Audit concerns |
| Reelect Edith Lusky as External Director | For | Against | Audit concerns |
| Reelect Tomer Rabad as Director | For | Against | Independence concerns |
| BGC Partners, Inc. USA 22-Nov-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Amend Omnibus Stock Plan | For | Against | Dilutive impact |
| Elect Director Stephen T. Curwood | For | Withhold | Remuneration concerns |
| Bharat Forge Limited India 13-Aug-21 | | | |
| Reelect K. M. Saletore as Director | For | Against | Independence concerns |
| Reelect P. C. Bhalerao as Director | For | Against | Combined CEO/Chair, Gender diversity and Independence concerns |
| Bharti Airtel Limited India 31-Aug-21 | | | |
| Approve Reappointment and Remuneration of Sunil Bharti Mittal as Chairman (in Executive Capacity) | For | Against | Remuneration concerns |
| Elect Tao Yih Arthur Lang as Director | For | Against | Independence concerns |
| BHG Group AB Sweden 5-May-21 | | | |
| Approve Issuance of up to 20 Percent of Issued Shares without Preemptive Rights | For | Against | Excessive issuance |
| Approve Remuneration Policy And Other Terms of Employment For Executive Management | For | Against | Remuneration concerns |
| BHP Group Limited Australia 11-Nov-21 | | | |
| Elect Ken MacKenzie as Director | For | Abstain | Gender diversity concerns |
| BHP Group Plc United Kingdom 14-Oct-21 | | | |
| Re-elect Ken MacKenzie as Director | For | Abstain | Gender Diversity Concerns |
| Bicycle Therapeutics Plc United Kingdom 28-Jun-21 | | | |
| Authorise Issuance of Equity | For | Against | Dilutive impact |
| Authorise Issue of Equity without Pre-emptive Rights | For | Against | Dilutive impact |
| Bid Corp. Ltd. South Africa 25-Nov-21 | | | |
| Re-elect Nigel Payne as Director | For | Against | Overboarding concerns |
| Re-elect Nigel Payne as Member of the Audit and Risk Committee | For | Against | Overboarding concerns |
| Re-elect Paul Baloyi as Member of the Audit and Risk Committee | For | Against | Overboarding concerns |
| BIM Birlisik Magazalar AS Turkey 28-Apr-21 | | | |
| Elect Directors and Approve Their Remuneration | For | Abstain | Corporate governance concerns |
| Grant Permission for Board Members to Engage in Commercial Transactions with Company and Be Involved with Companies with Similar Corporate Purpose and Receive Information in Accordance to Article 1.3.6 of Corporate Governance Principles | For | Against | Not in shareholders interest |
| Biodesix, Inc. USA 26-May-21 | | | |
| Elect Director John Patience | For | Withhold | Corporate governance concerns |
| Biofrontera AG Germany 14-Dec-21 | | | |
| Elect Wilhelm Zours to the Supervisory Board | For | Against | Overboarding concerns |
| Vote Against Proposed Resolutions Other than Published in the Convocation | For | Against | Corporate governance concerns |
| BioLife Solutions, Inc. USA 18-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Joseph Schick | For | Withhold | Audit concerns |
| BioMarin Pharmaceutical Inc. USA 25-May-21 | | | |
| Elect Director Michael Grey | For | Withhold | Overboarding concerns |
| BlondVax Pharmaceuticals Ltd. Israel 6-Apr-21 | | | |
| Amend Terms of Outstanding Options of Certain Directors and Officers | For | Against | Remuneration concerns |
| Amend Terms of Outstanding Options of Samuel Moed, Director | For | Against | Remuneration concerns |
| Approve Increase Registered Share Capital and Amend Articles Accordingly | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | BioNTech SE Germany 22-Jun-21 | | | |
| C | Approve Creation of EUR 123.2 Million Pool of Capital with Partial Exclusion of Preemptive Rights | For | Against | Dilutive impact |
| D | BioSig Technologies, Inc. USA 28-Jun-21 | | | |
| E | Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| F | Elect Director Donald E. Foley | For | Withhold | Corporate governance and Gender diversity concerns |
| G | Elect Director Jeffrey F. O'Donnell, Sr. | For | Withhold | Corporate governance and Independence concerns |
| H | Elect Director Samuel E. Navarro | For | Withhold | Corporate governance concerns |
| I | BK Brasil Operacao e Assessoria a Restaurantes SA Brazil 29-Apr-21 | | | |
| J | Amend Articles 5, 14, and 19 and Consolidate Bylaws | For | Against | Lack of disclosure |
| K | Rectify Remuneration of Company's Management for 2020 | For | Against | Remuneration concerns |
| L | BKW AG Switzerland 7-May-21 | | | |
| M | Transact Other Business (Voting) | For | Against | Technical vote |
| N | Black Diamond Therapeutics, Inc. USA 18-Jun-21 | | | |
| O | Elect Director Robert A. Ingram | For | Withhold | Corporate governance and Gender diversity concerns |
| P | Black Knight, Inc. USA 16-Jun-21 | | | |
| Q | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| R | Elect Director Thomas M. Hagerty | For | Withhold | Overboarding concerns |
| S | BlackRock, Inc. USA 26-May-21 | | | |
| T | Elect Director Gordon M. Nixon | For | Abstain | Combined CEO/Chair |
| U | Blackstone Mortgage Trust, Inc. USA 7-Jul-21 | | | |
| V | Elect Director Henry N. Nassau | For | Withhold | Corporate Governance concerns |
| W | Elect Director Jonathan L. Pollack | For | Withhold | Independence concerns |
| X | Bloomin' Brands, Inc. USA 18-May-21 | | | |
| Y | Eliminate Supermajority Vote Requirement | Against | For | Supporting better corporate governance practices |
| Z | Report on Climate Change | Against | For | Supporting better ESG risk management disclosures |
| | Blucora, Inc. USA 21-Apr-21 | | | |
| | Elect Director Cindy Schulze Flynn | For | Withhold | Not in shareholders best interest |
| | Elect Director Kimberly Smith Spacek | For | Withhold | Not in shareholders best interest |
| | Elect Director Robert D. MacKinlay | For | Withhold | Not in shareholders best interest |
| | Blue Bird Corporation USA 10-Mar-21 | | | |
| | Elect Director Alan H. Schumacher | For | Withhold | Overboarding concerns |
| | Blue Prism Group Plc United Kingdom 16-Mar-21 | | | |
| | Re-elect Christopher Batterham as Director | For | Abstain | Combined CEO/Chair |
| | Re-elect Kenneth Lever as Director | For | Against | Overboarding concerns |
| | Bluegreen Vacations Holding Corporation USA 21-Jul-21 | | | |
| | Approve Omnibus Stock Plan | For | Against | Remuneration concerns |
| | Elect Director Joel Levy | For | Withhold | Audit concerns |
| | Elect Director Lawrence A. Cirillo | For | Withhold | Corporate Governance and Gender Diversity concerns |
| | BM Technologies, Inc. USA 16-Jun-21 | | | |
| | Other Business | For | Against | Technical vote |
| | Boa Vista Servicos SA Brazil 26-Apr-21 | | | |
| | Elect Directors | For | Against | Bundled election |
| | Re-Ratify Remuneration of Company's Management for 2020 | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Bobst Group SA Switzerland 30-Mar-21 | | | |
| Approve Remuneration of Executive Committee in the Amount of CHF 7 Million | For | Against | Remuneration concerns |
| Reappoint Gian-Luca Bona as Member of the Compensation and Nomination Committee | For | Against | Gender diversity concerns |
| Reelect Gian-Luca Bona as Director | For | Against | Gender diversity concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| BOC Hong Kong (Holdings) Limited Hong Kong 17-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect Choi Koon Shum as Director | For | Against | Gender diversity concerns |
| BOK Financial Corporation USA 4-May-21 | | | |
| Elect Director Alan S. Armstrong | For | Withhold | Attendance concerns |
| Elect Director George B. Kaiser | For | Withhold | Gender diversity concerns |
| Elect Director Stanley A. Lybarger | For | Withhold | Corporate governance concerns |
| Boliden AB Sweden 27-Apr-21 | | | |
| Reelect Anders Ullberg as Board Chairman | For | Against | Corporate governance concerns |
| Reelect Anders Ullberg as Director | For | Against | Corporate governance concerns |
| Bollore SA France 26-May-21 | | | |
| Approve Compensation of Cyrille Bollore, Chairman and CEO | For | Against | Poor response to prior dissent and Remuneration concerns |
| Approve Remuneration Policy of Chairman and CEO | For | Against | Poor response to prior dissent and Remuneration concerns |
| Approve Remuneration Policy of Directors | For | Against | Remuneration concerns |
| Booking Holdings Inc. USA 3-Jun-21 | | | |
| Annual Investor Advisory Vote on Climate Plan | Against | For | Supporting better corporate governance practices |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Report on Annual Climate Transition | Against | For | Supporting better corporate governance practices |
| Boot Barn Holdings, Inc. USA 25-Aug-21 | | | |
| Elect Director Brad Weston | For | Withhold | Corporate Governance and Independence concerns |
| BorgWarner Inc. USA 28-Apr-21 | | | |
| Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | In shareholders best interest |
| Bosch Limited India 5-Aug-21 | | | |
| Approve Appointment and Remuneration of Karsten Mueller as Whole-Time Director | For | Against | Not in shareholders best interest |
| Approve Appointment and Remuneration of S.C. Srinivasan as Joint Managing Director | For | Against | Not in shareholders best interest |
| Elect Markus Bamberger as Director | For | Against | Audit Concerns |
| Elect Sandeep N as Director and Approve Appointment and Remuneration of Sandeep N as Executive Director | For | Against | Remuneration concerns |
| Boston Scientific Corporation USA 6-May-21 | | | |
| Elect Director David J. Roux | For | Withhold | Combined CEO/Chair |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | Bouygues SA France 22-Apr-21 | | | |
| C | Approve Auditors' Special Report on Related-Party Transactions | For | Against | Insufficient rationale from the company |
| D | Approve Compensation of Olivier Roussat, Vice-CEO | For | Against | Poor response to prior dissent |
| E | Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 75 Million | For | Against | Dilutive impact |
| F | Approve Remuneration Policy of Executive Corporate Officers | For | Against | Remuneration concerns |
| G | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | For | Against | Dilutive impact and Anti-takeover device |
| H | Authorize Board to Issue Free Warrants with Preemptive Rights During a Public Tender Offer | For | Against | Anti-takeover device |
| I | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact and Anti-takeover device |
| J | Authorize Capital Increase of Up to EUR 85 Million for Future Exchange Offers | For | Against | Dilutive impact |
| K | Authorize Capital Issuances for Use in Employee Stock Purchase Plans | For | Against | Dilutive impact |
| L | Authorize Capitalization of Reserves of Up to EUR 4 Billion for Bonus Issue or Increase in Par Value | For | Against | Anti-takeover device |
| M | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 85 Million | For | Against | Dilutive impact |
| N | Authorize Issuance of Equity upon Conversion of a Subsidiary's Equity-Linked Securities for up to EUR 85 Million | For | Against | Dilutive impact and Anti-takeover device |
| O | Authorize Repurchase of Up to 5 Percent of Issued Share Capital | For | Against | Anti-takeover device |
| P | Authorize up to 2 Percent of Issued Capital for Use in Stock Option Plans | For | Against | Poor disclosure |
| Q | Reelect Martin Bouygues as Director | For | Against | Independence concerns |
| R | Box, Inc. USA 9-Sep-21 | | | |
| S | Elect Director Dana Evan | For | Withhold | Corporate Governance Concerns |
| T | Boyd Gaming Corporation USA 6-May-21 | | | |
| U | Elect Director Robert L. Boughner | For | Withhold | Independence concerns |
| V | Elect Director William R. Boyd | For | Withhold | Independence concerns |
| W | BP Plc United Kingdom 12-May-21 | | | |
| X | Approve Remuneration Report | For | Against | Remuneration concerns |
| Y | Re-elect Paula Reynolds as Director | For | Against | Remuneration concerns |
| Z | bpost SA Belgium 12-May-21 | | | |
| | Approve Appointment of Directors Nominated by the Board | For | Against | Bundled election and Lack of disclosure |
| | Approve Discharge of Directors | For | Against | Ongoing investigations and Poor response to prior dissent |
| | Approve Remuneration Policy | For | Against | Poor response to prior dissent and Strategic concerns |
| | Approve Remuneration Report | For | Against | Poor response to prior dissent and Strategic concerns |
| | Elect Additional Candidate(s) Proposed by the Belgian State as Director | For | Against | Lack of disclosure |
| | Brambles Limited Australia 19-Oct-21 | | | |
| | Elect Scott Perkins as Director | For | Abstain | Corporate governance concerns |
| | Brandywine Realty Trust USA 18-May-21 | | | |
| | Elect Director Charles P. Pizzi | For | Abstain | Gender diversity concerns |
| | Brewin Dolphin Holdings Plc United Kingdom 5-Feb-21 | | | |
| | Re-elect Ian Dewar as Director | For | Abstain | Gender diversity concerns |
| | BRF SA Brazil 27-Apr-21 | | | |
| | Amend Restricted Stock Plan | For | Against | Remuneration concerns |
| | Amend Restricted Stock Plan | For | Against | strategic concerns |
| | BridgeBio Pharma, Inc. USA 17-Jun-21 | | | |
| | Elect Director Ali Satvat | For | Withhold | Corporate Governance concerns |
| | Elect Director Jennifer E. Cook | For | Withhold | Corporate governance and Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
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| BridgeBio Pharma, Inc. USA 15-Dec-21 | | | |
| Amend Non-Employee Director Compensation Policy | For | Against | Remuneration concerns |
| Ratify Equity Awards Granted to Directors Under the Director Compensation Policy | For | Against | Remuneration concerns |
| Brightsphere Investment Group Inc. USA 23-Jun-21 | | | |
| Elect Director Andrew Kim | For | Against | Independence concerns |
| Bristol-Myers Squibb Company USA 4-May-21 | | | |
| Provide Right to Act by Written Consent | Against | For | Corporate governance concerns |
| Require Independent Board Chair | Against | For | Corporate governance concerns |
| Britannia Industries Limited India 6-Sep-21 | | | |
| Approve Appointment and Remuneration of N. Venkataraman as Whole Time Director Designated as Executive Director and Chief Financial Officer | For | Against | Corporate Governance concerns |
| Approve Payment of Commission to Nusli N Wadia as Chairman and Non-Executive Director | For | Against | Remuneration concerns |
| Elect N. Venkataraman as Director | For | Against | Corporate Governance concerns |
| British American Tobacco plc United Kingdom 28-Apr-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Dimitri Panayotopoulos as Director | For | Against | Remuneration concerns |
| Re-elect Jeremy Fowden as Director | For | Abstain | Technical vote |
| Re-elect Jeremy Fowden as Director | For | Withhold | Technical Vote |
| Brown & Brown, Inc. USA 5-May-21 | | | |
| Elect Director H. Palmer Proctor, Jr. | For | Withhold | Gender Diversity Concerns |
| Brown-Forman Corporation USA 22-Jul-21 | | | |
| Elect Director Michael A. Todman | For | Against | Audit concerns |
| BRP Inc. Canada 3-Jun-21 | | | |
| Elect Director Joshua Bekenstein | For | Withhold | Independence concerns |
| Elect Director Michael Hanley | For | Withhold | Audit concerns |
| Bucher Industries AG Switzerland 15-Apr-21 | | | |
| Amend Articles Re: Size of Compensation Committee | For | Against | Not in shareholders interest |
| Approve Remuneration Report (Non-Binding) | For | Against | Remuneration concerns |
| Reappoint Anita Hauser as Member of the Compensation Committee | For | Against | Corporate governance concerns |
| Reelect Anita Hauser as Director | For | Against | Independence concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Budweiser Brewing Company APAC Limited Cayman Islands 30-Apr-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Approve Specific Mandate to Issue New Shares to the Trustee in Relation to the Grant of Restricted Share Units and Locked-Up Shares to the Connected Participants | For | Against | Not in shareholders best interest |
| Approve Specific Mandate to Issue New Shares to the Trustee in Relation to the Grant of Restricted Share Units and Locked-Up Shares to the Connected Participants During the Applicable Period | For | Against | Not in shareholders best interest |
| Approve Specific Mandate to Issue New Shares to the Trustee in Relation to the Grant of Restricted Share Units and Locked-Up Shares to the Non-Connected Participants During the Applicable Period | For | Against | Not in shareholders best interest |
| Approve Specific Mandate to Issue New Shares to the Trustee of the Company's Share Award Schemes in Relation to the Grant of Restricted Share Units and Locked-Up Shares to the Non-Connected Participants | For | Against | Not in shareholders best interest |
| Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| Elect Nelson Jamel as Director | For | Against | Independence concerns |
| Bunge Limited Bermuda 5-May-21 | | | |
| Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |

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| A | Company Name | | | Management Recommendation | Our Vote | Rationale |
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| B | Bunzl Plc | United Kingdom | 21-Apr-21 | | | |
| C | Approve Remuneration Policy | | | For | Against | Remuneration concerns |
| D | Elect Vin Murria as Director | | | For | Against | Overboarding concerns |
| E | Re-elect Peter Ventress as Director | | | For | Abstain | Independence concerns |
| F | Re-elect Stephan Nanninga as Director | | | For | Against | Overboarding concerns |
| G | Re-elect Vanda Murray as Director | | | For | Against | Remuneration concerns |
| H | Burberry Group Plc | United Kingdom | 14-Jul-21 | | | |
| I | Approve Remuneration Report | | | For | Against | Remuneration concerns |
| J | Re-elect Orna NiChionna as Director | | | For | Against | Remuneration concerns |
| K | Burckhardt Compression Holding AG | Switzerland | 2-Jul-21 | | | |
| L | Approve Creation of CHF 1.3 Million Pool of Capital without Preemptive Rights | | | For | Against | Excessive issuance |
| M | Reelect Stephan Bross as Director | | | For | Abstain | Gender diversity concerns |
| N | Transact Other Business (Voting) | | | For | Against | Technical vote |
| O | Bureau Veritas SA | France | 25-Jun-21 | | | |
| P | Approve Compensation of Didier Michaud-Daniel, CEO | | | For | Against | Remuneration concerns |
| Q | Approve Remuneration Policy of CEO | | | For | Against | Remuneration concerns |
| R | Authorize up to 1 Percent of Issued Capital for Use in Restricted Stock Plans | | | For | Against | Remuneration concerns |
| S | Authorize up to 1.5 Percent of Issued Capital for Use in Stock Option Plans | | | For | Against | Remuneration concerns |
| T | Reelect Jerome Michiels as Director | | | For | Against | Independence concerns |
| U | Burford Capital Ltd. | Guernsey | 18-May-21 | | | |
| V | Authorise Board to Fix Remuneration of Auditors | | | For | Against | Audit concerns |
| W | Ratify Ernst & Young LLP as Auditors | | | For | Against | Audit concerns |
| X | Re-elect Charles Parkinson as Director | | | For | Against | Audit concerns |
| Y | Burning Rock Biotech Limited | Cayman Islands | 28-Dec-21 | | | |
| Z | Elect Yusheng Han, Gang Lu and Shaokun (Shannon) Chuai as Directors | | | For | Against | Corporate Governance concerns |
| | Business First Bancshares, Inc. | USA | 27-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | | | For | Against | Remuneration concerns |
| | BW LPG Ltd. | Bermuda | 14-May-21 | | | |
| | Appoint Andreas Sohmen-Pao as Company Chair | | | For | Against | Remuneration concerns |
| | Reelect Andreas Sohmen-Pao as Director | | | For | Against | Remuneration concerns |
| | BYD Company Limited | China | 8-Jun-21 | | | |
| | Approve Issuance by BYD Electronic (International) Company Limited of Equity or Equity-Linked Securities without Preemptive Rights | | | For | Against | Dilutive impact |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares | | | For | Against | Dilutive impact |
| | Approve Provision of Guarantee by the Group | | | For | Against | Excessive guarantees |
| | Approve Provision of Phased Guarantee for Mortgage-Backed Car Buyers to BYD Auto Finance Company Limited | | | For | Against | Excessive guarantees |
| | Byline Bancorp, Inc. | USA | 8-Jun-21 | | | |
| | Elect Director Antonio del Valle Perochena | | | For | Withhold | Corporate governance concerns |
| | Bytes Technology Group Plc | United Kingdom | 22-Jul-21 | | | |
| | Appoint Ernst & Young LLP as Auditors | | | For | Against | Audit concerns |
| | Authorise the Audit Committee to Fix Remuneration of Auditors | | | For | Against | Audit concerns |
| | Elect David Maw as Director | | | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
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| C | | | |
| C&F Financial Corporation USA 20-Apr-21 | | | |
| Elect Director James H. Hudson, III | For | Withhold | Independence concerns |
| C.H. Robinson Worldwide, Inc. USA 6-May-21 | | | |
| Elect Director Brian P. Short | For | Against | Corporate governance and Independence concerns |
| Elect Director Timothy C. Gokey | For | Against | Corporate governance and Independence concerns |
| Cable One, Inc. USA 21-May-21 | | | |
| Elect Director Thomas S. Gayner | For | Against | Overboarding concerns |
| Cabot Oil & Gas Corporation USA 29-Apr-21 | | | |
| Elect Director Rhys J. Best | For | Withhold | Climate risk management concerns |
| Cadence Bancorporation USA 9-Aug-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| Cadence Design Systems, Inc. USA 6-May-21 | | | |
| Elect Director Mark W. Adams | For | Against | Overboarding concerns |
| Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | In shareholders best interest |
| Cadiz Inc. USA 17-Jun-21 | | | |
| Elect Director Stephen E. Courter | For | Withhold | Attendance concerns |
| CAE Inc. Canada 11-Aug-21 | | | |
| Advisory Vote on Executive Compensation Approach | For | Against | Remuneration concerns |
| Caesarstone Ltd. Israel 17-Nov-21 | | | |
| Reappoint Kost, Forer, Gabbay & Kasierer as Auditors, Authorize Board to Fix Their Remuneration And Report Fees Paid to Auditors | For | Against | Audit concerns |
| Reelect Ronald Kaplan as Director | For | Against | Corporate Governance concerns |
| Cairn Energy Plc United Kingdom 11-May-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Nicoletta Giadrossi as Director | For | Abstain | Independence concerns |
| Re-elect Peter Kallos as Director | For | Against | Remuneration concerns |
| CaixaBank SA Spain 13-May-21 | | | |
| Authorize Board to Issue Contingent Convertible Securities for up to EUR 3.5 Billion | For | Against | Excessive issuance |
| Calavo Growers, Inc. USA 21-Apr-21 | | | |
| Elect Director Harold S. Edwards | For | Withhold | Overboarding concerns |
| Elect Director J. Link Leavens | For | Withhold | Independence concerns |
| Elect Director John M. Hunt | For | Withhold | Independence concerns |
| Elect Director Marc L. Brown | For | Withhold | Independence concerns |
| Elect Director Scott Van Der Kar | For | Withhold | Independence concerns |
| Cambium Networks Corporation Cayman Islands 9-Jun-21 | | | |
| Elect Director Robert Amen | For | Withhold | Gender Diversity Concerns |
| Cameco Corporation Canada 6-May-21 | | | |
| Elect Director Jim Gowans | For | Withhold | Overboarding concerns |
| Campbell Soup Company USA 1-Dec-21 | | | |
| Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |
| Allow Shareholder Meetings to be Held in Virtual Format | Against | For | Supporting better corporate governance practices |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | Camtek Ltd. Israel 18-Aug-21 | | | |
| C | Elect Orit Stav as Director | For | Against | Overboarding concerns |
| D | Reelect I-Shih Tseng as Director | For | Against | Independence concerns |
| E | Reelect Yael Andorn as External Director | For | Against | Corporate governance concerns and Combined chair/CEO |
| F | Reelect Yosi Shacham-Diamand as External Director | For | Against | Corporate governance concerns and Combined chair/CEO |
| G | Canaccord Genuity Group Inc. Canada 5-Aug-21 | | | |
| H | Advisory Vote on Executive Compensation Approach | For | Against | Lack of disclosure |
| I | Canadian National Railway Company Canada 27-Apr-21 | | | |
| J | Management Advisory Vote on Climate Change | For | Against | Not in shareholders best interest |
| K | Cannae Holdings, Inc. USA 23-Jun-21 | | | |
| L | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| M | Canon Marketing Japan Inc. Japan 26-Mar-21 | | | |
| N | Elect Director Adachi, Masachika | For | Abstain | Independence and gender diversity concerns |
| O | Canon, Inc. Japan 30-Mar-21 | | | |
| P | Appoint Statutory Auditor Yoshida, Hiroshi | For | Against | Independence concerns |
| Q | Elect Director Mitarai, Fujio | For | Against | Gender diversity and Independence concerns |
| R | Elect Director Mitarai, Fujio | For | Against | Independence and gender diversity concerns |
| S | Elect Director Saido, Kunitaro | For | Against | Independence concerns |
| T | Cantel Medical Corp. USA 29-Apr-21 | | | |
| U | Advisory Vote on Golden Parachutes | For | Against | Dilutive impact |
| V | Capcom Co., Ltd. Japan 22-Jun-21 | | | |
| W | Elect Director Tsujimoto, Kenzo | For | Abstain | Independence and gender diversity concerns |
| X | Capita Plc United Kingdom 11-May-21 | | | |
| Y | Approve Remuneration Policy | For | Against | Remuneration concerns |
| Z | Re-elect Georgina Harvey as Director | For | Against | Remuneration concerns |
| | Capital & Counties Properties Plc United Kingdom 11-May-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Henry Staunton as Director | For | Abstain | Gender diversity concerns |
| | Re-elect Jonathan Lane as Director | For | Against | Remuneration concerns |
| | Capital City Bank Group, Inc. USA 27-Apr-21 | | | |
| | Elect Director Stanley W. Connally, Jr. | For | Withhold | Independence concerns |
| | Capital One Financial Corporation USA 6-May-21 | | | |
| | Elect Director Ann Fritz Hackett | For | Abstain | Combined CEO/Chair |
| | Capitaland Integrated Commercial Trust Singapore 14-Apr-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| | CapitaLand Ltd. Singapore 27-Apr-21 | | | |
| | Elect Stephen Lee ChingYen as Director | For | Abstain | Gender diversity concerns |
| | Capitec Bank Holdings Ltd. South Africa 28-May-21 | | | |
| | Approve Implementation Report of Remuneration Policy | For | Against | Remuneration concerns |
| | Re-elect Petrus Mouton as Director | For | Abstain | Gender diversity concerns |
| | Capitol Federal Financial, Inc. USA 26-Jan-21 | | | |
| | Elect Director Morris J. Huey, II | For | Against | Independence concerns |
| | Capstone Mining Corp. Canada 28-Apr-21 | | | |
| | Approve Treasury Share Unit Plan | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
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| Cardinal Health, Inc. USA 5-Nov-21 | | | |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| Cardiovascular Systems, Inc. USA 11-Nov-21 | | | |
| Elect Director Augustine Lawlor | For | Against | Overboarding concerns |
| CareDx, Inc. USA 15-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Ralph Snyderman | For | Withhold | Corporate governance concerns |
| Carel Industries SpA Italy 20-Apr-21 | | | |
| Approve Performance Share Plan | For | Against | Remuneration concerns |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |
| CareTrust REIT, Inc. USA 30-Apr-21 | | | |
| Elect Director Allen C. Barbieri | For | Against | Corporate governance concerns |
| Cargotec Oyj Finland 23-Mar-21 | | | |
| Approve Remuneration Report (Advisory Vote) | For | Against | Remuneration concerns |
| Reelect Tapio Hakakari, Ilkka Herlin, Teresa Kemppi-Vasama, Johanna Lamminen, Kaisa Oikkonen, Teuvo Salminen and Heikki Soljama as Directors; Elect Jaakko Eskola and Casimir Lindholm as New Directors | For | Abstain | Bundled election and Independence concerns |
| Carl Zeiss Meditec AG Germany 27-May-21 | | | |
| Elect Christian Mueller to the Supervisory Board | For | Against | Independence concerns |
| Carlsberg A/S Denmark 15-Mar-21 | | | |
| Reelect Flemming Besenbacher as Director | For | Abstain | Gender Diversity concerns |
| CarMax, Inc. USA 29-Jun-21 | | | |
| Report on Political Contributions and Expenditures | Against | For | Supporting better corporate governance practices |
| Carrefour SA France 21-May-21 | | | |
| Approve Compensation of Alexandre Bompard, Chairman and CEO | For | Against | Remuneration concerns |
| Approve Remuneration Policy of Chairman and CEO | For | Against | Remuneration concerns |
| Authorize up to 0.8 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| Reelect Nicolas Bazire as Director | For | Against | Independence concerns |
| Reelect Philippe Houze as Director | For | Against | Combined CEO/Chair |
| Carriage Services, Inc. USA 18-May-21 | | | |
| Elect Director James R. Schenck | For | Withhold | Corporate governance and Gender diversity concerns |
| Carrols Restaurant Group, Inc. USA 18-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Cars.com Inc. USA 9-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Carsales.Com Limited Australia 29-Oct-21 | | | |
| Elect Edwina Gilbert as Director | For | Against | Audit concerns |
| Elect Kee Wong as Director | For | Abstain | Gender diversity concerns |
| Carter Bankshares, Inc. USA 23-Jun-21 | | | |
| Elect Director James W. Haskins | For | Withhold | Corporate governance concerns |
| Carvana Co. USA 3-May-21 | | | |
| Elect Director Ira Platt | For | Withhold | Gender diversity concerns |
| CASI Pharmaceuticals, Inc. USA 15-Jun-21 | | | |
| Approve Grant of Options to Wei-Wu He | For | Against | Remuneration concerns |
| Casino, Guichard-Perrachon SA France 12-May-21 | | | |
| Approve Remuneration Policy of Chairman and CEO | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | Casio Computer Co., Ltd. Japan 29-Jun-21 | | | |
| C | Elect Director Kashio, Kazuhiro | For | Abstain | Independence concerns |
| D | Cassava Sciences, Inc. USA 6-May-21 | | | |
| E | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent and Remuneration concerns |
| F | Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| G | Elect Director Sanford R. Robertson | For | Withhold | Corporate governance and Gender diversity concerns |
| H | Catalent, Inc. USA 28-Oct-21 | | | |
| I | Elect Director J. Martin Carroll | For | Abstain | Gender diversity concerns |
| J | Catalyst Pharmaceuticals, Inc. USA 3-Dec-21 | | | |
| K | Other Business | For | Against | Technical vote |
| L | Caterpillar Inc. USA 9-Jun-21 | | | |
| M | Elect Director David L. Calhoun | For | Abstain | Combined CEO/Chair |
| N | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| O | Report on Climate Policy | Against | For | Supporting better ESG risk management disclosures |
| P | Report on Diversity and Inclusion Efforts | Against | For | Supporting better ESG risk management disclosures |
| Q | CBIZ, Inc. USA 13-May-21 | | | |
| R | Elect Director A. Haag Sherman | For | Against | Overboarding concerns |
| S | CBRE Group, Inc. USA 19-May-21 | | | |
| T | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| U | CBTX, Inc. USA 19-May-21 | | | |
| V | Elect Director John E. Williams, Jr. | For | Withhold | Corporate Government concerns |
| W | CECO Environmental Corp. USA 25-May-21 | | | |
| X | Elect Director David B. Liner | For | Withhold | Corporate Governance concerns |
| Y | Elect Director Jason DeZwirek | For | Withhold | Corporate Governance concerns |
| Z | Elect Director Jonathan Pollack | For | Withhold | Corporate Governance and Independence concerns |
| | Cedar Realty Trust, Inc. USA 3-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| | Collectis SA France 1-Jun-21 | | | |
| | Approve Auditors' Special Report on Related-Party Transactions | For | Against | Insufficient rationale from the company |
| | Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 681,822 | For | Against | Excessive issuance |
| | Approve Issuance of Equity or Equity-Linked Securities Reserved for Specific Beneficiaries in the Framework of an Equity Line up to Aggregate Nominal Amount of EUR 681,822 | For | Against | Excessive issuance |
| | Approve Issuance of Equity or Equity-Linked Securities Reserved for Specific Beneficiaries up to Aggregate Nominal Amount of EUR 681,822 | For | Against | Excessive issuance |
| | Approve Issuance of Equity or Equity-Linked Securities Reserved for Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 681,822 | For | Against | Excessive issuance |
| | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | For | Against | Anti-takeover device |
| | Authorize Capitalization of Reserves of Up to EUR 2 Million for Bonus Issue or Increase in Par Value | For | Against | Anti-takeover device |
| | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 681,822 | For | Against | Excessive issuance |
| | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | Against | Anti-takeover device |
| | Reelect Alain-Paul Godard as Director | For | Abstain | Gender diversity concerns |
| | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 11-18 at EUR 681,822 | For | Against | Excessive issuance |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Cellnex Telecom SA Spain 26-Mar-21 | | | |
| Advisory Vote on Remuneration Report | For | Against | Remuneration concerns |
| Cellular Biomedicine Group, Inc. USA 8-Feb-21 | | | |
| Adjourn Meeting | For | Abstain | Technical Vote |
| Advisory Vote on Golden Parachutes | For | Abstain | Sufficient rationale from the company |
| Approve Merger Agreement | For | Abstain | Sufficient rationale from the company |
| CEL-SCI Corporation USA 1-Jul-21 | | | |
| Elect Director Peter R. Young | For | Withhold | Gender diversity, Corporate governance and Audit concerns |
| CEMEX SAB de CV Mexico 25-Mar-21 | | | |
| Elect Directors, Chairman and Secretary of Board, Members and Chairmen of Audit, Corporate Practices and Finance, and Sustainability Committees | For | Against | Bundled election, Overboarding and Corporate governance concerns |
| Cenovus Energy Inc. Canada 12-May-21 | | | |
| Elect Director Canning K.N. Fok | For | Withhold | Overboarding concerns |
| Elect Director Frank J. Sixt | For | Withhold | Independence and Overboarding concerns |
| Re-approve Shareholder Rights Plan | For | Against | Anti-takeover device |
| Centene Corporation USA 27-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director David L. Steward | For | Abstain | Gender diversity concerns |
| Centennial Resource Development, Inc. USA 28-Apr-21 | | | |
| Elect Director Karl E. Bandtel | For | Against | Lack of disclosure |
| CenterPoint Energy, Inc. USA 23-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Milton Carroll | For | Abstain | Climate risk management concerns |
| Centerspace USA 18-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Centrais Elétricas Brasileiras SA Brazil 28-Jan-21 | | | |
| Amend Articles | For | Against | Lack of disclosure |
| Centrais Elétricas Brasileiras SA Brazil 27-Apr-21 | | | |
| Elect Ana Carolina Tannuri Laferte Marinho as Director | For | Against | Independence concerns |
| Elect Bruno Eustaquio Ferreira Castro de Carvalho as Director | For | Against | Independence concerns |
| Elect Domingos Romeu Andreatta as Fiscal Council Member and Ingrid Palma Araujo as Alternate | For | Abstain | Corporate governance concerns |
| Elect Lucia Maria Martins Casasanta as Director | For | Against | Independence concerns |
| Elect Marcelo de Siqueira Freitas as Director | For | Against | Independence concerns |
| Elect Rafael Rezende Brigolini as Fiscal Council Member and Rafael Souza Pena as Alternate | For | Abstain | Corporate governance concerns |
| Elect Rodrigo Limp Nascimento as Director | For | Against | Independence concerns |
| Elect Thais Marcia Fernandes Matano Lacerda as Fiscal Council Member and Ricardo Takemitsu Simabuku as Alternate | For | Abstain | Corporate governance concerns |
| Elect Wilson Ferreira Junior as Director | For | Against | Independence concerns |
| Central Garden & Pet Company USA 9-Feb-21 | | | |
| Elect Director Mary Beth Springer | For | Withhold | Corporate governance concerns |
| Central Glass Co., Ltd. Japan 29-Jun-21 | | | |
| Elect Director Shimizu, Tadashi | For | Abstain | Independence concerns |
| Central Valley Community Bancorp USA 19-May-21 | | | |
| Elect Director Gary D. Gall | For | Withhold | Independence concerns |
| Elect Director Robert J. Flautt | For | Withhold | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|---|
| B | Centre Testing International Group Co., Ltd. China 27-Jan-21 | | | |
| C | Amend Management System for External Guarantees | For | Abstain | Lack of disclosure |
| D | Amend Management System of Raised Funds | For | Abstain | Lack of disclosure |
| E | Amend Related Party Transaction Decision-making System | For | Abstain | Lack of disclosure |
| F | Amend Rules and Procedures Regarding Investment Decision-making | For | Abstain | Lack of disclosure |
| G | Amend Special System for Selecting and Hiring Accounting Firms | For | Abstain | Lack of disclosure |
| H | Amend Working System for Independent Directors | For | Abstain | Lack of disclosure |
| I | Approve Changes in Accounting Policies | For | Abstain | Lack of disclosure |
| J | Century Casinos, Inc. USA 9-Jun-21 | | | |
| K | Elect Director Gottfried Schellmann | For | Against | Corporate governance and Independence concerns |
| L | Ceres Power Holdings plc United Kingdom 17-Jun-21 | | | |
| M | Re-elect Stephen Callaghan as Director | For | Against | Gender diversity concerns |
| N | Ceridian HCM Holding Inc. USA 27-Apr-21 | | | |
| O | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| P | Cerner Corporation USA 19-May-21 | | | |
| Q | Eliminate Supermajority Vote Requirement | Against | For | Supporting better corporate governance practices |
| R | CEVA, Inc. USA 27-May-21 | | | |
| S | Elect Director Jaclyn Liu | For | Withhold | Corporate governance and independence concerns |
| T | CF Industries Holdings, Inc. USA 4-May-21 | | | |
| U | Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| V | CGI Inc. Canada 27-Jan-21 | | | |
| W | Elect Director Alain Bouchard | For | Withhold | Attendance concerns |
| X | Chalice Mining Ltd. Australia 24-Nov-21 | | | |
| Y | Approve Issuance of Options to Stephen McIntosh | For | Against | Remuneration concerns |
| Z | Champions Oncology, Inc. USA 20-Oct-21 | | | |
| | Elect Director Daniel N. Mendelson | For | Withhold | Corporate governance and Gender diversity concerns |
| | Elect Director David Sidransky | For | Withhold | Corporate governance, Independence concerns and Overboarding concerns |
| | Elect Director Joel Ackerman | For | Withhold | Independence concerns |
| | Elect Director Philip Breitfeld | For | Withhold | Independence concerns |
| | Charles River Laboratories International, Inc. USA 6-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Charter Communications, Inc. USA 27-Apr-21 | | | |
| | Adopt Policy to Annually Disclose EEO-1 Data | Against | For | Supporting better corporate governance practices |
| | Elect Director Balan Nair | For | Against | Overboarding concerns |
| | Elect Director Gregory B. Maffei | For | Against | Overboarding concerns |
| | Elect Director John D. Markley, Jr. | For | Abstain | Combined CEO/Chair and Gender diversity concerns |
| | Publish Annually a Report Assessing Diversity, Equity, and Inclusion Efforts | Against | For | Supporting better corporate governance practices |
| | Report on Greenhouse Gas Emissions Disclosure | Against | For | Supporting better sustainability and climate practices |
| | Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|---|
| Chase Corporation USA 2-Feb-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Concerning pay practices |
| Check Point Software Technologies Ltd. Israel 10-Aug-21 | | | |
| Reelect Shai Weiss as Director | For | Against | Corporate governance concerns |
| Reelect Yoav Chelouche as External Director | For | Against | Overboarding concerns |
| Checkpoint Therapeutics, Inc. USA 9-Jun-21 | | | |
| Elect Director Barry Salzman | For | Withhold | Corporate governance concerns |
| Elect Director Lindsay A. Rosenwald | For | Withhold | Overboarding concerns |
| Elect Director Michael S. Weiss | For | Withhold | Gender diversity concerns |
| Chemed Corporation USA 17-May-21 | | | |
| Elect Director Patrick P. Grace | For | Abstain | Gender diversity concerns |
| Report on Political Contributions | Against | For | Supporting better corporate governance practices |
| ChemoCentryx, Inc. USA 20-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Chemomab Therapeutics Ltd. Israel 19-Jul-21 | | | |
| Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| Cheniere Energy, Inc. USA 13-May-21 | | | |
| Elect Director G. Andrea Botta | For | Abstain | Gender diversity and Climate risk concerns |
| Chevron Corporation USA 26-May-21 | | | |
| Elect Director Wanda M. Austin | For | Abstain | Combined CEO/Chair |
| Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| Reduce Scope 3 Emissions | Against | For | Supporting better ESG risk management disclosures |
| Report on Impacts of Net Zero 2050 Scenario | Against | For | Supporting better ESG risk management disclosures |
| Report on Lobbying Payments and Policy | Against | For | Supporting better ESG risk management disclosures |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| Chico's FAS, Inc. USA 24-Jun-21 | | | |
| Elect Director Kim Roy | For | Against | Independence concerns |
| Chimera Investment Corporation USA 10-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|---|
| B | China Eastern Airlines Corporation Limited China 29-Mar-21 | | | |
| C | Approve Arrangement of Accumulated Undistributed Profits Before the Non-Public Issuance of A Shares | For | Against | Dilutive impact |
| D | Approve Compliance with the Conditions of the Non-Public Issuance of A Shares | For | Against | Dilutive impact |
| E | Approve Conditional Share Subscription Agreement of the Non-Public Issuance Entered into with a Specific Subscriber | For | Against | Dilutive impact |
| F | Approve Connected Transactions Involved in the Non-Public Issuance of A Shares | For | Against | Dilutive impact |
| G | Approve Dilution of Current Returns by the Non-Public Issuance of A Shares and Remedial Measures and the Undertakings thereof by the Controlling Shareholder, the Director and the Senior Management | For | Against | Dilutive impact |
| H | | | | |
| I | Approve Lock-Up Period | For | Against | Dilutive impact |
| J | Approve Method and Time of Issuance | For | Against | Dilutive impact |
| K | Approve Number of Shares to be Issued | For | Against | Dilutive impact |
| L | Approve Place of Listing | For | Against | Dilutive impact |
| M | Approve Pricing Benchmark Date, Pricing Principles and Issue Price | For | Against | Dilutive impact |
| N | Approve Proposal for the Non-Public Issuance of A Shares | For | Against | Dilutive impact |
| O | Approve Subscriber and Method of Subscription | For | Against | Dilutive impact |
| P | Approve Type and Par Value of Shares to be Issued | For | Against | Dilutive impact |
| Q | Approve Validity Period of the Resolutions of Non-Public Issuance of A Shares | For | Against | Dilutive impact |
| R | Authorize Board to Deal with All Matters in Relation to the Non-Public Issuance of A Shares | For | Against | Dilutive impact |
| S | Elect Guo Lijun as Supervisor | For | Against | Independence Concerns |
| T | China Eastern Airlines Corporation Limited China 23-Jun-21 | | | |
| U | Approve Grant of General Mandate to Issue Bonds | For | Against | Lack of disclosure |
| V | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for A Shares and H Shares | For | Against | Dilutive impact |
| W | China Feihe Ltd. Cayman Islands 18-May-21 | | | |
| X | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Y | Authorize Reissuance of Repurchased Shares | For | Against | Strategic concerns |
| Z | Elect Fan Yonghong as Director | For | Against | Audit concerns |
| | China Finance Online Co., Ltd. Hong Kong 30-Jun-21 | | | |
| | Approve Authorization of the Board to Issue Additional Ordinary Shares | For | Against | Lack of disclosure |
| | Elect Yaowei Zhang as Director | For | Against | Corporate governance concerns |
| | China Life Insurance Company Limited China 30-Jun-21 | | | |
| | Elect Jia Yuzeng as Supervisor | For | Against | Independence concerns |
| | Elect Niu Kailong as Supervisor | For | Against | Independence concerns |
| | Elect Yuan Changqing as Director | For | Against | Attendance concerns |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares | For | Against | Excessive issuance and Lack of disclosure |
| | China Mengniu Dairy Company Limited Cayman Islands 2-Jun-21 | | | |
| | Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Audit concerns |
| | Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| | Elect Niu Gensheng as Director and Authorize Board to Fix His Remuneration | For | Against | Attendance concerns |
| | Elect Simon Dominic Stevens as Director and Authorize Board to Fix His Remuneration | For | Against | Gender diversity concerns |
| | China Merchants Bank Co., Ltd. China 25-Jun-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| China National Building Material Company Limited China 19-Nov-21 | | | |
| Elect Fan Xiaoyan as Director and Authorize Board to Fix Her Remuneration | For | Against | Bundled election |
| Elect Fu Jinguang as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election |
| Elect Hu Juan as Supervisor and Authorize Board to Fix Her Remuneration | For | Against | Independence concerns |
| Elect Li Jun as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election |
| Elect Li Xinhua as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election |
| Elect Liu Jianwen as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election |
| Elect Peng Shou as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election |
| Elect Shen Yungang as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election |
| Elect Sun Yanjun as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election and Gender diversity concerns |
| Elect Wang Bing as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election |
| Elect Wang Yumeng as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election |
| Elect Xia Xue as Director and Authorize Board to Fix Her Remuneration | For | Against | Bundled election |
| Elect Xiao Jiaxiang as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election |
| Elect Zhou Fangsheng as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election |
| Elect Zhou Yuxian as Director and Authorize Board to Fix His Remuneration | For | Against | Bundled election and Climate risk management concerns |
| China Petroleum & Chemical Corp. China 25-May-21 | | | |
| Approve Grant to the Board a General Mandate to Issue New Domestic Shares and/or Overseas-Listed Foreign Shares of Sinopec Corp. | For | Against | Dilutive impact |
| Authorize Board to Determine the Proposed Plan for Issuance of Debt Financing Instruments | For | Against | Lack of disclosure |
| Elect Bi Mingjian as Director | For | Against | Independence concerns |
| Elect Jiang Zhenying as Supervisor | For | Against | Independence concerns |
| Elect Ma Yongsheng as Director | For | Against | Independence concerns |
| Elect Yin Zhaolin as Supervisor | For | Against | Independence concerns |
| Elect Zhang Yuzhuo as Director | For | Against | Independence concerns |
| China Resources Beer (Holdings) Company Limited Hong Kong 18-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Strategic concerns |
| Authorize Reissuance of Repurchased Shares | For | Against | Strategic concerns |
| Elect Houang Tai Ninh as Director | For | Against | Gender diversity concerns |
| China Resources Cement Holdings Ltd. Cayman Islands 14-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance and Lack of disclosure |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Remuneration concerns and Lack of disclosure |
| Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| Elect Jing Shiqing as Director | For | Against | Gender diversity concerns |
| Elect Li Fuli as Director | For | Against | Climate risk management, Corporate governance and Independence concerns |
| Elect Wan Suet Fei as Director | For | Against | Independence concerns |
| Elect Wang Yan as Director | For | Against | Attendance and Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|---|
| B | China Resources Land Limited Cayman Islands 9-Jun-21 | | | |
| C | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| D | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| E | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| F | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| G | Elect Chen Rong as Director | For | Against | Audit Concerns |
| H | Elect Wan Kam To, Peter as Director | For | Against | Overboarding concerns |
| I | Elect Wang Yan as Director | For | Against | Audit and Attendance concerns |
| J | Elect Yan Y. Andrew as Director | For | Against | Gender diversity concerns |
| K | China Resources Mixc Lifestyle Services Limited Cayman Islands 8-Jun-21 | | | |
| L | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| M | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| N | China Tourism Group Duty Free Corp. Ltd. China 18-May-21 | | | |
| O | Approve Report of the Board of Supervisors | For | Against | Lack of disclosure |
| P | China Tourism Group Duty Free Corp. Ltd. China 31-May-21 | | | |
| Q | Amend Rules and Procedure of the Audit Committee of Board of Directors | For | Against | Lack of disclosure |
| R | Amend Rules and Procedure of the Nomination Committee of Board of Directors | For | Against | Lack of disclosure |
| S | Amend Rules and Procedure of the Remuneration and Appraisal Committee of Board of Directors | For | Against | Lack of disclosure |
| T | Amend Rules and Procedure of the Strategy Committee of Board of Directors | For | Against | Lack of disclosure |
| U | Elect Ge Ming as Independent Director | For | Against | Overboarding concerns |
| V | China Tower Corporation Limited China 12-May-21 | | | |
| W | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Domestic Shares and H Shares and Authorize Board to Increase the Registered Capital and Amend Articles of Association to Reflect Increase in the Registered Capital | For | Against | Excessive issuance and Lack of disclosure |
| X | China Yuchai International Limited Bermuda 23-Jul-21 | | | |
| Y | Elect Neo Poh Kiat as Director | For | Withhold | Corporate governance concerns |
| Z | Chinook Therapeutics, Inc. USA 4-Jun-21 | | | |
| | Elect Director Srinivas Akkaraju | For | Withhold | Lack of virtual-only meeting disclosure |
| | Chipbond Technology Corp. Taiwan 16-Jun-21 | | | |
| | Approve Capital Increase in Cash for Issuance of Shares via a Private Placement, Preferred A Shares and Preferred B Shares | For | Against | Excessive issuance |
| | Chipotle Mexican Grill, Inc. USA 18-May-21 | | | |
| | Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| | Chocoladefabriken Lindt & Spruengli AG Switzerland 4-May-21 | | | |
| | Approve Remuneration Report (Non-Binding) | For | Against | Remuneration concerns |
| | Reappoint Rudolf Spruengli as Member of the Compensation Committee | For | Against | Independence concerns |
| | Reelect Rudolf Spruengli as Director | For | Against | Independence concerns and Gender diversity concerns |
| | Transact Other Business (Voting) | For | Against | Technical vote |
| | Cholamandalam Investment and Finance Company Limited India 30-Jul-21 | | | |
| | Approve Issuance of Non-Convertible Debentures on Private Placement Basis | For | Against | Excessive issuance |
| | Elect M.A.M. Arunachalam as Director | For | Against | Independence concerns |
| | CHOSUN REFRACTORIES Co., Ltd. South Korea 19-Mar-21 | | | |
| | Elect Jang Soon-nam as a Member of Audit Committee | For | Against | Independence concerns |
| | Elect Two Inside Directors, One Non-Independent Non-Executive Director, and Two Outside Directors (Bundled) | For | Against | Bundled Election, Independence Audit concerns and Combined CEO/Chairman |
| | Elect Two Members of Audit Committee (Bundled) | For | Abstain | Bundled election |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Chow Tai Fook Jewellery Group Ltd. Cayman Islands 28-Jul-21 | | | |
| Adopt New Share Option Scheme and Terminate Existing Share Option Scheme | For | Against | Strategic concerns |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Christian Dior SE France 15-Apr-21 | | | |
| Approve Remuneration Policy of Chairman of the Board | For | Against | Remuneration concerns |
| Reelect Delphine Arnault as Director | For | Against | Independence concerns |
| Renew Appointment of Jaime de Marichalar Y Saenz de Tejada as Censor | For | Abstain | Lack of disclosure |
| ChromaDex Corporation USA 17-Jun-21 | | | |
| Elect Director Kurt A. Gustafson | For | Withhold | Audit concerns |
| Elect Director Steven D. Rubin | For | Withhold | Overboarding concerns |
| Chubb Limited Switzerland 20-May-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Chubb Limited Switzerland 3-Nov-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Chubu Electric Power Co., Inc. Japan 25-Jun-21 | | | |
| Elect Director Katsuno, Satoru | For | Abstain | Independence concerns |
| Elect Director Kurihara, Mitsue | For | Against | Independence concerns |
| Elect Director Shimao, Tadashi | For | Against | Independence concerns |
| Churchill Downs Incorporated USA 20-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| CI Financial Corp. Canada 16-Jun-21 | | | |
| Advisory Vote on Executive Compensation Approach | For | Against | Poor response to prior dissent and Remuneration concerns |
| Elect Director Sheila A. Murray | For | Withhold | Independence concerns |
| Cidara Therapeutics, Inc. USA 23-Jun-21 | | | |
| Elect Director Chrysa Mineo | For | Withhold | Corporate Governance concerns |
| Elect Director Timothy R. Franson | For | Withhold | Corporate Governance concerns |
| Cielo SA Brazil 23-Apr-21 | | | |
| Elect Fiscal Council Members | For | Abstain | Corporate governance concerns |
| Elect Gustavo de Souza Fosse as Director | For | Against | Independence concerns |
| Cigna Corporation USA 28-Apr-21 | | | |
| Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| Cimpress NV Ireland 30-Nov-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Approve the Price Range for the Reissuance of Treasury Shares | For | Against | Excessive issuance |
| Elect Director Zachary S. Sternberg | For | Against | Corporate Governance concerns |
| Cinemark Holdings, Inc. USA 20-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Cineworld Group Plc United Kingdom 25-Jan-21 | | | |
| Approve Long Term Incentive Plan | For | Against | Poor response to engagement |
| Approve Remuneration Policy | For | Against | Poor response to engagement |
| Cineworld Group Plc United Kingdom 12-May-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Authorise the Company to Call General Meeting with Two Weeks' Notice | For | Against | Remuneration concerns |
| Re-elect Dean Moore as Director | For | Against | Remuneration concerns |
| Cintas Corporation USA 26-Oct-21 | | | |
| Eliminate Supermajority Vote Requirement | Against | For | Supporting better corporate governance practices |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Cipla Limited India 25-Aug-21 | | | |
| C | Approve Walker Chandiok & Co LLP, Chartered Accountants, New Delhi as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| D | Cisco Systems, Inc. USA 13-Dec-21 | | | |
| E | Elect Director Michael D. Capellas | For | Abstain | Combined CEO/Chair |
| F | CIT Group Inc. USA 9-Feb-21 | | | |
| G | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| H | Citigroup Inc. USA 27-Apr-21 | | | |
| I | Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| J | Report on Racial Equity Audit | Against | For | Supporting better corporate governance practices |
| K | Citizens & Northern Corporation USA 22-Apr-21 | | | |
| L | Elect Director Terry L. Lehman | For | Withhold | Audit concerns |
| M | City Developments Limited Singapore 30-Apr-21 | | | |
| N | Elect Lee Jee Cheng Philip as Director | For | Against | Independence concerns |
| O | Elect Philip Yeo Liat Kok as Director | For | Abstain | Gender diversity concerns |
| P | Civista Bancshares, Inc. USA 20-Apr-21 | | | |
| Q | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| R | Elect Director Mary Patricia Oliver | For | Withhold | Independence concerns |
| S | CJ Logistics Corp. South Korea 29-Mar-21 | | | |
| T | Approve Financial Statements and Allocation of Income | For | Against | Audit concerns |
| U | Elect Jeong Gap-young as a Member of Audit Committee | For | Against | Audit concerns |
| V | Elect Jeong Gap-young as Outside Director | For | Against | Combined CEO/Chair and Gender diversity concerns |
| W | CK Asset Holdings Limited Cayman Islands 13-May-21 | | | |
| X | Approve Deloitte Touche Tohmatsu as Auditor and Authorize Board to Fix Their Remuneration | For | Against | Audit concerns |
| Y | Elect Chow Nin Mow, Albert as Director | For | Against | Audit concerns |
| Z | Elect Stephen Edward Bradley as Director | For | Against | Corporate governance and Gender diversity concerns |
| | CK Hutchison Holdings Limited Cayman Islands 13-May-21 | | | |
| | Elect Wong Yick-ming, Rosanna as Director | For | Against | Combined CEO/Chair and Corporate governance, Gender diversity concerns |
| | CK Infrastructure Holdings Limited Bermuda 12-May-21 | | | |
| | Approve Deloitte Touche Tohmatsu as Auditor and Authorize Board to Fix Their Remuneration | For | Against | Audit concerns |
| | Elect Barrie Cook as Director | For | Against | Gender diversity concerns |
| | Elect Cheong Ying Chew, Henry as Director | For | Against | Audit, Overboarding and Climate risk management concerns |
| | Elect Fok Kin Ning, Canning as Director | For | Against | Overboarding concerns |
| | Cial Insurance Enterprises Holdings Ltd. Israel 27-Dec-21 | | | |
| | Elect Shmuel Schwartz as External Director | For | Abstain | Technical vote |
| | Reelect Ronny Maliniak as Director | For | Against | Independence concerns |
| | Reelect Varda Alshech as Director | For | Against | Independence concerns |
| | Clariant AG Switzerland 7-Apr-21 | | | |
| | Additional Voting Instructions – Board of Directors Proposals (Voting) | For | Against | Technical vote |
| | Reelect Abdullah Alissa as Director | For | Against | Overboarding concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Clarus Corporation USA 2-Jun-21 | | | |
| Elect Director Donald L. House | For | Withhold | Remuneration concerns |
| Elect Director Michael A. Henning | For | Withhold | Remuneration concerns |
| Elect Director Nicholas Sokolow | For | Withhold | Corporate governance and-Remuneration concerns |
| Elect Director Warren B. Kanders | For | Withhold | Remuneration concerns |
| CleanSpark, Inc. USA 15-Sep-21 | | | |
| Advisory Vote on Say on Pay Frequency | Two Years | One Year | Technical vote |
| Amend Bylaws | For | Against | Not in shareholders best interest |
| Amend Omnibus Stock Plan | For | Against | Not in shareholders best interest |
| Elect Director Larry McNeill | For | Withhold | Corporate governance and gender diversity concerns |
| Elect Director S. Matthew Schultz | For | Withhold | Independence concerns |
| Cleveland-Cliffs Inc. USA 28-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Clipper Realty Inc. USA 17-Jun-21 | | | |
| Elect Director Howard M. Lorber | For | Withhold | Audit and Overboarding concerns |
| Elect Director Robert J. Ivanhoe | For | Withhold | Independence and Gender Diversity concerns |
| Close Brothers Group Plc United Kingdom 18-Nov-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Bridget Macaskill as Director | For | Against | Remuneration concerns |
| CLP Holdings Ltd. Hong Kong 14-May-21 | | | |
| Elect Law Fan Chiu Fun Fanny as Director | For | Against | Overboarding concerns |
| CM Life Sciences, Inc. USA 21-Jul-21 | | | |
| Adopt Supermajority Vote Requirement for Amendments | For | Against | Not in shareholders best interest |
| Elect Eli Casdin, Michael Pellini, Joshua Ruch, Dennis Charney, Eric Schadt, Rachel Sherman, Nat Turner, Emily Leproust and Jason Ryan as Directors | For | Abstain | Bundled election |
| Ratify WithumSmith+Brown, PC as Auditors | For | Abstain | Lack of disclosure |
| CME Group Inc. USA 5-May-21 | | | |
| Elect Director Daniel R. Glickman | For | Abstain | Combined CEO/Chair |
| Elect Director Phyllis M. Lockett | For | Against | Independence concerns |
| CNA Financial Corporation USA 28-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Jose O. Montemayor | For | Withhold | Gender diversity concerns |
| CNH Industrial NV Netherlands 15-Apr-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Reelect Alessandro Nasi as Non-Executive Director | For | Abstain | Gender diversity concerns |
| CNP Assurances SA France 16-Apr-21 | | | |
| Ratify Appointment of La Banque Postale as Director | For | Against | Independence concerns |
| Reelect La Banque Postale as Director | For | Against | Independence concerns |
| Coats Group Plc United Kingdom 19-May-21 | | | |
| Re-elect David Gosnell as Director | For | Abstain | Independence concerns |
| Coca-Cola Consolidated, Inc. USA 11-May-21 | | | |
| Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Coca-Cola Europacific Partners plc United Kingdom 26-May-21 | | | |
| C | Approve Remuneration Report | For | Against | Remuneration concerns |
| D | Approve Waiver of Rule 9 of the Takeover Code | For | Against | Anti-takeover device and Strategic concerns |
| E | Elect Christine Cross as Director | For | Against | Remuneration concerns |
| F | Re-elect Dagmar Kollmann as Director | For | Against | Overboarding concerns |
| G | Coca-Cola Europacific Partners plc United Kingdom 26-May-21 | | | |
| H | Re-elect Garry Watts as Director | For | Abstain | Audit concerns |
| I | Re-elect Thomas Johnson as Director | For | Abstain | Gender Diversity concerns |
| J | Coca-Cola FEMSA SAB de CV Mexico 19-Mar-21 | | | |
| K | Approve Allocation of Income and Cash Dividends | For | Abstain | Lack of disclosure |
| L | Approve Financial Statements and Statutory Reports | For | Abstain | Lack of disclosure |
| M | Elect Directors and Secretaries; Verify Director's Independence Classification; Approve Their Remuneration | For | Abstain | Lack of disclosure |
| N | Elect Members of Planning and Financing Committee, Audit Committee and Corporate Practices Committee; Elect Chairman of Committees and Fix their Remuneration | For | Abstain | Lack of disclosure |
| O | Set Maximum Amount of Share Repurchase Reserve | For | Abstain | Lack of disclosure |
| P | Coca-Cola FEMSA SAB de CV Mexico 12-Jul-21 | | | |
| Q | Amend Article 2 Re: Corporate Purpose | For | Abstain | Lack of disclosure |
| R | Amend Article 29 Re: Board Meetings | For | Abstain | Lack of disclosure |
| S | Coca-Cola HBC AG Switzerland 22-Jun-21 | | | |
| T | Approve Swiss Remuneration Report | For | Against | Remuneration concerns |
| U | Approve UK Remuneration Report | For | Against | Remuneration concerns |
| V | Re-elect Charlotte Boyle as Director and as Member of the Remuneration Committee | For | Against | Remuneration concerns |
| W | Re-elect Reto Francioni as Director and as Member of the Remuneration Committee | For | Abstain | Gender diversity concerns |
| X | Cochlear Limited Australia 19-Oct-21 | | | |
| Y | Elect Glen Boreham as Director | For | Abstain | Audit concerns |
| Z | Co-Diagnostics, Inc. USA 4-Aug-21 | | | |
| | Elect Director James B. Nelson | For | Withhold | Corporate governance and Gender diversity concerns |
| | Coface SA France 12-May-21 | | | |
| | Approve Compensation of CEO | For | Against | Poor response to prior dissent and Remuneration concerns |
| | Cognex Corporation USA 5-May-21 | | | |
| | Elect Director Sachin Lawande | For | Against | Gender diversity concerns |
| | Cognizant Technology Solutions Corporation USA 1-Jun-21 | | | |
| | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| | Cohen & Steers, Inc. USA 6-May-21 | | | |
| | Elect Director Richard P. Simon | For | Against | Corporate governance concerns |
| | Coherus BioSciences, Inc. USA 21-May-21 | | | |
| | Elect Director V. Bryan Lawlis | For | Withhold | Corporate governance concerns |
| | Coles Group Limited Australia 10-Nov-21 | | | |
| | Elect Richard Freudenstein as Director | For | Against | Independence concerns and Professional services relationship |
| | Colfax Corporation USA 12-May-21 | | | |
| | Elect Director Patrick W. Allender | For | Abstain | Gender diversity concerns |
| | Elect Director Thomas S. Gayner | For | Against | Overboarding concerns |
| | Colgate-Palmolive (India) Limited India 2-Dec-21 | | | |
| | Approve Reappointment and Remuneration of Chandrasekar Meenakshi Sundaram as Whole-Time Director | For | Abstain | Bundled election |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Colgate-Palmolive Company USA 7-May-21 | | | |
| Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| Collegium Pharmaceutical, Inc. USA 20-May-21 | | | |
| Elect Director Theodore Schroeder | For | Against | Overboarding concerns |
| CollPlant Biotechnologies Ltd. Israel 4-Aug-21 | | | |
| Approve Bonus Grant to Eran Rotem, Company's Deputy Chief Executive Officer and CFO | For | Against | Remuneration concerns |
| Approve Bonus Grant to Yehiel Tal, CEO | For | Against | Remuneration concerns |
| Reelect Abraham (Avri) Havron as Director | For | Against | Corporate Governance concerns |
| Reelect Roger Pomerantz as Director | For | Against | Overboarding concerns |
| Colruyt SA Belgium 29-Sep-21 | | | |
| Approve Discharge of Directors | For | Against | Poor response to prior dissent |
| Approve Remuneration Policy | For | Against | Poor response to prior dissent |
| Approve Remuneration Report | For | Against | Poor response to prior dissent |
| Colruyt SA Belgium 7-Oct-21 | | | |
| Amend Articles to Reflect Changes in Capital | For | Against | Not in shareholders best interest |
| Authorize Board to Issue Shares in the Event of a Public Tender Offer or Share Exchange Offer and Renew Authorization to Increase Share Capital within the Framework of Authorized Capital | For | Against | Anti-takeover device |
| Renew Authorization to Increase Share Capital within the Framework of Authorized Capital | For | Against | Dilutive impact |
| Comcast Corporation USA 2-Jun-21 | | | |
| Elect Director Kenneth J. Bacon | For | Withhold | Combined CEO/Chair |
| Report on Risks Posed by the Failing to Prevent Workplace Sexual Harassment | Against | For | Supporting better ESG risk management disclosures |
| Commerzbank AG Germany 18-May-21 | | | |
| Approve Discharge of Supervisory Board for Fiscal Year 2020 | For | Against | Corporate governance concerns |
| Commonwealth Bank of Australia Australia 13-Oct-21 | | | |
| Approve Grant of Restricted Share Units and Performance Rights to Matt Comyn | For | Against | Remuneration concerns |
| CommScope Holding Company, Inc. USA 7-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Approve Termination of Executive Performance Options and Grant of Selective Performance-Based Retention Equity Awards | For | Against | Remuneration concerns |
| Elect Director L. William (Bill) Krause | For | Abstain | Gender diversity concerns |
| Commvault Systems, Inc. USA 19-Aug-21 | | | |
| Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| Compagnie de Saint-Gobain SA France 3-Jun-21 | | | |
| Approve Compensation of Benoit Bazin, Vice-CEO | For | Against | Remuneration concerns |
| Approve Compensation of Pierre-Andre de Chalendar, Chairman and CEO | For | Against | Remuneration concerns |
| Authorize Capital Issuances for Use in Employee Stock Purchase Plans | For | Against | Dilutive impact |
| Compagnie Financiere Richemont SA Switzerland 8-Sep-21 | | | |
| Reelect Jasmine Whitbread as Director | For | Against | Corporate Governance and Independence concerns |
| Reelect Jean-Blaise Eckert as Director | For | Against | Corporate Governance concerns |
| Reelect Josua Malherbe as Director | For | Against | Audit concerns |
| Reelect Nikesh Arora as Director | For | Against | Corporate Governance concerns |
| Reelect Ruggero Magnoni as Director | For | Against | Corporate Governance concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Companhia Brasileira de Distribuicao Brazil 28-Apr-21 | | | |
| Add Article 39 Re: Indemnity Provision | For | Against | Lack of Disclosure |
| Approve Remuneration of Company's Management and Fiscal Council | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Companhia de Saneamento Basico do Estado de Sao Paulo SABESP Brazil 29-Apr-21 | | | |
| C | Approve Allocation of Income and Dividends | For | Against | Lack of disclosure |
| D | Companhia Paranaense de Energia Brazil 27-Sep-21 | | | |
| E | Amend Articles Re: Indemnity Provision and Consolidate Bylaws | For | Against | Corporate governance concerns |
| F | Approve Revision of the Remuneration of Company's Management for 2021 | For | Against | Remuneration concerns |
| G | Compania Cervecerias Unidas SA Chile 14-Apr-21 | | | |
| H | Approve Remuneration and Budget of Audit Committee | For | Abstain | Insufficient information |
| I | Approve Remuneration and Budget of Audit Committee | For | Withhold | Insufficient information |
| J | Approve Remuneration and Budget of Directors' Committee | For | Abstain | Insufficient information |
| K | Approve Remuneration and Budget of Directors' Committee | For | Withhold | Insufficient information |
| L | Approve Remuneration of Directors | For | Abstain | Insufficient information |
| M | Approve Remuneration of Directors | For | Withhold | Insufficient information |
| N | Designate Risk Assessment Companies | For | Abstain | Insufficient information |
| O | Designate Risk Assessment Companies | For | Withhold | Insufficient information |
| P | Elect Directors | For | Abstain | Insufficient information |
| Q | Elect Directors | For | Withhold | Insufficient information |
| R | Other Business | For | Against | Technical vote |
| S | Compass Group Plc United Kingdom 4-Feb-21 | | | |
| T | Re-elect Ireena Vittal as Director | For | Against | Overboarding concerns |
| U | CompuGroup Medical SE & Co. KGaA Germany 19-May-21 | | | |
| V | Amend Stock Option Plan | For | Against | Dilutive impact |
| W | Approve Creation of EUR 10.7 Million Pool of Capital without Preemptive Rights | For | Against | Dilutive Impact |
| X | Computacenter Plc United Kingdom 20-May-21 | | | |
| Y | Re-elect Peter Ryan as Director | For | Abstain | Gender Diversity concerns |
| Z | Computer Services, Inc. USA 8-Jul-21 | | | |
| | Approve Qualified Employee Stock Purchase Plan | For | Against | Remuneration concerns |
| | Elect Director David M. Paxton | For | Withhold | Corporate governance concerns and Lack of disclosure |
| | Computershare Limited Australia 11-Nov-21 | | | |
| | Elect Paul Reynolds as Director | For | Against | Attendance and Independence concerns |
| | comScore, Inc. USA 9-Mar-21 | | | |
| | Approve Issuance of Shares for a Private Placement | For | Against | Dilutive impact |
| | COMSYS Holdings Corp. Japan 29-Jun-21 | | | |
| | Elect Director and Audit Committee Member Yamamoto, Hideo | For | Against | Independence concerns |
| | Elect Director Kagaya, Takashi | For | Abstain | Independence and gender diversity concerns |
| | Comtech Telecommunications Corp. USA 28-Dec-21 | | | |
| | Elect Director Wendi B. Carpenter | For | Withhold | Technical vote |
| | Conagra Brands, Inc. USA 15-Sep-21 | | | |
| | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| | Concert Pharmaceuticals, Inc. USA 10-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | ConocoPhillips USA 11-May-21 | | | |
| | Elect Director Robert A. Niblock | For | Abstain | Combined CEO/Chair |
| | Consolidated Communications Holdings, Inc. USA 26-Apr-21 | | | |
| | Approve Issuance of Shares for a Private Placement | For | Against | Dilutive impact |
| | Elect Director David G. Fuller | For | Withhold | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Constellation Brands, Inc. USA 20-Jul-21 | | | |
| Adopt a Policy on Board Diversity | Against | For | Supporting better corporate governance practices |
| Elect Director James A. Locke, III | For | Withhold | Lack of disclosure and Related party transactions |
| Constellation Pharmaceuticals, Inc. USA 2-Jun-21 | | | |
| Elect Director Scott N. Braunstein | For | Withhold | Overboarding concerns |
| Constellation Software Inc. Canada 6-May-21 | | | |
| Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration | For | Withhold | Audit concerns |
| Elect Director John Billowits | For | Withhold | Independence concerns |
| Elect Director Robert Kittel | For | Withhold | Audit concerns |
| Elect Director Robin Van Poelje | For | Withhold | Independence concerns |
| Constellium SE France 11-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 559,933.38 | For | Against | Dilutive impact |
| Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above Under Items 14-16 | For | Against | Dilutive impact |
| Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 1,399,833.46 | For | Against | Dilutive impact |
| Contango Oil & Gas Company USA 30-Jun-21 | | | |
| Elect Director B.A. Berilgen | For | Against | Corporate governance concerns |
| Contango Oil & Gas Company USA 6-Dec-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| Amend Omnibus Stock Plan | For | Against | Remuneration concerns and Strategic concerns |
| Approve Merger Agreement | For | Against | Strategic concerns |
| Contango ORE, Inc. USA 11-Nov-21 | | | |
| Elect Director Brad Juneau | For | Against | Independence concerns |
| Elect Director Joseph G. Greenberg | For | Against | Corporate Governance and Gender Diversity concerns |
| Contemporary Amperex Technology Co., Ltd. China 21-May-21 | | | |
| Approve Guarantee Plan | For | Against | Excessive guarantees |
| Contemporary Amperex Technology Co., Ltd. China 31-Aug-21 | | | |
| Approve Amount and Usage of Raised Funds | For | Against | Dilutive impact |
| Approve Feasibility Analysis Report on the Use of Proceeds | For | Against | Not in shareholders best interest |
| Contemporary Amperex Technology Co., Ltd. China 12-Nov-21 | | | |
| Approve Additional and Adjustment of Guarantee Provision Plan | For | Against | Excessive guarantees |
| Contemporary Amperex Technology Co., Ltd. China 30-Dec-21 | | | |
| Elect Feng Chunyan as Supervisor | For | Against | Independence concerns |
| Continental Resources, Inc. USA 20-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Mark E. Monroe | For | Withhold | Gender diversity concerns |
| Elect Director Timothy G. Taylor | For | Withhold | Remuneration concerns |
| ConvaTec Group Plc United Kingdom 7-May-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Brian May as Director | For | Against | Remuneration concerns |
| Copart, Inc. USA 3-Dec-21 | | | |
| Elect Director Daniel J. Englander | For | Abstain | Gender diversity concerns |
| Corby Spirit and Wine Limited Canada 10-Nov-21 | | | |
| Elect Director Lani Montoya | For | Withhold | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | CorMedix Inc. USA 9-Dec-21 | | | |
| C | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| D | Cornerstone Building Brands, Inc. USA 20-May-21 | | | |
| E | Elect Director John Krenicki | For | Against | Corporate governance concerns |
| F | Cornerstone OnDemand, Inc. USA 12-Oct-21 | | | |
| F | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| G | Corporacion Financiera Alba SA Spain 21-Jun-21 | | | |
| H | Advisory Vote on Remuneration Report | For | Against | Remuneration concerns |
| I | Approve Share Appreciation Rights Plan | For | Against | Technical vote |
| J | Corteva, Inc. USA 7-May-21 | | | |
| J | Elect Director Janet P. Giesselman | For | Against | Overboarding concerns |
| K | Cortexyme, Inc. USA 16-Jun-21 | | | |
| L | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| M | Cosan SA Brazil 30-Apr-21 | | | |
| M | Amend Indemnity Policy | For | Against | Corporate governance concerns |
| N | Approve Remuneration of Company's Management and Fiscal Council | For | Against | Remuneration concerns |
| O | Elect Fiscal Council Members | For | Abstain | Corporate governance concerns |
| P | CoStar Group, Inc. USA 2-Jun-21 | | | |
| P | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Q | Eliminate Supermajority Vote Requirement | Against | For | Supporting better corporate governance practices |
| R | Costco Wholesale Corporation USA 21-Jan-21 | | | |
| S | Elect Director Richard A. Galanti | For | Withhold | Not in shareholders interest to vote against |
| T | Coty Inc. USA 4-Nov-21 | | | |
| U | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| V | Elect Director Beatrice Ballini | For | Withhold | Remuneration concerns |
| W | Country Garden Services Holdings Company Limited Cayman Islands 28-May-21 | | | |
| X | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| Y | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| Z | Countryside Properties Plc United Kingdom 5-Feb-21 | | | |
| Z | Re-elect David Howell as Director | For | Abstain | Gender diversity concerns |
| | Covanta Holding Corporation USA 13-May-21 | | | |
| | Elect Director Joseph M. Holsten | For | Withhold | Overboarding concerns |
| | Elect Director Robert S. Silberman | For | Withhold | Overboarding concerns |
| | Covanta Holding Corporation USA 12-Oct-21 | | | |
| | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| | Cowen Inc. USA 24-Jun-21 | | | |
| | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| | CP All Public Company Limited Thailand 23-Apr-21 | | | |
| | Approve Remuneration of Directors | For | Against | Remuneration concerns |
| | Elect Adirek Sripratak as Director | For | Against | Independence and Overboarding concerns |
| | Elect Korsak Chairasmisak as Director | For | Against | Independence concerns |
| | Elect Soopakij Chearavanont as Director | For | Against | Independence concerns |
| | Elect Suphachai Chearavanont as Director | For | Against | Independence and Overboarding concerns |
| | Cracker Barrel Old Country Store, Inc. USA 18-Nov-21 | | | |
| | Allow Shareholder Meetings to be Held in Virtual-Only Format | Against | For | Supporting better corporate governance practices |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Credit Saison Co., Ltd. Japan 23-Jun-21 | | | |
| Elect Director Mizuno, Katsumi | For | Abstain | Independence concerns |
| Credit Suisse Group AG Switzerland 30-Apr-21 | | | |
| Additional Voting Instructions – Board of Directors Proposals (Voting) | For | Against | Technical vote |
| Reelect Ana Pessoa as Director | For | Against | Overboarding concerns |
| Reelect Andreas Gottschling as Director | For | Against | Corporate Governance concerns |
| Credit Suisse Group AG Switzerland 1-Oct-21 | | | |
| Additional Voting Instructions – Board of Directors Proposals (Voting) | For | Against | Non-transparent voting item |
| Credito Emiliano SpA Italy 29-Apr-21 | | | |
| Approve Severance Payments Policy | For | Against | Remuneration concerns |
| Approve Share-based Incentive Plan | For | Against | Remuneration concerns |
| Credito Valtellinese SpA Italy 19-Apr-21 | | | |
| Approve Bonus Pool 2021 | For | Against | Technical vote |
| Cresud SA Argentina 21-Oct-21 | | | |
| Fix Number of and Elect Directors and Alternates for Three-Year Term | For | Against | Bundled Election |
| CRH Plc Ireland 29-Apr-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Gillian Platt as Director | For | Against | Remuneration concerns |
| CRISPR Therapeutics AG Switzerland 10-Jun-21 | | | |
| Approve CHF 1,179,509 Increase in Authorized Capital | For | Against | Dilutive impact |
| Approve Increase in Conditional Share Capital for Employee Equity Plans | For | Against | Dilutive impact |
| Approve Remuneration of Directors in the Amount of USD 11,738,100 | For | Against | Remuneration concerns |
| Reelect Ali Behbahani as Member of the Compensation Committee | For | Against | Corporate governance and Overboarding concerns |
| Reelect Director Ali Behbahani | For | Against | Corporate governance and Overboarding concerns |
| Croda International Plc United Kingdom 21-May-21 | | | |
| Re-elect Keith Layden as Director | For | Against | Independence concerns |
| Crompton Greaves Consumer Electricals Limited India 23-Jul-21 | | | |
| Approve Payment of Remuneration to Mathew Job as Executive Director and Chief Executive Officer | For | Against | Remuneration concerns |
| Approve Payment of Remuneration to Shantanu Khosla as Managing Director | For | Against | Remuneration concerns |
| Approve Remuneration to All Directors in the Event of Exercise of ESOPs by Shantanu Khosla as Managing Director and Mathew Job as Executive Director and Chief Executive Officer | For | Against | Remuneration concerns |
| Crompton Greaves Consumer Electricals Limited India 27-Aug-21 | | | |
| Approve MSKA & Associates, Chartered Accountants as Statutory Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| CrossFirst Bankshares, Inc. USA 11-May-21 | | | |
| Elect Director George E. Hansen, III | For | Withhold | Independence concerns |
| CrowdStrike Holdings, Inc. USA 30-Jun-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Amend Qualified Employee Stock Purchase Plan | For | Against | Strategic concerns |
| Crown Holdings, Inc. USA 22-Apr-21 | | | |
| Elect Director James H. Miller | For | Withhold | Gender diversity concerns |
| Crown Resorts Limited Australia 21-Oct-21 | | | |
| Approve Grant of Sign-on Performance Rights to Steve McCann | For | Against | Remuneration concerns |
| Approve Potential Retirement Benefits for Steve McCann | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| CryoPort, Inc. USA 30-Apr-21 | | | |
| Elect Director Robert Hariri | For | Withhold | Attendance concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | CSG Systems International, Inc. USA 20-May-21 | | | |
| C | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| D | CSL Limited Australia 12-Oct-21 | | | |
| E | Approve Grant of Performance Share Units to Paul Perreault | For | Against | Remuneration concerns |
| F | CSPC Pharmaceutical Group Limited Hong Kong 18-May-21 | | | |
| G | Approve Deloitte Touche Tohmatsu as Auditor and Authorize Board to Fix Their Remuneration | For | Against | Audit concerns |
| H | Approve Deloitte Touche Tohmatsu as Auditor and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| I | Approve Grant of Options Under the Share Option Scheme | For | Against | Not in shareholders best interest |
| J | Elect Cai Dongchen as Director | For | Against | Gender Diversity concerns/ No lead independent director/ Combined CEO/Chair |
| K | Elect Cai Dongchen as Director | For | Against | Gender diversity, Independence concerns and Combined CEO/ Chairman |
| L | Cstone Pharmaceuticals Co. Ltd. Cayman Islands 23-Jun-21 | | | |
| M | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| N | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| O | Elect Hongbin Sun as Director | For | Against | Audit and Overboarding concerns |
| P | Elect Paul Herbert Chew as Director | For | Against | Combined CEO/Chair |
| Q | CSX Corporation USA 7-May-21 | | | |
| R | Elect Director John J. Zillmer | For | Against | Overboarding concerns |
| S | CTO Realty Growth, Inc. USA 26-May-21 | | | |
| T | Elect Director George R. Brokaw | For | Withhold | Independence concerns |
| U | CTS Eventim AG & Co. KGaA Germany 7-May-21 | | | |
| V | Approve Remuneration Policy | For | Against | Remuneration concerns |
| W | CURO Group Holdings Corp. USA 17-Jun-21 | | | |
| X | Elect Director Mike McKnight | For | Withhold | Independence concerns |
| Y | Custom Truck One Source, Inc. USA 8-Jul-21 | | | |
| Z | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| | Customers Bancorp, Inc. USA 26-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | CVS Group Plc United Kingdom 24-Nov-21 | | | |
| | Re-elect Richard Gray as Director | For | Abstain | Follow up with engagement to discuss and Gender diversity concerns |
| | Re-elect Richard Gray as Director | For | Against | Gender Diversity concerns |
| | CVS Health Corporation USA 13-May-21 | | | |
| | Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | Supporting better corporate governance practices |
| | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| | CyberAgent, Inc. Japan 10-Dec-21 | | | |
| | Amend Articles to Amend Business Lines – Allow Virtual Only Shareholder Meetings | For | Against | Not in shareholders interest |
| | Elect Director Fujita, Susumu | For | Abstain | Independence concerns |
| | Elect Director Nakamura, Koichi | For | Against | Independence concerns |
| | CYBERDYNE, Inc. Japan 24-Jun-21 | | | |
| | Elect Director Sankai, Yoshiyuki | For | Against | Independence and gender diversity concerns |
| | Cyrela Brazil Realty SA Empreendimentos e Participacoes Brazil 23-Apr-21 | | | |
| | Approve Remuneration of Company's Management and Fiscal Council | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---------------------------|
| CytoSorbents Corporation USA 1-Jun-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Alan D. Sobel | For | Against | Gender Diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | D | | | |
| C | D.G. Khan Cement Company Ltd. Pakistan 28-Oct-21 | | | |
| D | Approve Auditors and Authorize Board to Fix Their Remuneration | For | Against | Audit concerns |
| E | D.R. Horton, Inc. USA 20-Jan-21 | | | |
| F | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor overall design |
| G | Dabur India Limited India 19-Aug-21 | | | |
| H | Reelect Aditya Burman as Director | For | Against | Independence concerns |
| I | Reelect Mohit Burman as Director | For | Against | Gender diversity concerns and Independence concerns |
| J | Dai Nippon Printing Co., Ltd. Japan 29-Jun-21 | | | |
| K | Elect Director Kitajima, Yoshinari | For | Abstain | Audit concerns |
| L | Daicel Corp. Japan 25-Jun-21 | | | |
| M | Elect Director Fudaba, Misao | For | Abstain | Independence concerns |
| N | Elect Director Furuichi, Takeshi | For | Against | Independence concerns |
| O | Elect Director Hatchoji, Sonoko | For | Against | Independence concerns |
| P | Elect Director Kitayama, Teisuke | For | Against | Independence concerns |
| Q | Dai-ichi Life Holdings, Inc. Japan 21-Jun-21 | | | |
| R | Elect Director Watanabe, Koichiro | For | Abstain | Independence concerns |
| S | Daikin Industries Ltd. Japan 29-Jun-21 | | | |
| T | Appoint Statutory Auditor Yano, Ryu | For | Against | Independence concerns |
| U | Elect Director Inoue, Noriyuki | For | Abstain | Audit concerns |
| V | Elect Director Inoue, Noriyuki | For | Abstain | Independence concerns |
| W | Elect Director Makino, Akiji | For | Against | Independence concerns |
| X | Daikokutenbussan Co., Ltd. Japan 25-Aug-21 | | | |
| Y | Appoint Statutory Auditor Muto, Akihito | For | Against | Independence concerns |
| Z | Elect Director Noda, Naoki | For | Against | Independence concerns |
| | Elect Director Oga, Shoji | For | Abstain | Independence and gender diversity concerns |
| | DaikyoNishikawa Corp. Japan 18-Jun-21 | | | |
| | Appoint Alternate Statutory Auditor Tani, Hiroko | For | Against | Independence concerns |
| | Elect Director Mukai, Takeshi | For | Against | Independence concerns |
| | Elect Director Uchida, Nariaki | For | Abstain | Audit concerns |
| | Daily Journal Corporation USA 24-Feb-21 | | | |
| | Elect Director Peter D. Kaufman | For | Against | Audit concerns |
| | Daimler AG Germany 31-Mar-21 | | | |
| | Approve Discharge of Management Board for Fiscal Year 2020 | For | Abstain | Corporate governance concerns |
| | Approve Discharge of Supervisory Board for Fiscal Year 2020 | For | Abstain | Corporate governance concerns |
| | Dainichiseika Color & Chemicals Mfg. Co., Ltd. Japan 29-Jun-21 | | | |
| | Appoint Alternate Statutory Auditor Ikari, Shuichiro | For | Against | Independence concerns |
| | Appoint Statutory Auditor Kawada, Katsuhisa | For | Against | Independence concerns |
| | Appoint Statutory Auditor Yamaguchi, Hidemi | For | Against | Independence concerns |
| | Elect Director Takahashi, Koji | For | Abstain | Audit concerns |
| | Daio Paper Corp. Japan 29-Jun-21 | | | |
| | Amend Articles to Amend Business Lines – Amend Provisions on Number of Directors – Reduce Directors' Term – Amend Provisions on Director Titles – Authorize Board to Determine Income Allocation | For | Against | Not in shareholders interest |
| | Elect Director Wakabayashi, Yorifusa | For | Abstain | Independence concerns |
| | Daito Trust Construction Co., Ltd. Japan 25-Jun-21 | | | |
| | Elect 11 Directors | For | Abstain | Bundled election |
| | Elect Director Kobayashi, Katsuma | For | Abstain | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Daiwa House Industry Co., Ltd. Japan 29-Jun-21 | | | |
| Appoint Statutory Auditor Maeda, Tadatoshi | For | Against | Independence concerns |
| Elect Director Yabu, Yukiko | For | Against | Independence concerns |
| Elect Director Yoshii, Keiichi | For | Abstain | Audit concerns |
| Daktronics, Inc. USA 1-Sep-21 | | | |
| Elect Director John P. Friel | For | Withhold | Corporate Governance concerns |
| Danaher Corporation USA 5-May-21 | | | |
| Elect Director Mitchell P. Rales | For | Against | Overboarding concerns |
| Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| Dassault Aviation SA France 11-May-21 | | | |
| Approve Compensation of Directors | For | Against | Poor response to prior dissent and Remuneration concerns |
| Approve Compensation of Eric Trappier, Chairman and CEO | For | Against | Poor response to prior dissent and Remuneration concerns |
| Approve Compensation of Loik Segalen, Vice-CEO | For | Against | Poor response to prior dissent |
| Approve Remuneration Policy of Chairman and CEO | For | Against | Poor response to prior dissent and Remuneration concerns |
| Approve Remuneration Policy of Vice-CEO | For | Against | Poor response to prior dissent and Remuneration concerns |
| Authorize up to 0.33 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| Ratify Agreement for the Acquisition of Dassault Aviation of Land and Buildings at Argonay, Merignac, Martignas and Saint-Cloud | For | Abstain | Lack of disclosure |
| Ratify Agreement for the Commercial Lease of Merignac and Martignas | For | Abstain | Lack of disclosure |
| Ratify Agreement for the Extension of Dassault Aviation Commercial Lease in Argenteuil | For | Abstain | Lack of disclosure |
| Ratify Agreement on the Insurance Policy of Directors and Corporate Officers (RCMS) | For | Abstain | Lack of disclosure |
| Dassault Systemes SA France 26-May-21 | | | |
| Approve Compensation of Bernard Charles, Vice Chairman of the Board and CEO | For | Against | Remuneration concerns |
| Approve Remuneration Policy of Corporate Officers | For | Against | Remuneration concerns |
| Authorize up to 1.5 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| Datalogic SpA Italy 29-Apr-21 | | | |
| Approve Remuneration Policy | For | Against | Strategic concerns |
| Approve Second Section of the Remuneration Report | For | Against | Strategic concerns |
| Authorize Share Repurchase Program and Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Datto Holding Corp. USA 27-May-21 | | | |
| Advisory Vote to Retain Classified Board Structure | For | Against | Not in shareholders best interest |
| Advisory Vote to Retain Supermajority Vote Requirement to Amend Certificate of Incorporation and Bylaws | For | Against | Not in shareholders best interest |
| Approve Qualified Employee Stock Purchase Plan | For | Against | Not in shareholders best interest |
| Elect Director John Stalder | For | Withhold | Corporate governance concerns |
| Davide Campari-Milano NV Netherlands 8-Apr-21 | | | |
| Approve Discharge of Non-Executive Directors | For | Against | Poor response to prior dissent |
| Approve Remuneration Report | For | Against | Poor response to prior dissent |
| Approve Stock Option Plan | For | Against | Remuneration concerns |
| DaVita Inc. USA 10-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent and Remuneration concerns |
| Report on Political Contributions | Against | For | Supporting better ESG risk management disclosures |
| Dawson Geophysical Company USA 11-May-21 | | | |
| Elect Director Craig W. Cooper | For | Withhold | Gender diversity concerns |

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| | Company Name | Management Recommendation | Our Vote | Rationale |
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| A | | | | |
| B | DBV Technologies SA France 19-May-21 | | | |
| C | Approve Compensation of Daniel Tassé, CEO | For | Against | Remuneration concerns and Strategic concerns |
| D | Approve Issuance of Equity or Equity-Linked Securities for up to 20 Percent of Issued Capital Per Year for Private Placements | For | Against | Excessive issuance |
| E | Approve Issuance of Equity or Equity-Linked Securities for up to 30 Percent of Issued Capital Per Year Reserved for Specific Beneficiaries | For | Against | Excessive issuance |
| F | Approve Issuance of Warrants (BSA, BSAANE and/or BSAR) Reserved for Executive Corporate Officers, Executives and/or Subsidiaries | For | Against | Lack of disclosure and Remuneration concerns |
| G | Approve Remuneration Policy of CEO and Other Executives | For | Against | Lack of disclosure and Poor response to prior dissent |
| H | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights Up to 10 Percent of Issued Capital if During Merger, Spin-Off Agreement and Acquisition | For | Against | Not in shareholders best interest |
| I | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights Up to 30 Percent of Issued Capital | For | Against | Excessive issuance |
| J | Authorize up to 2 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Lack of disclosure and Remuneration concerns |
| K | Authorize up to 7.5 Percent of Issued Capital for Use in Stock Option Plans | For | Against | Lack of disclosure and Remuneration concerns |
| L | Delegate Powers to the Board to Decide on Merger, Spin-Off Agreement and Acquisition | For | Against | Not in shareholders best interest |
| M | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 25,26,28,31 of Current Meeting and Under Item 28 of 20 April 2020 Meeting up to 65 Percent of Issued Capital | For | Against | Excessive issuance |
| N | | | | |
| O | Deciphera Pharmaceuticals, Inc. USA 23-Jun-21 | | | |
| P | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Q | Elect Director James A. Bristol | For | Withhold | Remuneration concerns |
| R | | | | |
| S | Deere & Company USA 24-Feb-21 | | | |
| T | Elect Director Clayton M. Jones | For | Abstain | Combined CEO/Chair |
| U | | | | |
| V | Dell Technologies Inc. USA 22-Jun-21 | | | |
| W | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Lack of disclosure |
| X | | | | |
| Y | De'Longhi SpA Italy 21-Apr-21 | | | |
| Z | Approve Remuneration Policy | For | Against | Remuneration concerns |
| | Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |
| | Delta Air Lines, Inc. USA 17-Jun-21 | | | |
| | Elect Director Francis S. Blake | For | Abstain | Gender diversity concerns |
| | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| | Report on Climate Lobbying | Against | For | Supporting better sustainability practices |
| | | | | |
| | Demant A/S Denmark 5-Mar-21 | | | |
| | Approve Creation of DKK 10 Million Pool of Capital with or without Preemptive Rights; Authorize Conditional Capital of DKK 2.5 Million for Issuance of Shares to Key Employees | For | Against | Dilutive impact |
| | Ratify Deloitte as Auditors | For | Abstain | Audit concerns |
| | Reelect Niels B. Christiansen as Director | For | Abstain | Independence concerns |
| | Reelect Niels Jacobsen as Director | For | Abstain | Independence concerns |
| | | | | |
| | Denbury Inc. USA 26-May-21 | | | |
| | Elect Director Lynn A. Peterson | For | Against | Overboarding concerns |
| | | | | |
| | Denka Co., Ltd. Japan 22-Jun-21 | | | |
| | Elect Director and Audit Committee Member Yamamoto, Akio | For | Against | Independence concerns |
| | Elect Director Fukuda, Yoshiyuki | For | Against | Independence concerns |
| | Elect Director Yamamoto, Manabu | For | Abstain | Independence concerns |
| | Elect Director Arima, Koji | For | Abstain | Independence concerns |
| | Elect Director Mitsuya, Yuko | For | Against | Independence concerns |
| | | | | |
| | Dentsu Group, Inc. Japan 26-Mar-21 | | | |
| | Elect Director Yamamoto, Toshihiro | For | Abstain | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
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| Dermapharm Holding SE Germany 23-Jun-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Descente Ltd. Japan 17-Jun-21 | | | |
| Elect Director Koseki, Shuichi | For | Abstain | Independence and gender diversity concerns |
| Elect Director Sato, Seiji | For | Against | Independence concerns |
| Designer Brands Inc. USA 27-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Peter S. Cobb | For | Withhold | Remuneration concerns |
| Despegar.com, Corp. Virgin Isl (UK) 3-Dec-21 | | | |
| Elect Director Michael James Doyle, II | For | Against | Independence concerns |
| Deutsche Boerse AG Germany 19-May-21 | | | |
| Elect Clara-Christina Streit to the Supervisory Board | For | Against | Overboarding concerns |
| Deutsche Post AG Germany 6-May-21 | | | |
| Approve Creation of EUR 130 Million Pool of Capital without Preemptive Rights | For | Against | Dilutive impact |
| Deutsche Telekom AG Germany 1-Apr-21 | | | |
| Amend Articles Re: Shareholders' Right to Participation during the Virtual Meeting | Against | For | Supporting better corporate governance practices |
| Deutsche Wohnen SE Germany 1-Jun-21 | | | |
| Amend Articles Re: Participation of Supervisory Board Members in the Annual General Meeting by Means of Audio and Video Transmission | For | Against | Not in shareholders interest |
| Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares (item withdrawn) | For | Against | Dilutive impact |
| Authorize Use of Financial Derivatives when Repurchasing Shares (item withdrawn) | For | Against | Dilutive impact |
| Dexus Australia 19-Oct-21 | | | |
| Approve Amendments to the Constitutions | For | Against | Not in shareholders best interest |
| Approve Grant of Performance Rights to Darren Steinberg | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Elect Penny Bingham-Hall as Director | For | Against | Remuneration concerns |
| Elect Warwick Negus as Director | For | Against | Overboarding concerns |
| Dexus Industria REIT Australia 23-Nov-21 | | | |
| Ratify Past Issuance of Securities to Professional and Sophisticated Investors | For | Against | Dilutive impact |
| Diageo Plc United Kingdom 30-Sep-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Withhold | Remuneration concerns |
| Elect Ireena Vittal as Director | For | Against | Overboarding concerns |
| Re-elect Susan Kilsby as Director | For | Against | Overboarding and Remuneration concerns |
| Dialog Semiconductor Plc United Kingdom 4-May-21 | | | |
| Re-elect Nicholas Jeffery as Director | For | Abstain | Gender diversity concerns |
| Diamondback Energy, Inc. USA 3-Jun-21 | | | |
| Elect Director Steven E. West | For | Abstain | Climate risk management concerns |
| DiaSorin SpA Italy 22-Apr-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |
| Approve Stock Option Plan | For | Against | Remuneration concerns |
| DIC Corp. Japan 30-Mar-21 | | | |
| Elect Director Saito, Masayuki | For | Abstain | Independence concerns |
| Diebold Nixdorf, Incorporated USA 30-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | Dino Polska SA Poland 18-Jun-21 | | | |
| C | Approve Remuneration of Supervisory Board Members | For | Against | Lack of disclosure |
| D | Approve Remuneration Report | For | Against | Remuneration concerns |
| E | Elect Supervisory Board Member | For | Against | Lack of disclosure |
| F | Direct Line Insurance Group Plc United Kingdom 13-May-21 | | | |
| G | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| H | Re-elect Gregor Stewart as Director | For | Abstain | Audit concerns |
| I | Re-elect Mark Gregory as Director | For | Abstain | Remuneration concerns |
| J | DISCO Corp. Japan 29-Jun-21 | | | |
| K | Elect Director Sekiya, Kazuma | For | Abstain | Independence and gender diversity concerns |
| L | Elect Director Sekiya, Kazuma | For | Abstain | Independence concerns |
| M | Discovery Ltd. South Africa 24-Nov-21 | | | |
| N | Approve Implementation of the Remuneration Policy | For | Against | Remuneration concerns |
| O | Authorise Issue of Shares in Terms of Section 41(1) of the Companies Act | For | Abstain | Insufficient information |
| P | Discovery, Inc. USA 10-Jun-21 | | | |
| Q | Elect Director J. David Wargo | For | Withhold | Gender diversity concerns and Overboarding concerns |
| R | Elect Director Robert L. Johnson | For | Withhold | Overboarding concerns |
| S | Dish TV India Ltd. India 27-Sep-21 | | | |
| T | Accept Financial Statements and Statutory Reports | For | Against | Audit concerns |
| U | Reelect Ashok Mathai Kurien as Director | For | Against | Audit and Independence concerns |
| V | Dish TV India Ltd. India 30-Dec-21 | | | |
| W | Accept Financial Statements and Statutory Reports | For | Against | Audit concerns |
| X | Reelect Ashok Mathai Kurien as Director | For | Against | Audit and Independence concerns |
| Y | Dixon Technologies (India) Limited India 28-Sep-21 | | | |
| Z | Approve Increase in the Limit of Managerial Remuneration Payable to Atul B. Lall | For | Against | Remuneration concerns |
| | Dixons Carphone Plc United Kingdom 15-Sep-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Tony DeNunzio as Director | For | Against | Remuneration concerns |
| | DKSH Holding AG Switzerland 18-Mar-21 | | | |
| | Reelect Adrian Keller as Director | For | Abstain | Gender diversity concerns |
| | Transact Other Business (Voting) | For | Against | Non-transparent voting item |
| | DMC Global Inc. USA 12-May-21 | | | |
| | Elect Director Michael A. Kelly | For | Withhold | Overboarding concerns |
| | DNB ASA Norway 27-Apr-21 | | | |
| | Approve Remuneration Policy And Other Terms of Employment For Executive Management (Binding) | For | Against | Remuneration concerns |
| | Approve Remuneration Statement (Advisory) | For | Against | Remuneration concerns |
| | Elect Directors until the Merger Date | For | Abstain | Bundled election |
| | Elect Directors, effective from the Merger Date | For | Abstain | Bundled election |
| | DO & CO AG Austria 15-Jan-21 | | | |
| | Approve Creation of EUR 2.7 Million Pool of Capital to Guarantee Conversion Rights | For | Against | Strategic concerns |
| | Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 100 Million | For | Against | Strategic concerns |
| | Approve Discharge of Supervisory Board for Fiscal Year 2020/21 | For | Against | ESG risk management concerns |
| | Approve Remuneration Report | For | Against | Remuneration concerns and lack of response to shareholder dissent |
| | Elect Andreas Bierwirth as Supervisory Board Member | For | Against | Audit concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
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| Dollar General Corporation USA 26-May-21 | | | |
| Provide Right to Call A Special Meeting of the total of 10% of Outstanding Common Stock | Against | For | Supporting better corporate governance practices |
| Provide Right to Call Special Meeting of the total of 25% of Outstanding Common Stock | For | Abstain | Supporting better corporate governance practices |
| Dominion Energy, Inc. USA 5-May-21 | | | |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| Dommo Energia SA Brazil 30-Apr-21 | | | |
| Approve Remuneration of Company's Management | For | Against | Remuneration concerns |
| Domo, Inc. USA 2-Jun-21 | | | |
| Elect Director Dana Evan | For | Withhold | Overboarding concerns |
| Dongfeng Motor Group Company Limited China 18-Jun-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Domestic Shares and H Shares and Related Transactions | For | Against | Dilutive impact |
| dormakaba Holding AG Switzerland 12-Oct-21 | | | |
| Additional Voting Instructions – Board of Directors Proposals (Voting) | For | Against | Technical vote |
| Reelect Daniel Daeniker as Director | For | Against | Independence concerns |
| Douglas Emmett, Inc. USA 27-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director William E. Simon, Jr. | For | Withhold | Independence concerns |
| Dover Corporation USA 7-May-21 | | | |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Dow Inc. USA 15-Apr-21 | | | |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| DOWA HOLDINGS Co., Ltd. Japan 24-Jun-21 | | | |
| Appoint Statutory Auditor Fukuzawa, Hajime | For | Against | Independence concerns |
| Elect Director Yamada, Masao | For | Abstain | Audit concerns |
| Dr. Martens Plc United Kingdom 29-Jul-21 | | | |
| Appoint Ernst & Young LLP as Auditors | For | Against | Audit concerns |
| Authorise the Audit and Risk Committee to Fix Remuneration of Auditors | For | Against | Audit concerns |
| DraftKings Inc. USA 28-Apr-21 | | | |
| Other Business | For | Against | Technical vote |
| DRD GOLD Ltd. South Africa 30-Nov-21 | | | |
| Authorise Board to Issue Shares for Cash | For | Against | Dilutive impact |
| Dream Finders Homes, Inc. USA 15-Jun-21 | | | |
| Elect Director Megha H. Parekh | For | Against | Corporate governance concerns |
| Dropbox, Inc. USA 20-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| DSP Group, Inc. USA 14-Jun-21 | | | |
| Elect Director Yair Seroussi | For | Withhold | Overboarding concerns |
| DSV Panalpina A/S Denmark 8-Sep-21 | | | |
| Approve Creation of DKK 48 Million Pool of Capital with Preemptive Rights; Approve Creation of DKK 48 Million Pool of Capital without Preemptive Rights; Maximum Increase in Share Capital under Both Authorizations up to DKK 48 Million | For | Against | Dilutive impact |
| Duck Creek Technologies, Inc. USA 12-Mar-21 | | | |
| Elect Director Jason Wright | For | Withhold | Corporate governance concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | Dufry AG Switzerland 18-May-21 | | | |
| C | Approve Remuneration of Directors in the Amount of CHF 8.5 Million | For | Against | Remuneration concerns |
| D | Approve Remuneration of Executive Committee in the Amount of CHF 29 Million | For | Against | Remuneration Concerns |
| E | Approve Remuneration Report (Non-Binding) | For | Against | Remuneration Concerns |
| F | Transact Other Business (Voting) | For | Against | Technical vote |
| F | Duke Energy Corporation USA 6-May-21 | | | |
| G | Elect Director Michael G. Browning | For | Withhold | Climate risk management concerns and Combined CEO/Chair |
| H | Report on Political Contributions and Expenditures | Against | For | Supporting better ESG risk management disclosures |
| I | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| J | | | | |
| K | DuPont de Nemours, Inc. USA 28-Apr-21 | | | |
| L | Adopt Policy to Annually Disclose EEO-1 Data | Against | For | Supporting better corporate governance practices |
| M | Report on Plastic Pollution | Against | For | Supporting better corporate governance practices |
| N | | | | |
| O | Duratex SA Brazil 29-Apr-21 | | | |
| P | Amend Long-Term Incentive Plan | For | Against | Remuneration concerns |
| Q | Elect Directors | For | Against | Bundled election |
| R | Duratex SA Brazil 29-Apr-21 | | | |
| S | Ratify Remuneration of Company's Management for 2020 and Approve Remuneration of Company's Management for 2021 | For | Against | Remuneration concerns |
| T | Duskin Co., Ltd. Japan 23-Jun-21 | | | |
| U | Elect Director Yamamura, Teruji | For | Abstain | Independence concerns |
| V | DXC Technology Company USA 17-Aug-21 | | | |
| W | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| X | DXP Enterprises, Inc. USA 18-Jun-21 | | | |
| Y | Elect Director David Patton | For | Withhold | Gender diversity concerns and Poor response to prior dissent |
| Z | Elect Director Kent Yee | For | Withhold | Independence concerns |
| | Dyadic International, Inc. USA 11-Jun-21 | | | |
| | Elect Director Barry Buckland | For | Withhold | Gender diversity concerns |
| | Dye & Durham Ltd. Canada 21-Dec-21 | | | |
| | Elect Director David MacDonald | For | Withhold | Gender diversity concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| E | | | |
| Eagle Materials Inc. USA 3-Aug-21 | | | |
| Elect Director F. William Barnett | For | Abstain | Gender diversity concerns |
| Elect Director Richard Beckwith | For | Abstain | Climate risk management concerns |
| Eagle Pharmaceuticals, Inc. USA 13-Jul-21 | | | |
| Elect Director Richard A. Edlin | For | Withhold | Independence concerns |
| Earthstone Energy, Inc. USA 20-Jul-21 | | | |
| Elect Director Phillip D. Kramer | For | Withhold | Gender diversity concerns |
| East Japan Railway Co. Japan 22-Jun-21 | | | |
| Appoint Statutory Auditor Mori, Kimitaka | For | Against | Independence concerns |
| Elect Director Kawamoto, Hiroko | For | Against | Independence concerns |
| Elect Director Sakuyama, Masaki | For | Against | Independence concerns |
| Elect Director Tomita, Tetsuro | For | Abstain | Audit concerns |
| Easterly Government Properties, Inc. USA 18-May-21 | | | |
| Elect Director Darrell W. Crate | For | Against | Independence concerns |
| Eastman Chemical Company USA 6-May-21 | | | |
| Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| Eastman Kodak Company USA 19-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Eaton Corporation plc Ireland 28-Apr-21 | | | |
| Elect Director Dorothy C. Thompson | For | Abstain | Combined CEO/Chair |
| eBay Inc. USA 15-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Ebix, Inc. USA 14-Oct-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent and Remuneration concerns |
| Elect Director Hans U. Benz | For | Withhold | Audit concerns |
| Elect Director Hans Ueli Keller | For | Withhold | Audit and Remuneration concerns |
| Elect Director Pavan Bhalla | For | Withhold | Audit concerns |
| EchoStar Corporation USA 29-Apr-21 | | | |
| Amend Non-Employee Director Omnibus Stock Plan | For | Against | Remuneration concerns |
| Eclat Textile Co., Ltd. Taiwan 18-Jun-21 | | | |
| Elect Representative of Xin-xin Limited Company, with Shareholder NO.70933, as Non-Independent Director | For | Abstain | Lack of disclosure |
| Ecolab Inc. USA 6-May-21 | | | |
| Elect Director John J. Zillmer | For | Against | Overboarding concerns |
| Ecopetrol SA Colombia 26-Mar-21 | | | |
| Elect Directors | For | Abstain | Bundled election |
| Ecopro BM Co., Ltd. South Korea 30-Mar-21 | | | |
| Amend Articles of Incorporation | For | Against | Not in shareholders best interest |
| Approve Financial Statements | For | Against | Audit concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | EDAP TMS SA France 30-Jun-21 | | | |
| C | Approve Auditors' Special Report on Related-Party Transactions | For | Against | Lack of disclosure |
| D | Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 1.3 Million | For | Against | Dilutive impact |
| E | Approve Issuance of Equity or Equity-Linked Securities Reserved for a First Category of Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 1.3 Million | For | Against | Dilutive impact |
| F | Approve Issuance of Equity or Equity-Linked Securities Reserved for a Second Category of Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 1.3 Million | For | Against | Dilutive impact |
| G | Approve Issuance of Equity or Equity-Linked Securities Reserved for a Third Category of Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 1.3 Million | For | Against | Dilutive impact |
| H | Approve Issuance of Equity or Equity-Linked Securities Reserved for a Third Category of Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 1.3 Million | For | Against | Dilutive impact |
| I | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | For | Against | Dilutive impact |
| J | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 1.3 Million | For | Against | Dilutive impact |
| K | Authorize up to 200,000 Shares for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| L | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 5-10 at EUR 1.3 Million | For | Against | Dilutive impact |
| M | Edenor SA Argentina 10-Aug-21 | | | |
| N | Ratify Appointment of Directors and Alternates Proposed by Internal Statutory Auditors Committee; Elect Pedro Mazer as Alternate Director | For | Abstain | Bundled election |
| O | Edenred SA France 11-May-21 | | | |
| P | Approve Compensation of Bertrand Dumazy, Chairman and CEO | For | Against | Remuneration concerns |
| Q | Reelect Françoise Gri as Director | For | Abstain | Combined CEO/Chair |
| R | EDP-Energias de Portugal SA Portugal 14-Apr-21 | | | |
| S | Elect Corporate Bodies for 2021-2023 Term | For | Against | Insufficient information |
| T | Educational Development Corporation USA 7-Jul-21 | | | |
| U | Elect Director Kara Gae Neal | For | Withhold | Corporate Governance concerns |
| V | Edwards Lifesciences Corporation USA 4-May-21 | | | |
| W | Elect Director Nicholas J. Valeriani | For | Abstain | Combined CEO/Chair |
| X | Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| Y | eHealth, Inc. USA 30-Jun-21 | | | |
| Z | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director James E. Murray | For | Withhold | Technical vote |
| | Eicher Motors Limited India 17-Aug-21 | | | |
| | Approve Reappointment and Remuneration of Siddhartha Lal as Managing Director | For | Against | Remuneration concerns |
| | Reelect Siddhartha Lal as Director | For | Against | Audit concerns |
| | Eiffage SA France 21-Apr-21 | | | |
| | Reelect Carol Xueref as Director | For | Against | Combined CEO/Chair |
| | Reelect Dominique Marcel as Director | For | Against | Independence and Overboarding concerns |
| | 888 Holdings Plc Gibraltar 20-May-21 | | | |
| | Approve Remuneration Policy | For | Against | Remuneration concerns |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Anne de Kerckhove as Director | For | Against | Remuneration concerns |
| | 8x8, Inc. USA 5-Aug-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Eric Salzman | For | Withhold | Remuneration concerns |
| | Eisai Co., Ltd. Japan 18-Jun-21 | | | |
| | Elect Director Naito, Haruo | For | Against | Corporate governance concerns |
| | Elastic N.V. Netherlands 1-Oct-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|---|
| Elbit Systems Ltd. Israel 14-Dec-21 | | | |
| Reelect Yoram Ben-Zeev as Director | For | Against | No vote results disclosed |
| Elecom Co., Ltd. Japan 23-Jun-21 | | | |
| Appoint Statutory Auditor Horie, Koichiro | For | Against | Independence concerns |
| Appoint Statutory Auditor Tabata, Akira | For | Against | Independence concerns |
| Elect Director Katsukawa, Kohei | For | Against | Independence concerns |
| Elect Director Nishizawa, Yutaka | For | Against | Independence concerns |
| Elect Director Shibata, Yukio | For | Abstain | Independence concerns |
| Electra Private Equity Plc United Kingdom 30-Dec-21 | | | |
| Adopt New Articles of Association | For | Against | Not in shareholders best interest |
| Electric Power Development Co., Ltd. Japan 25-Jun-21 | | | |
| Elect Director Ito, Tomonori | For | Against | Independence concerns |
| Elect Director Murayama, Hitoshi | For | Abstain | Independence and gender diversity concerns |
| Electricite de France SA France 6-May-21 | | | |
| Reelect Colette Lewiner as Director | For | Against | Corporate governance concerns |
| Electronic Arts Inc. USA 12-Aug-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Element Solutions Inc USA 8-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elementis Plc United Kingdom 13-May-21 | | | |
| Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Andrew Duff as Director | For | Abstain | Technical vote |
| Re-elect Steve Good as Director | For | Abstain | Remuneration concerns |
| Eli Lilly and Company USA 3-May-21 | | | |
| Clawback Disclosure of Recoupment Activity from Senior Officers | Against | For | In shareholders best interest |
| Elect Director Jackson P.Tai | For | Abstain | Combined CEO/Chair |
| Report on Lobbying Payments and Policy | Against | For | Supporting better ESG risk management disclosures |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| Elia Group SA/NV Belgium 18-May-21 | | | |
| Reelect Saskia Van Uffelen, Luc De Temmerman and Frank Donck as Independent Directors | For | Abstain | Bundled election |
| Elis SA France 20-May-21 | | | |
| Approve Compensation of Louis Guyot, Management Board Member | For | Abstain | Remuneration concerns |
| Approve Compensation of Matthieu Lecharny, Management Board Member | For | Abstain | Remuneration concerns |
| Approve Compensation of Xavier Martire, Chairman of the Management Board | For | Abstain | Remuneration concerns |
| Approve Remuneration Policy of Chairman of the Supervisory Board | For | Abstain | Remuneration concerns |
| Ellington Financial Inc. USA 13-May-21 | | | |
| Elect Director Lisa Mumford | For | Withhold | Independence concerns |
| Elmos Semiconductor SE Germany 20-May-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Elect Dirk Hoheisel to the Supervisory Board | For | Against | Corporate governance concerns |
| Elect Klaus Weyer to the Supervisory Board | For | Against | Independence concerns |
| Elect Volkmar Tanneberger to the Supervisory Board | For | Against | Corporate governance concerns |
| ErlingKlinger AG Germany 18-May-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | EM Systems Co., Ltd. Japan 17-Mar-21 | | | |
| C | Elect Director Kunimitsu, Hiromasa | For | Abstain | Independence concerns |
| D | Embotelladora Andina SA Chile 15-Apr-21 | | | |
| E | Approve Remuneration of Directors, Directors' Committee and Audit Committee, their Annual Reports and Expenses Incurred by both Committees | For | Abstain | Lack of disclosure |
| F | Designate Risk Assessment Companies | For | Abstain | Lack of disclosure |
| G | Elect Directors | For | Abstain | Lack of disclosure |
| H | Other Business | For | Against | Technical vote |
| I | Present Dividend Policy | For | Abstain | Lack of disclosure |
| J | Embracer Group AB Sweden 16-Sep-21 | | | |
| K | Approve Remuneration of Auditors | For | Against | Audit concerns |
| L | Ratify Ernst & Young as Auditors | For | Against | Audit concerns |
| M | Reelect Ulf Hjalmarsson as Director | For | Against | Audit concerns |
| N | Embraer SA Brazil 26-Apr-21 | | | |
| O | Elect Directors | For | Against | Bundled election |
| P | EMCOR Group, Inc. USA 10-Jun-21 | | | |
| Q | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| R | Emergent BioSolutions Inc. USA 20-May-21 | | | |
| S | Elect Director Marvin White | For | Against | Corporate governance concerns |
| T | Emirates Telecommunications Group Co. PJSC United Arab Emirates 17-Mar-21 | | | |
| U | Approve Board Report on Company Operations and Its Financial Position for FY 2020 | For | Against | Insufficient information |
| V | Emirates Telecommunications Group Co. PJSC United Arab Emirates 8-Dec-21 | | | |
| W | Amend the Borrowing Cap | For | Abstain | Insufficient information |
| X | Empire State Realty Trust, Inc. USA 13-May-21 | | | |
| Y | Elect Director Steven J. Gilbert | For | Withhold | Overboarding concerns |
| Z | Empiric Student Property PLC United Kingdom 25-May-21 | | | |
| | Re-elect Mark Pain as Director | For | Abstain | Independence concerns |
| | Empresas Copec SA Chile 28-Apr-21 | | | |
| | Elect Directors | For | Abstain | Corporate governance concerns |
| | EMS-Chemie Holding AG Switzerland 7-Aug-21 | | | |
| | Approve Remuneration of Executive Committee in the Amount of CHF 2.8 Million | For | Against | Lack of disclosure |
| | Transact Other Business (Voting) | For | Against | Technical vote |
| | Enable Midstream Partners LP USA 7-May-21 | | | |
| | Advisory Vote on Golden Parachutes | For | Abstain | Technical vote |
| | Approve Merger Agreement | For | Abstain | Technical vote |
| | Enagas SA Spain 26-May-21 | | | |
| | Authorize Issuance of Convertible Bonds, Debentures, Warrants, and Other Debt Securities up to EUR 1 Billion with Exclusion of Preemptive Rights up to 10 Percent of Capital | For | Against | Excessive issuance |
| | Enbridge Inc. Canada 5-May-21 | | | |
| | Elect Director Marcel R. Coutu | For | Withhold | Overboarding concerns |
| | Elect Director Pamela L. Carter | For | Withhold | Corporate Governance concerns |
| | Endeavour Mining Corporation Cayman Islands 25-May-21 | | | |
| | Approve Endeavor Mining plc Executive Performance Share Plan | For | Against | Remuneration concerns |
| | Advisory Vote on Executive Compensation Approach | For | Against | Remuneration concerns |
| | Enel Americas SA Chile 29-Apr-21 | | | |
| | Other Business | For | Against | Technical Vote |
| | Enel Chile SA Chile 28-Apr-21 | | | |
| | Other Business | For | Against | Non-transparent voting item |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| ENEOS Holdings, Inc. Japan 25-Jun-21 | | | |
| Elect Director Sugimori, Tsutomu | For | Abstain | Independence concerns |
| Eneti Inc. Marshall Isl 26-May-21 | | | |
| Elect Director Einar Michael Steimler | For | Withhold | Lack of disclosure |
| Eneti Inc. Marshall Isl 24-Sep-21 | | | |
| Increase Authorized Common Stock | For | Against | Dilutive impact |
| Eni SpA Italy 12-May-21 | | | |
| Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |
| Ennis, Inc. USA 15-Jul-21 | | | |
| Other Business | For | Against | Technical vote |
| EnQuest Plc United Kingdom 12-May-21 | | | |
| Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Elect Farina Khan as Director | For | Against | Overboarding concerns |
| Re-elect Howard Paver as Director | For | Abstain | Remuneration concerns |
| Re-elect Martin Houston as Director | For | Abstain | Corporate governance concerns |
| EnQuest Plc United Kingdom 23-Jul-21 | | | |
| Approve Participation of Double A Limited in the Second Lien Financing | For | Abstain | Technical vote |
| Entravision Communications Corporation USA 27-May-21 | | | |
| Elect Director Gilbert R. Vasquez | For | Withhold | Audit concerns |
| Elect Director Juan Saldivar von Wuthenau | For | Withhold | Corporate Governance concerns |
| EOG Resources, Inc. USA 29-Apr-21 | | | |
| Elect Director Julie J. Robertson | For | Abstain | Combined CEO/Chair |
| Epizyme, Inc. USA 11-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Kevin T. Conroy | For | Withhold | Overboarding concerns |
| Equals Group Plc United Kingdom 10-Jun-21 | | | |
| Authorise Issue of Equity without Pre-emptive Rights | For | Abstain | Dilutive impact |
| Equifax Inc. USA 6-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Equinix, Inc. USA 26-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | Supporting better corporate governance practices |
| Equity Bancshares, Inc. USA 27-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Brad S. Elliott | For | Abstain | Independence concerns |
| Equity Commonwealth USA 23-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Ero Copper Corp. Canada 4-May-21 | | | |
| Elect Director Lyle Braaten | For | Withhold | Overboarding concerns |
| Eros STX Global Corporation Isle of Man 21-Dec-21 | | | |
| Elect Director Dilip Thakkar | For | Against | Overboarding concerns |
| Elect Director John Zhao | For | Against | Corporate governance and Overboarding concerns |
| Esprinet SpA Italy 7-Apr-21 | | | |
| Approve Long-Term Incentive Plan | For | Against | Remuneration concerns |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | Esquire Financial Holdings, Inc. USA 27-May-21 | | | |
| C | Approve Omnibus Stock Plan | For | Against | Remuneration concerns |
| D | ESR Cayman Ltd. Cayman Islands 2-Jun-21 | | | |
| E | Adopt Long Term Incentive Scheme and Authorize Board to Grant Awards and Issue Shares Under the Long Term Incentive Scheme | For | Against | Strategic and Remuneration concerns |
| F | Approve Grant of the Scheme Mandate to the Board to Grant Awards Under the Long Term Incentive Scheme and Authorize Board to Issue Shares as and When the Awards Vest | For | Against | Strategic and Remuneration concerns |
| G | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| H | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| I | Elect Simon James McDonald as Director | For | Against | Audit concerns |
| J | Essent Group Ltd. Bermuda 5-May-21 | | | |
| K | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| L | Essentra Plc United Kingdom 20-May-21 | | | |
| M | Re-elect Paul Lester as Director | For | Abstain | Independence concerns |
| N | EssilorLuxottica SA France 21-May-21 | | | |
| O | Amend Article 13 of Bylaws Re: Directors Length of Term | For | Against | Not in shareholders best interest |
| P | Approve Compensation of Leonardo Del Vecchio, Chairman and CEO Until Dec. 17, 2020 and Chairman of the Board Since Dec. 17, 2020 | For | Against | Remuneration concerns |
| Q | Approve Remuneration Policy of Corporate Officers, Since the General Assembly | For | Against | Remuneration concerns |
| R | Etablissements Maurel & Prom SA France 18-May-21 | | | |
| S | Approve Remuneration Policy of CEO | For | Against | Lack of disclosure |
| T | Authorize up to 3 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Strategic concerns |
| U | Eurazeo SE France 28-Apr-21 | | | |
| V | Approve Remuneration Policy of Members of Management Board | For | Against | Lack of disclosure and Remuneration concerns |
| W | Eurocell Plc United Kingdom 13-May-21 | | | |
| X | Re-elect Robert Lawson as Director | For | Abstain | Gender diversity concerns |
| Y | Eurofins Scientific SE Luxembourg 22-Apr-21 | | | |
| Z | Approve Creation of Class C Beneficiary Units and Amend Articles of Association | For | Against | Not in shareholders best interest |
| | Approve Discharge of Directors | For | Against | Poor response to prior dissent |
| | Approve Remuneration of Directors | For | Against | Remuneration concerns |
| | Increase Authorized Share Capital and Amend Articles of Association | For | Against | Dilutive impact |
| | Increase Authorized Share Capital and Amend Articles of Association | For | Against | Dilutive impact |
| | Euronet Worldwide, Inc. USA 18-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Paul S. Althasen | For | Withhold | Gender diversity concerns and Lack of virtual-only meeting disclosure |
| | Elect Director Thomas A. McDonnell | For | Withhold | Remuneration concerns |
| | Eutelsat Communications SA France 4-Nov-21 | | | |
| | Approve Compensation of Michel Azibert, Vice-CEO | For | Against | Remuneration concerns |
| | Approve Compensation of Rodolphe Belmer, CEO | For | Against | Remuneration concerns |
| | Approve Remuneration Policy of CEO | For | Against | Remuneration concerns |
| | Approve Remuneration Policy of Vice-CEOs | For | Against | Remuneration concerns |
| | Authorize up to 0.5 Percent of Issued Capital for Use in Restricted Stock Plans Reserved for Eligible Employees and Corporate Officers | For | Against | Remuneration concerns |
| | Elect Bpifrance Investissement as Director | For | Against | Attendance concerns |
| | Everbridge, Inc. USA 20-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Everest Medicines Limited Cayman Islands 1-Jun-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Eergy, Inc. USA 4-May-21 | | | |
| Elect Director Mark A. Ruelle | For | Abstain | Climate risk management concerns |
| EverQuote, Inc. USA 10-Jun-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Lack of disclosure and Remuneration concerns |
| EVI Industries, Inc. USA 16-Dec-21 | | | |
| Elect Director David Blyer | For | Withhold | Corporate governance and Gender diversity concerns |
| Elect Director Timothy P. LaMacchia | For | Withhold | Audit concerns |
| Evolution Gaming Group AB Sweden 16-Apr-21 | | | |
| Approve Nomination Committee Procedures | For | Against | Corporate governance concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Reelect Jens von Bahr as Director | For | Against | Gender Diversity Concerns |
| EVRAZ Plc United Kingdom 15-Jun-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Alexander Abramov as Director | For | Abstain | ESG risk management concerns |
| Re-elect Alexander Izosimov as Director | For | Abstain | Remuneration concerns |
| Exellix, Inc. USA 26-May-21 | | | |
| Elect Director Alan M. Garber | For | Abstain | Gender diversity concerns |
| EXOR NV Netherlands 27-May-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Authorize Board to Exclude Preemptive Rights from Share Issuances | For | Against | Dilutive impact |
| Authorize Repurchase of Shares | For | Against | Not in shareholders interest |
| Grant Board Authority to Issue Shares | For | Against | Dilutive impact |
| Grant Board Authority to Issue Special Voting Shares A without Preemptive Rights | For | Against | Dilutive impact |
| eXp World Holdings, Inc. USA 17-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Glenn Sanford | For | Against | Independence concerns |
| Increase Authorized Common Stock | For | Against | Remuneration concerns |
| Expedia Group, Inc. USA 9-Jun-21 | | | |
| Elect Director Craig Jacobson | For | Withhold | Corporate Governance concerns |
| Report on Political Contributions and Expenditures | Against | For | Supporting better corporate governance practices |
| Experian Plc Jersey 21-Jul-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect George Rose as Director | For | Abstain | Remuneration concerns |
| Extreme Networks, Inc. USA 4-Nov-21 | | | |
| Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |
| Elect Director John C. Shoemaker | For | Withhold | Lack of virtual-only meeting disclosure |
| Exxon Mobil Corporation USA 26-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | Against | For | No material concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|---|
| B | F | | | |
| C | Facebook, Inc. USA 26-May-21 | | | |
| D | Amend Non-Employee Director Compensation Policy | For | Against | Remuneration concerns |
| E | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| F | Elect Director Marc L. Andreessen | For | Withhold | Corporate Governance concerns |
| G | Elect Director Peggy Alford | For | Withhold | Independence concerns |
| H | Report on Online Child Sexual Exploitation | Against | For | Supporting better ESG risk management disclosures |
| I | Report on Platform Misuse | Against | For | Supporting better ESG risk management disclosures |
| J | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| K | Require Independent Director Nominee with Human and/or Civil Rights Experience | Against | For | Supporting better corporate governance practices |
| L | Fagron NV Belgium 10-May-21 | | | |
| M | Approve Change-of-Control Clause Re: Subscription Rights Plan 2020 | For | Against | Corporate Governance concerns |
| N | Renew Authorization to Increase Share Capital within the Framework of Authorized Capital | For | Against | Dilutive impact |
| O | Falcon Minerals Corporation USA 27-May-21 | | | |
| P | Elect Director Alan J. Hirshberg | For | Against | Independence concerns |
| Q | Elect Director Steven R. Jones | For | Against | Audit concerns |
| R | Fang Holdings Limited Cayman Islands 27-Sep-21 | | | |
| S | Approve Appointment of Independent Directors of Fang Holdings to Boards of Related VIEs | For | Abstain | Insufficient information |
| T | Authorize Board to Confirm the Terms of Appointment for Yu Huang | For | Abstain | Insufficient information |
| U | Elect Howard Huyue Zhang, Changming Yan, Shaohua Zhang, and Jian Liu as Directors | For | Abstain | Insufficient information |
| V | Elect Yu Huang as Director | For | Abstain | Insufficient information |
| W | Ratify and Confirm Actions Taken by Howard Huyue Zhang, Changming Yan, Shaohua Zhang, and Jian Liu | For | Abstain | Insufficient information |
| X | Fang Holdings Limited Cayman Islands 31-Dec-21 | | | |
| Y | Ratify MaloneBailey, LLP as Auditors | For | Against | Lack of disclosure |
| Z | Fastenal Company USA 24-Apr-21 | | | |
| | Elect Director Michael J. Ancius | For | Abstain | Gender diversity concerns |
| | Fastighets AB Balder Sweden 12-May-21 | | | |
| | Approve Issuance of up to 20 Million Class B Shares without Preemptive Rights | For | Against | Dilutive impact |
| | Authorize Lars Rasin and Representatives of Two of Company's Largest Shareholders to Serve on Nominating Committee | For | Against | Independence concerns |
| | Reelect Anders Wennergren as Director | For | Against | Corporate Governance concerns |
| | Reelect Christina Rogestam as Board Chairman | For | Against | Audit concerns |
| | Reelect Christina Rogestam as Director | For | Abstain | Audit concerns |
| | Fathom Holdings, Inc. USA 20-Oct-21 | | | |
| | Elect Director David C. Hood | For | Withhold | Audit concerns |
| | Elect Director Jennifer B. Venable | For | Withhold | Corporate Governance concerns |
| | Elect Director Marco Fregenal | For | Withhold | Independence concerns |
| | FDM Group (Holdings) Plc United Kingdom 28-Apr-21 | | | |
| | Re-elect David Lister as Director | For | Abstain | Corporate governance concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| FedEx Corporation USA 27-Sep-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director David P. Steiner | For | Abstain | Combined CEO/Chair |
| Report on Alignment Between Company Values and Electioneering Contributions | Against | For | Supporting better corporate governance practices |
| Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| Submit Severance Agreement (Change-in-Control) to Shareholder Vote | Against | For | Supporting better corporate governance practices |
| Ferguson Plc Jersey 2-Dec-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Jacqueline Simmonds as Director | For | Abstain | Remuneration concerns |
| Ferrari NV Netherlands 15-Apr-21 | | | |
| Approve Awards to Executive Director | For | Against | Remuneration concerns |
| Approve Discharge of Directors | For | Against | Poor response to prior dissent |
| Approve Remuneration Report | For | Against | Poor response to prior dissent and Remuneration concerns |
| Reelect Delphine Arnault as Non-Executive Director | For | Against | Overboarding concerns |
| Ferro Corporation USA 29-Apr-21 | | | |
| Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |
| Ferrovial SA Spain 8-Apr-21 | | | |
| Advisory Vote on Company's Greenhouse Gas Emissions Reduction Plan | For | Abstain | Lack of disclosure |
| Advisory Vote on Remuneration Report | For | Against | Remuneration concerns |
| Advisory Vote, as from the 2022 AGM, on the Company's Climate Strategy Report | For | Against | Not in shareholder best interest |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Fidelity D & D Bancorp, Inc. USA 4-May-21 | | | |
| Elect Director John T. Cignetti | For | Withhold | Independence concerns |
| Elect Director Richard J. Lettieri | For | Withhold | Corporate governance concerns |
| Fidelity National Information Services, Inc. USA 19-May-21 | | | |
| Elect Director Ellen R. Alemany | For | Abstain | Combined CEO/Chair |
| Elect Director Lisa A. Hook | For | Against | Overboarding concerns |
| Fiesta Restaurant Group, Inc. USA 28-Apr-21 | | | |
| Other Business | For | Against | Technical vote |
| Fifth Wall Acquisition Corp. I USA 23-Aug-21 | | | |
| Adopt Supermajority Vote Requirement for Removal of Directors | For | Against | Anti-takeover device |
| Adopt Supermajority Vote Requirement to Amend Bylaws | For | Against | Anti-takeover device |
| Adopt Supermajority Vote Requirement to Amend Charter | For | Against | Anti-takeover device |
| Approve Qualified Employee Stock Purchase Plan | For | Against | Remuneration concerns |
| Increase Authorized Preferred and Common Stock and Eliminate Class B Common Stock | For | Against | Not in shareholders best interest/ Anti-takeover device |
| First Bancorp USA 6-May-21 | | | |
| Elect Director Dennis A. Wicker | For | Withhold | Independence concerns |
| First BanCorp Puerto Rico 21-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Juan Acosta Reboyras | For | Against | Remuneration concerns |
| First Busey Corporation USA 19-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns/Poor response to prior dissent |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | First Citizens BancShares, Inc. USA 27-Apr-21 | | | |
| C | Elect Director Robert T. Newcomb | For | Withhold | Gender diversity concerns |
| D | First Community Bankshares, Inc. USA 27-Apr-21 | | | |
| E | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| F | First Financial Corporation USA 21-Apr-21 | | | |
| G | Elect Director Thomas C. Martin | For | Withhold | Independence concerns |
| H | First Guaranty Bancshares, Inc. USA 20-May-21 | | | |
| I | Elect Director Edgar R. Smith, III | For | Withhold | Independence concerns |
| J | Elect Director Marshall T. Reynolds | For | Withhold | Corporate governance, Gender diversity and Independence concerns |
| K | Elect Director William K. Hood | For | Withhold | Independence concerns |
| L | First Industrial Realty Trust, Inc. USA 5-May-21 | | | |
| M | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| N | First Interstate BancSystem, Inc. USA 26-May-21 | | | |
| O | Elect Stephen B. Bowman and Joyce A. Phillips as Directors | For | Abstain | Bundled election |
| P | First Mid Bancshares, Inc. USA 28-Apr-21 | | | |
| Q | Elect Director Holly B. Adams (formerly known as Holly A. Bailey) | For | Withhold | Corporate Governance concerns |
| R | First Pacific Company Limited Bermuda 10-Jun-21 | | | |
| S | Elect Madeleine Lee Suh Shin as Director | For | Against | Audit concerns |
| T | Elect Philip Fan Yan Hok as Director | For | Against | Gender diversity concerns and overboarding concerns |
| U | First Solar, Inc. USA 12-May-21 | | | |
| V | Elect Director Paul H. Stebbins | For | Against | Corporate governance concerns |
| W | Report on Board Diversity | Against | For | In shareholders best interest |
| X | FirstRand Ltd. South Africa 1-Dec-21 | | | |
| Y | Re-elect Tom Winterboer as Director | For | Abstain | Gender Diversity concerns |
| Z | Fisher & Paykel Healthcare Corporation Limited New Zealand 18-Aug-21 | | | |
| | Elect Michael Daniell as Director | For | Against | Independence concerns |
| | Elect Scott St John as Director | For | Abstain | Gender diversity concerns |
| | Five Below, Inc. USA 15-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | 500.com Limited Cayman Islands 8-Apr-21 | | | |
| | Increase Authorized Common Stock | For | Against | Dilutive impact |
| | Five9, Inc. USA 30-Sep-21 | | | |
| | Adjourn Meeting | For | Against | Transaction concerns |
| | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| | Approve Merger Agreement | For | Against | Transaction concerns |
| | Fiverr International Ltd. Israel 19-Oct-21 | | | |
| | Approve Amended Compensation Term to Company's Non-Executive Directors and One-Time Equity Grant to Certain Directors | For | Against | Remuneration concerns |
| | Approve Amendment of Compensation Policy for the Directors and Officers of the Company | For | Against | Remuneration concerns |
| | Reappoint Kost Forer Gabbay & Kasierer as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| | Reelect Nir Zohar as Director | For | Abstain | Combined CEO/Chair |
| | Flagstar Bancorp, Inc. USA 4-Aug-21 | | | |
| | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| FLEETCOR Technologies, Inc. USA 10-Jun-21 | | | |
| Elect Director Steven T. Stull | For | Abstain | Gender diversity concerns |
| Elect Director Thomas M. Hagerty | For | Against | Failure to implement shareholder proposal |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Fletcher Building Limited New Zealand 19-Oct-21 | | | |
| Elect Rob McDonald as Director | For | Abstain | Gender Diversity concerns/ Climate Risk Management concerns |
| Flotek Industries, Inc. USA 3-Jun-21 | | | |
| Elect Director David Nierenberg | For | Against | Audit concerns |
| Elect Director Ted D. Brown | For | Against | Gender diversity concerns |
| Flowers Foods, Inc. USA 27-May-21 | | | |
| Elect Director Benjamin H. Griswold, IV | For | Abstain | Gender diversity concerns |
| Report on Political Contributions | Against | For | Supporting better sustainability practices |
| Fluor Corporation USA 6-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director James T. Hackett | For | Against | Remuneration concerns |
| FMC Corporation USA 27-Apr-21 | | | |
| Elect Director Paul J. Norris | For | Abstain | Gender diversity concerns |
| Focus Financial Partners Inc. USA 26-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Fomento Económico Mexicano SAB de CV Mexico 24-Mar-21 | | | |
| Approve Financial Statements and Statutory Reports | For | Abstain | Lack of disclosure |
| Elect Directors and Secretaries, Verify Director's Independence Classification as Per Mexican Securities Law, and Approve their Remuneration | For | Against | Bundled election and Overboarding concerns |
| Ford Motor Company USA 13-May-21 | | | |
| Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| Ford Otomotiv Sanayi AS Turkey 17-Mar-21 | | | |
| Amend Articles 6 Re: Capital Related | For | Against | Dilutive impact |
| Approve Director Remuneration | For | Against | Lack of disclosure |
| Approve Upper Limit of Donations for 2021 and Receive Information on Donations Made in 2020 | For | Against | Lack of disclosure |
| Elect Directors | For | Against | Bundled election, Independence and Overboarding concerns |
| Grant Permission for Board Members to Engage in Commercial Transactions with Company and Be Involved with Companies with Similar Corporate Purpose | For | Against | Not in shareholders interest |
| Ratify Director Appointments | For | Abstain | Bundled election |
| FORESIGHT AUTONOMOUS HOLDINGS LTD. Israel 8-Jul-21 | | | |
| Appoint Haim Siboni as Chairman and CEO | For | Against | Corporate governance concerns |
| Approve Compensation Terms of Haim Siboni, Chairman and CEO | For | Against | Remuneration concerns |
| Elect Moshe Scherf as Director | For | Against | Corporate governance concerns |
| Formosa Chemicals & Fibre Corp. Taiwan 18-Jun-21 | | | |
| Approve Release of Restrictions of Competitive Activities of Newly Appointed Directors | For | Against | Conflict of interest concerns |
| Formosa Petrochemical Corp. Taiwan 17-Jun-21 | | | |
| Elect Susan Wang, a Representative of Formosa Plastics Corp. with Shareholder No. 1, as Non-Independent Director | For | Against | Attendance concerns |
| Elect Walter Wang, with ID NO. A123114XXX, as Non-Independent Director | For | Against | Attendance concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Formosa Plastics Corp. Taiwan 23-Jun-21 | | | |
| C | Elect Cher Wang, with Shareholder No. 771725, as Non-independent Director | For | Against | Attendance concerns |
| D | Elect K.H. Wu, with Shareholder No. 55597, as Non-independent Director | For | Against | Attendance concerns |
| E | Elect Ralph Ho, with Shareholder No. 38, as Non-independent Director | For | Against | Attendance concerns |
| F | Elect Susan Wang, a Representative of Nanya Plastics Corporation with Shareholder No. 6145, as Non-independent Director | For | Against | Attendance concerns |
| G | Elect Wilfred Wang, a Representative of Formosa Petrochemical Corporation with Shareholder No. 558432, as Non-independent Director | For | Against | Attendance concerns |
| H | Forterra Plc United Kingdom 18-May-21 | | | |
| I | Re-elect Justin Atkinson as Director | For | Abstain | Gender Diversity concerns |
| J | Fortescue Metals Group Ltd. Australia 9-Nov-21 | | | |
| K | Approve Issuance of Performance Rights to Elizabeth Gaines | For | Against | Remuneration concerns |
| L | Elect Jean Baderschneider as Director | For | Abstain | Corporate governance concerns |
| M | Fortinet, Inc. USA 18-Jun-21 | | | |
| N | Elect Director Kenneth A. Goldman | For | Against | Overboarding concerns |
| O | Fortis Inc. Canada 6-May-21 | | | |
| P | Elect Director Douglas J. Haughey | For | Withhold | Climate risk management concerns |
| Q | Fortive Corporation USA 8-Jun-21 | | | |
| R | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| S | Elect Director Kate D. Mitchell | For | Against | Remuneration concerns |
| T | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| U | Fortum Oyj Finland 28-Apr-21 | | | |
| V | Approve Remuneration Report (Advisory Vote) | For | Against | Remuneration concerns |
| W | Reelect Essimari Kairisto, Anja McAlister (Deputy Chair), Teppo Paavola, Veli-Matti Reinikkala (Chair), Philipp Rosler and Annette Stube as Directors; Elect Luisa Delgado as New Director | For | Abstain | Bundled election |
| X | Forward Pharma A/S Denmark 27-May-21 | | | |
| Y | Reelect Jakob Mosegaard Larsen as Director | For | Abstain | Corporate governance concerns |
| Z | 4imprint Group Plc United Kingdom 18-May-21 | | | |
| | Re-elect Charles Brady as Director | For | Against | Gender Diversity Concerns |
| | Fox Corporation USA 10-Nov-21 | | | |
| | Elect Director Paul D. Ryan | For | Abstain | Gender diversity concerns |
| | Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| | Foxconn Industrial Internet Co., Ltd. China 8-Jun-21 | | | |
| | Approve Appointment of Auditor | For | Against | Audit concerns |
| | Elect Yang Feifei as Supervisor | For | Against | Independence concerns |
| | Franklin Templeton Investment Funds – Franklin Mutual Global Discovery Fund Luxembourg 30-Nov-21 | | | |
| | Re-elect Gregory E. Johnson, Mark G. Holowesko, William Lockwood, James J.K. Hung and Jed A. Plafker as Directors | For | Abstain | Bundled elections |
| | Transact Other Business (Voting) | For | Against | Technical vote |
| | Re-elect Gregory E. Johnson, Mark G. Holowesko, William Lockwood, James J.K. Hung and Jed A. Plafker as Directors | For | Abstain | Bundled election |
| | Transact Other Business (Voting) | For | Against | Technical vote |
| | Re-elect Gregory E. Johnson, Mark G. Holowesko, William Lockwood, James J.K. Hung and Jed A. Plafker as Directors | For | Abstain | Bundled election |
| | Transact Other Business (Voting) | For | Against | Non-transparent voting item/ Technical vote |
| | Frank's International N.V. Netherlands 10-Sep-21 | | | |
| | Amend Compensation Policy | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Fraport AG Frankfurt Airport Services Worldwide Germany 1-Jun-21 | | | |
| Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds with Partial Exclusion of Preemptive Rights up to Aggregate Nominal Amount of EUR 800 Million; Approve Creation of EUR 120.2 Million Pool of Capital to Guarantee Conversion Rights | For | Against | Excessive issuance |
| Frasers Property Limited Singapore 22-Jan-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| Elect Khunying Wanna Sirivadhanabhakdi as Director | For | Against | Overboarding concerns |
| Elect Weerawong Chittmittrapap as Director | For | Against | Overboarding concerns |
| FreeBit Co., Ltd. Japan 29-Jul-21 | | | |
| Approve Two Types of Restricted Stock Plans | For | Against | Remuneration concerns |
| Elect Director Ishida, Atsuki | For | Abstain | Independence concerns |
| freenet AG Germany 18-Jun-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Frequency Therapeutics, Inc. USA 17-Jun-21 | | | |
| Elect Director Joel S. Marcus | For | Withhold | Corporate Governance and Independence concerns and Overboarding concerns |
| Fresnillo Plc United Kingdom 24-Jun-21 | | | |
| Elect Eduardo Cepeda as Director | For | Against | Overboarding concerns |
| Re-elect Arturo Fernandez as Director | For | Against | Overboarding concerns |
| Re-elect Fernando Ruiz as Director | For | Against | Overboarding concerns |
| Re-elect Juan Bordes as Director | For | Against | Overboarding concerns |
| Frontline Ltd. Bermuda 26-May-21 | | | |
| Elect Director James O'Shaughnessy | For | Against | Overboarding concerns |
| Elect Director Ola Lorentzon | For | Against | Corporate governance, Gender diversity concerns and Lack of disclosure |
| FTIF – Templeton Latin America Luxembourg 30-Nov-21 | | | |
| Re-elect Gregory E. Johnson, Mark G. Holowesko, William Lockwood, James J.K. Hung and Jed A. Plafker as Directors | For | Abstain | Bundled election |
| Transact Other Business (Voting) | For | Against | Technical vote |
| fuboTV Inc. USA 14-Jul-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Daniel Leff | For | Withhold | Corporate governance concerns |
| Elect Director Ignacio Figueras | For | Withhold | Independence concerns |
| FuelCell Energy, Inc. USA 8-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| Fuji Electric Co., Ltd. Japan 25-Jun-21 | | | |
| Elect Director Kitazawa, Michihiro | For | Abstain | Independence and gender diversity concerns |
| Elect Director Tachikawa, Naomi | For | Against | Independence concerns |
| Elect Director Tamba, Toshihito | For | Against | Independence concerns |
| Fuji Kyuko Co., Ltd. Japan 18-Jun-21 | | | |
| Elect Director Akiyama, Tomofumi | For | Against | Independence concerns |
| Elect Director Horiuchi, Koichiro | For | Abstain | Independence concerns |
| Elect Director Nagaoka, Tsutomu | For | Against | Independence concerns |
| Elect Director Sato, Yoshiki | For | Against | Independence concerns |
| Elect Director Shimizu, Hiroshi | For | Against | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Fuji Media Holdings, Inc. Japan 25-Jun-21 | | | |
| C | Elect Director Miki, Akihiro | For | Against | Independence concerns |
| D | Elect Director Miyauchi, Masaki | For | Abstain | Independence and gender diversity concerns |
| E | Elect Director Shimatani, Yoshishige | For | Against | Independence concerns |
| F | FUJIFILM Holdings Corp. Japan 29-Jun-21 | | | |
| G | Elect Director Kitamura, Kunitaro | For | Against | Independence concerns |
| H | Elect Director Sukeno, Kenji | For | Abstain | Independence concerns |
| I | Fujikura Ltd. Japan 30-Jun-21 | | | |
| J | Elect Director and Audit Committee Member Meguro, Kozo | For | Against | Independence concerns |
| K | Elect Director and Audit Committee Member Yamaguchi, Yoji | For | Against | Independence concerns |
| L | Elect Director Ito, Masahiko | For | Abstain | Independence concerns |
| M | Fukuoka Financial Group, Inc. Japan 29-Jun-21 | | | |
| N | Elect Director Shibato, Takashige | For | Abstain | Independence concerns |
| O | Fukuyama Transporting Co., Ltd. Japan 22-Jun-21 | | | |
| P | Appoint Statutory Auditor Nakamura, Seiichi | For | Against | Independence concerns |
| Q | Elect Director Komaru, Shigehiro | For | Abstain | Independence concerns |
| R | Fulcrum Therapeutics, Inc. USA 9-Jun-21 | | | |
| S | Elect Director James Geraghty | For | Withhold | Corporate government and overboarding concerns |
| T | Fulgent Genetics, Inc. USA 20-May-21 | | | |
| U | Elect Director Yun Yen | For | Withhold | Corporate governance concerns |
| V | Future Plc United Kingdom 10-Feb-21 | | | |
| W | Elect Richard Huntingford as Director | For | Abstain | Corporate governance concerns |
| X | FutureFuel Corp. USA 22-Sep-21 | | | |
| Y | Other Business | For | Against | Technical vote |
| Z | Fuyo General Lease Co., Ltd. Japan 23-Jun-21 | | | |
| | Appoint Statutory Auditor Suda, Shigeru | For | Against | Independence concerns |
| | Appoint Statutory Auditor Yonekawa, Takashi | For | Against | Independence concerns |
| | Elect Director Tsujita, Yasunori | For | Abstain | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
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| G1 Therapeutics, Inc. USA 17-Jun-21 | | | |
| Elect Director Cynthia L. Schwalm | For | Withhold | Overboarding concerns |
| Gaia, Inc. USA 29-Apr-21 | | | |
| Elect Director James Colquhoun | For | Withhold | Independence concerns |
| Elect Director Paul Sutherland | For | Withhold | Audit concerns |
| Galapagos NV Belgium 28-Apr-21 | | | |
| Approve Discharge of Directors and Auditors | For | Against | Poor response to prior dissent |
| Approve Remuneration Report | For | Against | Poor response to prior dissent and Remuneration concerns |
| Galaxy Entertainment Group Limited Hong Kong 13-May-21 | | | |
| Adopt New Share Option Scheme | For | Against | Remuneration concerns |
| Adopt New Share Option Scheme | For | Against | Remuneration concerns and Not in the best interest of shareholders |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect Francis Lui Yiu Tung as Director | For | Against | Independence and Gender diversity concerns |
| Elect James Ross Ancell as Director | For | Against | Audit concerns |
| Galenica AG Switzerland 12-May-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| GAM Holding AG Switzerland 29-Apr-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Games Workshop Group Plc United Kingdom 15-Sep-21 | | | |
| Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect John Brewis as Director | For | Abstain | Remuneration concerns |
| GameStop Corp. USA 9-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent and Lack of disclosure |
| Gamesys Group Plc United Kingdom 10-Jun-21 | | | |
| Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Jim Ryan as Director | For | Against | Remuneration concerns |
| Re-elect Neil Goulden as Director | For | Against | Independence concerns |
| Gaming and Leisure Properties, Inc. USA 10-Jun-21 | | | |
| Elect Director E. Scott Urdang | For | Abstain | Gender diversity concerns |
| GAN Ltd. Bermuda 15-Mar-21 | | | |
| Approve Employee Stock Purchase Plan | For | Against | Dilutive impact |
| GAN Ltd. Bermuda 20-Jul-21 | | | |
| Elect Director Michael Smurfit, Jr. | For | Withhold | Independence concerns |
| Gannett Co., Inc. USA 7-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Kevin M. Sheehan | For | Withhold | Audit concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | Garmin Ltd. Switzerland 4-Jun-21 | | | |
| C | Appoint Jonathan C. Burrell as Member of the Compensation Committee | For | Against | Corporate governance concerns |
| D | Elect Director Jonathan C. Burrell | For | Against | Corporate governance and Gender diversity concerns |
| E | Elect Min H. Kao as Board Chairman | For | Against | Corporate governance concerns |
| F | Gates Industrial Corporation plc United Kingdom 17-Jun-21 | | | |
| G | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| H | Approve Remuneration Report | For | Against | Remuneration concerns |
| I | Elect Director Julia C. Kahr | For | Against | Remuneration concerns |
| J | GDS Holdings Limited Cayman Islands 29-Jun-21 | | | |
| K | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| L | Geberit AG Switzerland 14-Apr-21 | | | |
| M | Approve Remuneration Report | For | Against | Remuneration concerns |
| N | Transact Other Business (Voting) | For | Against | Technical vote |
| O | Gecina SA France 22-Apr-21 | | | |
| P | Ratify Appointment of Carole Le Gall as Censor | For | Abstain | Technical vote |
| Q | Geely Automobile Holdings Limited Cayman Islands 24-May-21 | | | |
| R | Approve Grant Thornton Hong Kong Limited as Auditor and Authorize Board to Fix Their Remuneration | For | Against | Audit concerns |
| S | Approve Grant Thornton Hong Kong Limited as Auditor and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| T | Elect An Qing Heng as Director | For | Against | Climate risk management concerns |
| U | Genco Shipping & Trading Limited Marshall Isl 13-May-21 | | | |
| V | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| W | General Dynamics Corporation USA 5-May-21 | | | |
| X | Elect Director James S. Crown | For | Abstain | Combined CEO/Chair |
| Y | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| Z | General Electric Company USA 4-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Risa Lavizzo-Mourey | For | Abstain | Combined CEO/Chair |
| | Elect Director Thomas Horton | For | Against | Remuneration concerns |
| | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| | General Motors Company USA 14-Jun-21 | | | |
| | Elect Director Patricia F. Russo | For | Abstain | Combined CEO/Chair |
| | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| | Genfit SA France 15-Jun-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 3.75 Million | For | Against | Dilutive impact |
| | Approve Issuance of Equity or Equity-Linked Securities Reserved for Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 3.75 Million | For | Against | Dilutive impact |
| | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 16-18 and 20 | For | Against | Dilutive impact |
| | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| | Authorize Capital Increase of Up to EUR 3.75 Million for Future Exchange Offers | For | Against | Dilutive impact |
| | Authorize Capital Issuances for Use in Employee Stock Purchase Plans | Against | For | Remuneration concerns |
| | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 3.75 Million | For | Against | Dilutive impact |
| | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 16-18 and 20-23 at EUR 3.75 Million | For | Against | Dilutive impact |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Genie Energy Ltd. USA 12-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Approve Omnibus Stock Plan | For | Against | Remuneration concerns |
| Elect Director Joyce J. Mason | For | Against | Independence concerns |
| Elect Director W. Wesley Perry | For | Against | Audit concerns |
| Genting Singapore Limited Singapore 15-Apr-21 | | | |
| Elect Jonathan Asherson as Director | For | Abstain | Gender diversity concerns |
| Genuit Group plc United Kingdom 20-May-21 | | | |
| Re-elect Ron Marsh as Director | For | Abstain | Independence concerns |
| Georg Fischer AG Switzerland 21-Apr-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Getinge AB Sweden 20-Apr-21 | | | |
| Reelect Barbro Friden as Director | For | Against | Independence concerns |
| Reelect Cecilia Daun Wennborg as Director | For | Against | Overboarding and Independence concerns |
| Reelect Dan Frohm as Director | For | Against | Independence concerns |
| Reelect Johan Bygge as Director | For | Against | Independence concerns |
| Reelect Johan Malmquist as Board Chairman | For | Against | Independence concerns |
| Reelect Johan Malmquist as Director | For | Against | Independence concerns |
| Reelect Malin Persson as Director | For | Against | Independence concerns |
| Getlink SE France 28-Apr-21 | | | |
| Approve Amendment of Remuneration Policy of CEO Re: FY 2020 | For | Against | Remuneration concerns |
| Approve Amendment of Remuneration Policy of Chairman and CEO Re: FY 2020 | For | Against | Remuneration concerns |
| Approve Compensation of Jacques Gounon, Chairman and CEO Re: First Semester of the Fiscal Year | For | Against | Remuneration concerns |
| Approve Compensation of Yann Leriche, CEO Re: Second Semester of the Fiscal Year | For | Against | Remuneration concerns |
| Set Total Limit for Capital Increase to Result from Issuance Requests Under Item 21 and 22 at EUR 88 Million | For | Against | Over the threshold |
| G-III Apparel Group, Ltd. USA 10-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Richard White | For | Withhold | Remuneration concerns |
| Elect Director Robert L. Johnson | For | Withhold | Overboarding concerns |
| Gildan Activewear Inc. Canada 6-May-21 | | | |
| Advisory Vote on Executive Compensation Approach | For | Against | Remuneration concerns |
| Elect Director Shirley E. Cunningham | For | Withhold | Remuneration concerns |
| Gilead Sciences, Inc. USA 12-May-21 | | | |
| Elect Director Anthony Welters | For | Abstain | Combined CEO/Chair |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| Givaudan SA Switzerland 25-Mar-21 | | | |
| Reelect Sophie Gasperment as Director | For | Against | Overboarding concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Glencore Plc Jersey 29-Apr-21 | | | |
| Approve Incentive Plan | For | Against | Remuneration concerns |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Re-elect Martin Gilbert as Director | For | Against | Remuneration concerns |
| Global Blood Therapeutics, Inc. USA 17-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Scott W. Morrison | For | Withhold | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Global Fashion Group S.A. Luxembourg 26-May-21 | | | |
| C | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights and Amend Articles 6.1 and 6.2 of the Articles of Association | For | Against | Dilutive impact |
| D | Global Net Lease, Inc. USA 12-Apr-21 | | | |
| E | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| F | Elect Director Edward G. Rendell | For | Against | Corporate governance concerns |
| G | Global Payments Inc. USA 29-Apr-21 | | | |
| H | Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| I | Global Water Resources, Inc. USA 6-May-21 | | | |
| J | Elect Director Brett Huckelbridge | For | Withhold | Independence concerns |
| K | GlobalData Plc United Kingdom 20-Apr-21 | | | |
| L | Accept Financial Statements and Statutory Reports | For | Abstain | Remuneration and Audit concerns |
| M | Re-elect Peter Harkness as Director | For | Abstain | Independence concerns |
| N | Globant SA Luxembourg 2-Apr-21 | | | |
| O | Reelect Richard Haythornthwaite as Director | For | Against | Combined CEO/Chair |
| P | Globus Medical, Inc. USA 3-Jun-21 | | | |
| Q | Approve Omnibus Stock Plan | For | Against | Remuneration and Dilutive concerns |
| R | Elect Director James R. Tobin | For | Abstain | Gender diversity concerns |
| S | Glodon Co., Ltd. China 26-Apr-21 | | | |
| T | Amend External Investment Management Method | For | Against | Lack of disclosure |
| U | Amend Information Management and Disclosure System | For | Against | Lack of disclosure |
| V | Amend Investment Risk Management System | For | Against | Lack of disclosure |
| W | Amend Management System for Providing External Guarantees | For | Against | Lack of disclosure |
| X | Amend Management System of Raised Funds | For | Against | Lack of disclosure |
| Y | Amend Related-Party Transaction Management System | For | Against | Lack of disclosure |
| Z | Amend Rules and Procedures Regarding General Meetings of Shareholders | For | Against | Lack of disclosure |
| | Amend Rules and Procedures Regarding Meetings of Board of Directors | For | Against | Lack of disclosure |
| | Amend Rules and Procedures Regarding Meetings of Board of Supervisors | For | Against | Lack of disclosure |
| | Amend Working System for Independent Directors | For | Against | Lack of disclosure |
| | Approve Financial Statements | For | Against | Lack of disclosure |
| | GN Store Nord A/S Denmark 17-Mar-21 | | | |
| | Instruct Board to Complete an Assessment of the Ability of GN Store Nord to Publish Corporate Country-by-Country Tax Reporting in Line with the Global Reporting Initiative's Standard (GRI 207: Tax 2019) | Against | For | Supporting better corporate governance practices |
| | Reelect Jukka Pekka Pertola as Director | For | Abstain | Overboarding concerns |
| | Reelect Per Wold-Olsen as Director | For | Abstain | Remuneration concerns |
| | Reelect Wolfgang Reim as Director | For | Abstain | Independence concerns |
| | Godrej Consumer Products Limited India 4-Aug-21 | | | |
| | Reelect Nadir Godrej as Director | For | Against | Independence and Overboarding concerns |
| | Godrej Properties Limited India 3-Aug-21 | | | |
| | Approve Additional Provision Towards Long Term Incentive in the Managerial Remuneration to Mohit Malhotra as Managing Director & Chief Executive Officer | For | Against | Remuneration concerns |
| | Approve Increase the Quantum of Stock Options under the Godrej Properties Limited Employees Stock Grant Scheme, 2011 | For | Against | Remuneration concerns |
| | Reelect Nadir B. Godrej as Director | For | Against | Independence and Overboarding concerns |
| | GoHealth, Inc. USA 26-May-21 | | | |
| | Elect Director Rahm Emanuel | For | Withhold | Corporate governance concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Golar LNG Limited Bermuda 10-Aug-21 | | | |
| Reelect Director Carl Steen | For | Against | Corporate governance concerns |
| Reelect Director Niels G. Stolt-Nielsen | For | Against | Independence concerns |
| GOLDCREST Co., Ltd. Japan 17-Jun-21 | | | |
| Approve Director and Statutory Auditor Retirement Bonus | For | Against | Remuneration concerns |
| Elect Director Yasukawa, Hidetoshi | For | Abstain | Independence and gender diversity concerns |
| Golden Agri-Resources Ltd Mauritius 28-Apr-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| Golden Entertainment, Inc. USA 4-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Robert L. Miodunski | For | Withhold | Remuneration concerns |
| Elect Director Terrence L. Wright | For | Withhold | Corporate governance concerns |
| Golden Ocean Group Limited Bermuda 26-May-21 | | | |
| Elect Director James O'Shaughnessy | For | Against | Overboarding concerns |
| Elect Director Ola Lorentzon | For | Against | Corporate governance, Gender diversity concerns and Lack of disclosure |
| Goodman Group Australia 18-Nov-21 | | | |
| Approve Issuance of Performance Rights to Anthony Rozic | For | Against | Remuneration concerns |
| Approve Issuance of Performance Rights to Danny Peeters | For | Against | Remuneration concerns |
| Approve Issuance of Performance Rights to Greg Goodman | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Elect Rebecca McGrath as Director of Goodman Limited | For | Against | Remuneration concerns |
| Goodrich Petroleum Corporation USA 18-May-21 | | | |
| Elect Director Thomas M. Souers | For | Withhold | Gender diversity concerns |
| Goosehead Insurance, Inc. USA 3-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Mark E. Jones | For | Withhold | Independence concerns |
| GoPro, Inc. USA 1-Jun-21 | | | |
| Elect Director Kenneth Goldman | For | Withhold | Overboarding concerns |
| Grace Technology, Inc. Japan 29-Jun-21 | | | |
| Elect Director Iida, Tomoya | For | Abstain | Independence concerns |
| GrafTech International Ltd. USA 13-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Grafton Group Plc Ireland 28-Apr-21 | | | |
| Re-elect Michael Roney as Director | For | Abstain | Independence concerns |
| Granges AB Sweden 6-May-21 | | | |
| Reelect Carina Andersson as Director | For | Against | Overboarding concerns |
| Granite Construction Incorporated USA 2-Jun-21 | | | |
| Elect Director David H. Kelsey | For | Against | Audit concerns |
| Gravity Co., Ltd. South Korea 31-Mar-21 | | | |
| Approval of Consolidated and Non-consolidated Financial Statements for the Fiscal Year 2020 | For | Against | Audit concerns |
| Reappointment of Yong Seon Kwon | For | Against | Audit concerns |
| Graybug Vision, Inc. USA 2-Jun-21 | | | |
| Elect Director Christy Shaffer | For | Withhold | Corporate governance concerns |
| Great Ajax Corp. USA 1-Jun-21 | | | |
| Elect Director J. Kirk Ogren, Jr. | For | Withhold | Corporate Governance concerns |
| Elect Director Steven L. Begleiter | For | Withhold | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Great Southern Bancorp, Inc. USA 12-May-21 | | | |
| C | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| D | Gree Electric Appliances, Inc. of Zhuhai China 30-Jun-21 | | | |
| E | Approve Appointment of Auditor | For | Against | Lack of disclosure |
| F | Approve Authorization of Board to Handle All Related Matters | For | Against | Not in shareholders best interest |
| F | Approve Employee Share Purchase Plan (Draft) and Summary | For | Against | Not in shareholders best interest |
| G | Green Brick Partners, Inc. USA 2-Jun-21 | | | |
| H | Elect Director Elizabeth K. Blake | For | Withhold | Corporate governance concerns |
| I | Green Dot Corporation USA 27-May-21 | | | |
| J | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| J | Elect Director George T. Shaheen | For | Against | Remuneration concerns |
| K | Green Plains Inc. USA 5-May-21 | | | |
| L | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| M | GreenBox POS USA 18-Nov-21 | | | |
| M | Elect Director William J. Caragol | For | Withhold | Corporate Governance concerns |
| N | Greenhill & Co., Inc. USA 27-Apr-21 | | | |
| O | Require Independent Board Chairman | Against | For | Supporting better corporate governance practices |
| P | Greenlane Holdings, Inc. USA 26-Aug-21 | | | |
| Q | Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| R | Elect Director Richard Taney | For | Withhold | Gender diversity concerns |
| S | Greenlight Capital Re, Ltd. Cayman Islands 4-May-21 | | | |
| T | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| T | Elect Ian Isaacs as GLRE Director | For | Against | Remuneration concerns |
| U | Elect Joseph Platt as GLRE Director | For | Against | Gender diversity concerns |
| V | Elect Joseph Platt as Greenlight Re Subsidiary Director | For | Against | Gender diversity concerns |
| W | Greif, Inc. USA 23-Feb-21 | | | |
| X | Elect Director Bruce A. Edwards | For | Withhold | Audit concerns |
| X | Elect Director Daniel J. Gunsett | For | Withhold | Sufficient rationale from the company |
| Y | Griffon Corporation USA 28-Jan-21 | | | |
| Z | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Z | Elect Director Henry A. Alpert | For | Withhold | Remuneration concerns |
| | Grifols SA Spain 20-May-21 | | | |
| | Authorize Increase in Capital up to 50 Percent via Issuance of Equity or Equity-Linked Securities, Excluding Preemptive Rights of up to 20 Percent | For | Against | Dilutive impact |
| | Groupe Bruxelles Lambert SA Belgium 27-Apr-21 | | | |
| | Approve Discharge of Directors | For | Against | Corporate governance concerns |
| | Approve Guarantee to Acquire Shares under Stock Option Plan | For | Against | Remuneration concerns |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Approve Stock Option Plan | For | Against | Remuneration concerns |
| | Approve Stock Option Plan Grants | For | Against | Remuneration concerns |
| | Receive Special Board Report Re: Article 7:227 of the Company Code with Respect to the Guarantees in Item 8.4 | For | Against | Remuneration concerns |
| | Reelect Claude Genereux as Director | For | Against | Attendance concerns |
| | Reelect Jocelyn Lefebvre as Director | For | Against | Independence concerns |
| | Groupe Bruxelles Lambert SA Belgium 4-Nov-21 | | | |
| | Approve Remuneration Policy | For | Against | Remuneration concerns |
| | Elect Co-optation of Alexandra Soto as Director | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Groupe Guillin SA France 11-Jun-21 | | | |
| Reelect Bertrand Guillin as Director | For | Against | Independence concerns |
| Reelect Jeannine Huot-Marchand as Director | For | Against | Corporate Governance concerns |
| Groupon, Inc. USA 15-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Peter Barris | For | Withhold | Remuneration concerns |
| GrowGeneration, Corp. USA 24-Jun-21 | | | |
| Elect Director Michael Salaman | For | Withhold | Independence concerns |
| Elect Director Stephen Aiello | For | Withhold | Corporate governance and Gender diversity concerns |
| Grubhub Inc. USA 18-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Grupo Aeroportuario del Centro Norte SAB de CV Mexico 21-Apr-21 | | | |
| Discharge, Elect or Ratify Directors; Elect or Ratify Chairmen of Audit, Corporate Practices, Finance, Planning and Sustainability Committees; Approve their Remuneration | For | Abstain | Bundled election |
| Grupo Aeroportuario del Pacifico SAB de CV Mexico 27-Apr-21 | | | |
| Approve Reports in Compliance with Article 28, Section IV of Mexican Securities Market Law | For | Abstain | Insufficient information |
| Elect or Ratify Directors of Series B Shareholders | For | Abstain | Bundled election |
| Grupo Aeroportuario del Sureste SA de CV Mexico 22-Apr-21 | | | |
| Elect/Ratify Jose Antonio Perez Anton as Director | For | Against | Independence concerns |
| Elect/Ratify Jose Antonio Perez Anton of Nominations and Compensations Committee | For | Against | Independence concerns |
| Elect/Ratify Ricardo Guajardo Touche as Chairman of Audit Committee | For | Against | Overboarding concerns |
| Elect/Ratify Ricardo Guajardo Touche as Director | For | Against | Overboarding concerns |
| Grupo Bimbo SAB de CV Mexico 29-Apr-21 | | | |
| Amend Articles | For | Abstain | Lack of disclosure |
| Approve Report on Repurchase of Shares and Set Aggregate Nominal Amount of Share Repurchase Reserve | For | Abstain | Strategic concerns |
| Elect or Ratify Directors and Approve their Remuneration | For | Abstain | Bundled election |
| Grupo Financiero Galicia SA Argentina 27-Apr-21 | | | |
| Elect Three Members of Internal Statutory Auditors Committee and Their Respective Alternates for One-Year Term | For | Against | Independence concerns |
| Fix Number of and Elect Directors and Alternates | For | Abstain | Bundled election |
| Grupo Mexico S.A.B. de C.V. Mexico 30-Apr-21 | | | |
| Approve Allocation of Income | For | Abstain | Lack of disclosure |
| Approve Consolidated Financial Statements and Statutory Reports | For | Abstain | Lack of disclosure |
| Approve Discharge of Board of Directors, Executive Chairman and Board Committees | For | Abstain | Lack of disclosure |
| Approve Policy Related to Acquisition of Own Shares; Set Aggregate Nominal Amount of Share Repurchase Reserve | For | Abstain | Lack of disclosure |
| Approve Remuneration of Directors and Members of Board Committees | For | Abstain | Lack of disclosure |
| Elect or Ratify Directors; Verify Independence of Board Members; Elect or Ratify Chairmen and Members of Board Committees | For | Abstain | Lack of disclosure |
| Grupo Televisa SAB Mexico 28-Apr-21 | | | |
| Elect or Ratify Alberto Bailleres Gonzalez as Director Representing Series A Shareholders | For | Against | Overboarding concerns |
| Elect or Ratify Alfonso de Angoitia Noriega as Director Representing Series A Shareholders | For | Against | Independence concerns |
| Elect or Ratify Chairman of Corporate Practices Committee; Discharge them | For | Against | Corporate governance concerns |
| Elect or Ratify Enrique Francisco Jose Senior Hernandez as Director Representing Series D Shareholders | For | Against | Independence concerns |
| Elect or Ratify Fernando Senderos Mestre as Director Representing Series A Shareholders | For | Against | Overboarding concerns |
| Elect or Ratify Herbert Allen III as Alternate Director Representing Series D Shareholders | For | Against | Independence concerns |
| Elect or Ratify Jose Luis Fernandez Fernandez as Director Representing Series B Shareholders | For | Against | Corporate governance concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | GTY Technology Holdings Inc. USA 22-Jun-21 | | | |
| C | Elect Director Charles Wert | For | Against | Corporate governance and Gender diversity concerns |
| D | Guangzhou Automobile Group Co., Ltd. China 14-May-21 | | | |
| E | Approve Grant of General Mandate to the Board of Directors to Issue Shares | For | Against | Dilutive impact |
| F | Guangzhou Automobile Group Co., Ltd. China 8-Oct-21 | | | |
| G | Elect Cao Xiandong as Supervisor | For | Against | Independence concerns |
| H | Elect Chen Tian as Supervisor | For | Against | Independence concerns |
| I | Elect Huang Cheng as Supervisor | For | Against | Independence concerns |
| J | Guardant Health, Inc. USA 16-Jun-21 | | | |
| K | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| L | Gunma Bank, Ltd. Japan 24-Jun-21 | | | |
| M | Elect Director Kondo, Jun | For | Against | Independence concerns |
| N | Elect Director Saito, Kazuo | For | Abstain | Independence concerns |
| O | Gurunavi, Inc. Japan 21-Jun-21 | | | |
| P | Elect Director Fujiwara, Hirohisa | For | Against | Independence concerns |
| Q | Elect Director Kono, Naho | For | Against | Independence concerns |
| R | Elect Director Sugihara, Akio | For | Abstain | Independence concerns |
| S | Elect Director Takeda, Kazunori | For | Against | Independence concerns |
| T | GVS SpA Italy 27-Apr-21 | | | |
| U | Approve Remuneration Policy | For | Against | Remuneration concerns |
| V | Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |
| W | Authorize Share Repurchase Program and Reissuance of Repurchased Shares | For | Against | Dilutive impact |
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| Company Name | Management Recommendation | Our Vote | Rationale |
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| H | | | |
| H&E Equipment Services, Inc. USA 14-May-21 | | | |
| Elect Director Lawrence C. Karlson | For | Withhold | Corporate governance concerns |
| H. Lundbeck A/S Denmark 23-Mar-21 | | | |
| Reelect Jeremy Max Levin as Director | For | Abstain | Overboarding concerns |
| Reelect Lars Soren Rasmussen as Director | For | Abstain | Gender diversity concerns |
| Hadera Paper Ltd. Israel 18-Aug-21 | | | |
| Reelect Amit Ben-Zvi as Director | For | Against | Overboarding concerns |
| Haier Smart Home Co., Ltd. China 5-Mar-21 | | | |
| Elect Yu Hon To, David as Director | For | Against | Overboarding concerns |
| Haier Smart Home Co., Ltd. China 25-Jun-21 | | | |
| Approve A Share Core Employee Stock Ownership Plan (2021-2025) (Draft) and Its Summary | For | Against | Lack of disclosure |
| Approve H Share Core Employee Stock Ownership Plan (2021-2025) (Draft) and Its Summary | For | Against | Lack of disclosure |
| Approve Renewal of the Financial Services Framework Agreement and Related Transactions | For | Against | Not in shareholders best interest |
| Authorize Board to Deal with All Matters in Relation to the Core Employee Stock Ownership Plan of the Company | For | Against | Not in shareholders best interest |
| Elect Liu Dalin as Supervisor | For | Against | Independence concerns |
| Hakuhodo DY Holdings, Inc. Japan 29-Jun-21 | | | |
| Elect Director Hattori, Nobumichi | For | Against | Independence concerns |
| Elect Director Toda, Hirokazu | For | Abstain | Independence and gender diversity concerns |
| Halfords Group Plc United Kingdom 8-Sep-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Jill Caseberry as Director | For | Against | Remuneration concerns |
| Halliburton Company USA 19-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Murry S. Gerber | For | Against | Remuneration concerns |
| Hallmark Financial Services, Inc. USA 27-May-21 | | | |
| Elect Director James H. Graves | For | Withhold | Audit concerns |
| Elect Director Scott T. Berlin | For | Withhold | Corporate Governance and Gender Diversity concerns |
| Halma Plc United Kingdom 22-Jul-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Jo Harlow as Director | For | Against | Remuneration concerns |
| Halozyne Therapeutics, Inc. USA 5-May-21 | | | |
| Elect Director James M. Daly | For | Withhold | Overboarding concerns |
| Hamamatsu Photonics KK Japan 17-Dec-21 | | | |
| Elect Director Hiruma, Akira | For | Abstain | Independence concerns |
| Elect Director Koibuchi, Ken | For | Against | Independence concerns |
| Hammerson Plc United Kingdom 4-May-21 | | | |
| Approve Remuneration Report | For | Against | Strategic concerns |
| Authorise the Audit Committee to Fix Remuneration of Auditors | For | Against | Audit concerns |
| Reappoint PricewaterhouseCoopers LLP as Auditors | For | Against | Audit concerns |
| Re-elect Gwyn Burr as Director | For | Against | Remuneration concerns |
| Re-elect James Lenton as Director | For | Abstain | Technical vote |
| Hana Financial Group, Inc. South Korea 26-Mar-21 | | | |
| Elect Kwon Suk-gyo as Outside Director | For | Against | Independence concerns |
| Elect Paik Tae-seung as Outside Director to Serve as an Audit Committee Member | For | Abstain | Gender diversity concerns |

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| | Company Name | Management Recommendation | Our Vote | Rationale |
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| A | | | | |
| B | Hang Lung Group Limited Hong Kong 30-Apr-21 | | | |
| C | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| D | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| E | Elect George Ka Ki Chang as Director | For | Against | Independence concerns |
| | Elect Pak Wai Liu as Director | For | Against | Gender diversity concerns |
| F | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Strategic concerns |
| G | Authorize Reissuance of Repurchased Shares | For | Against | Strategic concerns |
| H | Elect Ronnie Chichung Chan as Director | For | Against | Gender diversity concerns |
| I | Hang Seng Bank Ltd. Hong Kong 26-May-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| J | Elect Irene Y L Lee as Director | For | Against | Overboarding concerns |
| K | Elect Peter T S Wong as Director | For | Against | Attendance concerns |
| L | Hangzhou Robam Appliances Co., Ltd. China 30-Apr-21 | | | |
| M | Approve Authorization of the Board to Handle All Matters Related to Business Partner Share Purchase Plan | For | Against | Not in shareholders best interest |
| N | Approve Draft and Summary of Business Partner Share Purchase Plan | For | Against | Not in shareholders best interest |
| O | Hankyu Hanshin Holdings, Inc. Japan 16-Jun-21 | | | |
| | Elect Director Inoue, Noriyuki | For | Against | Independence concerns |
| P | Elect Director Sumi, Kazuo | For | Abstain | Independence concerns |
| Q | Hanon Systems South Korea 29-Mar-21 | | | |
| R | Elect Three Outside Directors and Three Non-Independent Non-Executive Directors (Bundled) | For | Against | Attendance, Audit and Gender diversity concerns |
| S | Hapvida Participacoes e Investimentos SA Brazil 29-Mar-21 | | | |
| T | Amend Articles | For | Against | Lack of disclosure |
| U | Approve Stock Option Plan | For | Against | Remuneration concerns |
| V | Hapvida Participacoes e Investimentos SA Brazil 30-Apr-21 | | | |
| | Approve Restricted Stock Plan | For | Against | Remuneration concerns |
| W | Approve Stock Option Plan | For | Against | Remuneration concerns |
| X | Approve Remuneration of Company's Management | For | Against | Remuneration concerns |
| Y | Hapvida Participacoes e Investimentos SA Brazil 28-Jun-21 | | | |
| | Elect Directors | For | Against | Independence concerns |
| Z | Ratify Licio Tavares Angelo Cintra as Director | For | Against | Independence concerns |
| | Hargreaves Lansdown Plc United Kingdom 15-Oct-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Harmonic Drive Systems, Inc. Japan 23-Jun-21 | | | |
| | Appoint Statutory Auditor Yokoyama, Takumi | For | Against | Independence concerns |
| | Approve Director and Statutory Auditor Retirement Bonus | For | Against | Remuneration concerns |
| | Elect Director Ito, Mitsumasa | For | Abstain | Independence and gender diversity concerns |
| | Elect Director Nakamura, Masanobu | For | Against | Independence concerns |
| | Elect Director Sakai, Shinji | For | Against | Independence concerns |
| | Elect Director Yoshida, Haruhiko | For | Against | Independence concerns |
| | Harpoon Therapeutics, Inc. USA 4-May-21 | | | |
| | Elect Director Jonathan Drachman | For | Withhold | Overboarding concerns |
| | Harvey Norman Holdings Limited Australia 24-Nov-21 | | | |
| | Elect Christopher Herbert Brown as Director | For | Against | Independence and Corporate governance concerns |
| | Elect John Eryn Slack-Smith as Director | For | Against | Independence and Corporate governance concerns |
| | Elect Michael John Harvey as Director | For | Against | Independence and Corporate governance concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| HASEKO Corp. Japan 29-Jun-21 | | | |
| Elect Director Tsuji, Noriaki | For | Abstain | Independence concerns |
| Hawkins, Inc. USA 29-Jul-21 | | | |
| Elect Director Jeffrey L. Wright | For | Withhold | Audit concerns |
| HC2 Holdings, Inc. USA 17-Jun-21 | | | |
| Elect Director Avram A. Glazer | For | Against | Corporate governance concerns |
| Elect Director Michael Gorzynski | For | Against | Independence concerns |
| HCI Group, Inc. USA 3-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| HCL Technologies Limited India 27-Aug-21 | | | |
| Approve Payment of Advisory Fee and Extension of Facilities and Benefits to Shiv Nadar as the Chairman Emeritus and Strategic Advisor to the Board | For | Against | Remuneration concerns |
| HDFC Asset Management Company Limited India 16-Jul-21 | | | |
| Reelect Keki Mistry as Director | For | Against | Audit and Overboarding concerns |
| Reelect Renu Karnad as Director | For | Against | Overboarding concerns |
| HDFC Bank Limited India 17-Jul-21 | | | |
| Approve Appointment and Remuneration of Atanu Chakraborty as Part time Non-Executive Chairman and Independent Director | For | Abstain | Bundled election |
| Elect Umesh Chandra Sarangi as Director | For | Abstain | Gender diversity concerns |
| Healthcare Trust of America, Inc. USA 7-Jul-21 | | | |
| Elect Director Peter N. Foss | For | Abstain | Gender diversity concerns |
| Heartland Express, Inc. USA 13-May-21 | | | |
| Elect Director Larry J. Gordon | For | Withhold | Independence concerns |
| Elect Director Tahira K. Hira | For | Withhold | Corporate governance concerns |
| HEICO Corporation USA 19-Mar-21 | | | |
| Elect Director Mark H. Hildebrandt | For | Withhold | Independence and Gender diversity concerns. |
| Elect Director Mark H. Hildebrandt | For | Withhold | Independence concerns and Gender diversity concerns. |
| Heineken Holding NV Netherlands 22-Apr-21 | | | |
| Reelect Alexander de Carvalho as Non-Executive Director | For | Against | Independence concerns |
| Reelect M. Das as Non-Executive Director | For | Against | Independence concerns |
| Heineken NV Netherlands 22-Apr-21 | | | |
| Approve Discharge of Supervisory Board | For | Against | Corporate governance concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Reelect Maarten Das to Supervisory Board | For | Against | Remuneration concerns |
| Helios Technologies, Inc. USA 3-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Gregory C. Yadley | For | Against | Corporate Governance and Independence concerns |
| HelloFresh SE Germany 26-May-21 | | | |
| Approve Creation of EUR 13.6 Million Pool of Capital without Preemptive Rights | For | Against | Dilutive impact |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Helmerich & Payne, Inc. USA 2-Mar-21 | | | |
| Elect Director Randy A. Foutch | For | Abstain | Gender diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Henderson Land Development Company Limited Hong Kong 1-Jun-21 | | | |
| C | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| D | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| E | Elect Ko Ping Keung as Director | For | Against | Independence concerns |
| F | Elect Lam Ko Yin, Colin as Director | For | Against | Gender diversity, Independence and Overboarding concerns |
| G | Elect Lee Shau Kee as Director | For | Against | Attendance and Independence concerns |
| H | Hengan International Group Company Limited Cayman Islands 17-May-21 | | | |
| I | Adopt New Share Option Scheme | For | Against | Not in shareholders best interest |
| J | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| K | Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Audit Concerns |
| L | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| M | Elect Ada Ying Kay Wong as Director | For | Against | Audit Concerns |
| N | Elect Ho Kwai Ching Mark as Director | For | Against | Gender Diversity concerns |
| O | Hennes & Mauritz AB Sweden 6-May-21 | | | |
| P | Reelect Erica Wiking Hager as Director | For | Against | Corporate governance concerns |
| Q | Reelect Karl-Johan Persson as Board Chairman | For | Against | Corporate governance concerns |
| R | Reelect Karl-Johan Persson as Director | For | Against | Corporate governance concerns |
| S | Reelect Lena Patriksson Keller as Director | For | Against | Corporate governance concerns |
| T | Henry Schein, Inc. USA 13-May-21 | | | |
| U | Elect Director Steven Paladino | For | Against | Overboarding concerns |
| V | Hera SpA Italy 28-Apr-21 | | | |
| W | Approve Remuneration Policy | For | Against | Remuneration concerns |
| X | Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |
| Y | Herc Holdings Inc. USA 13-May-21 | | | |
| Z | Elect Director Jonathan Frates | For | Against | Overboarding concerns |
| | Heritage Insurance Holdings, Inc. USA 25-May-21 | | | |
| | Elect Director Mark Berset | For | Withhold | Independence concerns |
| | Hermes International SCA France 4-May-21 | | | |
| | Approve Compensation of Axel Dumas, General Manager | For | Against | Remuneration concerns |
| | Approve Compensation of Emile Hermes SARL, General Manager | For | Against | Remuneration concerns |
| | Approve Issuance of Equity or Equity-Linked Securities up to 20 Percent of Issued Capital Per Year for Private Placements | For | Against | Dilutive impact |
| | Approve Remuneration Policy of General Managers | For | Against | Remuneration concerns |
| | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to 40 Percent of Issued Capital | For | Against | Dilutive impact |
| | Delegate Powers to the Management Board to Implement Spin-Off Agreements | For | Against | Not in shareholders best interest |
| | Delegate Powers to the Management Board to Issue Shares in Connection with Item 24 Above | For | Against | Not in shareholders best interest |
| | Hero Motocorp Limited India 4-Aug-21 | | | |
| | Approve Extension of Benefits Employee Incentive Scheme – 2014 to the Eligible Employees of the Subsidiary Companies | For | Against | Strategic concerns |
| | Approve Remuneration of Pawan Munjal as Whole-time Director | For | Against | Remuneration concerns |
| | Reelect Pradeep Dinodia as Director | For | Against | Gender diversity concerns and Independence concerns |
| | Heron Therapeutics, Inc. USA 17-Jun-21 | | | |
| | Elect Director Kimberly Manhard | For | Against | Independence concerns |
| | Elect Director Stephen Davis | For | Against | Overboarding concerns |
| | Hess Corporation USA 2-Jun-21 | | | |
| | Elect Director Leonard S. Coleman, Jr. | For | Abstain | Gender diversity concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Hewlett Packard Enterprise Company USA 14-Apr-21 | | | |
| Elect Director Ann M. Livermore | For | Against | Independence concerns |
| Elect Director Mary Agnes Wilderotter | For | Against | Overboarding concerns |
| Hexagon AB Sweden 29-Apr-21 | | | |
| Reelect Gun Nilsson as Board Chairman | For | Against | Corporate governance concerns |
| Reelect Gun Nilsson as Director | For | Against | Corporate governance concerns |
| HEXPOL AB Sweden 28-Apr-21 | | | |
| Approve Remuneration Policy And Other Terms of Employment For Executive Management | For | Against | Remuneration concerns |
| Elect Members of Nominating Committee | For | Against | Independence concerns |
| Reelect Alf Goransson as Board Chair | For | Against | Independence concerns |
| Reelect Alf Goransson, Kerstin Lindell, Jan-Anders Manson, Gun Nilsson, Malin Persson and Marta Schorling Andreen as Directors | For | Against | Bundled election and Independence concerns |
| HighPoint Resources Corporation USA 12-Mar-21 | | | |
| Approve Merger Agreement | For | Against | Not in shareholders interest |
| Vote on the Plan | For | Against | Not in shareholders interest |
| Hikma Pharmaceuticals Plc United Kingdom 23-Apr-21 | | | |
| Re-elect Cynthia Schwalm as Director | For | Against | Overboarding concerns |
| Re-elect Patrick Butler as Director | For | Abstain | Gender diversity concerns |
| Hill & Smith Holdings Plc United Kingdom 25-May-21 | | | |
| Re-elect Alan Giddins as Director | For | Abstain | Independence concerns |
| Hill-Rom Holdings, Inc. USA 2-Dec-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| Hilltop Holdings, Inc. USA 22-Jul-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Charlotte Jones | For | Withhold | Independence concerns and Related party transactions |
| Elect Director Kenneth D. Russell | For | Withhold | Independence and Overboarding concerns |
| Elect Director W. Robert Nichols, III | For | Withhold | Corporate governance concerns |
| Hilton Food Group Plc United Kingdom 24-May-21 | | | |
| Re-elect John Worby as Director | For | Abstain | Audit concerns |
| Re-elect Robert Watson as Director | For | Abstain | Gender Diversity concerns |
| Hilton Worldwide Holdings Inc. USA 19-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Judith A. McHale | For | Against | Remuneration concerns |
| Hingham Institution for Savings USA 29-Apr-21 | | | |
| Elect Director Jacqueline M. Youngworth | For | Withhold | Corporate governance concerns |
| Elect Director Robert A. Lane | For | Withhold | Independence concerns |
| Hino Motors, Ltd. Japan 24-Jun-21 | | | |
| Appoint Statutory Auditor Miyazaki, Naoki | For | Against | Independence concerns |
| Elect Director Shimo, Yoshio | For | Abstain | Independence and gender diversity concerns |
| Elect Director Yoshida, Motokazu | For | Against | Independence concerns |
| HIROSE ELECTRIC CO., LTD. Japan 25-Jun-21 | | | |
| Elect Director Ishii, Kazunori | For | Abstain | Independence and gender diversity concerns |
| Elect Director Nishimatsu, Masanori | For | Against | Independence concerns |
| Hitachi Metals, Ltd. Japan 18-Jun-21 | | | |
| Elect Director Fukuo, Koichi | For | Abstain | Independence and gender diversity concerns |

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| | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|-------------------------------------|
| A | | | | |
| B | Hitachi Zosen Corp. Japan 22-Jun-21 | | | |
| C | Appoint Statutory Auditor Doi, Yoshihiro | For | Against | Independence concerns |
| D | Appoint Statutory Auditor Yamamoto, Kazuhisa | For | Against | Independence concerns |
| E | Elect Director Tanisho, Takashi | For | Abstain | Audit concerns |
| F | HK Electric Investments & HK Electric Investments Limited Cayman Islands 12-May-21 | | | |
| G | Elect Fahad Hamad A H Al-Mohannadi as Director | For | Against | Attendance concerns |
| H | Elect Kwan Kai Cheong as Director | For | Against | Overboarding concerns |
| I | Elect Li Tzar Kuoi, Victor as Director | For | Against | Gender diversity concerns |
| J | Elect Zhu Guangchao as Director | For | Against | Attendance concerns |
| K | HKT Trust & HKT Limited Cayman Islands 7-May-21 | | | |
| L | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| M | Elect Sunil Varma as Director | For | Against | Audit and Gender diversity concerns |
| N | Terminate Existing Share Stapled Units Option Scheme and Adopt New Share Stapled Units Option Scheme | For | Against | Not in shareholders best interest |
| O | Hochtief AG Germany 6-May-21 | | | |
| P | Elect Angel Altozano to the Supervisory Board | For | Against | Independence concerns |
| Q | Elect Jose del Valle Perez to the Supervisory Board | For | Against | Independence concerns |
| R | Hoegh LNG Partners LP Marshall Isl 8-Jun-21 | | | |
| S | Elect Director David Spivak | For | Withhold | Audit concerns |
| T | HollyFrontier Corporation USA 12-May-21 | | | |
| U | Adopt Simple Majority Vote | Against | For | In shareholders best interest |
| V | Hollywood Bowl Group Plc United Kingdom 29-Jan-21 | | | |
| W | Re-elect Peter Boddy as Director | For | Abstain | Gender diversity concerns |
| X | Holmen AB Sweden 22-Apr-21 | | | |
| Y | Reelect Carl Bennet as Director | For | Against | Independence concerns |
| Z | Reelect Fredrik Lundberg as Director | For | Against | Independence concerns |
| | HomeServe Plc United Kingdom 16-Jul-21 | | | |
| | Re-elect Ron McMillan as Director | For | Against | Overboarding concerns |
| | HomeTrust Bancshares, Inc. USA 15-Nov-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| | Elect Director Sidney A. Biesecker | For | Withhold | Independence concerns |
| | Hong Kong Exchanges & Clearing Ltd. Hong Kong 28-Apr-21 | | | |
| | Elect Zhang Yichen as Director | For | Against | Overboarding concerns |
| | Hongkong Land Holdings Ltd. Bermuda 5-May-21 | | | |
| | Accept Financial Statements and Statutory Reports | For | Against | Independence concerns |
| | Re-elect James Watkins as Director | For | Against | Independence concerns |
| | Re-elect John Witt as Director | For | Abstain | Independence concerns |
| | Re-elect Lord Powell of Bayswater as Director | For | Against | Independence concerns |
| | Re-elect Percy Weatherall as Director | For | Against | Independence concerns |
| | HOOKIPA Pharma Inc. USA 1-Jun-21 | | | |
| | Elect Director Michael A. Kelly | For | Withhold | Overboarding concerns |
| | Horizon Therapeutics Public Limited Company Ireland 29-Apr-21 | | | |
| | Elect Director H. Thomas Watkins | For | Against | Independence concerns |
| | HORNBACH Holding AG & Co. KGaA Germany 8-Jul-21 | | | |
| | Approve Creation of EUR 9.6 Million Pool of Capital without Preemptive Rights | For | Against | Dilutive impact |
| | HOSHIZAKI Corp. Japan 25-Mar-21 | | | |
| | Elect Director Kobayashi, Yasuhiro | For | Abstain | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Hotel Chocolat Group Plc United Kingdom 25-Nov-21 | | | |
| Re-elect Andrew Gerrie as Director | For | Abstain | Corporate Governance, Gender diversity and Independence concerns |
| Houlihan Lokey, Inc. USA 21-Sep-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Hovnanian Enterprises, Inc. USA 30-Mar-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Howmet Aerospace Inc. USA 25-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Robert F. Leduc | For | Against | Remuneration concerns |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| HP Inc. USA 13-Apr-21 | | | |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| HSBC Holdings Plc United Kingdom 28-May-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Hua Hong Semiconductor Limited Hong Kong 13-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive Impact |
| Elect Suxin Zhang as Director | For | Against | Independence concerns |
| Hua Hong Semiconductor Limited Hong Kong 26-Nov-21 | | | |
| Approve Amendments to the Terms of the 2018 Options and the 2019 Options Under the Share Option Scheme | For | Against | Strategic concerns |
| Approve Refreshment of the Limit on the Grant of Options Under the Share Option Scheme | For | Against | Strategic concerns |
| Huaneng Power International, Inc. China 22-Jun-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Domestic Shares and/or Overseas Listed Foreign Shares | For | Against | Dilutive impact |
| Elect Xia Aidong as Supervisor | For | Against | Independence concerns |
| Huaneng Power International, Inc. China 21-Dec-21 | | | |
| Approve Continuing Connected Transactions for 2022-2024 with Huaneng Finance | For | Against | Strategic concerns and Not in the best interest of shareholders |
| Hub Group, Inc. USA 24-May-21 | | | |
| Elect Director Martin P. Slark | For | Withhold | Independence concerns |
| HubSpot, Inc. USA 3-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Hudson Executive Investment Corp. USA 17-Jun-21 | | | |
| Adjourn Meeting | For | Against | Not in shareholders best interest |
| Amend Certificate of Incorporation | For | Against | Not in shareholders best interest |
| Elect Directors | For | Abstain | Bundled election |
| Hudson Pacific Properties, Inc. USA 20-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Richard B. Fried | For | Against | Remuneration concerns |
| Elect Director Robert L. Harris, II | For | Against | Independence concerns |
| Hulic Co., Ltd. Japan 23-Mar-21 | | | |
| Amend Articles to Amend Business Lines | For | Against | Not in shareholders interest |
| Appoint Statutory Auditor Okamoto, Masahiro | For | Against | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|---------------------------------|
| B | Hunting Plc United Kingdom 21-Apr-21 | | | |
| C | Approve Remuneration Policy | For | Against | Remuneration concerns |
| D | Approve Remuneration Report | For | Against | Remuneration concerns |
| E | Re-elect Annell Bay as Director | For | Against | Remuneration concerns |
| F | Re-elect John Glick as Director | For | Abstain | Gender diversity concerns |
| G | Huntington Ingalls Industries, Inc. USA 29-Apr-21 | | | |
| H | Elect Director Victoria D. Harker | For | Withhold | Overboarding concerns |
| I | Huntsman Corporation USA 28-Apr-21 | | | |
| J | Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| K | Huron Consulting Group Inc. USA 7-May-21 | | | |
| L | Elect Director Hugh E. Sawyer | For | Withhold | Independence concerns |
| M | Husqvarna AB Sweden 14-Apr-21 | | | |
| N | Approve Issuance of 57.6 Million Class B Shares without Preemptive Rights | For | Against | Dilutive impact |
| O | Reelect Daniel Nodhall as Director | For | Abstain | Overboarding concerns |
| P | Hutchison China MedTech Ltd. Cayman Islands 28-Apr-21 | | | |
| Q | Accept Financial Statements and Statutory Reports | For | Against | Corporate Governance concerns |
| R | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Equity Raise | For | Against | Dilutive impact |
| S | Re-elect Dr Dan Eldar as Director | For | Against | Independence concerns |
| T | Re-elect Dr Karen Ferrante as Director | For | Against | Independence concerns |
| U | Re-elect Edith Shih as Director | For | Against | Independence concerns |
| V | Re-elect Graeme Jack as Director | For | Against | Independence concerns |
| W | Re-elect Paul Carter as Director | For | Against | Independence concerns |
| X | Re-elect Tony Mok as Director | For | Against | Independence concerns |
| Y | Hutchison Port Holdings Trust Singapore 19-Apr-21 | | | |
| Z | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| | HUYA, Inc. Cayman Islands 13-Apr-21 | | | |
| | Elect Director Tsang Wah Kwong | For | Against | Overboarding concerns |
| | Hyatt Hotels Corporation USA 19-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Hysan Development Company Limited Hong Kong 21-May-21 | | | |
| | Approve Deloitte Touche Tohmatsu as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| | Elect Lee Chien as Director | For | Against | Gender diversity concerns |
| | Elect Poon Chung Yin Joseph as Director | For | Against | Audit concerns |
| | Hyundai Home Shopping Network Corp. South Korea 23-Mar-21 | | | |
| | Elect Song Hae-eun as Outside Director | For | Against | Audit concerns |
| | Hyundai Mobis Co., Ltd. South Korea 24-Mar-21 | | | |
| | Approve Terms of Retirement Pay | For | Against | Not in shareholders interest |
| | Elect Bae Hyungkeun as Inside Director | For | Against | Independence concerns |
| | Elect Kim Dae Soo as Outside Director | For | Against | Audit concerns |
| | Approve Financial Statements and Allocation of Income | For | Against | Audit and remuneration concerns |
| | Elect Sim Dal-hun as Outside Director | For | Against | Audit concerns |
| | Hyve Group Plc United Kingdom 25-Oct-21 | | | |
| | Approve Long Term Incentive Plan | For | Against | Remuneration concerns |
| | Approve Remuneration Policy | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| I | | | |
| i3 Verticals, Inc. USA 26-Feb-21 | | | |
| Elect Director David Wilds | For | Withhold | Corporate governance concerns |
| IAC/InterActiveCorp USA 14-May-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Michael D. Eisner | For | Withhold | Corporate governance concerns |
| Iberdrola SA Spain 17-Jun-21 | | | |
| Ratify Appointment of and Elect Angel Jesus Acebes Paniagua as Director | For | Abstain | Combined CEO/Chair |
| Ibstock Plc United Kingdom 22-Apr-21 | | | |
| Re-elect Jonathan Nicholls as Director | For | Abstain | Gender diversity concerns |
| ICA Gruppen AB Sweden 15-Apr-21 | | | |
| Authorize Chairman of Board and Representatives of Three of Company's Largest Shareholders to Serve on Nominating Committee | For | Against | Independence concerns |
| Reelect Anette Wiotti as Director | For | Against | Independence concerns |
| Reelect Bo Sandstrom as Director | For | Against | Independence concerns |
| Reelect Cecilia Daun Wennborg as Director | For | Against | Independence and Overboarding concerns |
| I-CABLE Communications Limited Hong Kong 10-Jun-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| Authorize Reissuance of Repurchased Shares | For | Against | Excessive issuance |
| Elect Cheng Kar-Shun, Henry as Director | For | Against | Overboarding concerns |
| Elect Lam Kin-Fung, Jeffrey as Director | For | Against | Overboarding concerns |
| Elect Luk Koon Hoo, Roger as Director | For | Against | Audit and Overboarding concerns |
| Elect Tang Sing Ming Sherman as Director | For | Against | Independence concerns |
| Icade SA France 23-Apr-21 | | | |
| Approve Remuneration Policy of CEO and/or Corporate Officers | For | Against | Remuneration concerns |
| Authorize up to 1 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| Ratify Appointment of Antoine Saintoyant as Director | For | Against | Independence Concerns |
| Reelect Olivier Fabas as Director | For | Against | Independence Concerns |
| Reelect Olivier Mareuse as Director | For | Against | Independence Concerns |
| ICL Group Ltd. Israel 14-Jul-21 | | | |
| Reelect Reem Aminoach as Director | For | Against | Independence concerns |
| IClick Interactive Asia Group Limited Cayman Islands 22-Dec-21 | | | |
| Elect Tang Jian as Board Chairman | For | Against | Independence concerns |
| Other Business (Voting) | For | Against | Technical vote |
| ICU Medical, Inc. USA 11-May-21 | | | |
| Elect Director David C. Greenberg | For | Withhold | Gender diversity concerns |
| Idorsia Ltd. Switzerland 12-May-21 | | | |
| Approve Extension and Increase in Authorized Capital to CHF 3.2 Million without Preemptive Rights | For | Against | Dilutive impact |
| Approve Remuneration Report (Non-Binding) | For | Against | Remuneration concerns |
| Ratify Ernst & Young AG as Auditors | For | Against | Audit concerns |
| Transact Other Business (Voting) | For | Against | Technical Vote |
| IDT Corporation USA 15-Dec-21 | | | |
| Elect Director Michael Chenkin | For | Against | Audit concerns |
| IES Holdings, Inc. USA 25-Feb-21 | | | |
| Elect Director Joseph L. Dowling, III | For | Withhold | Gender diversity concerns |
| IG Group Holdings Plc United Kingdom 22-Sep-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Helen Stevenson as Director | For | Abstain | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | IGM Financial Inc. Canada 7-May-21 | | | |
| C | Elect Director Claude Genereux | For | Withhold | Overboarding concerns |
| D | Elect Director Marcel R. Coutu | For | Withhold | Overboarding concerns |
| E | Elect Director Paul Desmarais, Jr. | For | Withhold | Overboarding concerns |
| F | iHeartMedia, Inc. USA 21-Apr-21 | | | |
| G | Elect Director Kamakshi Sivaramakrishnan | For | Withhold | ESG risk management concerns |
| H | IHH Healthcare Berhad Malaysia 28-May-21 | | | |
| I | Approve Directors' Fees and Other Benefits to Company's Subsidiaries | For | Against | Remuneration concerns |
| J | Elect Alizakri bin Raja Muhammad Alias as Director | For | Against | Independence concerns |
| K | Elect Takeshi Saito as Director | For | Against | Independence concerns |
| L | IHI Corp. Japan 24-Jun-21 | | | |
| M | Elect Director Mitsuoka, Tsugio | For | Abstain | Independence concerns |
| N | IHS Markit Ltd. Bermuda 11-Mar-21 | | | |
| O | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| P | Iida Group Holdings Co., Ltd. Japan 25-Jun-21 | | | |
| Q | Elect Director Kanei, Masashi | For | Abstain | Independence and gender diversity concerns |
| R | Iliaad France 2-Jun-21 | | | |
| S | Approve Compensation of Antoine Levavasseur, Vice-CEO | For | Against | Remuneration concerns |
| T | Approve Compensation of Rani Assaf, Vice-CEO | For | Against | Remuneration concerns |
| U | Approve Compensation of Thomas Reynaud, CEO | For | Against | Remuneration concerns |
| V | Approve Issuance of Equity or Equity-Linked Securities for up to 20 Percent of Issued Capital Per Year for Private Placements | For | Against | Dilutive impact |
| W | Approve Remuneration Policy of CEO | For | Against | Remuneration concerns |
| X | Approve Remuneration Policy of Vice-CEOs | For | Against | Remuneration concerns |
| Y | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | For | Against | Dilutive impact |
| Z | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| | Authorize Capital Increase of Up to EUR 2 Million for Future Exchange Offers | For | Against | Dilutive impact |
| | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights Up to 20 Percent of Issued Capital | For | Against | Dilutive impact |
| | Iluka Plc United Kingdom 22-Sep-21 | | | |
| | Re-elect Jeremy Millard as Director | For | Abstain | Audit concerns |
| | Re-elect Keith Jackson as Director | For | Against | Gender diversity concerns |
| | Illinois Tool Works Inc. USA 7-May-21 | | | |
| | Elect Director Richard H. Lenny | For | Abstain | Combined CEO/Chair |
| | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| | Ilumina, Inc. USA 26-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | IMAX Corporation Canada 9-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Darren Throop | For | Withhold | remuneration concerns |
| | Imerys SA France 10-May-21 | | | |
| | Approve Compensation of Alessandro Dazza | For | Against | Remuneration concerns |
| | IMI Plc United Kingdom 6-May-21 | | | |
| | Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| | Re-elect Carl-Peter Forster as Director | For | Abstain | Overboarding concerns |
| | Re-elect Carl-Peter Forster as Director | For | Against | Overboarding concerns and Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Immunix, Inc. USA 10-Jun-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| ImmunityBio, Inc. USA 10-Jun-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| ImmunoGen, USA 16-Jun-21 | | | |
| Elect Director Dean J. Mitchell | For | Withhold | Overboarding concerns |
| Immuron Ltd. Australia 15-Dec-21 | | | |
| Elect Daniel Pollock as Director | For | Against | Audit concerns |
| Immutep Limited Australia 26-Jul-21 | | | |
| Approve Issuance of Tranche 2 Placement Shares to Sophisticated and Professional Investors | For | Against | Excessive issuance |
| Ratify Past Issuance of 10.56 Million Tranche 1 Placement Shares to Sophisticated and Professional Investors | For | Against | Excessive issuance |
| Ratify Past Issuance of 15.85 Million Tranche 1 Placement Shares to Sophisticated and Professional Investors | For | Against | Excessive issuance |
| Immutep Limited Australia 26-Nov-21 | | | |
| Approve Grant of Performance Rights to Marc Voigt | For | Against | Strategic concerns |
| Approve Grant of Performance Rights to Russell Howard | For | Against | Remuneration concerns |
| Approve Issuance of Performance Rights and/or Options under the Executive Incentive Plan | For | Against | Strategic concerns |
| Approve the Amendments to the Company's Constitution | For | Against | Not in shareholders best interest |
| Elect Russell Howard as Director | For | Against | Corporate governance and Gender diversity concerns |
| Ratify Past Issuance of Placement Shares to Institutional and Sophisticated Investors | For | Against | Dilutive impact |
| Imperial Brands Plc United Kingdom 3-Feb-21 | | | |
| Approve Remuneration Report | For | Against | Ongoing engagement discussions |
| Re-elect Steven Stanbrook as Director | For | Withhold | Gender diversity concerns |
| Imperial Oil Limited Canada 4-May-21 | | | |
| Adopt a Corporate Wide Ambition to Achieve Net Zero Carbon Emissions | Against | For | Supporting better sustainability practices |
| Impinj, Inc. USA 10-Jun-21 | | | |
| Elect Director Daniel Gibson | For | Against | Corporate governance, ESG risk management and Remuneration concerns |
| INABA SEISAKUSHO Co., Ltd. Japan 21-Oct-21 | | | |
| Elect Director Inaba, Yujiro | For | Abstain | Independence and gender diversity concerns |
| Elect Director Nozaki, Shojiro | For | Against | Independence concerns |
| Independent Bank Group, Inc. USA 27-May-21 | | | |
| Elect Director William E. Fair | For | Against | Corporate Governance and Independence concerns |
| Other Business | For | Against | Technical vote |
| Indivior Plc United Kingdom 6-May-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Elect Jerome Lande as Director | For | Against | Independent concerns |
| Re-elect Daniel Phelan as Director | For | Against | Remuneration concerns |
| IndusInd Bank Limited India 26-Aug-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Industrivarden AB Sweden 21-Apr-21 | | | |
| Elect Bengt Kjell as New Director | For | Against | Overboarding concerns |
| INFICON Holding AG Switzerland 31-Mar-21 | | | |
| Reelect Beat Luethi as Director and Board Chairman | For | Abstain | Gender diversity concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Infomart Corp. Japan 25-Mar-21 | | | |
| C | Elect Director Kato, Kazutaka | For | Against | Independence concerns |
| D | Elect Director Nagao, Osamu | For | Abstain | Independence concerns |
| E | Informa Plc United Kingdom 3-Jun-21 | | | |
| F | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| G | Approve Remuneration Report | For | Against | Remuneration concerns |
| H | Re-elect Stephen Davidson as Director | For | Against | Remuneration concerns |
| I | Infosys Limited India 19-Jun-21 | | | |
| J | Approve Changing the Terms of Remuneration of U.B. Pravin Rao as Chief Operating Officer and Whole-time Director | For | Against | Remuneration concerns |
| K | Infrastrutture Wireless Italiane SpA Italy 20-Apr-21 | | | |
| L | Elect Directors (Bundled) and Approve Their Remuneration | For | Abstain | Bundled election |
| M | Ingles Markets, Incorporated USA 9-Feb-21 | | | |
| N | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| O | Innovent Biologics, Inc. Cayman Islands 24-Jun-21 | | | |
| P | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| Q | Authorize Reissuance of Repurchased Shares | For | Against | Excessive issuance |
| R | Elect Kaixian Chen as Director | For | Against | Combined CEO/Chair |
| S | Elect Shuyun Chen as Director | For | Against | Independence concerns |
| T | Approve Grant of Restricted Shares to Charles Leland Cooney Under the 2020 RS Plan | For | Against | Not in shareholders best interest |
| U | Approve Grant of Restricted Shares to De-Chao Michael Yu Under the 2020 RS Plan | For | Against | Not in shareholders best interest |
| V | Approve Grant of Restricted Shares to Joyce I-Yin Hsu Under the 2020 RS Plan | For | Against | Not in shareholders best interest |
| W | Approve Grant of Restricted Shares to Kaixian Chen Under the 2020 RS Plan | For | Against | Not in shareholders best interest |
| X | Approve Grant of Restricted Shares to Ronald Hao Xi Ede Under the 2020 RS Plan | For | Against | Not in shareholders best interest |
| Y | Authorize Board to Deal with All Matters in Relation to the Grant of Restricted Shares to Charles Leland Cooney Under the 2020 RS Plan | For | Against | Not in shareholders best interest |
| Z | Authorize Board to Deal with All Matters in Relation to the Grant of Restricted Shares to De-Chao Michael Yu Under the 2020 RS Plan | For | Against | Not in shareholders best interest |
| | Authorize Board to Deal with All Matters in Relation to the Grant of Restricted Shares to Joyce I-Yin Hsu Under the 2020 RS Plan | For | Against | Not in shareholders best interest |
| | Authorize Board to Deal with All Matters in Relation to the Grant of Restricted Shares to Kaixian Chen Under the 2020 RS Plan | For | Against | Not in shareholders best interest |
| | Authorize Board to Deal with All Matters in Relation to the Grant of Restricted Shares to Ronald Hao Xi Ede Under the 2020 RS Plan | For | Against | Not in shareholders best interest |
| | Inovalon Holdings, Inc. USA 16-Nov-21 | | | |
| | Adjourn Meeting | For | Against | Strategic concerns |
| | Approve Merger Agreement | For | Against | Strategic concerns |
| | INPEX Corp. Japan 25-Mar-21 | | | |
| | Elect Director Kimura, Yasushi | For | Against | Independence concerns |
| | Elect Director Ogino, Kiyoshi | For | Against | Independence concerns |
| | Elect Director Ueda, Takayuki | For | Abstain | Independence concerns |
| | Inseego Corp. USA 28-Jul-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Lack of disclosure and Remuneration concerns |
| | Elect Director Christopher Lytle | For | Withhold | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Inspeks Group Plc United Kingdom 19-Jul-21 | | | |
| Accept Financial Statements and Statutory Reports | For | Against | Corporate Governance concerns |
| Re-elect Angela Farrugia as Director | For | Against | Independence, Corporate Governance and Attendance concerns |
| Re-elect Christopher Hancock as Director | For | Against | Independence and Gender Diversity concerns |
| Re-elect Lord MacLaurin of Knebworth as Director | For | Against | Independence concerns |
| Re-elect Richard Peck as Director | For | Against | Independence concerns |
| Insurance Australia Group Ltd. Australia 22-Oct-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Elect Michelle Tredenick as Director | For | Against | Remuneration concerns |
| INTAGE HOLDINGS, Inc. Japan 29-Sep-21 | | | |
| Elect Director Ishizuka, Noriaki | For | Abstain | Independence concerns |
| Intel Corporation USA 13-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| Inter Parfums, Inc. USA 5-Oct-21 | | | |
| Elect Director Francois Heilbronn | For | Withhold | Audit and Corporate governance concerns |
| Elect Director Philippe Santi | For | Withhold | Independence concerns |
| Interactive Brokers Group, Inc. USA 22-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Earl H. Nemser | For | Against | Gender diversity concerns |
| Intercept Pharmaceuticals, Inc. USA 27-May-21 | | | |
| Elect Director Daniel Bradbury | For | Withhold | Overboarding concerns |
| Elect Director Glenn Sblendorio | For | Withhold | Overboarding concerns |
| Intercontinental Exchange, Inc. USA 14-May-21 | | | |
| Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |
| Elect Director Frederic V. Salerno | For | Abstain | Combined CEO/Chair |
| InterGlobe Aviation Limited India 10-Apr-21 | | | |
| Approve Revision in the Remuneration of Ronojoy Dutta as Whole Time Director and Chief Executive Officer by Way of Grant of Stock Options under InterGlobe Aviation Limited – Employee Stock Option Scheme 2015 | For | Against | Remuneration concerns |
| InterGlobe Aviation Limited India 31-Aug-21 | | | |
| Reelect Rakesh Gangwal as Director | For | Against | Independence concerns |
| International Bancshares Corporation USA 17-May-21 | | | |
| Elect Director Irving Greenblum | For | Against | Gender Diversity and Corporate Governance concerns |
| International Business Machines Corporation USA 27-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Frederick William McNabb, III | For | Abstain | Combined CEO/Chair and Gender diversity concerns |
| Elect Director Frederick William McNabb, III | For | Abstain | Combined CEO/Chair, Gender Diversity concerns |
| Lower Ownership Threshold for Action by Written Consent | Against | For | Supporting better corporate governance practices |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |

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| | Company Name | Management Recommendation | Our Vote | Rationale |
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| A | | | | |
| B | International Consolidated Airlines Group SA Spain 16-Jun-21 | | | |
| C | Amend Articles 21 and 24.2 Re: Allow Shareholder Meetings to be Held in Virtual-Only Format | For | Against | Not in shareholders best interest |
| D | Approve Remuneration Policy | For | Against | Remuneration concerns |
| E | Approve Remuneration Report | For | Against | Remuneration concerns |
| F | Re-elect Alberto Terol as Director | For | Against | Remuneration concerns |
| F | Re-elect Margaret Ewing as Director | For | Against | Audit concerns |
| G | International Flavors & Fragrances Inc. USA 5-May-21 | | | |
| H | Elect Director Christina Gold | For | Against | Independence Concerns |
| I | Elect Director Edward D. Breen | For | Against | Independence concerns |
| J | International Game Technology PLC United Kingdom 11-May-21 | | | |
| J | Authorize Issue of Equity | For | Against | Dilutive impact |
| K | International Housewares Retail Company Limited Cayman Islands 23-Sep-21 | | | |
| L | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance and Lack of disclosure |
| M | Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| N | Authorize Reissuance of Repurchased Shares | For | Against | Excessive issuance and Lack of disclosure |
| O | Elect Mang Wing Ming Rene as Director | For | Against | Audit concerns |
| P | International Money Express, Inc. USA 25-Jun-21 | | | |
| Q | Elect Director Adam Godfrey | For | Withhold | Independence concerns |
| R | International Paper Company USA 10-May-21 | | | |
| R | Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | In shareholders best interest |
| S | Intersect ENT, Inc. USA 3-Jun-21 | | | |
| T | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| U | Intersect ENT, Inc. USA 8-Oct-21 | | | |
| U | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| V | Intertek Group Plc United Kingdom 26-May-21 | | | |
| W | Approve Remuneration Policy | For | Against | Remuneration concerns |
| X | Re-elect Gill Rider as Director | For | Against | Remuneration concerns |
| Y | Intesa Sanpaolo SpA Italy 28-Apr-21 | | | |
| Y | Amend POP Long-Term Incentive Plan | For | Against | Strategic concerns |
| Z | Intra-Cellular Therapies, Inc. USA 21-Jun-21 | | | |
| | Elect Director Joel S. Marcus | For | Withhold | Overboarding concerns |
| | Intrum AB Sweden 29-Apr-21 | | | |
| | Approve Remuneration Report | For | Against | Lack of disclosure |
| | Invacare Corporation USA 20-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Investec Plc United Kingdom 5-Aug-21 | | | |
| | Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| | Approve Remuneration Report including Implementation Report | For | Abstain | Remuneration concerns |
| | Re-elect Philip Hourquebie as Director | For | Abstain | Remuneration concerns |
| | Re-elect Zarina Bassa as Director | For | Abstain | Audit concerns |
| | Investment AB Latour Sweden 10-May-21 | | | |
| | Amend Articles Re: Powers of Attorney; Postal Voting | For | Against | Not in shareholders best interest |
| | Investors Bancorp, Inc. USA 19-Nov-21 | | | |
| | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| | Invitae Corporation USA 7-Jun-21 | | | |
| | Require a Majority Vote for the Election of Directors | Against | For | Supporting better corporate governance practices |

| Company Name | Management Recommendation | Our Vote | Rationale |
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| Ion Beam Applications SA Belgium 9-Jun-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Ionis Pharmaceuticals, Inc. USA 2-Jun-21 | | | |
| Elect Director Frederick T. Muto | For | Withhold | Corporate governance, Gender diversity and Independence concerns |
| Iovance Biotherapeutics, Inc. USA 11-Jun-21 | | | |
| Elect Director Athena Countouriotis | For | Withhold | Overboarding concerns |
| Elect Director Maria Fardis | For | Withhold | Candidate has withdrawn |
| Elect Director Merrill A. McPeak | For | Withhold | Corporate governance concerns |
| IP Group Plc United Kingdom 9-Jun-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Heejae Chae as Director | For | Against | Remuneration concerns |
| Ipsen SA France 27-May-21 | | | |
| Approve Compensation of David Loew, CEO Since 1 July 2020 | For | Against | Remuneration concerns |
| Approve Remuneration Policy of CEO and Executive Corporate Officers | For | Against | Remunerations concerns |
| Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 20 to 22 | For | Against | Dilutive impact |
| Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| Authorize up to 3 Percent of Issued Capital for Use in Stock Option Plans | For | Against | Remuneration concerns |
| IQGeo Group Plc United Kingdom 18-May-21 | | | |
| Re-elect Robert Sansom as Director | For | Abstain | Independence and Gender diversity concerns |
| IR Japan Holdings Ltd. Japan 10-Jun-21 | | | |
| Amend Articles to Allow Virtual Only Shareholder Meetings | For | Against | Not in shareholders best interest |
| Elect Director Terashita, Shiro | For | Abstain | Gender diversity concerns |
| iRhythm Technologies, Inc. USA 18-Jun-21 | | | |
| Elect Director Mark J. Rubash | For | Withhold | Audit concerns |
| Irish Continental Group Plc Ireland 12-May-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Brian O'Kelly as Director | For | Against | Remuneration concerns |
| Re-elect Brian O'Kelly as Director | For | Abstain | Remuneration concerns |
| IRSA Propiedades Comerciales SA Argentina 21-Oct-21 | | | |
| Elect Directors and Alternates for Three-Year Term | For | Against | Bundled election |
| Isetan Mitsukoshi Holdings Ltd. Japan 25-Jun-21 | | | |
| Elect Director Akamatsu, Ken | For | Abstain | Independence concerns |
| Elect Director Furukawa, Hidetoshi | For | Against | Independence concerns |
| Elect Director Iijima, Masami | For | Against | Independence concerns |
| Elect Director Oyamada, Takashi | For | Against | Independence concerns |
| iShares IV plc – iShares MSCI India UCITS ETF Ireland 15-Oct-21 | | | |
| Re-elect Barry O'Dwyer as Director | For | Against | Independence and Corporate governance concerns |
| Re-elect Jessica Irschick as Director | For | Against | Corporate governance concerns |
| Israel Discount Bank Ltd. Israel 23-May-21 | | | |
| Elect Akiva Sternberg as External Director | For | Abstain | Not in shareholders best interest |
| Elect Doron Avital as Director | For | Abstain | Not in shareholders best interest |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | ISS A/S Denmark 13-Apr-21 | | | |
| C | Reelect Henrik Poulsen as Director | For | Abstain | Overboarding concerns |
| D | Isuzu Motors Ltd. Japan 25-Jun-21 | | | |
| E | Elect Director and Audit Committee Member Kawamura, Kanji | For | Against | Independence concerns |
| F | Elect Director and Audit Committee Member Shindo, Tetsuhiko | For | Against | Independence concerns |
| G | Elect Director Katayama, Masanori | For | Abstain | Independence concerns |
| H | Itau Corpbanca Chile 18-Mar-21 | | | |
| I | Elect Directors | For | Abstain | Bundled election |
| J | Other Business | For | Against | Technical vote |
| K | ITC Limited India 11-Aug-21 | | | |
| L | Approve S R B C & CO LLP, Chartered Accountants as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| M | Reelect Hemant Bhargava as Director | For | Against | Independence concerns |
| N | ITC Limited India 15-Dec-21 | | | |
| O | Elect Hemant Bhargava as Director | For | Against | Independence and Gender diversity concerns |
| P | Elect Navneet Doda as Director | For | Against | Independence Concerns |
| Q | ITOCHU Corp. Japan 18-Jun-21 | | | |
| R | Elect Director Okafuji, Masahiro | For | Abstain | Independence concerns |
| S | ITOCHU Techno-Solutions Corp. Japan 17-Jun-21 | | | |
| T | Elect Director Tsuge, Ichiro | For | Abstain | Independence concerns |
| U | Itoham Yonekyu Holdings, Inc. Japan 23-Jun-21 | | | |
| V | Appoint Statutory Auditor Takahashi, Shin | For | Against | Independence concerns |
| W | ITT Inc. USA 19-May-21 | | | |
| X | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| Y | ITV Plc United Kingdom 29-Apr-21 | | | |
| Z | Approve Remuneration Policy | For | Abstain | Poor response to engagement |
| | Approve Remuneration Policy | For | Against | Remuneration concerns |
| | Approve Remuneration Report | For | Abstain | Poor response to engagement |
| | Re-elect Mary Harris as Director | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|-------------------------------|
| J | | | |
| J & J Snack Foods Corp. USA 10-Feb-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor overall design |
| Elect Director Peter G. Stanley | For | Withhold | Audit concerns |
| J. Alexander's Holdings, Inc. USA 29-Jun-21 | | | |
| Elect Director Douglas K. Ammerman | For | Withhold | Gender diversity concerns |
| J. Alexander's Holdings, Inc. USA 28-Sep-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| J.B. Hunt Transport Services, Inc. USA 22-Apr-21 | | | |
| Elect Director J. Bryan Hunt, Jr. | For | Against | Independence concerns |
| Jabil Inc. USA 21-Jan-21 | | | |
| Elect Director John C. Plant | For | Withhold | Overboarding concerns |
| James Fisher & Sons Plc United Kingdom 29-Apr-21 | | | |
| Re-elect Michael Salter as Director | For | Abstain | Gender diversity concerns |
| Re-elect Stuart Kilpatrick as Director | For | Abstain | Technical vote |
| James River Group Holdings, Ltd. Bermuda 26-Oct-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Jamf Holding Corp. USA 25-May-21 | | | |
| Advisory Vote to Retain Classified Board Structure | For | Against | Not in shareholders interest |
| Advisory Vote to Retain Supermajority Vote Requirement to Amend Charter and Bylaws | For | Against | Not in shareholders interest |
| Elect Director Michael Fosnaugh | For | Withhold | Corporate governance concerns |
| Japan Airlines Co., Ltd. Japan 17-Jun-21 | | | |
| Elect Director Hatchoji, Sonoko | For | Against | Independence concerns |
| Elect Director Ueki, Yoshiharu | For | Abstain | Independence concerns |
| Japan Airport Terminal Co., Ltd. Japan 24-Jun-21 | | | |
| Elect Director Harada, Kazuyuki | For | Against | Independence concerns |
| Elect Director Kimura, Keiji | For | Against | Independence concerns |
| Elect Director Shibata, Koji | For | Against | Independence concerns |
| Elect Director Takashiro, Isao | For | Abstain | Independence concerns |
| Elect Director Ueki, Yoshiharu | For | Against | Independence concerns |
| Japan Petroleum Exploration Co., Ltd. Japan 25-Jun-21 | | | |
| Appoint Statutory Auditor Nakamura, Mitsuyoshi | For | Against | Independence concerns |
| Appoint Statutory Auditor Shimomura, Koichi | For | Against | Independence concerns |
| Elect Director Fujita, Masahiro | For | Abstain | Audit concerns |
| Jardine Cycle & Carriage Limited Singapore 27-Apr-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| Jardine Matheson Holdings Ltd. Bermuda 6-May-21 | | | |
| Accept Financial Statements and Statutory Reports | For | Against | Corporate Governance concerns |
| Re-elect Percy Weatherall as Director | For | Against | Independence concerns |
| Jazz Pharmaceuticals plc Ireland 29-Jul-21 | | | |
| Authorise Issue of Equity without Pre-emptive Rights | For | Against | Dilutive impact |
| Renew the Board's Authority to Issue Shares Under Irish Law | For | Against | Dilutive impact |
| JBG SMITH Properties USA 29-Apr-21 | | | |
| Elect Director Michael J. Glosserman | For | Against | Independence concerns |
| Elect Director Steven Roth | For | Against | Attendance concerns |
| Amend Omnibus Stock Plan | For | Against | Dilutive and Excessive impact |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|---|
| B | JCDecaux SA France 20-May-21 | | | |
| C | Approve Compensation of Emmanuel Bastide, David Bourg and Daniel Hofer, Management Board Members | For | Against | Poor response to prior dissent and Strategic concerns |
| D | Approve Compensation of Jean-Charles Decaux, Chairman of the Management Board | For | Against | Poor response to prior dissent and Strategic concerns |
| E | Approve Compensation of Jean-Francois Decaux, Management Board Member and CEO | For | Against | Poor response to prior dissent and Strategic concerns |
| F | | | | |
| G | Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 2.3 Million | For | Against | Dilutive impact |
| H | Approve Remuneration Policy of Chairman of the Management Board and of Management Board Members | For | Against | Poor response to prior dissent and Strategic concerns |
| I | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | For | Against | Dilutive impact |
| J | | | | |
| K | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| L | Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 2.3 Million | For | Against | Dilutive impact |
| M | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 2.3 Million | For | Against | Dilutive impact |
| N | Authorize up to 0.5 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Strategic concerns |
| O | Authorize up to 4 Percent of Issued Capital for Use in Stock Option Plans | For | Against | Lack of disclosure and Strategic concerns |
| P | JCU Corp. Japan 24-Jun-21 | | | |
| Q | Elect Director Kimura, Masashi | For | Abstain | Independence concerns |
| R | Elect Director Kiyota, Muneaki | For | Against | Independence concerns |
| S | JD Health International, Inc. Cayman Islands 23-Jun-21 | | | |
| T | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| U | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| V | Elect Sandy Ran Xu as Director | For | Against | Audit and Overboarding concerns |
| W | JD Sports Fashion Plc United Kingdom 1-Jul-21 | | | |
| X | Approve Long Term Incentive Plan 2021 | For | Against | Remuneration concerns |
| Y | Approve Remuneration Policy | For | Against | Remuneration concerns |
| Z | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Elect Andrew Long as Director | For | Against | Independence concerns |
| | Re-elect Andrew Leslie as Director | For | Against | Independence and Remuneration concerns |
| | Re-elect Andrew Leslie as Director | For | Against | Independence concerns and Remuneration concerns |
| | Re-elect Martin Davies as Director | For | Against | Combined CEO/Chair, Corporate governance, Gender diversity and Audit concerns |
| | Re-elect Martin Davies as Director | For | Against | Combined CEO/Chair, Corporate governance, Gender diversity, Audit concerns |
| | JDE Peet's NV Netherlands 17-Jun-21 | | | |
| | Approve Discharge of Non-Executive Directors | For | Against | Poor response to engagement |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Jefferies Financial Group Inc. USA 25-Mar-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | JELD-WEN Holding, Inc. USA 29-Apr-21 | | | |
| | Elect Director Suzanne L. Stefany | For | Withhold | Corporate governance concerns |
| | Jet2 Plc United Kingdom 2-Sep-21 | | | |
| | Re-elect Richard Green as Director | For | Against | Independence concerns |
| | JetBlue Airways Corporation USA 13-May-21 | | | |
| | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| JFE Holdings, Inc. Japan 25-Jun-21 | | | |
| Elect Director Kakigi, Koji | For | Abstain | Independence concerns |
| Jinke Smart Services Group Co., Ltd. China 25-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Additional Domestic Shares/H Shares | For | Against | Excessive issuance |
| Jinke Smart Services Group Co., Ltd. China 28-Dec-21 | | | |
| Elect Fu Ting as Director and Authorize Board to Fix Her Remuneration | For | Abstain | Bundled election |
| JinkoSolar Holding Co., Ltd. Cayman Islands 9-Dec-21 | | | |
| Elect Director Steven Markscheid | For | Against | Overboarding concerns |
| John B. Sanfilippo & Son, Inc. USA 27-Oct-21 | | | |
| Elect Director Ellen C. Taaffe | For | Withhold | Corporate governance concerns |
| Johnson & Johnson USA 22-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Anne M. Mulcahy | For | Abstain | Combined CEO/Chair |
| Elect Director Charles Prince | For | Against | Corporate Governance concerns |
| Report on Civil Rights Audit | Against | For | In shareholders best interest |
| Require Independent Board Chair | Against | For | In shareholders best interest |
| Johnson Matthey Plc United Kingdom 29-Jul-21 | | | |
| Re-elect Patrick Thomas as Director | For | Abstain | Gender diversity concerns |
| JPMorgan Chase & Co. USA 18-May-21 | | | |
| Elect Director Todd A. Combs | For | Abstain | Combined CEO/Chair |
| Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | Supporting better corporate governance practices |
| Report on Racial Equity Audit | Against | For | Supporting better corporate governance practices |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| JSW Steel Limited India 21-Jul-21 | | | |
| Approve Authorisation to ESOP Trust for Secondary Market Acquisition of Equity Shares and Provision of Money for Purchase of its Own Shares by the ESOP Trust / Trustees for the Benefit of Employees under OPJ ESOP Plan 2021 | For | Against | Remuneration concerns |
| Approve Authorisation to ESOP Trust for Secondary Market Acquisition of Equity Shares and Provision of Money for Purchase of its Own Shares by the ESOP Trust for the Benefit of Employees under JSWSL OPJ Samruddhi Plan 2021 | For | Against | Remuneration concerns |
| Approve Grant of Stock Options to the Employees of Indian Subsidiary Companies under JSWSL OP Jindal Samruddhi Plan - 2021 | For | Against | Remuneration concerns |
| Approve Grant of Stock Options to the Employees of Indian Subsidiary Companies under OP Jindal Employee Stock Ownership Plan (JSWSL) 2021 | For | Against | Remuneration concerns |
| Approve JSWSL OP Jindal Samruddhi Plan - 2021 (JSWSL OPJ Samruddhi Plan 2021) | For | Against | Remuneration concerns |
| Approve OP Jindal Employees Stock Ownership Plan (JSWSL) 2021 (OPJ ESOP Plan 2021) | For | Against | Remuneration concerns |
| Reelect Seshagiri Rao as Director | For | Against | Independence concerns |
| Reelect Seturaman Mahalingam as Director | For | Against | Combined CEO/Chair and Gender diversity concerns |
| JTEKT Corp. Japan 25-Jun-21 | | | |
| Amend Articles to Amend Business Lines – Change Location of Head Office – Amend Provisions on Number of Directors – Amend Provisions on Director Titles – Remove All Provisions on Advisory Positions – Amend Provisions on Number of Statutory Auditors | For | Against | Not in shareholders interest |
| Elect Director Miyatani, Takao | For | Against | Independence concerns |
| Elect Director Sato, Kazuhiro | For | Abstain | Independence and gender diversity concerns |
| Elect Director Uchiyama, Takeshi | For | Against | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | JTOWER, Inc. Japan 24-Jun-21 | | | |
| C | Elect Director Hiramoto, Yoshitaka | For | Against | Independence concerns |
| D | Elect Director Oba, Mutsuko | For | Against | Independence concerns |
| E | Elect Director Tanaka, Atsushi | For | Abstain | Independence concerns |
| F | Elect Director Uchida, Yoshiaki | For | Against | Independence concerns |
| F | Jubilant Foodworks Limited India 17-Sep-21 | | | |
| G | Approve Reappointment and Remuneration of Pratik Rashmikant Pota as Chief Executive Officer & Wholetime Director | For | Against | Remuneration concerns |
| H | Approve Remuneration Payable of Pratik Rashmikant Pota as Chief Executive Officer and Wholetime Director for FY 2021-22 | For | Against | Remuneration concerns |
| I | Reelect Berjis Minoo Desai as Director | For | Against | Overboarding concerns |
| J | Julius Baer Gruppe AG Switzerland 14-Apr-21 | | | |
| K | Approve Discharge of Board and Senior Management | For | Abstain | Corporate governance concerns |
| L | Transact Other Business (Voting) | For | Against | Technical Vote |
| M | Jumia Technologies AG Germany 11-Mar-21 | | | |
| N | Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 600 Million; Approve Creation of EUR 77.2 Million Pool of Capital to Guarantee Conversion Rights | For | Against | Dilutive impact |
| O | Jumia Technologies AG Germany 9-Jun-21 | | | |
| P | Approve Creation of EUR 97.2 Million Pool of Capital without Preemptive Rights | For | Against | Excessive issuance |
| Q | Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 600 Million; Approve Creation of EUR 89.8 Million Pool of Capital to Guarantee Conversion Rights | For | Against | Excessive issuance |
| R | Jungfraubahn Holding AG Switzerland 17-May-21 | | | |
| S | Reappoint Thomas Bieger as Member of the Compensation Committee | For | Against | Corporate governance and Independence concerns |
| T | Reelect Thomas Bieger as Director and Board Chairman | For | Against | Corporate governance, Independence and Gender diversity concerns |
| U | Transact Other Business (Voting) | For | Against | Technical vote |
| V | Jupiter Fund Management plc United Kingdom 6-May-21 | | | |
| W | Approve Remuneration Policy | For | Against | Remuneration concerns |
| X | Approve Remuneration Report | For | Against | Remuneration concerns |
| Y | Re-elect Karl Sternberg as Director | For | Abstain | Gender diversity concerns |
| Z | Re-elect Roger Yates as Director | For | Against | Remuneration concerns |
| | Just Group Plc United Kingdom 11-May-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Ian Cormack as Director | For | Against | Remuneration concerns |
| | JustSystems Corp. Japan 24-Jun-21 | | | |
| | Elect Director Sekinada, Kyotaro | For | Abstain | Independence and gender diversity concerns |
| | JW (Cayman) Therapeutics Co. Ltd. Cayman Islands 26-May-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| K | | | |
| K+S AG Germany 12-May-21 | | | |
| Approve Discharge of Management Board for Fiscal Year 2020 | For | Abstain | Audit concerns |
| Approve Discharge of Supervisory Board for Fiscal Year 2020 | For | Abstain | Audit concerns |
| Kadant Inc. USA 19-May-21 | | | |
| Elect Director William P. Tully | For | Against | Corporate Governance concerns |
| Kainos Group Plc United Kingdom 23-Sep-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Katie Davis as Director | For | Against | Remuneration concerns |
| Re-elect Tom Burnet as Director | For | Against | Corporate Governance concerns |
| Kajima Corp. Japan 25-Jun-21 | | | |
| Appoint Statutory Auditor Suzuki, Kazushi | For | Against | Independence concerns |
| Elect Director Amano, Hiromasa | For | Abstain | Audit concerns |
| Kakaku.com, Inc. Japan 17-Jun-21 | | | |
| Elect Director Hata, Shonosuke | For | Abstain | Independence concerns |
| Elect Director Tada, Kazukuni | For | Against | Independence concerns |
| Kala Pharmaceuticals, Inc. USA 17-Jun-21 | | | |
| Elect Director Andrew I. Koven | For | Withhold | Gender diversity concerns |
| Kaleido Biosciences, Inc. USA 14-Jun-21 | | | |
| Elect Director Anthony G. Quinn | For | Withhold | Overboarding concerns |
| KalVista Pharmaceuticals, Inc. USA 30-Sep-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Kamigumi Co., Ltd. Japan 29-Jun-21 | | | |
| Elect Director Kubo, Masami | For | Abstain | Independence concerns |
| Kaneka Corp. Japan 25-Jun-21 | | | |
| Elect Director Inokuchi, Takeo | For | Against | Independence concerns |
| Elect Director Sugawara, Kimikazu | For | Abstain | Independence and gender diversity concerns |
| Kansai Paint Co., Ltd. Japan 29-Jun-21 | | | |
| Elect Director Mori, Kunishi | For | Abstain | Independence concerns |
| Kao Corp. Japan 26-Mar-21 | | | |
| Elect Director Hayashi, Nobuhide | For | Against | Independence concerns |
| Karuna Therapeutics, Inc. USA 16-Jun-21 | | | |
| Elect Director James Healy | For | Withhold | Overboarding concerns |
| Elect Director Jeffrey Jonas | For | Withhold | Interlocking directorship concerns and Overboarding concerns |
| Kaspi.kz JSC Kazakhstan 26-Mar-21 | | | |
| Approve Allocation of Income and Dividends | For | Against | Insufficient information |
| Fix Board Terms for Directors | For | Against | Insufficient information |
| Kaspi.kz JSC Kazakhstan 25-Aug-21 | | | |
| I am not a Legal Entity or Having Shareholder Participant, or an Individual which Participates in Legal Entities Incorporated in any Offshore Zones promulgated by the Agency on Financial Supervision of Kazakhstan | For | Against | Technical vote |
| Kaspi.kz JSC Kazakhstan 24-Nov-21 | | | |
| I am not a Legal Entity or Having Shareholder Participant, or an Individual which Participates in Legal Entities Incorporated in any Offshore Zones promulgated by the Agency on Financial Supervision of Kazakhstan | For | Against | Technical vote |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | KATITAS Co., Ltd. Japan 25-Jun-21 | | | |
| C | Appoint Statutory Auditor Fukuda, Nobu | For | Against | Independence concerns |
| D | Appoint Statutory Auditor Hayase, Toshiki | For | Against | Independence concerns |
| E | Appoint Statutory Auditor Tsunoda, Tomoko | For | Against | Independence concerns |
| F | Approve Bonus Related to Retirement Bonus System Abolition | For | Against | Remuneration concerns |
| G | Elect Director Arai, Katsutoshi | For | Abstain | Independence concerns |
| H | Kato Sangyo Co., Ltd. Japan 17-Dec-21 | | | |
| I | Elect Director Kato, Kazuya | For | Abstain | Independence concerns |
| J | Kayne Anderson Energy Infrastructure Fund, Inc. USA 8-Apr-21 | | | |
| K | Elect Director Michael J. Levitt | For | Against | Independence concerns |
| L | Ratify PricewaterhouseCoopers LLP as Auditors | For | Against | Audit concerns |
| M | Kazia Therapeutics Ltd. Australia 10-Nov-21 | | | |
| N | Approve Grant of FY20 Options to James Garner | For | Against | Remuneration concerns |
| O | Approve Grant of FY21 Options to James Garner | For | Against | Remuneration concerns |
| P | Approve Remuneration Report | For | Against | Remuneration concerns |
| Q | Elect Iain Ross as Director | For | Against | Audit, Gender diversity and Remuneration concerns |
| R | KB Home USA 8-Apr-21 | | | |
| S | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| T | Elect Director Melissa Lora | For | Against | Remuneration concerns |
| U | Elect Director Robert L. Johnson | For | Against | Overboarding concerns |
| V | KBC Group SA/NV Belgium 6-May-21 | | | |
| W | Approve Discharge of Directors | For | Against | Poor response to prior dissent |
| X | Elect Katelijin Callewaert as Director | For | Against | Independence concerns |
| Y | Elect Philippe Vlerick as Director | For | Against | Independence concerns |
| Z | KDDI Corp. Japan 23-Jun-21 | | | |
| | Elect Director Tanaka, Takashi | For | Abstain | Independence concerns |
| | Elect Director Yamaguchi, Goro | For | Against | Independence concerns |
| | Elect Director Yamamoto, Keiji | For | Against | Independence concerns |
| | KE Holdings, Inc. Cayman Islands 8-Nov-21 | | | |
| | Approve Reclassification of Shares of Common Stock | For | Against | Not in shareholders interest |
| | Keihan Holdings Co., Ltd. Japan 18-Jun-21 | | | |
| | Elect Director and Audit Committee Member Tahara, Nobuyuki | For | Against | Independence concerns |
| | Elect Director Kato, Yoshifumi | For | Abstain | Independence concerns |
| | Keikyu Corp. Japan 29-Jun-21 | | | |
| | Elect Director Terajima, Yoshinori | For | Against | Independence concerns |
| | Keio Corp. Japan 29-Jun-21 | | | |
| | Elect Director Furuichi, Takeshi | For | Against | Independence concerns |
| | Elect Director Nagata, Tadashi | For | Abstain | Independence concerns |
| | Elect Director Takahashi, Atsushi | For | Against | Independence concerns |
| | Keisei Electric Railway Co., Ltd. Japan 29-Jun-21 | | | |
| | Appoint Statutory Auditor Sato, Kenji | For | Against | Independence concerns |
| | Appoint Statutory Auditor Teshima, Tsuneaki | For | Against | Independence concerns |
| | Appoint Statutory Auditor Yoshida, Kenji | For | Against | Independence concerns |
| | Elect Director Ito, Yukihiko | For | Against | Independence concerns |
| | Kennedy-Wilson Holdings, Inc. USA 10-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Kering SA France 22-Apr-21 | | | |
| | Approve Compensation of Francois-Henri Pinault, Chairman and CEO | For | Against | Remuneration concerns |
| | Approve Remuneration Policy of Executive Corporate Officers | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Kerry Group Plc Ireland 29-Apr-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Philip Toomey as Director | For | Abstain | Remuneration concerns |
| Keurig Dr Pepper Inc. USA 18-Jun-21 | | | |
| Elect Director Gerhard Pleuhs | For | Abstain | Technical vote |
| KEYENCE Corp. Japan 11-Jun-21 | | | |
| Elect Director Nakata, Yu | For | Abstain | Independence concerns |
| Kia Motors Corp. South Korea 22-Mar-21 | | | |
| Approve Financial Statements and Allocation of Income | For | Abstain | ESG risk management concerns |
| Approve Financial Statements and Allocation of Income | For | Against | Significant Vote: Poor management of ESG risks; involvement in controversies |
| Elect Choi Jun-Young as Inside Director | For | Against | Independence concerns |
| Elect Han Chol-Su as Outside Director | For | Against | Independence and Gender diversity concerns |
| Kikkoman Corp. Japan 22-Jun-21 | | | |
| Elect Director Inokuchi, Takeo | For | Against | Independence concerns |
| Kilroy Realty Corporation USA 20-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent and Remuneration concerns |
| Elect Director Edward Brennan | For | Against | Poor response to prior dissent and Remuneration concerns |
| Kinden Corp. Japan 24-Jun-21 | | | |
| Elect Director Ikoma, Masao | For | Abstain | Independence and gender diversity concerns |
| Elect Director Takamatsu, Keiji | For | Against | Independence concerns |
| Kindred Biosciences, Inc. USA 22-Jun-21 | | | |
| Elect Director Ervin Veszpremi | For | Against | Corporate governance concerns |
| Kinepolis Group NV Belgium 12-May-21 | | | |
| Approve Discharge of Pentascoop NV, Permanently Represented by Joost Bert, as Director | For | Against | Poor response to prior dissent |
| Approve Remuneration of Directors | For | Against | Poor response to prior dissent and Remuneration concerns |
| Approve Remuneration Policy | For | Against | Lack of disclosure and Strategic concerns |
| Approve Remuneration Report | For | Against | Strategic concerns |
| Approve Variable Remuneration of Executive Directors | For | Against | Remuneration concerns |
| Renew Authorization to Increase Share Capital within the Framework of Authorized Capital | For | Against | Excessive issuance |
| Kingdee International Software Group Company Limited Cayman Islands 21-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance and Lack of disclosure |
| Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| Elect Ni Zheng Dong as Director | For | Against | Audit and Overboarding concerns |
| Kingfisher Plc United Kingdom 30-Jun-21 | | | |
| Re-elect Sophie Gasperment as Director | For | Against | Overboarding concerns |
| Kingsoft Cloud Holdings Ltd. Cayman Islands 17-Dec-21 | | | |
| Elect Hangjun Ye as Director | For | Against | Corporate governance, Independence and Diversity concerns |
| Increase Authorized Common Stock | For | Against | Dilutive impact |
| Kingsoft Corporation Limited Cayman Islands 9-Dec-21 | | | |
| Adopt New Share Option Scheme | For | Against | Strategic concerns |

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| A | Company Name | | | Management Recommendation | Our Vote | Rationale |
|---|--|----------------|------------------|---------------------------|----------|--|
| B | Kingspan Group Plc | Ireland | 30-Apr-21 | | | |
| C | Approve Remuneration Report | | | For | Abstain | Remuneration concerns |
| D | Re-elect Gene Murtagh as Director | | | For | Abstain | Corporate governance concerns |
| E | Re-elect Linda Hickey as Director | | | For | Abstain | Remuneration concerns |
| F | Kinnevik AB | Sweden | 29-Apr-21 | | | |
| G | Approve Remuneration Report | | | For | Against | Strategic concerns |
| H | Reelect Brian McBride as Director | | | For | Against | Corporate governance concerns |
| I | Kite Realty Group Trust | USA | 12-May-21 | | | |
| J | Advisory Vote to Ratify Named Executive Officers' Compensation | | | For | Against | Remuneration concerns |
| K | Klepierre SA | France | 17-Jun-21 | | | |
| L | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 20 to 25 at EUR 120 Million | | | For | Against | Excessive issuance |
| M | KLX Energy Services Holdings, Inc. | USA | 8-Jun-21 | | | |
| N | Elect Director Corbin J. Robertson, Jr. | | | For | Withhold | Gender diversity concerns |
| O | Knight-Swift Transportation Holdings Inc. | USA | 18-May-21 | | | |
| P | Elect Director Gary Knight | | | For | Withhold | Independence concerns |
| Q | Reduce Supermajority Vote Requirement | | | Against | For | Supporting better corporate governance practices |
| R | Koa Corp. | Japan | 19-Jun-21 | | | |
| S | Elect Director Hanagata, Tadao | | | For | Abstain | Independence concerns |
| T | Elect Director Shigemune, Nobuyuki | | | For | Against | Independence concerns |
| U | Kobe Bussan Co., Ltd. | Japan | 28-Jan-21 | | | |
| V | Elect Director Numata, Hirokazu | | | For | Abstain | Independence concerns |
| W | Kodiak Sciences Inc. | USA | 7-Jun-21 | | | |
| X | Elect Director Felix J. Baker | | | For | Withhold | Overboarding concerns |
| Y | Kodiak Sciences Inc. | USA | 13-Oct-21 | | | |
| Z | Approve Stock Option Plan | | | For | Against | Remuneration concerns |
| | Koei Tecmo Holdings Co., Ltd. | Japan | 17-Jun-21 | | | |
| | Elect Director Erikawa, Yoichi | | | For | Abstain | Independence concerns |
| | Kohl's Corporation | USA | 12-May-21 | | | |
| | Provide Right to Act by Written Consent | | | Against | For | In shareholders best interest |
| | Koito Manufacturing Co., Ltd. | Japan | 29-Jun-21 | | | |
| | Appoint Statutory Auditor Sakakibara, Koichi | | | For | Against | Independence concerns |
| | Elect Director Kato, Michiaki | | | For | Abstain | Independence and gender diversity concerns |
| | Elect Director Kato, Michiaki | | | For | Abstain | Independence concerns |
| | Elect Director Sakurai, Kingo | | | For | Against | Independence concerns |
| | Elect Director Uehara, Haruya | | | For | Against | Independence concerns |
| | Komatsu Ltd. | Japan | 18-Jun-21 | | | |
| | Elect Director Kigawa, Makoto | | | For | Against | Independence concerns |
| | Elect Director Kunibe, Takeshi | | | For | Against | Independence concerns |
| | Elect Director Ohashi, Tetsuji | | | For | Against | Independence concerns |
| | Konami Holdings Corp. | Japan | 24-Jun-21 | | | |
| | Elect Director Kozuki, Kagemasa | | | For | Abstain | Independence concerns |
| | Kone Oyj | Finland | 2-Mar-21 | | | |
| | Approve Remuneration of Directors in the Amount of EUR 220,000 for Chairman, EUR 125,000 for Vice Chairman, and EUR 110,000 for Other Directors | | | For | Against | Remuneration concerns |
| | Reelect Matti Alahuhta, Susan Duinhoven, Antti Herlin, Iiris Herlin, Jussi Herlin, Ravi Kant and Juhani Kaskeala as Directors; Elect Jennifer Xin-Zhe Li as New Director | | | For | Against | Bundled election and Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Koninklijke Philips NV Netherlands 6-May-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Korn Ferry USA 29-Sep-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Jerry P. Leamon | For | Against | Remuneration concerns |
| Kotak Mahindra Bank Limited India 25-Aug-21 | | | |
| Reelect C. Jayaram as Director | For | Against | Gender diversity and Independence concerns |
| Reelect C. Jayaram as Director | For | Against | Gender diversity concerns and Independence concerns |
| Kourakuen Holdings Corp. Japan 18-Jun-21 | | | |
| Approve Takeover Defense Plan (Poison Pill) | For | Against | Anti-Takeover Device |
| Kronos Worldwide, Inc. USA 19-May-21 | | | |
| Elect Director Cecil H. Moore, Jr. | For | Withhold | Audit concerns |
| K's Holdings Corp. Japan 29-Jun-21 | | | |
| Elect Director Hiramoto, Tadashi | For | Abstain | Independence concerns |
| KT Corp. South Korea 29-Mar-21 | | | |
| Elect Kim Dae-you as Outside Director to Serve as an Audit Committee Member | For | Abstain | Gender diversity concerns |
| Elect Park Jong-ook as Inside Director | For | Abstain | Independence concerns |
| KT&G Corp. South Korea 19-Mar-21 | | | |
| Elect Baek Jong-soo as Outside Director to Serve as an Audit Committee Member | For | Abstain | Gender diversity concerns |
| Kualshou Technology China 28-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| Kuehne + Nagel International AG Switzerland 4-May-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration Concerns |
| Reappoint Karl Gernandt as Member of the Compensation Committee | For | Against | Remuneration and Gender diversity concerns |
| Reelect Karl Gernandt as Director | For | Against | Remuneration and Gender diversity concerns |
| Transact Other Business (Voting) | For | Against | Technical Vote |
| Kura Oncology, Inc. USA 22-Jun-21 | | | |
| Elect Director Faheem Hasnain | For | Withhold | Overboarding concerns |
| Kura Sushi USA, Inc. USA 29-Jan-21 | | | |
| Elect Director Seitaro Ishii | For | Against | Independence concerns |
| Kuraray Co., Ltd. Japan 25-Mar-21 | | | |
| Appoint Statutory Auditor Uehara, Naoya | For | Against | Independence concerns |
| Elect Director Ito, Masaaki | For | Abstain | Independence concerns |
| Kureha Corp. Japan 25-Jun-21 | | | |
| Elect Director Higuchi, Kazunari | For | Against | Independence concerns |
| Elect Director Kobayashi, Yutaka | For | Abstain | Independence and gender diversity concerns |
| Kurita Water Industries Ltd. Japan 29-Jun-21 | | | |
| Elect Director Kadota, Michiya | For | Abstain | Independence concerns |
| Kyocera Corp. Japan 25-Jun-21 | | | |
| Elect Director Yamaguchi, Goro | For | Abstain | Independence concerns |
| Kyokuyo Co., Ltd. Japan 25-Jun-21 | | | |
| Appoint Statutory Auditor Nishihama, Masayuki | For | Against | Independence concerns |
| Elect Director Inoue, Makoto | For | Abstain | Audit concerns |

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| A | Company Name | | | Management Recommendation | Our Vote | Rationale |
|---|--|--|--|---------------------------|----------|-----------------------|
| B | KYORIN Holdings, Inc. Japan 18-Jun-21 | | | | | |
| C | Elect Director Ogihara, Yutaka | | | For | Abstain | Independence concerns |
| D | Kyushu Electric Power Co., Inc. Japan 25-Jun-21 | | | | | |
| E | Elect Director Tsuda, Junji | | | For | Against | Independence concerns |
| F | Elect Director Uriu, Michiaki | | | For | Abstain | Independence concerns |
| G | Kyushu Financial Group, Inc. Japan 18-Jun-21 | | | | | |
| H | Elect Director and Audit Committee Member Sekiguchi, Kenichi | | | For | Against | Independence concerns |
| I | Elect Director Matsuyama, Sumihiro | | | For | Abstain | Independence concerns |
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| Company Name | Management Recommendation | Our Vote | Rationale |
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| L | | | |
| L Brands, Inc. USA 20-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| L3Harris Technologies, Inc. USA 23-Apr-21 | | | |
| Elect Director Lloyd W. Newton | For | Abstain | Gender diversity concerns |
| La Jolla Pharmaceutical Company USA 21-Jul-21 | | | |
| Elect Director Laura Johnson | For | Against | Corporate Governance concerns |
| Ladder Capital Corp USA 1-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Douglas Durst | For | Withhold | Remuneration concerns |
| Elect Director Jeffrey B. Steiner | For | Withhold | Independence and Corporate Governance concerns |
| LafargeHolcim Ltd. Switzerland 4-May-21 | | | |
| Appoint Hanne Sorensen as Member of the Nomination, Compensation and Governance Committee | For | Against | Overboarding Concerns |
| Reelect Hanne Sorensen as Director | For | Against | Overboarding concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Lagardere SCA France 30-Jun-21 | | | |
| Appoint Pierre Leroy as as Censor | For | Abstain | Technical vote |
| Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights with a Binding Priority Right up to Aggregate Nominal Amount of EUR 160 Million | For | Against | Excessive issuance |
| Elect Nicolas Sarkozy as Director | For | Against | Corporate governance and Independence concerns |
| Lancashire Holdings Ltd. Bermuda 28-Apr-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Authorise Issue of Equity without Pre-emptive Rights (Additional Authority) | For | Against | Dilutive impact |
| Re-elect Simon Fraser as Director | For | Against | Remuneration concerns |
| Land Securities Group Plc United Kingdom 8-Jul-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Christophe Evain as Director | For | Against | Remuneration concerns |
| Landis+Gyr Group AG Switzerland 24-Jun-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Larsen & Toubro Limited India 5-Aug-21 | | | |
| Reelect Narayanan Kumar as Director | For | Against | Overboarding and Gender diversity concerns |
| Las Vegas Sands Corp. USA 13-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | remuneration concerns |
| Elect Director Charles A. Koppelman | For | Withhold | Compensation concerns |
| Las Vegas Sands Corp. USA 13-May-21 | | | |
| Elect Director Charles A. Koppelman | For | Withhold | Remuneration concerns |
| LATAM Airlines Group SA Chile 20-Apr-21 | | | |
| Approve Remuneration and Budget of Directors' Committee | For | Against | Insufficient information |
| Elect Directors | For | Against | Insufficient information and Bundled election. |
| Other Business | For | Against | Technical vote |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Laurus Labs Ltd. India 15-Jul-21 | | | |
| C | Approve Grant of Stock Options to the Eligible Employees of the Company's Subsidiaries under the Laurus Labs Employees Stock Option Scheme 2021 | For | Against | Remuneration concerns |
| D | Approve Laurus Labs Employees Stock Option Scheme 2021 and Grant of Options to the Employees of the Company | For | Against | Remuneration concerns |
| E | Lazard Ltd. Bermuda 29-Apr-21 | | | |
| F | Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| G | LCI Industries USA 20-May-21 | | | |
| H | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent and Remuneration concerns |
| I | LE Lundbergforetagen AB Sweden 15-Apr-21 | | | |
| J | Reelect Fredrik Lundberg as Director | For | Against | Independence concerns |
| K | Reelect Louise Lindh as Director | For | Against | Independence concerns |
| L | Reelect Mats Guldbrand (Chairman) as Director | For | Against | Corporate governance concerns |
| M | Lee Enterprises, Incorporated USA 25-Feb-21 | | | |
| N | Elect Director Gregory P. Schermer | For | Withhold | Board Independence concerns |
| O | Legacy Housing Corporation USA 30-Nov-21 | | | |
| P | Elect Director Curtis D. Hodgson | For | Withhold | Independence concerns |
| Q | Elect Director Jeffrey K. Stouder | For | Withhold | Audit concerns |
| R | Elect Director Robert D. Bates | For | Withhold | Corporate governance, Gender diversity concerns and Lack of disclosure |
| S | LEM Holding SA Switzerland 24-Jun-21 | | | |
| T | Amend Articles Re: Age Limit for Board Members | For | Against | Not in shareholders best interest |
| U | Approve Remuneration Report (Non-Binding) | For | Against | Remuneration concerns |
| V | Transact Other Business (Voting) | For | Against | Technical vote |
| W | LeMaitre Vascular, Inc. USA 3-Jun-21 | | | |
| X | Elect Director Joseph P. Pellegrino, Jr. | For | Withhold | Independence concerns |
| Y | Elect Director Lawrence J. Jasinski | For | Withhold | Corporate Governance concerns |
| Z | Lemonade, Inc. USA 9-Jun-21 | | | |
| | Elect Director Michael Eisenberg | For | Withhold | Corporate Governance concerns |
| | LendingTree, Inc. USA 9-Jun-21 | | | |
| | Elect Director Steve Ozonian | For | Against | Remuneration concerns |
| | Elect Director Thomas M. Davidson, Jr. | For | Abstain | ESG risk management concerns |
| | Lendlease Group Australia 12-Nov-21 | | | |
| | Elect Michael Ullmer as Director | For | Abstain | Audit concerns |
| | Lennar Corporation USA 7-Apr-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| | Elect Director Jeffrey Sonnenfeld | For | Abstain | Gender diversity concerns |
| | Elect Director Steven L. Gerard | For | Against | Remuneration concerns |
| | Lexicon Pharmaceuticals, Inc. USA 29-Apr-21 | | | |
| | Elect Director Frank P. Palantoni | For | Withhold | Gender diversity concerns |
| | LG Chem Ltd. South Korea 25-Mar-21 | | | |
| | Approve Financial Statements and Allocation of Income | For | Against | Audit concerns |
| | Elect Cha Dong Seok as Inside Director | For | Abstain | Independence concerns |
| | LG Corp. South Korea 26-Mar-21 | | | |
| | Approve Total Remuneration of Inside Directors and Outside Directors | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| LG Display Co., Ltd. South Korea 23-Mar-21 | | | |
| Approve Financial Statements and Allocation of Income | For | Against | Audit concerns |
| Elect Lee Byung-ho as Outside Director | For | Against | Gender diversity concerns |
| Elect Moon Du-cheol as Outside Director to Serve as an Audit Committee Member | For | Against | Audit concerns |
| LGI Homes, Inc. USA 29-Apr-21 | | | |
| Elect Director Steven Smith | For | Withhold | Independence concerns |
| Li Ning Company Limited Cayman Islands 11-Jun-21 | | | |
| Elect Su Jing Shyh, Samuel as Director | For | Against | Combined CEO/Chair |
| Liberty Broadband Corporation USA 26-May-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Require a Majority Vote for the Election of Directors | Against | For | Supporting better corporate governance practices |
| Liberty Global plc United Kingdom 16-Jun-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Elect Director Larry E. Romrell | For | Against | Remuneration concerns |
| Liberty Latin America Ltd. Bermuda 12-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Amend Omnibus Stock Plan | For | Against | Dilutive and Remuneration concerns |
| Elect Director Charles H.R. Bracken | For | Withhold | Independence concerns |
| Elect Director Eric L. Zinterhofer | For | Withhold | Overboarding and Remuneration concerns |
| Liberty Media Corporation USA 25-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Andrea L. Wong | For | Withhold | Gender diversity concerns |
| Liberty TripAdvisor Holdings, Inc. USA 28-Jul-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Lifetime Brands, Inc. USA 24-Jun-21 | | | |
| Elect Director Craig Phillips | For | Withhold | Independence concerns |
| Link Mobility Group Holding ASA Norway 26-May-21 | | | |
| Approve Creation of NOK 272,137.676 Pool of Capital without Preemptive Rights | For | Against | Excessive issuance |
| Approve Remuneration of Auditors | For | Against | Audit concerns |
| Link Mobility Group Holding ASA Norway 7-Dec-21 | | | |
| Amend Remuneration Policy And Other Terms of Employment For Executive Management | For | Against | Dilutive impact |
| Approve Equity Plan Financing | For | Against | Dilutive impact |
| Linx SA Brazil 30-Apr-21 | | | |
| Elect Directors | For | Against | Bundled election |
| Linx SA Brazil 27-May-21 | | | |
| Approve Grant of Deferred Shares to Independent Board Members | For | Against | Sufficient rationale from the company |
| Lion Corp. Japan 30-Mar-21 | | | |
| Elect Director Hama, Itsuo | For | Abstain | Independence concerns |
| Lions Gate Entertainment Corp. Canada 14-Sep-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| Elect Director Emily Fine | For | Withhold | Independence concerns |
| Elect Director Michael T. Fries | For | Withhold | Overboarding concerns |
| Elect Director Yvette Ostolaza | For | Withhold | Corporate Governance concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Live Nation Entertainment, Inc. USA 10-Jun-21 | | | |
| C | Elect Director Randall T. Mays | For | Against | Corporate governance and Gender diversity concerns |
| D | Live Oak Bancshares, Inc. USA 11-May-21 | | | |
| E | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| F | Amend Omnibus Stock Plan | For | Against | Dilutive impact |
| G | Elect Director David G. Lucht | For | Withhold | Independence concerns |
| H | Elect Director Diane B. Glossman | For | Withhold | Corporate Governance concerns |
| I | Elect Director Miltom E. Petty | For | Withhold | Independence concerns |
| J | LivePerson, Inc. USA 26-May-21 | | | |
| K | Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| L | LiveRamp Holdings, Inc. USA 10-Aug-21 | | | |
| M | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| N | LIXIL Corp. Japan 22-Jun-21 | | | |
| O | Amend Articles to Allow Virtual Only Shareholder Meetings | For | Against | Not in shareholders interest |
| P | Lloyds Banking Group Plc United Kingdom 20-May-21 | | | |
| Q | Approve Remuneration Report | For | Against | Remuneration concerns |
| R | Re-elect Stuart Sinclair as Director | For | Against | Remuneration concerns |
| S | LNA Sante SA France 23-Jun-21 | | | |
| T | Approve Auditors' Special Report on Related-Party Transactions | For | Against | Not in shareholders best interest |
| U | Approve Compensation of Jean-Paul Siret, Chairman and CEO | For | Against | Remuneration concerns |
| V | Approve Compensation of Willy Siret, Vice-CEO | For | Against | Remuneration concerns |
| W | Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 5.5 Million | For | Against | Dilutive impact |
| X | Approve Issuance of Equity or Equity-Linked Securities Reserved for Qualified Investors and/or Restricted Number of Investors, up to Aggregate Nominal Amount of EUR 2 Million | For | Against | Dilutive impact |
| Y | Approve Remuneration Policy of Jean-Paul Siret, Chairman and CEO | For | Against | Remuneration concerns |
| Z | Approve Remuneration Policy of Willy Siret, Vice-CEO | For | Against | Remuneration concerns |
| | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 21-22 and 27-28 | For | Against | Dilutive impact |
| | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| | Authorize Capital Increase of Up to EUR 5.5 Million for Future Exchange Offers | For | Against | Dilutive impact |
| | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 5.5 Million | For | Against | Dilutive impact |
| | Authorize up to 300,000 Shares for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| | Renew Appointment of Unexo as Censor | For | Against | Corporate governance concerns |
| | Localiza Rent A Car SA Brazil 27-Apr-21 | | | |
| | Approve Long-Term Incentive Plan for Executives | For | Against | Remuneration concerns |
| | Elect Antonio de Padua Soares Policarpo as Fiscal Council Member and Marco Antonio Pereira | For | Abstain | Corporate governance concerns |
| | Elect Carla Alessandra Trematore as Fiscal Council Member and Juliano Lima Pinheiro as Alternate | For | Abstain | Corporate governance concerns |
| | Elect Maria Leticia de Freitas Costas as Independent Director | For | Against | Independence concerns |
| | Elect Oscar de Paula Bernardes Neto as Independent Director | For | Against | Independence concerns |
| | Locaweb Servicos de Internet SA Brazil 26-Jan-21 | | | |
| | Amend Articles 5 and 6 to Reflect Changes in Capital and Consolidate Bylaws | For | Against | Strategic concerns |
| | Approve Increase in Authorized Capital | For | Against | Insufficient information |
| | Locaweb Servicos de Internet SA Brazil 30-Apr-21 | | | |
| | Approve Performance Based Stock Plan | For | Against | Sufficient rationale from the company |
| | Approve Restricted Stock Plan | For | Against | Strategic concerns |
| | Rectify Remuneration of Company's Management for 2020 | For | Against | Remuneration concerns |
| | Elect Directors | For | Against | Bundled election |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Lockheed Martin Corporation USA 22-Apr-21 | | | |
| Elect Director Bruce A. Carlson | For | Against | Independence concerns |
| Elect Director Daniel F. Akerson | For | Abstain | Combined CEO/Chair |
| Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Loews Corporation USA 11-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Paul J. Fribourg | For | Abstain | Gender Diversity concerns |
| Report on Political Contributions | Against | For | Supporting better corporate governance practices |
| LogicBio Therapeutics, Inc. USA 11-Jun-21 | | | |
| Elect Director Michael Wyzga | For | Against | Overboarding concerns |
| Logitech International S.A. Switzerland 8-Sep-21 | | | |
| Authorize Independent Representative to Vote on Any Amendment to Previous Resolutions | For | Against | Technical vote |
| Loma Negra Compania Industrial Argentina SA Argentina 20-Apr-21 | | | |
| Fix Number of and Elect Directors and Alternates for Fiscal Year 2021 | For | Abstain | Bundled election |
| London Stock Exchange Group Plc United Kingdom 28-Apr-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Jacques Aigrain as Director | For | Against | Remuneration concerns |
| Lonza Group AG Switzerland 6-May-21 | | | |
| Ratify KPMG Ltd as Auditors | For | Abstain | Audit concerns |
| Ratify KPMG Ltd as Auditors | For | Against | Audit concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Lookers Plc United Kingdom 30-Jun-21 | | | |
| Re-elect Philip White as Director | For | Against | Gender Diversity concerns |
| Loomis AB Sweden 6-May-21 | | | |
| Reelect Alf Goransson (Chairman), Jeanette Almberg, Lars Blecko, Cecilia Daun Wennborg and Johan Lundberg as Directors; Elect Liv Forhaug as New Director | For | Against | Bundled election and Overboarding concerns |
| Loral Space & Communications, Inc. USA 17-Jun-21 | | | |
| Elect Director John D. Harkey, Jr. | For | Withhold | Corporate governance concerns |
| LTC Properties, Inc. USA 26-May-21 | | | |
| Elect Director James J. Pieczynski | For | Against | Lack of virtual-only meeting disclosure |
| Lucky Cement Ltd. Pakistan 28-Sep-21 | | | |
| Approve Related Party Transactions for Year Ended June 30, 2022 | For | Against | Lack of disclosure |
| Elect Directors | For | Against | Bundled Election, Attendance, Corporate Governance, Independence and Overboarding concerns |
| Other Business | For | Against | Technical vote |
| Luk Fook Holdings (International) Limited Bermuda 19-Aug-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect Hui Chiu Chung, JP as Director | For | Against | Overboarding concerns |
| Elect Ip Shu Kwan, Stephen as Director | For | Against | Overboarding and Remuneration concerns; Combined CEO/Chair |

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| | Company Name | Management Recommendation | Our Vote | Rationale |
|----------|---|---------------------------|----------|---|
| A | | | | |
| B | Lundin Energy AB Sweden 30-Mar-21 | | | |
| C | Approve Extra Remuneration for Alex Schneider | For | Against | Not in shareholders best interest |
| D | Approve Remuneration Report | For | Against | Remuneration concerns |
| E | Instruct Company to Disclose All Current and Projected Direct and Indirect Costs Connected with the Legal Defence | Against | For | Supporting better corporate governance practices |
| F | Reelect C. Ashley Heppenstall as Director | For | Against | Overboarding concerns |
| G | Reelect Torstein Sanness as Director | For | Against | Overboarding concerns |
| | Lundin Mining Corporation Canada 7-May-21 | | | |
| H | Elect Director C. Ashley Heppenstall | For | Withhold | Overboarding concerns |
| I | LVMH Moët Hennessy Louis Vuitton SE France 15-Apr-21 | | | |
| J | Approve Amendment of Remuneration Policy of Executive Corporate Officers Re: FY 2020 | For | Against | Remuneration concerns |
| K | Approve Compensation of Antonio Belloni, Vice-CEO | For | Against | Remuneration concerns |
| L | Approve Compensation of Bernard Arnault, Chairman and CEO | For | Against | Remuneration concerns |
| M | Approve Compensation of Corporate Officers | For | Against | Poor response to prior dissent |
| N | Approve Issuance of Equity or Equity-Linked Securities for Qualified Investors, up to Aggregate Nominal Amount of EUR 20 Million | For | Against | Dilutive impact |
| O | Approve Issuance of Equity or Equity-Linked Securities for Qualified Investors, up to Aggregate Nominal Amount of EUR 20 Million | For | Against | Dilutive impact |
| P | Approve Remuneration Policy of Chairman and CEO | For | Against | Remuneration concerns |
| Q | Approve Remuneration Policy of Vice-CEO | For | Against | Remuneration concerns |
| R | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | For | Against | Dilutive impact |
| S | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | For | Against | Dilutive impact |
| T | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| U | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| V | Authorize Capital Increase of Up to EUR 20 Million for Future Exchange Offers | For | Against | Dilutive impact |
| W | Authorize Capital Increase of Up to EUR 20 Million for Future Exchange Offers | For | Against | Dilutive impact |
| X | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 20 Million | For | Against | Dilutive impact |
| Y | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 20 Million | For | Against | Dilutive impact |
| Z | Authorize up to 1 Percent of Issued Capital for Use in Stock Option Plans | For | Against | Lack of disclosure |
| | Reelect Charles de Croisset as Director | For | Against | Combined CEO/Chair |
| | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 21-26 at EUR 20 Million | For | Against | Dilutive impact |
| | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 21-26 at EUR 20 Million | For | Against | Dilutive impact |
| | Lyft, Inc. USA 17-Jun-21 | | | |
| | Report on Lobbying Payments and Policy | Against | For | Supporting better ESG risk management disclosures |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| M | | | |
| M&G Plc United Kingdom 26-May-21 | | | |
| Re-elect Clare Thompson as Director | For | Abstain | Audit concerns |
| M&T Bank Corporation USA 20-Apr-21 | | | |
| Elect Director John R. Scannell | For | Withhold | Overboarding concerns |
| M.D.C. Holdings, Inc. USA 26-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| M3, Inc. Japan 25-Jun-21 | | | |
| Elect Director Tanimura, Itaru | For | Abstain | Independence concerns |
| Elect Director Yoshida, Kenichiro | For | Against | Independence concerns |
| Mackinac Financial Corporation USA 25-May-21 | | | |
| Elect Director Randolph C. Paschke | For | Withhold | Gender diversity concerns |
| Macnica Fuji Electronics Holdings, Inc Japan 24-Jun-21 | | | |
| Elect Director Nakashima, Kiyoshi | For | Abstain | Independence concerns |
| MACOM Technology Solutions Holdings, Inc. USA 4-Mar-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Macquarie Group Limited Australia 29-Jul-21 | | | |
| Elect Glenn R Stevens as Director | For | Abstain | Audit concerns |
| Macquarie Infrastructure Corporation USA 12-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Madrigal Pharmaceuticals, Inc. USA 17-Jun-21 | | | |
| Other Business | For | Against | Technical vote |
| Magazine Luiza SA Brazil 22-Apr-21 | | | |
| Elect Directors | For | Against | Bundled Election |
| Elect Fiscal Council Members | For | Abstain | Corporate governance concerns |
| Magnit PJSC Russia 10-Jun-21 | | | |
| Ratify IFRS Auditor | For | Against | Concerns regarding audit fees |
| Mahindra & Mahindra Limited India 6-Aug-21 | | | |
| Elect Nisaba Godrej as Director | For | Against | Overboarding concerns |
| Makita Corp. Japan 25-Jun-21 | | | |
| Elect Director Goto, Masahiko | For | Abstain | Independence and gender diversity concerns |
| Elect Director Iwase, Takahiro | For | Against | Independence concerns |
| Mallinckrodt plc Ireland 13-Aug-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director David Y. Norton | For | Against | Remuneration concerns |
| Elect Director J. Martin Carroll | For | Against | Corporate governance concerns |
| Elect Director Kneeland C. Youngblood | For | Against | Corporate governance concerns |
| Mammoth Energy Services, Inc. USA 2-Jun-21 | | | |
| Elect Director James Palm | For | Against | Gender diversity concerns |
| MAN SE Germany 29-Jun-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Elect Annette Danielski to the Supervisory Board | For | Against | Independence concerns |
| Manhattan Associates, Inc. USA 13-May-21 | | | |
| Elect Director Deepak Raghavan | For | Abstain | Gender diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Manila Electric Company Philippines 25-May-21 | | | |
| C | Elect Anabelle L. Chua as Director | For | Against | Independence and Overboarding concerns |
| D | Elect Artemio V. Panganiban as Director | For | Against | Independence and Overboarding concerns |
| E | Elect Frederick D. Go as Director | For | Against | Independence and Overboarding concerns |
| F | Elect James L. Go as Director | For | Against | Independence and Overboarding concerns |
| G | Elect Jose Ma. K. Lim as Director | For | Against | Independence concerns |
| H | Elect Lance Y. Gokongwei as Director | For | Against | Overboarding concerns |
| I | Elect Manuel V. Pangilinan as Director | For | Against | Independence and Overboarding concerns |
| J | Elect Pedro E. Roxas as Director | For | Against | Independence concerns |
| K | Manitex International, Inc. USA 3-Jun-21 | | | |
| L | Elect Director Marvin B. Rosenberg | For | Withhold | Independence concerns |
| M | Elect Director Robert S. Gigliotti | For | Withhold | Audit concerns |
| N | Elect Director Ronald M. Clark | For | Withhold | Gender diversity and Corporate Governance concerns |
| O | MannKind Corporation USA 20-May-21 | | | |
| P | Elect Director Michael A. Friedman | For | Withhold | Lack of virtual-only meeting disclosure |
| Q | Mapfre SA Spain 12-Mar-21 | | | |
| R | Advisory Vote on Remuneration Report | For | Against | Remuneration Concerns |
| S | Mapletree Commercial Trust Singapore 22-Jul-21 | | | |
| T | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Excessive issuance |
| U | Mapletree Logistics Trust Singapore 13-Jul-21 | | | |
| V | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Excessive issuance |
| W | Marathon Oil Corporation USA 26-May-21 | | | |
| X | Elect Director Marcela E. Donadio | For | Abstain | Climate risk management concerns |
| Y | Marathon Petroleum Corporation USA 28-Apr-21 | | | |
| Z | Elect Director Abdulaziz F. Alkhayyal | For | Abstain | Climate risk management concerns |
| | Elect Director Jonathan Z. Cohen | For | Abstain | Gender diversity concerns |
| | Marico Limited India 30-Aug-21 | | | |
| | Reelect Rajendra Mariwala as Director | For | Against | Audit and Independence concerns |
| | Marine Products Corporation USA 27-Apr-21 | | | |
| | Elect Director Gary W. Rollins | For | Withhold | Overboarding concerns |
| | Marlin Business Services Corp. USA 2-Jun-21 | | | |
| | Elect Director J. Christopher Teets | For | Withhold | Independence concerns |
| | Elect Director Lawrence J. DeAngelo | For | Withhold | Gender diversity concerns |
| | Marten Transport, Ltd. USA 4-May-21 | | | |
| | Other Business | For | Against | Technical vote |
| | Martin Marietta Materials, Inc. USA 13-May-21 | | | |
| | Elect Director John J. Koraleski | For | Abstain | Climate risk management concerns |
| | Marubeni Corp. Japan 24-Jun-21 | | | |
| | Elect Director Kokubu, Fumiya | For | Abstain | Independence concerns |
| | Elect Director Takahashi, Kyohei | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Marui Group Co., Ltd. Japan 25-Jun-21 | | | |
| Elect Director Aoi, Hiroshi | For | Abstain | Independence concerns |
| Elect Director Nakagami, Yasunori | For | Against | Independence concerns |
| Maruichi Steel Tube Ltd. Japan 25-Jun-21 | | | |
| Elect Director Nakano, Kenjiro | For | Against | Independence concerns |
| Elect Director Suzuki, Hiroyuki | For | Abstain | Independence concerns |
| Elect Director Ushino, Kenichiro | For | Against | Independence concerns |
| Maruti Suzuki India Limited India 24-Aug-21 | | | |
| Reelect Toshihiro Suzuki as Director | For | Against | Independence and Gender diversity concerns |
| Masco Corporation USA 12-May-21 | | | |
| Elect Director John C. Plant | For | Against | Overboarding concerns |
| Masimo Corporation USA 27-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Materialise NV Belgium 29-Jun-21 | | | |
| Reelect A TRE C CVOA, Permanently Represented by Johan De Lille, as Director | For | Against | Audit and Corporate governance concerns |
| Materion Corporation USA 6-May-21 | | | |
| Elect Director Vinod M. Khilnani | For | Withhold | ESG risk management concerns |
| Matrix Service Company USA 2-Nov-21 | | | |
| Elect Director James H. Miller | For | Against | Independence concerns |
| MAXIMUS, Inc. USA 16-Mar-21 | | | |
| Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| Mayr-Melnhof Karton AG Austria 28-Apr-21 | | | |
| Approve Remuneration Report | For | Against | Lack of disclosure and Remuneration concerns |
| Mazda Motor Corp. Japan 24-Jun-21 | | | |
| Elect Director and Audit Committee Member Kitamura, Akira | For | Against | Independence concerns |
| Elect Director Shobuda, Kiyotaka | For | Abstain | Independence concerns |
| McAfee Corp. USA 3-Jun-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| McDermott International Ltd. Bermuda 14-May-21 | | | |
| Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Lack of disclosure |
| Elect Director David Dickson | For | Withhold | Attendance and Corporate governance concerns |
| McDonald's Corporation USA 20-May-21 | | | |
| Elect Director Enrique Hernandez, Jr. | For | Against | Corporate governance concerns |
| Elect Director Miles White | For | Against | Overboarding concerns |
| Elect Director Richard Lenny | For | Against | Corporate governance concerns |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| McDonald's Holdings Co. (Japan) Ltd. Japan 26-Mar-21 | | | |
| Approve Director Retirement Bonus | For | Against | Remuneration concerns |
| McKesson Corporation USA 23-Jul-21 | | | |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Mcmillan Shakespeare Limited Australia 22-Nov-21 | | | |
| Elect Tim Poole as Director | For | Abstain | Gender diversity concerns |
| MDC Partners Inc. Canada 22-Jun-21 | | | |
| Elect Director Irwin D. Simon | For | Withhold | Overboarding concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Mebuki Financial Group, Inc. Japan 24-Jun-21 | | | |
| C | Elect Director Sasajima, Ritsuo | For | Abstain | Independence concerns |
| D | Medallia, Inc. USA 28-May-21 | | | |
| E | Elect Director Stanley J. Meresman | For | Against | Overboarding concerns |
| F | Medallia, Inc. USA 14-Oct-21 | | | |
| F | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| G | Medical System Network Co., Ltd. Japan 24-Jun-21 | | | |
| H | Elect Director Ibe, Toshiko | For | Against | Independence concerns |
| I | Elect Director Tajiri, Inao | For | Abstain | Independence concerns |
| J | Medipal Holdings Corp. Japan 25-Jun-21 | | | |
| J | Elect Director Iwamoto, Hiroshi | For | Against | Independence concerns |
| K | Elect Director Shoji, Kuniko | For | Against | Independence concerns |
| L | Elect Director Watanabe, Shuichi | For | Abstain | Independence concerns |
| M | Mednax, Inc. USA 12-May-21 | | | |
| M | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent and Remuneration concerns |
| N | Elect Director Shirley A. Weis | For | Withhold | Remuneration concerns |
| O | Medpace Holdings, Inc. USA 14-May-21 | | | |
| P | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Q | Elect Director Fred B. Davenport, Jr. | For | Withhold | Poor response to prior dissent |
| R | Medtecs International Corporation Limited Bermuda 28-Apr-21 | | | |
| S | Approve Grant of Options and Issuance of Shares Under the Medtecs Share Option Scheme | For | Against | Dilutive impact |
| T | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| T | Elect Clement Yang Ker-Cheng as Director | For | Abstain | Independence concerns |
| U | Elect William Yang Weiyuan as Director | For | Abstain | Independence concerns |
| V | Medtronic plc Ireland 9-Dec-21 | | | |
| V | Elect Director Kendall J. Powell | For | Against | Independence concerns |
| W | Meezan Bank Ltd. Pakistan 17-Nov-21 | | | |
| X | Other Business | For | Against | Technical vote |
| Y | Reelect Bader H.A.M.A. AlRabiah as Director | For | Against | Audit concerns |
| Y | Reelect Faisal A.A.A. Al-Nassar as Director | For | Against | Audit concerns |
| Z | Reelect Faisal Fahad Al-Muzaini as Director | For | Against | Insufficient information/Bundled election |
| | Reelect Noorur Rahman Abid as Director | For | Against | Audit concerns |
| | Reelect Riyadh S.A.A. Edrees as Director | For | Against | Independence and Overboarding concerns |
| | MegaChips Corp. Japan 25-Jun-21 | | | |
| | Elect Director Hikawa, Tetsuo | For | Abstain | Independence concerns |
| | Meggitt Plc United Kingdom 29-Apr-21 | | | |
| | Approve Remuneration Policy | For | Against | Remuneration concerns |
| | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| | Re-elect Alison Goligher as Director | For | Against | Remuneration concerns |
| | MeiraGTX Holdings Plc Cayman Islands 10-Jun-21 | | | |
| | Elect Director Keith R. Harris | For | Withhold | Audit concerns |
| | Ratify Ernst & Young LLP as Auditors | For | Against | Concerns regarding audit fees |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Meituan Cayman Islands 23-Jun-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect Lau Chi Ping Martin as Director | For | Against | Overboarding concerns |
| Elect Neil Nanpeng Shen as Director | For | Against | Overboarding concerns |
| Melexis NV Belgium 11-May-21 | | | |
| Elect Marc Biron as Director and Approve Directors' Remuneration | For | Abstain | Remuneration concerns |
| Elect Maria Pia De Caro as Independent Director and Approve Directors' Remuneration | For | Abstain | Lack of disclosure |
| Reelect Shiro Baba as Independent Director and Approve Directors' Remuneration | For | Abstain | Lack of disclosure |
| Meliuz SA Brazil 28-May-21 | | | |
| Amend Stock Option Plan | For | Against | Sufficient rationale from the company |
| Meliuz SA Brazil 28-Jul-21 | | | |
| Amend Article 36 Re: Mandatory Minimum Dividend | For | Against | Lack of disclosure |
| Meliuz SA Brazil 3-Sep-21 | | | |
| Amend Article 27 | For | Against | Lack of disclosure |
| Consolidate Bylaws | For | Against | Lack of disclosure |
| Meliuz SA Brazil 23-Dec-21 | | | |
| Approve Restricted Stock Plan | For | Against | Remuneration concerns |
| Melrose Industries Plc United Kingdom 21-Jan-21 | | | |
| Approve Amendments to the Remuneration Policy | For | Against | Poor response to engagement |
| Approve Employee Share Plan | For | Against | Poor response to engagement |
| Melrose Industries Plc United Kingdom 6-May-21 | | | |
| Re-elect Archie Kane as Director | For | Abstain | Gender diversity concerns |
| Melrose Industries Plc United Kingdom 21-Jan-21 | | | |
| Approve Employee Share Plan | For | Against | Significant Vote: Poor response to engagement |
| Mercari, Inc. Japan 29-Sep-21 | | | |
| Amend Articles to Amend Business Lines – Allow Virtual Only Shareholder Meetings | For | Against | Not in shareholders best interest |
| Merchants Bancorp USA 20-May-21 | | | |
| Elect Director Randall D. Rogers | For | Withhold | Independence concerns |
| Elect Director Sue Anne Gilroy | For | Withhold | Attendance concerns |
| Merck & Co., Inc. USA 25-May-21 | | | |
| Elect Director Leslie A. Brun | For | Abstain | Combined CEO/Chair |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Mercury General Corporation USA 12-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Meridian Bancorp, Inc. USA 19-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Meridian Bancorp, Inc. USA 5-Aug-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| Meridian Bioscience, Inc. USA 27-Jan-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Meridian Corporation USA 17-Jun-21 | | | |
| Increase Authorized Common Stock | For | Against | Strategic concerns |
| Mesa Laboratories, Inc. USA 27-Aug-21 | | | |
| Elect Director John B. Schmieder | For | Withhold | Corporate Governance concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Mesoblast Ltd. Australia 29-Nov-21 | | | |
| C | Approve Issuance of LTI Options to Silviu Itescu | For | Against | Remuneration concerns |
| D | Approve Issuance of Options to Philip J. Facchina | For | Against | Remuneration concerns |
| E | Elect Shawn Cline Tomasello as Director | For | Against | Overboarding concerns |
| F | Ratify Past Issuance of Shares and Warrants to Sophisticated and Professional Investors | For | Against | Dilutive impact |
| G | Elect Michael Spooner as Director | For | Against | Independence and Gender Diversity concerns |
| H | Meta Materials, Inc. USA 3-Dec-21 | | | |
| I | Approve Omnibus Stock Plan | For | Against | Dilutive impact |
| J | Elect Director Steen Karsbo | For | Withhold | Lack of disclosure |
| K | Metall Zug AG Switzerland 30-Apr-21 | | | |
| L | Reappoint Heinz Buhofer as Member of the Personnel and Compensation Committee | For | Against | Corporate governance concerns |
| M | Reelect Heinz Buhofer as Director | For | Against | Independence concerns |
| N | Transact Other Business (Voting) | For | Against | Technical vote |
| O | METRO AG Germany 19-Feb-21 | | | |
| P | Approve Remuneration Policy | For | Against | Remuneration concerns |
| Q | Metropolis Healthcare Limited India 18-Feb-21 | | | |
| R | Approve Loans, Guarantees and/or Securities in Other Body Corporate | For | Against | Excessive guarantees |
| S | Metropolis Healthcare Limited India 11-Aug-21 | | | |
| T | Approve Reappointment and Remuneration of Ameera Sushil Shah as Managing Director | For | Against | Remuneration concerns |
| U | Metropolitan Bank & Trust Company Philippines 28-Apr-21 | | | |
| V | Elect Arthur Ty as Director | For | Against | Independence concerns |
| W | MGM China Holdings Limited Cayman Islands 27-May-21 | | | |
| X | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Y | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact and lack of disclosure |
| Z | Elect Ayesha Khanna Molino as Director | For | Against | Audit concerns |
| | MGM Resorts International USA 5-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Authorize New Class of Preferred Stock | For | Against | Anti-takeover device |
| | Elect Director Barry Diller | For | Against | Overboarding concerns |
| | Elect Director Joey Levin | For | Against | Overboarding concerns |
| | Elect Director John Kilroy | For | Against | Attendance concerns |
| | Micro Focus International Plc United Kingdom 25-Mar-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Amanda Brown as Director | For | Against | Remuneration concerns |
| | Re-elect Karen Slatford as Director | For | Against | Overboarding concerns |
| | Re-elect Lawton Fitt as Director | For | Against | Overboarding concerns |
| | Microchip Technology Incorporated USA 24-Aug-21 | | | |
| | Elect Director Esther L. Johnson | For | Against | Corporate Governance concerns |
| | Micron Technology, Inc. USA 14-Jan-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | MicroPort Scientific Corporation Cayman Islands 15-Apr-21 | | | |
| | Approve Equity Option Scheme of Suzhou MicroPort Orthopedics Scientific (Group) Co., Ltd. | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| MicroPort Scientific Corporation Cayman Islands 24-Jun-21 | | | |
| Approve Equity Option Scheme of Shenzhen MicroPort Surgical (Group) Co., Ltd. | For | Against | Not in shareholders best interest |
| Approve Grant of Options Under the Equity Option Scheme of Shenzhen MicroPort Surgical (Group) Co., Ltd. to Cheng Zhiguang | For | Against | Not in shareholders best interest |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| Authorize Reissuance of Repurchased Shares | For | Against | Excessive issuance and Lack of disclosure |
| Elect Chunyang Shao as Director | For | Against | Combined CEO/Chair and Corporate governance concerns |
| Microsoft Corporation USA 30-Nov-21 | | | |
| Elect Director John W. Thompson | For | Against | Corporate Governance concerns and Combined CEO/Chair |
| Elect Director John W. Thompson | For | Against | Corporate Governance concerns/ Combined CEO/Chair |
| Report on Effectiveness of Workplace Sexual Harassment Policies | Against | For | Supporting better corporate governance practices |
| MicroStrategy Incorporated USA 26-May-21 | | | |
| Elect Director Carl J. Rickertsen | For | Withhold | Gender diversity concerns |
| Midea Group Co. Ltd. China 21-May-21 | | | |
| Approve Authorization of the Board to Handle All Related Matters to Share Purchase Plan Phase IV | For | Against | Not in shareholders best interest |
| Approve Authorization of the Board to Handle All Related Matters to Share Purchase Plan Phase VII | For | Against | Not in shareholders best interest |
| Approve Draft and Summary on Share Purchase Plan Phase IV | For | Against | Lack of disclosure and Strategic concerns |
| Approve Methods to Assess the Performance of Share Purchase Plan Phase IV Participants | For | Against | Not in shareholders best interest |
| Approve Methods to Assess the Performance of Share Purchase Plan Phase VII Participants | For | Against | Not in shareholders best interest |
| Approve Provision of Guarantee | For | Against | Not in shareholders best interest |
| Approve Related Party Transaction | For | Against | Lack of disclosure |
| Approve Share Purchase Plan (Draft) and Summary Phase VII | For | Against | Lack of disclosure and Strategic concerns |
| Approve to Appoint Auditor | For | Against | Lack of disclosure |
| Midea Group Co. Ltd. China 17-Sep-21 | | | |
| Elect Zhao Jun as Supervisor | For | Against | Independence concerns |
| Miller Industries, Inc. USA 28-May-21 | | | |
| Elect Director Deborah L. Whitmire | For | Against | Independence concerns |
| Elect Director Richard H. Roberts | For | Against | Audit concerns |
| Elect Director Theodore H. Ashford, III | For | Against | Corporate governance concerns |
| Millicom International Cellular SA Luxembourg 4-May-21 | | | |
| Approve Share-Based Incentive Plans | For | Against | Remuneration concerns |
| Mimaki Engineering Co., Ltd. Japan 30-Jun-21 | | | |
| Elect Director and Audit Committee Member Arai, Hisamitsu | For | Against | Independence concerns |
| Elect Director and Audit Committee Member Zenno, Yo | For | Against | Independence concerns |
| Elect Director Ikeda, Kazuaki | For | Abstain | Independence concerns |
| Mindtree Limited India 22-May-21 | | | |
| Approve Grant of Employee Stock Options to the Employees of Subsidiary Company(ies) under Mindtree Employee Stock Option Plan 2021 | For | Against | Remuneration concerns |
| Approve Grant of Loan to the Mindtree Employee Welfare Trust | For | Against | Remuneration concerns |
| Approve Mindtree Employee Stock Option Plan 2021 and Grant Options (including the Unutilized Options under the Mindtree Employee Restricted Stock Purchase Plan 2012) to Employees of the Company | For | Against | Remuneration concerns |
| Mindtree Limited India 13-Jul-21 | | | |
| Reelect Akshaya Bhargava as Director | For | Against | Corporate Governance concerns |
| Reelect Ramamurthi Shankar Raman as Director | For | Against | Audit concerns |
| Reelect Sekharipuram Narayanan Subrahmanyam as Director | For | Against | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Minebea Mitsumi, Inc. Japan 29-Jun-21 | | | |
| C | Elect Director Kainuma, Yoshihisa | For | Abstain | Independence concerns |
| D | Elect Director Matsuoka, Takashi | For | Against | Independence concerns |
| E | Minth Group Limited Cayman Islands 14-Apr-21 | | | |
| F | Approve CNY Share Issue and the Specific Mandate | For | Against | Dilutive impact |
| G | Approve CNY Share Issue and the Specific Mandate | For | Against | Dilutive impact |
| H | Approve Policy for Stabilization of the Price of the CNY Shares for the Three Years after the CNY Share Issue | For | Against | Dilutive impact |
| I | Approve Policy for Stabilization of the Price of the CNY Shares for the Three Years after the CNY Share Issue | For | Against | Dilutive impact |
| J | Approve Remedial Measures for the Potential Dilution of Immediate Returns by the CNY Share Issue | For | Against | Dilutive impact |
| K | Approve Remedial Measures for the Potential Dilution of Immediate Returns by the CNY Share Issue | For | Against | Dilutive impact |
| L | Approve Undertakings and the Corresponding Binding Measures in Connection with the CNY Share Issue | For | Against | Dilutive impact |
| M | Approve Undertakings and the Corresponding Binding Measures in Connection with the CNY Share Issue | For | Against | Dilutive impact |
| N | Approve Undertakings and the Corresponding Binding Measures in Connection with the CNY Share Issue | For | Against | Dilutive impact |
| O | Authorize Board to Handle All Matters in Relation to the CNY Share Issue | For | Against | Dilutive impact |
| P | Authorize Board to Handle All Matters in Relation to the CNY Share Issue | For | Against | Dilutive impact |
| Q | Minth Group Limited Cayman Islands 31-May-21 | | | |
| R | Approve and Confirm the Terms of Appointment, Including Remuneration, for Wang Ching | For | Against | Overboarding concerns |
| S | Approve and Confirm the Terms of Appointment, Including Remuneration, for Wu Tak Lung | For | Against | Overboarding concerns |
| T | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| U | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| V | Elect Wang Ching as Director and Approve Continuous Appointment as Independent Non-Executive Director | For | Against | Overboarding concerns |
| W | Elect Wu Tak Lung as Director | For | Against | Corporate governance and Overboarding concerns |
| X | Mirati Therapeutics, Inc. USA 12-May-21 | | | |
| Y | Elect Director Henry J. Fuchs | For | Withhold | Corporate governance concerns |
| Z | Elect Director Michael Grey | For | Withhold | Overboarding concerns |
| | Mirriad Advertising Plc United Kingdom 14-Jun-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Elect Kelsey Skinner as Director | For | Against | Independence concerns |
| | Re-elect John Pearson as Director | For | Against | Corporate governance, Independence and Remuneration concerns |
| | Mirum Pharmaceuticals, Inc. USA 4-Jun-21 | | | |
| | Elect Director Laurent Fischer | For | Withhold | Overboarding concerns |
| | Elect Director Niall O'Donnell | For | Withhold | Corporate governance concerns |
| | Mirvac Group Australia 16-Nov-21 | | | |
| | Elect James M. Millar as Director | For | Against | Attendance and Independence concerns |
| | Elect John Mulcahy as Director | For | Against | Independence concerns |
| | MISUMI Group Inc. Japan 17-Jun-21 | | | |
| | Elect Director Nishimoto, Kosuke | For | Abstain | Independence and gender diversity concerns |
| | Mitsubishi Corp. Japan 25-Jun-21 | | | |
| | Elect Director Kobayashi, Ken | For | Abstain | Independence concerns |
| | Elect Director Miyana, Shunichi | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
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| Mitsubishi Electric Corp. Japan 29-Jun-21 | | | |
| Elect Director Obayashi, Hiroshi | For | Abstain | Independence concerns |
| Elect Director Oyamada, Takashi | For | Against | Independence concerns |
| Elect Director Sakuyama, Masaki | For | Abstain | Independence concerns |
| Elect Director Yabunaka, Mitoji | For | Abstain | Independence concerns |
| Mitsubishi Gas Chemical Co., Inc. Japan 25-Jun-21 | | | |
| Appoint Statutory Auditor Inamasa, Kenji | For | Against | Independence concerns |
| Elect Director Kurai, Toshikiyo | For | Abstain | Audit concerns |
| Mitsubishi HC Capital, Inc. Japan 25-Jun-21 | | | |
| Elect Director Icho, Mitsumasa | For | Against | Independence concerns |
| Elect Director Kawabe, Seiji | For | Abstain | Independence concerns |
| Elect Director Watanabe, Go | For | Against | Independence concerns |
| Mitsubishi Heavy Industries, Ltd. Japan 29-Jun-21 | | | |
| Elect Director Hirano, Nobuyuki | For | Against | Independence concerns |
| Elect Director Kobayashi, Ken | For | Against | Independence concerns |
| Elect Director Miyanaga, Shunichi | For | Abstain | Independence concerns |
| Mitsubishi Logistics Corp. Japan 29-Jun-21 | | | |
| Elect Director Fujikura, Masao | For | Abstain | Independence concerns |
| Elect Director Kitazawa, Toshifumi | For | Against | Independence concerns |
| Elect Director Naito, Tadaaki | For | Against | Independence concerns |
| Elect Director Wakabayashi, Tatsuo | For | Against | Independence concerns |
| Mitsubishi Materials Corp. Japan 24-Jun-21 | | | |
| Elect Director Sato, Hiroshi | For | Abstain | Independence concerns |
| Elect Director Tokuno, Mariko | For | Abstain | Independence concerns |
| Elect Director Wakabayashi, Tatsuo | For | Abstain | Independence concerns |
| Mitsubishi Motors Corp. Japan 23-Jun-21 | | | |
| Elect Director Hiraku, Tomofumi | For | Abstain | Independence concerns |
| Elect Director Ikushima, Takahiko | For | Against | Independence concerns |
| Elect Director Kobayashi, Ken | For | Against | Independence concerns |
| Elect Director Koda, Main | For | Abstain | Independence concerns |
| Elect Director Miyanaga, Shunichi | For | Against | Independence concerns |
| Elect Director Sakamoto, Hideyuki | For | Against | Independence concerns |
| Elect Director Sono, Kiyoshi | For | Against | Independence concerns |
| Elect Director Tagawa, Joji | For | Against | Independence concerns |
| Elect Director Takeoka, Yaeko | For | Abstain | Independence concerns |
| Mitsubishi UFJ Financial Group, Inc. Japan 29-Jun-21 | | | |
| Amend Articles to Add Provision on Early Submission of Annual Yuho Securities Report | Against | For | Supporting better corporate governance practices |
| Mitsubishi UFJ Lease & Finance Co., Ltd. Japan 26-Feb-21 | | | |
| Elect Director Icho, Mitsumasa | For | Against | Independence concerns |
| Elect Director Kawabe, Seiji | For | Abstain | Independence concerns |
| Mitsui & Co., Ltd. Japan 18-Jun-21 | | | |
| Elect Director Uchiyama, Takeshi | For | Against | Independence concerns |
| Elect Director Yasunaga, Tatsuo | For | Abstain | Independence concerns |
| Mitsui Chemicals, Inc. Japan 25-Jun-21 | | | |
| Elect Director Tannowa, Tsutomu | For | Abstain | Independence concerns |
| Mitsui Fudosan Co., Ltd. Japan 29-Jun-21 | | | |
| Elect Director Iwasa, Hiromichi | For | Abstain | Independence concerns |
| Elect Director Nakayama, Tsunehiro | For | Against | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Mitsui O.S.K. Lines, Ltd. Japan 22-Jun-21 | | | |
| C | Elect Director Fujii, Hideto | For | Against | Independence concerns |
| D | Elect Director Hashimoto, Takeshi | For | Abstain | Independence concerns |
| E | MIX Telematics Ltd. South Africa 9-Sep-21 | | | |
| F | Place Authorised but Unissued Shares under Control of Directors | For | Against | Dilutive impact |
| G | Re-elect Robin Frew as Director | For | Against | Corporate governance concerns |
| H | Mizrahi Tefahot Bank Ltd. Israel 21-Dec-21 | | | |
| I | Reelect Gilad Rabinovich as External Director | For | Against | Independence concerns |
| J | MMC Norilsk Nickel PJSC Russia 19-May-21 | | | |
| K | Approve Remuneration of Directors | For | Against | Remuneration concerns |
| L | Ratify KPMG as IFRS Auditor | For | Against | Concerns regarding audit fees |
| M | Ratify KPMG as RAS Auditor | For | Against | Concerns regarding audit fees |
| N | Mobly SA Brazil 30-Apr-21 | | | |
| O | Amend Stock Option Plan | For | Against | Remuneration concerns |
| P | Modern Times Group MTG AB Sweden 18-May-21 | | | |
| Q | Approve Issuance of Class B Shares up to 15 Percent of Issued Shares without Preemptive Rights | For | Against | Dilutive impact |
| R | Approve Remuneration of Auditors | For | Against | Concerns regarding audit fees |
| S | Approve Remuneration Report | For | Against | Remuneration concerns |
| T | Determine Number of Auditors; Ratify KPMG as Auditors | For | Against | Concerns regarding audit fees |
| U | Reelect Chris Carvalho as Director | For | Against | Corporate governance concerns |
| V | Moderna, Inc. USA 28-Apr-21 | | | |
| W | Elect Director Elizabeth Tallett | For | Withhold | Overboarding concerns |
| X | Elect Director Robert Langer | For | Withhold | Overboarding concerns |
| Y | Mohawk Industries, Inc. USA 20-May-21 | | | |
| Z | Elect Director Bruce C. Bruckmann | For | Abstain | Gender diversity concerns |
| | Monarch Casino & Resort, Inc. USA 8-Jun-21 | | | |
| | Elect Director Bob Farahi | For | Against | Corporate governance and Independence concerns |
| | Moncler SpA Italy 22-Apr-21 | | | |
| | Approve Auditors and Authorize Board to Fix Their Remuneration | For | Against | Audit concerns |
| | Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |
| | Mondelez International, Inc. USA 19-May-21 | | | |
| | Elect Director Charles E. Bunch | For | Abstain | Combined CEO/Chair |
| | Mondi Plc United Kingdom 6-May-21 | | | |
| | Elect Svein Richard Brandtzaeg as Director | For | Against | Overboarding concerns |
| | MoneyGram International, Inc. USA 5-May-21 | | | |
| | Elect Director W. Bruce Turner | For | Against | Corporate governance concerns |
| | MongoDB, Inc. USA 29-Jun-21 | | | |
| | Elect Director Roelof Botha | For | Withhold | Overboarding concerns |
| | Monmouth Real Estate Investment Corporation USA 31-Aug-21 | | | |
| | Adjourn Meeting | For | Against | Not in shareholders best interest |
| | Advisory Vote on Golden Parachutes | For | Against | Not in shareholders best interest |
| | Approve Merger Agreement | For | Against | Not in shareholders best interest |
| | Monolithic Power Systems, Inc. USA 10-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | MonotaRO Co., Ltd. Japan 26-Mar-21 | | | |
| | Elect Director Kitamura, Haruo | For | Against | Independence concerns |
| | Elect Director Sagiya, Mari | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Monster Beverage Corporation USA 15-Jun-21 | | | |
| Elect Director Benjamin M. Polk | For | Withhold | Combined CEO/Chair and Gender diversity concerns |
| Moody's Corporation USA 20-Apr-21 | | | |
| Approve 2020 Decarbonization Plan | For | Abstain | Not in shareholders interest |
| Elect Director Vincent A. Forlenza | For | Against | Other governance concerns |
| Moog Inc. USA 9-Feb-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Morgan Sindall Group plc United Kingdom 6-May-21 | | | |
| Re-elect Michael Findlay as Director | For | Abstain | Gender diversity concerns |
| Morgan Stanley USA 20-May-21 | | | |
| Elect Director Rayford Wilkins, Jr. | For | Abstain | Combined CEO/Chair |
| Morinaga Milk Industry Co., Ltd. Japan 29-Jun-21 | | | |
| Elect Director Onuki, Yoichi | For | Abstain | Independence concerns |
| Elect Director Yoneda, Takatomo | For | Against | Independence concerns |
| Morningstar, Inc. USA 14-May-21 | | | |
| Elect Director Bill Lyons | For | Against | Corporate governance concerns |
| Morphic Holding, Inc. USA 16-Jun-21 | | | |
| Elect Director Amir Nashat | For | Withhold | Attendance concerns |
| Elect Director Martin Edwards | For | Withhold | Overboarding concerns |
| MorphoSys AG Germany 19-May-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Motherson Sumi Systems Limited India 17-Sep-21 | | | |
| Reelect Takeshi Fujimi as Director | For | Against | Attendance concerns |
| Motorola Solutions, Inc. USA 18-May-21 | | | |
| Elect Director Kenneth D. Denman | For | Abstain | Gender diversity concerns |
| Movado Group, Inc. USA 24-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Alan H. Howard | For | Withhold | Remuneration concerns |
| Mr. Cooper Group Inc. USA 13-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Lack of disclosure and Poor response to prior dissent |
| MRF Limited India 12-Aug-21 | | | |
| Reelect Ambika Mammen as Director | For | Against | Independence concerns |
| Reelect Samir Thariyan Mappillai as Director | For | Against | Independence concerns |
| MSC Industrial Direct Co., Inc. USA 27-Jan-21 | | | |
| Elect Director Mitchell Jacobson | For | Withhold | Attendance concerns |
| MSG Networks Inc. USA 8-Jul-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| Muangthai Capital Public Co. Ltd. Thailand 20-Apr-21 | | | |
| Approve Remuneration of Directors | For | Against | Remuneration concerns |
| Other Business | For | Against | Technical vote |
| Murata Manufacturing Co. Ltd. Japan 29-Jun-21 | | | |
| Elect Director Murata, Tsuneo | For | Abstain | Independence concerns |
| Murphy Oil Corporation USA 12-May-21 | | | |
| Elect Director James V. Kelley | For | Abstain | Gender diversity concerns |
| MVB Financial Corp. USA 18-May-21 | | | |
| Other Business | For | Against | Technical vote |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | N | | | |
| C | N Brown Group Plc United Kingdom 6-Jul-21 | | | |
| D | Re-elect Ron McMillan as Director | For | Abstain | Gender diversity concerns |
| E | Nabors Industries Ltd. Bermuda 1-Jun-21 | | | |
| F | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| G | Elect Director John P. Kotts | For | Withhold | Audit concerns |
| H | Nagano Keiki Co., Ltd. Japan 29-Jun-21 | | | |
| I | Appoint Statutory Auditor Kanki, Tadashi | For | Against | Independence concerns |
| J | Elect Director Yoda, Shigeo | For | Abstain | Independence and gender diversity concerns |
| K | Nagase & Co., Ltd. Japan 23-Jun-21 | | | |
| L | Appoint Statutory Auditor Furukawa, Masanori | For | Against | Independence concerns |
| M | Elect Director Nagase, Hiroshi | For | Abstain | Audit concerns |
| N | Nagoya Railroad Co., Ltd. Japan 25-Jun-21 | | | |
| O | Elect Director Ando, Takashi | For | Abstain | Independence concerns |
| P | Nano Dimension Ltd. Israel 25-May-21 | | | |
| Q | Approve Grant of Options to Certain Directors | For | Against | Not in shareholders best interest |
| R | Authorize Yoav Stern to Serve as both Board Chairman and CEO | For | Against | Corporate governance concerns |
| S | Reelect Amit Dror and Roni Kleinfeld as Directors | For | Against | Bundled election, Corporate governance, Independence and Overboarding concerns |
| T | Nasdaq, Inc. USA 15-Jun-21 | | | |
| U | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| V | Naspers Ltd. South Africa 25-Aug-21 | | | |
| W | Authorise Repurchase of A Ordinary Shares | For | Abstain | Lack of disclosure |
| X | Place Authorised but Unissued Shares under Control of Directors | For | Against | Dilutive impact |
| Y | Re-elect Hendrik du Toit as Director | For | Against | Overboarding concerns |
| Z | Re-elect Nolo Letele as Director | For | Against | Independence concerns |
| | Re-elect Steve Pacak as Member of the Audit Committee | For | Against | Independence concerns |
| | Nathan's Famous, Inc. USA 2-Sep-21 | | | |
| | Elect Director A. F. Petrocelli | For | Withhold | Gender diversity concerns |
| | National Beverage Corp. USA 1-Oct-21 | | | |
| | Elect Director Samuel C. Hathorn, Jr. | For | Withhold | Audit and Gender Diversity concerns |
| | National Grid Plc United Kingdom 26-Jul-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Jonathan Dawson as Director | For | Against | Remuneration concerns |
| | National HealthCare Corporation USA 6-May-21 | | | |
| | Elect Director J. Paul Abernathy | For | Withhold | Corporate governance and Gender diversity concerns |
| | National Research Corporation USA 29-Jun-21 | | | |
| | Increase Authorized Common Stock | For | Against | Anti-takeover device and Not in the best interest of the shareholders |
| | National Storage Affiliates Trust USA 24-May-21 | | | |
| | Elect Director J. Timothy Warren | For | Against | Corporate governance concerns |
| | Elect Director Mark Van Mourick | For | Against | Corporate governance and Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Natixis SA France 28-May-21 | | | |
| Approve Compensation of Francois Riahi, CEO | For | Against | Remuneration concerns |
| Approve Remuneration Policy of CEO | For | Against | Remuneration concerns |
| Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | For | Abstain | Dilutive impact |
| Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Abstain | Dilutive impact |
| Reelect Nicolas de Tavernost as Director | For | Against | Overboarding concerns |
| Natura &Co Holding SA Brazil 16-Apr-21 | | | |
| Re-Ratify Remuneration of Company's Management Approved at the April 30, 2020 AGM | For | Against | Remuneration concerns |
| Approve Remuneration of Company's Management | For | Against | Remuneration concerns |
| Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law? | Against | For | Technical vote |
| Re-Ratify Remuneration of Company's Management Approved at the April 30, 2020 AGM | For | Against | Remuneration concerns |
| Natural Gas Services Group, Inc. USA 17-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Natural Grocers by Vitamin Cottage, Inc. USA 3-Mar-21 | | | |
| Elect Director Heather Isely | For | Withhold | Independence concerns |
| Elect Director Kemper Isely | For | Withhold | Independence concerns |
| Natuzzi SpA Italy 7-May-21 | | | |
| Approve Financial Statements, Statutory Reports, and Treatment of Net Loss | For | Abstain | Lack of disclosure |
| Elect Directors (Bundled) | For | Abstain | Lack of disclosure |
| NatWest Group Plc United Kingdom 28-Apr-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Withhold | Remuneration concerns |
| Re-elect Robert Gillespie as Director | For | Abstain | Remuneration concerns |
| Re-elect Robert Gillespie as Director | For | Withhold | Remuneration concerns |
| NAVER Corp. South Korea 24-Mar-21 | | | |
| Approve Total Remuneration of Inside Directors and Outside Directors | For | Against | Remuneration concerns |
| Elect Choi In-hyuk as Inside Director | For | Against | Independence concerns |
| Elect Lee In-moo as Outside Director to Serve as an Audit Committee Member | For | Abstain | Gender diversity concerns |
| Navigator Holdings Ltd. Marshall Isl 14-Dec-21 | | | |
| Elect Director David Kenwright | For | Withhold | Corporate governance and Gender diversity concerns |
| Elect Director Florian Weidinger | For | Withhold | Audit concerns |
| Navistar International Corporation USA 2-Mar-21 | | | |
| Elect Director Christian Schulz | For | Withhold | Attendance concerns and related party transactions |
| NCR Corporation USA 20-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Georgette D. Kiser | For | Abstain | ESG risk management concerns |
| Elect Director Matthew A. Thompson | For | Against | Remuneration concerns |
| NEC Corp. Japan 22-Jun-21 | | | |
| Elect Director Endo, Nobuhiro | For | Abstain | Independence concerns |
| Elect Director Nakamura, Kuniharu | For | Against | Independence concerns |
| Elect Director Ota, Jun | For | Against | Independence concerns |
| Nedbank Group Ltd. South Africa 28-May-21 | | | |
| Elect Iain Williamson as Director | For | Abstain | Technical vote |
| Nektar Therapeutics USA 10-Jun-21 | | | |
| Elect Director Roy A. Whitfield | For | Against | Lack of virtual-only meeting disclosure |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|---|
| B | Neles Oyj Finland 26-Mar-21 | | | |
| C | Reelect Anu Hamalainen, Niko Pakalen, Teija Sarajarvi, Jukka Tiitinen and Mark Vernon as Directors; Elect Jaakko Eskola (Chair) and Perttu Louhiluoto as New Directors | For | Against | Bundled election and Independence concerns |
| D | Nemauro Medical Inc. USA 25-Aug-21 | | | |
| E | Elect Director Bashir Timol | For | Withhold | Independence concerns |
| F | Elect Director Timothy Johnson | For | Withhold | Corporate Governance and Gender Diversity concerns |
| G | NeoGenomics, Inc. USA 27-May-21 | | | |
| H | Elect Director Michael A. Kelly | For | Withhold | Overboarding concerns |
| I | Neoleukin Therapeutics, Inc. USA 13-May-21 | | | |
| J | Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| K | Nesco Holdings, Inc. USA 18-Feb-21 | | | |
| L | Approve Issuance of Shares for a Private Placement | For | Against | Dilutive impact |
| M | Nestle SA Switzerland 15-Apr-21 | | | |
| N | Appoint Kasper Rorsted as Member of the Compensation Committee | For | Against | Attendance concerns |
| O | Reelect Henri de Castries as Director | For | Abstain | Independence concerns |
| P | Reelect Kasper Rorsted as Director | For | Against | Attendance concerns |
| Q | NetApp, Inc. USA 10-Sep-21 | | | |
| R | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| S | NetEase, Inc. Cayman Islands 23-Jun-21 | | | |
| T | Elect Denny Ting Bun Lee as Director | For | Against | Overboarding concerns |
| U | Elect Michael Man Kit Leung as Director | For | Against | Corporate Governance and Gender diversity concerns |
| V | Elect Michael Man Kit Leung as Director | For | Against | Corporate Governance and Gender diversity concerns |
| W | Netflix, Inc. USA 3-Jun-21 | | | |
| X | Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |
| Y | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Z | Elect Director Bradford L. Smith | For | Withhold | Combine CEO/Chair and Corporate governance concerns |
| | Elect Director Richard N. Barton | For | Withhold | Overboarding concerns |
| | Report on Political Contributions | Against | For | Supporting better corporate governance practices |
| | NeuBase Therapeutics, Inc. USA 18-Aug-21 | | | |
| | Elect Director Eric I. Richman | For | Withhold | Corporate governance and Gender diversity concerns |
| | Approve Issuance of Shares for a Private Placement | For | Against | Excessive issuance |
| | Neurocrine Biosciences, Inc. USA 19-May-21 | | | |
| | Elect Director Leslie V. Norwalk | For | Withhold | Overboarding concerns |
| | Nevro Corp. USA 25-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Frank Fischer | For | Withhold | Corporate governance concerns |
| | New Horizon Health Ltd. Cayman Islands 18-Jun-21 | | | |
| | Approve Deloitte Touche Tohmatsu as Auditor and Authorize Board to Fix Their Remuneration | For | Against | Audit concerns |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive Impact |
| | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| | New Relic, Inc. USA 18-Aug-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| New Residential Investment Corp. USA 27-May-21 | | | |
| Elect Director Kevin J. Finnerty | For | Withhold | Corporate Governance and Gender Diversity concerns |
| New Senior Investment Group Inc. USA 14-Sep-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| New Wave Group AB Sweden 19-May-21 | | | |
| Authorize Representatives of Three of Company's Largest Shareholders to Serve on Nominating Committee | For | Against | Not in shareholders interest |
| Authorize the Company to Take up Loans in Accordance with Section 11 (11) of the Swedish Companies Act | For | Abstain | Lack of disclosure |
| New York Community Bancorp, Inc. USA 26-May-21 | | | |
| Elect Director Hanif "Wally" Dahya | For | Abstain | Gender diversity concerns |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Newell Brands Inc. USA 5-May-21 | | | |
| Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | Supporting better corporate governance practices |
| Newmark Group, Inc. USA 17-Dec-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Lack of disclosure |
| NewMarket Corporation USA 22-Apr-21 | | | |
| Elect Director Mark M. Gambill | For | Abstain | Gender diversity concerns |
| NewRiver REIT Plc United Kingdom 27-Jul-21 | | | |
| Re-elect Alastair Miller as Director | For | Abstain | Gender diversity concerns |
| News Corporation USA 17-Nov-21 | | | |
| Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |
| Elect Director Ana Paula Pessoa | For | Against | Overboarding concerns |
| Nexi SpA Italy 3-Mar-21 | | | |
| Elect Bo Nilsson and Stefan Goetz as Directors | For | Abstain | Bundled election |
| Nexi SpA Italy 15-Oct-21 | | | |
| Approve Retention Plan Nets 2 – Cash Incentive Plan for NETS MEP Non Joiners | For | Against | Remuneration concerns |
| NEXON Co., Ltd. Japan 25-Mar-21 | | | |
| Approve Deep Discount Stock Option Plan | For | Against | Remuneration concerns |
| Elect Director Owen Mahoney | For | Abstain | Independence and gender diversity concerns |
| NexPoint Residential Trust, Inc. USA 11-May-21 | | | |
| Elect Director Scott Kavanaugh | For | Withhold | Overboarding concerns |
| Nexstar Media Group, Inc. USA 9-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Next Plc United Kingdom 20-May-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| NextEra Energy, Inc. USA 20-May-21 | | | |
| Elect Director Rudy E. Schupp | For | Abstain | Combined CEO/Chair |
| Elect Director Sherry S. Barrat | For | Abstain | Climate risk concerns |
| Elect Director Sherry S. Barrat | For | Abstain | Climate risk management concerns |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| NextGen Healthcare, Inc. USA 13-Oct-21 | | | |
| Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes | For | Against | Not in shareholders best interest |
| Amend Certificate of Incorporation to Add Federal Forum Selection Provision | For | Against | Not in shareholders best interest |
| Restrict Right to Call Special Meeting | For | Against | Not in shareholders best interest |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | NGK Insulators, Ltd. Japan 28-Jun-21 | | | |
| C | Appoint Statutory Auditor Saji, Nobumitsu | For | Against | Independence concerns |
| D | Elect Director Kobayashi, Shigeru | For | Abstain | Audit concerns |
| E | NGK SPARK PLUG CO., LTD. Japan 25-Jun-21 | | | |
| F | Elect Director Odo, Shinichi | For | Abstain | Independence concerns |
| G | Elect Director Yasui, Kanemaru | For | Against | Independence concerns |
| H | NGM Biopharmaceuticals, Inc. USA 8-Jun-21 | | | |
| I | Elect Director Jin-Long Chen | For | Withhold | Independence concerns |
| J | NH Foods Ltd. Japan 24-Jun-21 | | | |
| K | Elect Director Hata, Yoshihide | For | Abstain | Independence concerns |
| L | NIBE Industrier AB Sweden 11-May-21 | | | |
| M | Reelect Georg Brunstam, Gerteric Lindquist, Hans Linnarson (Chair), Anders Palsson, Jenny Sjudahl and Jenny Larsson as Directors | For | Against | Corporate governance concerns |
| N | NICE Ltd. (Israel) Israel 28-Apr-21 | | | |
| O | Reapprove Compensation Policy for the Directors and Officers of the Company | For | Against | Remuneration concerns |
| P | Nicolet Bankshares, Inc. USA 10-May-21 | | | |
| Q | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| R | Elect Director Christopher J. Ghidorzi | For | Withhold | Corporate governance and Independence concerns |
| S | Elect Director John N. Dykema | For | Withhold | Corporate governance concerns |
| T | Nielsen Holdings plc United Kingdom 25-May-21 | | | |
| U | Approve Remuneration Policy | For | Against | Remuneration concerns |
| V | Authorise Issue of Equity | For | Against | Dilutive impact |
| W | Nihon M&A Center Inc. Japan 24-Jun-21 | | | |
| X | Elect Director Miyake, Suguru | For | Abstain | Independence concerns |
| Y | NIKE, Inc. USA 6-Oct-21 | | | |
| Z | Report on Diversity and Inclusion Efforts | Against | For | Supporting better corporate governance practices |
| | Report on Political Contributions Disclosure | Against | For | Supporting better corporate governance practices |
| | Nikon Corp. Japan 29-Jun-21 | | | |
| | Elect Director Negishi, Akio | For | Against | Independence concerns |
| | Nippo Corp. Japan 23-Jun-21 | | | |
| | Appoint Statutory Auditor Iizuka, Naohisa | For | Against | Independence concerns |
| | Appoint Statutory Auditor Tsuiki, Toru | For | Against | Independence concerns |
| | Elect Director Kimura, Tsutomu | For | Against | Independence concerns |
| | Elect Director Yoshikawa, Yoshikazu | For | Abstain | Independence and gender diversity concerns |
| | Nippon Electric Glass Co., Ltd. Japan 30-Mar-21 | | | |
| | Elect Director Arioka, Masayuki | For | Abstain | Independence concerns |
| | Elect Director Mori, Shuichi | For | Against | Independence concerns |
| | Nippon Express Co., Ltd. Japan 29-Jun-21 | | | |
| | Elect Director Shiba, Yojiro | For | Against | Independence concerns |
| | Elect Director Watanabe, Kenji | For | Abstain | Independence concerns |
| | Nippon Kanzai Co., Ltd. Japan 18-Jun-21 | | | |
| | Elect Director and Audit Committee Member Yamashita, Yoshiro | For | Against | Independence concerns |
| | Elect Director Fukuda, Shintaro | For | Abstain | Independence concerns |
| | Nippon Sanso Holdings Corp. Japan 18-Jun-21 | | | |
| | Appoint Statutory Auditor Hashimoto, Akihiro | For | Against | Independence concerns |
| | Appoint Statutory Auditor Tai, Junzo | For | Against | Independence concerns |
| | Elect Director Hamada, Toshihiko | For | Abstain | Audit concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Nippon Shokubai Co., Ltd. Japan 22-Jun-21 | | | |
| Elect Director Goto, Yujiro | For | Abstain | Independence concerns |
| Elect Director Setoguchi, Tetsuo | For | Against | Independence concerns |
| Nippon Steel Corp. Japan 23-Jun-21 | | | |
| Elect Director Hashimoto, Eiji | For | Abstain | Independence concerns |
| Elect Director Tomita, Tetsuro | For | Against | Independence concerns |
| Nippon Yusen KK Japan 18-Jun-21 | | | |
| Elect Director Naito, Tadaaki | For | Abstain | Independence concerns |
| Elect Director Tanabe, Eiichi | For | Against | Independence concerns |
| Nishi-Nippon Financial Holdings, Inc. Japan 29-Jun-21 | | | |
| Elect Director and Audit Committee Member Sakemi, Toshio | For | Against | Independence concerns |
| Elect Director Kubota, Isao | For | Abstain | Independence concerns |
| Nissan Chemical Corp. Japan 25-Jun-21 | | | |
| Appoint Statutory Auditor Takemoto, Shuichi | For | Against | Independence concerns |
| Elect Director Yagi, Shinsuke | For | Abstain | Independence concerns |
| Nissan Shatal Co., Ltd. Japan 24-Jun-21 | | | |
| Elect Director Yoshimura, Haruhiko | For | Abstain | Independence and gender diversity concerns |
| Nisshin Seifun Group Inc. Japan 25-Jun-21 | | | |
| Approve Takeover Defense Plan (Poison Pill) | For | Against | Not in shareholders best interest |
| Elect Director Kemmoku, Nobuki | For | Abstain | Independence concerns |
| Elect Director Nagai, Moto | For | Against | Independence concerns |
| nLIGHT, Inc. USA 10-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| NOK Corp. Japan 25-Jun-21 | | | |
| Elect Director Tsuru, Masato | For | Abstain | Independence and gender diversity concerns |
| Nokian Renkaat Oyj Finland 30-Mar-21 | | | |
| Reelect Jukka Hienonen (Chair), Heikki Allonen, Raimo Lind, Inka Mero, George Rietbergen, Pekka Vauramo and Veronica Lindholm as Directors; Elect Christopher Ostrander and Jouko Polonen as New Directors | For | Abstain | Gender diversity concerns |
| Nomad Foods Limited Virgin Isl (UK) 30-Jun-21 | | | |
| Elect Director Samy Zekhout | For | Against | Independence concerns |
| Nomura Holdings, Inc. Japan 21-Jun-21 | | | |
| Elect Director Takahara, Takahisa | For | Against | Independence concerns |
| Nomura Research Institute Ltd. Japan 18-Jun-21 | | | |
| Elect Director Ohashi, Tetsuji | For | Against | Independence concerns |
| Nordic American Tankers Limited Bermuda 19-Jul-21 | | | |
| Elect Director Alexander Hansson | For | Against | Independence concerns |
| Elect Director Jim Kelly | For | Against | Corporate Governance and Gender diversity and Audit concerns |
| Nordic Entertainment Group AB Sweden 25-Jan-21 | | | |
| Approve Issuance of Class B Shares up to 20 Percent of Total Number of Issued Shares without Preemptive Rights | For | Against | Dilutive impact |
| Norfolk Southern Corporation USA 13-May-21 | | | |
| Elect Director Mitchell E. Daniels, Jr. | For | Abstain | Combined CEO/Chair |
| Report on Corporate Climate Lobbying Aligned with Paris Agreement | Against | For | Supporting better sustainability practices |
| Northern Star Resources Limited Australia 18-Nov-21 | | | |
| Approve Issuance of 247,332 LTI-2 Performance Rights to Stuart Tonkin | For | Against | Remuneration concerns |
| Elect John Fitzgerald as Director | For | Against | Overboarding concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | Northern Trust Corporation USA 20-Apr-21 | | | |
| C | Elect Director Jay L. Henderson | For | Against | Independence concerns |
| D | Elect Director Linda Walker Bynoe | For | Against | Independence concerns |
| E | Northrim Bancorp, Inc. USA 27-May-21 | | | |
| F | Elect Director Karl L. Hanneman | For | Withhold | Overboarding concerns |
| G | Northrop Grumman Corporation USA 19-May-21 | | | |
| H | Elect Director Madeleine A. Kleiner | For | Abstain | Combined CEO/Chair |
| I | Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | Supporting better corporate governance practices |
| J | NorthWestern Corporation USA 22-Apr-21 | | | |
| K | Other Business | For | Against | Technical vote |
| L | NortonLifeLock Inc. USA 14-Sep-21 | | | |
| M | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| N | Norwegian Cruise Line Holdings Ltd. Bermuda 20-May-21 | | | |
| O | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| P | Notre Dame Intermedica Participacoes SA Brazil 26-Apr-21 | | | |
| Q | Approve Remuneration of Company's Management | For | Against | Remuneration concerns |
| R | Elect Adalgiso Fragoso de Faria as Fiscal Council Member and Stefan Colza Lee as Alternate | For | Abstain | Corporate governance concerns |
| S | NovaGold Resources Inc. Canada 12-May-21 | | | |
| T | Elect Director Elaine Dorward-King | For | Withhold | Overboarding concerns |
| U | Novartis AG Switzerland 2-Mar-21 | | | |
| V | Transact Other Business (Voting) | For | Against | Non-transparent voting item |
| W | Transact Other Business (Voting) | For | Against | Technical vote |
| X | Novavax, Inc. USA 17-Jun-21 | | | |
| Y | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Z | Ratify April 2020 Equity Awards | For | Against | Remuneration concerns |
| | Ratify June 2020 Equity Awards | For | Against | Remuneration concerns |
| | NovoCure Limited Jersey 9-Jun-21 | | | |
| | Elect Director Sherilyn McCoy | For | Against | Overboarding concerns |
| | Novozymes A/S Denmark 11-Mar-21 | | | |
| | Approve Creation of DKK 56.4 Million Pool of Capital in B Shares without Preemptive Rights; DKK 56.4 Million Pool of Capital with Preemptive Rights; and Pool of Capital in Warrants without Preemptive Rights | For | Against | Dilutive impact |
| | Ratify PricewaterhouseCoopers as Auditors | For | Abstain | Audit concerns |
| | NS United Kaiun Kaisha, Ltd. Japan 28-Jun-21 | | | |
| | Appoint Statutory Auditor Mitani, Yasuhito | For | Against | Independence concerns |
| | Appoint Statutory Auditor Nakata, Yoshifumi | For | Against | Independence concerns |
| | Appoint Statutory Auditor Yamamoto, Shohei | For | Against | Independence concerns |
| | Elect Director Kinoshita, Masayuki | For | Against | Independence concerns |
| | Elect Director Nakamura, Isamu | For | Against | Independence concerns |
| | Elect Director Onishi, Setsu | For | Against | Independence concerns |
| | Elect Director Tanimizu, Kazuo | For | Abstain | Independence and gender diversity concerns |
| | Elect Director Yamanaka, Kazuma | For | Against | Independence concerns |
| | NSI NV Netherlands 21-Apr-21 | | | |
| | Authorize Board to Exclude Preemptive Rights from Share Issuances under Item 11.b | For | Against | Dilutive impact |
| | Grant Board Authority to Issue Shares up to Additional 10 Percent of Issued Capital | For | Against | Dilutive impact |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| NSK Ltd. Japan 25-Jun-21 | | | |
| Elect Director Bada, Hajime | For | Abstain | Independence concerns |
| Elect Director Nagahama, Mitsuhiro | For | Against | Independence concerns |
| Elect Director Obara, Koichi | For | Against | Independence concerns |
| Elect Director Uchiyama, Toshihiro | For | Abstain | Independence concerns |
| NTT DATA Corp. Japan 17-Jun-21 | | | |
| Elect Director and Audit Committee Member Okada, Akihiko | For | Against | Independence concerns |
| Elect Director Homma, Yo | For | Abstain | Independence concerns |
| Nuance Communications, Inc. USA 2-Feb-21 | | | |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| NuCan Plc United Kingdom 24-Jun-21 | | | |
| Authorise Issue of Equity without Pre-emptive Rights | For | Against | Dilutive impact |
| Nucor Corporation USA 13-May-21 | | | |
| Elect Director John H. Walker | For | Withhold | Climate risk concerns |
| Nurix Therapeutics, Inc. USA 6-May-21 | | | |
| Elect Director Julia P. Gregory | For | Withhold | Corporate governance concerns |
| Nutanix, Inc. USA 10-Dec-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Nutrien Ltd. Canada 17-May-21 | | | |
| Elect Director Russell K. Girling | For | Withhold | Climate risk concerns |
| NV5 Global, Inc. USA 5-Jun-21 | | | |
| Elect Director MaryJo O'Brien | For | Withhold | independence concerns |
| nVent Electric plc Ireland 14-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| NVR, Inc. USA 5-May-21 | | | |
| Elect Director William A. Moran | For | Against | Corporate Governance concerns |
| NWS Holdings Limited Bermuda 22-Nov-21 | | | |
| Adopt New Share Option Scheme | For | Against | Strategic concerns |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact and Lack of disclosure |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact and Lack of disclosure |
| Elect Cheung Chin Cheung as Director | For | Against | Gender diversity concerns |
| Elect Dominic Lai as Director | For | Against | Independence concerns |
| Elect William Junior Guilherme Doo as Director | For | Against | Overboarding concerns |
| NXP Semiconductors N.V. Netherlands 26-May-21 | | | |
| Reelect Kenneth A. Goldman as Non-Executive Director | For | Against | Overboarding concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | O | | | |
| C | Oak Street Health, Inc. USA 5-May-21 | | | |
| D | Advisory Vote to Retain Classified Board Structure | For | Against | Not in shareholders best interest |
| E | Advisory Vote to Retain Supermajority Vote Requirement to Amend Certificate of Incorporation and Bylaws | For | Against | Not in shareholders best interest |
| F | Oaktree Acquisition Corp. Cayman Islands 19-Jan-21 | | | |
| G | Approve Employee Stock Purchase Plan | For | Against | Not in shareholders best interest |
| H | Approve Omnibus Stock Plan | For | Against | Remuneration concerns |
| I | Eliminate Right to Act by Written Consent | For | Against | Not in shareholders best interest |
| J | Oasis Petroleum Inc. USA 28-Apr-21 | | | |
| K | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Not in shareholders best interest and Remuneration concerns |
| L | Obayashi Corp. Japan 24-Jun-21 | | | |
| M | Elect Director Izumiya, Naoki | For | Against | Independence concerns |
| N | Elect Director Kobayashi, Yoko | For | Against | Independence concerns |
| O | Elect Director Obayashi, Takeo | For | Abstain | Independence concerns |
| P | OC Oerlikon Corp. AG Switzerland 13-Apr-21 | | | |
| Q | Reappoint Alexey Moskov as Member of the Human Resources Committee | For | Against | Independence concerns |
| R | Reelect Alexey Moskov as Director | For | Against | Independence concerns |
| S | Transact Other Business (Voting) | For | Against | Technical vote |
| T | Ocado Group Plc United Kingdom 13-May-21 | | | |
| U | Approve Remuneration Report | For | Against | Remuneration concerns |
| V | Re-elect Andrew Harrison as Director | For | Against | Remuneration concerns |
| W | Re-elect Jorn Rausing as Director | For | Against | Independence concerns |
| X | Occidental Petroleum Corporation USA 7-May-21 | | | |
| Y | Elect Director Carlos M. Gutierrez | For | Abstain | Gender diversity concerns |
| Z | OceanFirst Financial Corp. USA 19-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Jack M. Farris | For | Withhold | Remuneration concerns |
| | Odakyu Electric Railway Co., Ltd. Japan 29-Jun-21 | | | |
| | Elect Director Hoshino, Koji | For | Abstain | Independence concerns |
| | Elect Director Itonaga, Takehide | For | Against | Independence concerns |
| | Elect Director Nomakuchi, Tamotsu | For | Against | Independence concerns |
| | Elect Director Ohara, Toru | For | Against | Independence concerns |
| | Odonate Therapeutics, Inc. USA 24-Jun-21 | | | |
| | Elect Director Aaron Davis | For | Against | Corporate governance and Overboarding concerns |
| | Elect Director Robert Rosen | For | Against | Independence concerns |
| | OGE Energy Corp. USA 20-May-21 | | | |
| | Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |
| | Ohio Valley Banc Corp. USA 19-May-21 | | | |
| | Elect Director Brent R. Eastman | For | Withhold | Independence concerns |
| | Oi SA Brazil 30-Apr-21 | | | |
| | In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate? | For | Against | Technical vote |
| | Oil & Gas Development Company Ltd. Pakistan 17-Mar-21 | | | |
| | Other Business | For | Against | Non-transparent voting item |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Oil & Gas Development Company Ltd. Pakistan 19-Jul-21 | | | |
| Approve Corporate Guarantees in Favor of Abu Dhabi National Oil Company (ADNOC) and Supreme Council for Financial and Economic Affairs (SCFEA) | For | Against | Lack of disclosure |
| Approve Issuance of Shareholders Protection Guarantee in favor of NewCo, PPL, MPCL and GHPL | For | Against | Lack of disclosure |
| Oil & Gas Development Company Ltd. Pakistan 28-Oct-21 | | | |
| Approve Auditors and Authorize Board to Fix Their Remuneration | For | Against | Concerns regarding audit fees |
| Other Business | For | Against | Technical vote |
| Oil Search Ltd. Papua New Guinea 30-Apr-21 | | | |
| Approve Grant of Restricted Shares, Alignment Rights and Performance Rights to Keiran Wulff | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Elect Richard Lee as Director | For | Against | Audit concerns |
| Oil-Dri Corporation of America USA 8-Dec-21 | | | |
| Elect Director Michael A. Nemeroff | For | Withhold | Related party transactions |
| Oji Holdings Corp. Japan 29-Jun-21 | | | |
| Elect Director Ai, Sachiko | For | Against | Independence concerns |
| Elect Director Yajima, Susumu | For | Abstain | Independence concerns |
| Okamura Corp. Japan 29-Jun-21 | | | |
| Elect Director Asano, Hiromi | For | Against | Independence concerns |
| Elect Director Kano, Mari | For | Against | Independence concerns |
| Elect Director Nakamura, Masayuki | For | Abstain | Independence concerns |
| Elect Director Tsukamoto, Kotaro | For | Against | Independence concerns |
| Olema Pharmaceuticals, Inc. USA 8-Jun-21 | | | |
| Elect Director Gorjan Hrustanovic | For | Withhold | Corporate governance concerns |
| Ollie's Bargain Outlet Holdings, Inc. USA 17-Jun-21 | | | |
| Elect Director Richard Zannino | For | Abstain | Gender diversity concerns |
| Omega Flex, Inc. USA 9-Jun-21 | | | |
| Elect Director David K. Evans | For | Withhold | Gender diversity concerns |
| Omeros Corporation USA 11-Jun-21 | | | |
| Elect Director Leroy E. Hood | For | Against | Gender diversity concerns |
| Omnicom Group Inc. USA 4-May-21 | | | |
| Report on Political Contributions and Expenditures | Against | For | Supporting better ESG risk management disclosures |
| OMRON Corp. Japan 24-Jun-21 | | | |
| Elect Director Tateishi, Fumio | For | Against | Independence concerns |
| On The Beach Group Plc United Kingdom 5-Feb-21 | | | |
| Re-elect Richard Pennycook as Director | For | Abstain | Gender diversity concerns |
| OncoCyte Corporation USA 24-Jun-21 | | | |
| Elect Director Alfred D. Kingsley | For | Withhold | Independence concerns |
| 1-800-FLOWERS.COM, Inc. USA 7-Dec-21 | | | |
| Elect Director Leonard J. Elmore | For | Withhold | Lack of disclosure |
| One Liberty Properties, Inc. USA 10-Jun-21 | | | |
| Elect Director Fredric H. Gould | For | Against | Independence concerns |
| OneMain Holdings, Inc. USA 25-May-21 | | | |
| Elect Director Matthew R. Michelin | For | Withhold | Attendance concerns |
| ONEOK, Inc. USA 26-May-21 | | | |
| Elect Director Eduardo A. Rodriguez | For | Abstain | Gender diversity concerns |
| OneWater Marine Inc. USA 23-Feb-21 | | | |
| Approve Qualified Employee Stock Purchase Plan | For | Against | Dilutive impact |
| Elect Director P. Austin Singleton | For | Withhold | Corporate governance concerns |

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| | Company Name | Management Recommendation | Our Vote | Rationale |
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| A | | | | |
| B | Ono Pharmaceutical Co., Ltd. Japan 17-Jun-21 | | | |
| C | Elect Director Sagara, Gyo | For | Abstain | Independence concerns |
| D | Ontrak, Inc. USA 10-Aug-21 | | | |
| E | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| F | Open House Co., Ltd. Japan 22-Dec-21 | | | |
| G | Elect Director Arai, Masaaki | For | Abstain | Independence concerns |
| H | Elect Director Ishimura, Hitoshi | For | Against | Independence concerns |
| I | Open Lending Corporation USA 25-May-21 | | | |
| J | Elect Director Gene Yoon | For | Withhold | Corporate governance concerns |
| K | OPKO Health, Inc. USA 24-Jun-21 | | | |
| L | Elect Director Jane H. Hsiao | For | Withhold | Overboarding concerns |
| M | Elect Director Steven D. Rubin | For | Withhold | Overboarding concerns |
| N | Oportun Financial Corporation USA 8-Jun-21 | | | |
| O | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| P | OptimizeRx Corporation USA 19-Aug-21 | | | |
| Q | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| R | Elect Director Gus D. Halas | For | Withhold | Corporate governance concerns |
| S | Elect Director James Lang | For | Withhold | Corporate governance concerns |
| T | Elect Director Lynn O'Connor Vos | For | Withhold | Corporate governance concerns |
| U | Oracle Corporation USA 10-Nov-21 | | | |
| V | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| W | Elect Director George H. Conrades | For | Withhold | Remuneration and Corporate Governance concerns |
| X | Elect Director Vishal Sikka | For | Withhold | Independence concerns |
| Y | Report on Racial Equity Audit | Against | For | Supporting better corporate governance practices |
| Z | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| | Orange SA France 18-May-21 | | | |
| | Allow Board to Use Delegations under Item 19 Above in the Event of a Public Tender Offer | For | Against | Anti-takeover device |
| | Allow Board to Use Delegations under Item 21 Above in the Event of a Public Tender Offer | For | Against | Anti-takeover device |
| | Allow Board to Use Delegations under Item 23 Above in the Event of a Public Tender Offer | For | Against | Anti-takeover device |
| | Allow Board to Use Delegations under Item 26 Above in the Event of a Public Tender Offer | For | Against | Anti-takeover device |
| | Allow Board to Use Delegations under Item 28 Above in the Event of a Public Tender Offer | For | Against | Anti-takeover device |
| | Set Total Limit for Capital Increase to Result from All Issuance Requests at EUR 3 Billion | For | Against | Dilutive impact |
| | ORBCOMM Inc. USA 21-Apr-21 | | | |
| | Elect Director Marco Fuchs | For | Withhold | Independence concerns |
| | Orchard Therapeutics Plc United Kingdom 16-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Authorise Issue of Equity | For | Against | Dilutive impact |
| | Authorise Issue of Equity without Pre-emptive Rights | For | Against | Dilutive impact |
| | Elect Charles A. Rowland, Jr. as Director | For | Against | Overboarding concerns |
| | Oric Pharmaceuticals, Inc. USA 16-Jun-21 | | | |
| | Elect Director Richard Heyman | For | Withhold | Corporate governance concerns |
| | Oriental Land Co., Ltd. Japan 29-Jun-21 | | | |
| | Elect Director Hanada, Tsutomu | For | Against | Independence concerns |
| | Elect Director Kagami, Toshio | For | Abstain | Independence concerns |
| | Elect Director Mogi, Yuzaburo | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Origin Bancorp, Inc. USA 28-Apr-21 | | | |
| Elect Director James Davison, Jr. | For | Against | Independence concerns |
| Origin Energy Limited Australia 20-Oct-21 | | | |
| Approve Grant of Restricted Share Rights and Performance Share Rights to Frank Calabria | For | Against | Remuneration concerns |
| Subject to Resolution 10a Being Passed, Approve the Shareholder Proposal Re: Climate-Related Lobbying Resolution | Against | For | Supporting better sustainability practices |
| ORIOR AG Switzerland 26-Apr-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Ormat Technologies, Inc. USA 5-May-21 | | | |
| Elect Director Stanley B. Stern | For | Against | Overboarding concerns |
| Orpea SA France 24-Jun-21 | | | |
| Approve Amendment of Remuneration Policy for 2020 of Jean-Claude Brdenk, Vice-CEO Until 31 December 2020 | For | Against | Remuneration concerns |
| Approve Amendment of Remuneration Policy for 2020 of Yves Le Masne, CEO | For | Against | Remuneration concerns |
| Orrstown Financial Services, Inc. USA 27-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns and Poor response to prior dissent |
| Elect Director Floyd E. Stoner | For | Withhold | Remuneration concerns |
| Orthofix Medical Inc. USA 21-Jun-21 | | | |
| Elect Director Jason M. Hannon | For | Against | Overboarding concerns |
| Osaka Gas Co., Ltd. Japan 25-Jun-21 | | | |
| Elect Director Honjo, Takehiro | For | Abstain | Independence concerns |
| Elect Director Kijima, Tatsuo | For | Against | Independence concerns |
| OSI Systems, Inc. USA 9-Dec-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Gerald Chizever | For | Withhold | Corporate governance concerns |
| Osmotica Pharmaceuticals plc Ireland 17-Jun-21 | | | |
| Elect Director David Burgstahler | For | Against | Gender diversity concerns |
| OTSUKA CORP. Japan 26-Mar-21 | | | |
| Approve Director Retirement Bonus | For | Against | Remuneration concerns |
| Approve Statutory Auditor Retirement Bonus | For | Against | Remuneration concerns |
| Elect Director Otsuka, Yuji | For | Abstain | Independence concerns |
| Otsuka Holdings Co., Ltd. Japan 30-Mar-21 | | | |
| Elect Director Aoki, Yoshihisa | For | Against | Independence concerns |
| Elect Director Higuchi, Tatsuo | For | Abstain | Independence concerns |
| Elect Director Sekiguchi, Ko | For | Against | Independence concerns |
| Oversea-Chinese Banking Corporation Limited Singapore 29-Apr-21 | | | |
| Approve Directors' Remuneration | For | Against | Lack of disclosure |
| Elect Pramukti Surjaudaja as Director | For | Against | Independence concerns |
| Ovid Therapeutics Inc. USA 10-Jun-21 | | | |
| Elect Director Barbara Duncan | For | Withhold | Overboarding concerns |
| Ovintiv Inc. USA 28-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Peter A. Dea | For | Abstain | Climate risk management concerns |
| OZ Minerals Ltd. Australia 1-Apr-21 | | | |
| Elect Peter Wasow as Director | For | Abstain | Audit concerns |

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| A B C D E F G H I J K L M N O P Q R S T U V W X Y Z | Company Name | | | Management Recommendation | Our Vote | Rationale |
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| | Ozon Holdings Plc | Cyprus | 23-Dec-21 | | | |
| | Approve KPMG as Auditors and Authorize Board to Fix Their Remuneration | | | For | Against | Audit concerns |
| | Elect Nilesch Lakhani as Director | | | For | Against | Corporate Governance concerns |
| | Reelect Charles Ryan as Director | | | For | Against | Audit and Corporate Governance concerns |
| | Reelect Lydia Jett as Director | | | For | Against | Corporate Governance concerns |
| | Reelect Peter Sirota as Director | | | For | Against | Corporate Governance concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| P | | | |
| PACCAR Inc USA 27-Apr-21 | | | |
| Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |
| Elect Director Mark A. Schulz | For | Abstain | Gender Diversity concerns |
| Elect Director Roderick C. McGearry | For | Against | Audit concerns |
| Packaging Corporation of America USA 4-May-21 | | | |
| Elect Director Roger B. Porter | For | Abstain | Gender diversity concerns |
| PacWest Bancorp USA 11-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Robert A. Stine | For | Against | Gender diversity concerns |
| PAE, Inc. USA 17-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Lack of disclosure |
| Page Industries Limited India 12-Aug-21 | | | |
| Approve Appointment and Remuneration of V S Ganesh as Executive Director & Chief Executive Officer | For | Against | Remuneration concerns |
| Approve Reappointment and Remuneration of Sunder Genomal as Managing Director | For | Against | Remuneration concerns |
| Elect Mark F Fedyk as Director | For | Against | Independence concerns |
| Reelect Nari Genomal as Director | For | Against | Attendance and Independence concerns |
| Page Industries Limited India 30-Dec-21 | | | |
| Approve Appointment and Remuneration of Rohan Genomal as Executive Director-Strategy | For | Against | Lack of disclosure and Remuneration concerns |
| Elect Rohan Genomal as Director | For | Against | Independence concerns |
| PagSeguro Digital Ltd. Cayman Islands 1-Jun-21 | | | |
| Elect Director Noemia Mayumi Fukugauti Gushiken | For | Withhold | Audit and Corporate governance concerns |
| Palo Alto Networks, Inc. USA 14-Dec-21 | | | |
| Elect Director John M. Donovan | For | Withhold | Corporate governance concerns |
| Pampa Energia SA Argentina 29-Apr-21 | | | |
| Elect Directors and Their Alternates; Elect Members of Audit Committee | For | Against | Bundled election, Independence and Overboarding concerns |
| Pan Pacific International Holdings Corp. Japan 29-Sep-21 | | | |
| Elect Director Kubo, Isao | For | Against | Independence concerns |
| Elect Director Yoshida, Naoki | For | Abstain | Independence concerns |
| Panasonic Corp. Japan 24-Jun-21 | | | |
| Elect Director Tsuga, Kazuhiro | For | Against | Independence concerns |
| Elect Director Tsutsui, Yoshinobu | For | Against | Independence concerns |
| Par Pacific Holdings, Inc. USA 4-May-21 | | | |
| Elect Director Melvyn Klein | For | Withhold | Corporate governance concerns |
| PAR Technology Corporation USA 4-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Paramount Group, Inc. USA 13-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Park Hotels & Resorts Inc. USA 30-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Stephen I. Sadove | For | Against | Remuneration concerns |
| Park24 Co., Ltd. Japan 28-Jan-21 | | | |
| Elect Director Nagasaka, Takashi | For | Against | Independence concerns |
| Elect Director Nishikawa, Koichi | For | Abstain | Independence concerns |
| Elect Director Oura, Yoshimitsu | For | Against | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|---|
| B | Parsons Corporation USA 20-Apr-21 | | | |
| C | Elect Director Mark K. Holdsworth | For | Withhold | Lack of disclosure |
| D | Partners Group Holding AG Switzerland 12-May-21 | | | |
| E | Transact Other Business (Voting) | For | Against | Technical vote |
| F | Passage Bio, Inc. USA 27-May-21 | | | |
| G | Elect Director Tadataka Yamada | For | Withhold | Corporate Governance concerns |
| H | Patrick Industries, Inc. USA 13-May-21 | | | |
| I | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| J | PAVmed Inc. USA 15-Jun-21 | | | |
| K | Amend Omnibus Stock Plan | For | Against | Not in shareholders best interest |
| L | Amend Qualified Employee Stock Purchase Plan | For | Against | Not in shareholders best interest |
| M | Paycom Software, Inc. USA 3-May-21 | | | |
| N | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| O | Elect Director Frederick C. Peters, II | For | Withhold | Remuneration concerns |
| P | Elect Director Robert J. Levenson | For | Withhold | Gender diversity concerns |
| Q | PayPal Holdings, Inc. USA 26-May-21 | | | |
| R | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| S | PayPoint Plc United Kingdom 21-Jul-21 | | | |
| T | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| U | Re-elect Rakesh Sharma as Director | For | Abstain | Remuneration concerns |
| V | PCA Corp. Japan 18-Jun-21 | | | |
| W | Approve Restricted Stock Plan | For | Against | Remuneration concerns |
| X | Elect Director Kumamoto, Hiroshi | For | Against | Independence concerns |
| Y | Elect Director Sato, Fumiaki | For | Abstain | Independence concerns |
| Z | PCCW Limited Hong Kong 7-May-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| | Approve Termination of Existing Share Stapled Units Option Scheme and Adopt New Share Stapled Units Option Scheme of HKT Trust and HKT Limited | For | Against | Not in shareholders best interest |
| | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| | Elect Bryce Wayne Lee as Director | For | Against | Independence concerns |
| | Elect David Lawrence Herzog as Director | For | Against | Independence concerns |
| | Elect Tse Sze Wing, Edmund as Director | For | Against | Independence concerns |
| | Elect Aman Mehta as Director | For | Against | Independence, Audit and Gender diversity concerns |
| | PDC Energy, Inc. USA 26-May-21 | | | |
| | Elect Director Lynn A. Peterson | For | Withhold | Overboarding concerns |
| | PDF Solutions, Inc. USA 15-Jun-21 | | | |
| | Elect Director Gerald Z. Yin | For | Against | Attendance concerns |
| | Peab AB Sweden 6-May-21 | | | |
| | Authorize Chairman of Board and Representatives of Three of Company's Largest Shareholders to Serve on Nominating Committee | For | Against | Corporate Governance concerns |
| | Reelect Liselott Kilaas as Director | For | Against | Overboarding concerns |
| | Peapack-Gladstone Financial Corporation USA 4-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director F. Duffield Meyercord | For | Withhold | Remuneration concerns |
| | Elect Director Philip W. Smith, III | For | Withhold | Independence concerns |
| | PearlAbyss Corp. South Korea 30-Mar-21 | | | |
| | Approve Financial Statements and Allocation of Income | For | Against | Audit concerns |
| | Approve Total Remuneration of Inside Directors and Outside Directors | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Pearson Plc United Kingdom 30-Apr-21 | | | |
| Authorise the Company to Call General Meeting with Two Weeks' Notice | For | Against | Not in shareholders best interest |
| Pegasystems Inc. USA 22-Jun-21 | | | |
| Adopt Proxy Access Right | Against | For | Supporting better corporate governance practices |
| Peloton Interactive, Inc. USA 7-Dec-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Jay Hoag | For | Withhold | Independence concerns |
| Penn National Gaming, Inc. USA 9-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Penn Virginia Corporation USA 3-May-21 | | | |
| Reduce Ownership Threshold for Shareholders to Act by Written Consent | For | Against | Not in shareholders best interest |
| Penns Woods Bancorp, Inc. USA 27-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| PennyMac Financial Services, Inc. USA 3-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Penske Automotive Group, Inc. USA 12-May-21 | | | |
| Elect Director H. Brian Thompson | For | Withhold | Overboarding concerns |
| Penta-Ocean Construction Co., Ltd. Japan 25-Jun-21 | | | |
| Appoint Statutory Auditor Inatomi, Michio | For | Against | Independence concerns |
| Elect Director Kawashima, Yasuhiro | For | Against | Independence concerns |
| Elect Director Nakano, Hokuto | For | Against | Independence concerns |
| Elect Director Shimizu, Takuzo | For | Abstain | Independence concerns |
| Elect Director Takahashi, Hidenori | For | Against | Independence concerns |
| People, Dreams & Technologies Group Co., Ltd. Japan 22-Dec-21 | | | |
| Amend Articles to Allow Virtual Only Shareholder Meetings | For | Against | Corporate Governance Concerns |
| Approve Takeover Defense Plan (Poison Pill) | For | Against | Anti-takeover device |
| Peoples Bancorp of North Carolina, Inc. USA 6-May-21 | | | |
| Elect Director Robert C. Abernethy | For | Withhold | Gender diversity concerns |
| People's United Financial, Inc. USA 25-May-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Strategic concerns |
| People's United Financial, Inc. USA 16-Dec-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Collin P. Baron | For | Against | Related party transactions |
| Elect Director Mark W. Richards | For | Against | Remuneration concerns |
| PepsiCo, Inc. USA 5-May-21 | | | |
| Elect Director Daniel Vasella | For | Abstain | Combined CEO/Chair |
| Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|-------------------------------|
| B | Pernod Ricard SA France 10-Nov-21 | | | |
| C | Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 41 Million | For | Against | Dilutive impact |
| D | Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 41 Million | For | Against | Dilutive impact |
| E | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 15, 16 and 18 | For | Against | Dilutive impact |
| F | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 15, 16 and 18 | For | Against | Dilutive impact |
| G | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 15, 16 and 18 | For | Against | Dilutive impact |
| H | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| I | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| J | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 41 Million | For | Against | Dilutive impact |
| K | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 41 Million | For | Against | Dilutive impact |
| L | Reelect Anne Lange as Director | For | Against | Combined CEO/Chair |
| M | PERSOL Holdings Co., Ltd. Japan 22-Jun-21 | | | |
| N | Elect Director Tamakoshi, Ryosuke | For | Against | Independence concerns |
| O | Elect Director Wada, Takao | For | Abstain | Independence concerns |
| P | Personalls, Inc. USA 14-May-21 | | | |
| Q | Elect Director Alan Colowick | For | Withhold | Corporate governance concerns |
| R | Perspecta Inc. USA 5-May-21 | | | |
| S | Advisory Vote on Golden Parachutes | For | Against | Strategic concerns |
| T | Petrobras Distribuidora SA Brazil 15-Apr-21 | | | |
| U | Elect Alexandre Antonio Germano Bittencourt as Fiscal Council Member and Caio Cesar Ribeiro as Alternate | For | Abstain | Corporate governance concerns |
| V | Petrofac Ltd. Jersey 17-Jun-21 | | | |
| W | Approve Remuneration Report | For | Against | Remuneration concerns |
| X | Re-elect Ayman Asfari as Director | For | Abstain | Other unique issue |
| Y | Re-elect Matthias Bichsel as Director | For | Against | Remuneration concerns |
| Z | Re-elect Rene Medori as Director | For | Abstain | Gender diversity concerns |
| | Petroleo Brasileiro SA Brazil 12-Apr-21 | | | |
| | Remove Directors | For | Against | Corporate governance concerns |
| | Petroleo Brasileiro SA Brazil 14-Apr-21 | | | |
| | Elect Fiscal Council Members | For | Abstain | Corporate governance concerns |
| | Petroleo Brasileiro SA Brazil 27-Aug-21 | | | |
| | Elect Directors | For | Against | Corporate governance concerns |
| | Petronas Dagangan Berhad Malaysia 28-Apr-21 | | | |
| | Elect Nuraini Ismail as Director | For | Against | Independence concerns |
| | Petronet Lng Limited India 28-Sep-21 | | | |
| | Elect Pramod Narang as Director and Approve Appointment and Remuneration of Pramod Narang as Whole-Time Director and Designated as Director (Technical) | For | Against | Independence concerns |
| | Reelect Manoj Jain as Director | For | Against | Independence concerns |
| | Reelect Sanjeev Kumar as Director | For | Against | Independence concerns |
| | Pets At Home Group Plc United Kingdom 8-Jul-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Sharon Flood as Director | For | Against | Remuneration concerns |
| | Pfizer Inc. USA 22-Apr-21 | | | |
| | Elect Director Joseph J. Echevarria | For | Abstain | Combined CEO/Chair |
| | Require Independent Board Chair | Against | For | In shareholders best interest |
| | PG&E Corporation USA 20-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|---|
| PHarol SGPS SA Portugal 30-Apr-21 | | | |
| Approve Consolidated Financial Statements and Statutory Reports | For | Abstain | Audit concerns |
| Approve Individual Financial Statements and Statutory Reports | For | Abstain | Audit concerns |
| Phathom Pharmaceuticals, Inc. USA 21-May-21 | | | |
| Elect Director Michael F. Cola | For | Withhold | Overboarding concerns |
| Philip Morris International Inc. USA 5-May-21 | | | |
| Elect Director Lisa A. Hook | For | Against | Overboarding concerns |
| Phillips 66 USA 12-May-21 | | | |
| Adopt GHG Emissions Reduction Targets | Against | For | Supporting better ESG risk management disclosures |
| Elect Director Julie L. Bushman | For | Abstain | Climate risk management concerns |
| Report on Climate Lobbying | Against | For | Supporting better ESG risk management disclosures |
| Phoenix Group Holdings Plc United Kingdom 14-May-21 | | | |
| Re-elect Nicholas Lyons as Director | For | Abstain | No material concerns |
| PhosAgro PJSC Russia 25-May-21 | | | |
| Approve Related-Party Transaction Re: Loan Agreement | For | Abstain | Lack of disclosure |
| Photonics, Inc. USA 11-Mar-21 | | | |
| Elect Director George Macricostas | For | Withhold | Board Independence and Governance concerns |
| Physicians Realty Trust USA 5-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Pidilite Industries Limited India 11-Aug-21 | | | |
| Reelect Vinod Dasari as Director | For | Abstain | Gender diversity concerns |
| PIERER Mobility AG Austria 29-Apr-21 | | | |
| Approve Discharge of Supervisory Board for Fiscal Year 2020 | For | Against | Corporate governance concerns |
| Elect Ernst Chalupsky and Josef Blazicek as Supervisory Board Members | For | Against | Corporate governance and Independence concerns |
| Pilgrim's Pride Corporation USA 28-Apr-21 | | | |
| Report on Integrating ESG Metrics Into Executive Compensation Program | Against | For | In shareholders best interest |
| Report on Reduction of Water Pollution | Against | For | In shareholders best interest |
| Pinduoduo Inc. Cayman Islands 25-Jul-21 | | | |
| Elect Director Anthony Kam Ping Leung | For | Against | Audit concerns |
| Elect Director George Yong-Boon Yeo | For | Against | Gender Diversity concerns and Combined CEO/Chair |
| Elect Director George Yong-Boon Yeo | For | Against | Gender Diversity concerns/ Combined CEO and Chair |
| Elect Director Nanpeng Shen | For | Against | Overboarding concerns |
| Ping An Healthcare & Technology Company Limited Cayman Islands 21-Apr-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect Cai Fangfang as Director | For | Against | Combined CEO/Chair |
| Elect Yao Jason Bo as Director | For | Against | Independence concerns |
| Ping An Insurance (Group) Company of China, Ltd. China 25-Mar-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares | For | Against | Dilutive impact |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares | For | Against | Dilutive impact |
| Elect Ng Sing Yip as Director | For | Abstain | Gender diversity concerns |
| Elect Soopakij Chearavanont as Director | For | Against | Overboarding concerns |
| Elect Yang Xiaoping as Director | For | Against | Overboarding concerns |
| Pinnacle Financial Partners, Inc. USA 20-Apr-21 | | | |
| Elect Director Reese L. Smith, III | For | Abstain | Gender diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | Pioneer Natural Resources Company USA 27-May-21 | | | |
| C | Elect Director J. Kenneth Thompson | For | Abstain | Climate risk concerns |
| D | Elect Director Phoebe A. Wood | For | Abstain | Gender diversity concerns |
| E | Piraeus Financial Holdings SA Greece 22-Jun-21 | | | |
| F | Other Business | For | Against | Technical vote |
| G | Pirelli & C. SpA Italy 15-Jun-21 | | | |
| H | Approve Adjustment of the Cumulative Group Net Cash Flow Target and Normalization of Total Shareholder Return Target included in the Three-year Monetary Incentive Plan | For | Against | Remuneration concerns |
| I | Approve Remuneration Policy | For | Against | Remuneration concerns |
| J | Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |
| K | PJT Partners Inc. USA 29-Apr-21 | | | |
| L | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| M | PLDT Inc. Philippines 8-Jun-21 | | | |
| N | Elect Artemio V. Panganiban as Director | For | Withhold | Overboarding and Corporate governance concerns |
| O | Elect Manuel V. Pangilinan as Director | For | Withhold | Independence concerns |
| P | Elect James L. Go as Director | For | Withhold | Independence and Overboarding concerns |
| Q | Plug Power Inc. USA 30-Jul-21 | | | |
| R | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| S | Elect Director Gary K. Willis | For | Withhold | Audit concerns |
| T | PMV Pharmaceuticals, Inc. USA 2-Jun-21 | | | |
| U | Elect Director Peter Thompson | For | Withhold | Corporate governance concerns |
| V | Polar Capital Holdings Plc United Kingdom 8-Sep-21 | | | |
| W | Re-elect David Lamb as Director | For | Abstain | Gender diversity concerns |
| X | Polaris Inc. USA 29-Apr-21 | | | |
| Y | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Z | Pool Corporation USA 4-May-21 | | | |
| | Elect Director John E. Stokely | For | Abstain | Gender diversity concerns |
| | Portman Ridge Finance Corporation USA 7-Jun-21 | | | |
| | Elect Director Alexander Duka | For | Withhold | Gender diversity concerns |
| | POSCO South Korea 12-Mar-21 | | | |
| | Approve Financial Statements and Allocation of Income | For | Against | Audit concerns |
| | Approve Financial Statements and Allocation of Income | For | Against | Technical vote |
| | Elect Chon Jung-son as Inside Director | For | Against | Independence concerns |
| | Elect Kim Sung-jin as Outside Director to Serve as an Audit Committee Member | For | Against | Audit concerns |
| | Poseida Therapeutics, Inc. USA 16-Jun-21 | | | |
| | Elect Director John P. Schmid | For | Withhold | Corporate governance concerns |
| | Power Assets Holdings Limited Hong Kong 12-May-21 | | | |
| | Elect Ralph Raymond Shea as Director | For | Against | Climate risk management and Gender diversity concerns |
| | Elect Wu Ting Yuk, Anthony as Director | For | Against | Overboarding concerns |
| | Powszechna Kasa Oszczednosci Bank Polski SA Poland 7-Jun-21 | | | |
| | Approve Collective Suitability of Supervisory Board Members | For | Against | Lack of disclosure |
| | Approve Individual Suitability of Supervisory Board Member | For | Against | Lack of disclosure |
| | Elect Supervisory Board Member | For | Against | Lack of disclosure |
| | Recall Supervisory Board Member | For | Against | Lack of disclosure |
| | Powszechny Zakład Ubezpieczen SA Poland 16-Jun-21 | | | |
| | Elect Supervisory Board Member | For | Against | Lack of disclosure |
| | Recall Supervisory Board Member | For | Against | Lack of disclosure |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Powszechny Zakład Ubezpieczeń SA Poland 12-Oct-21 | | | |
| Approve Collective Suitability Assessment of Supervisory Board Members | For | Abstain | Insufficient information |
| Elect Supervisory Board Member | For | Abstain | Insufficient information |
| Recall Supervisory Board Member | For | Abstain | Insufficient information |
| PPG Industries, Inc. USA 15-Apr-21 | | | |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| PPHE Hotel Group Ltd. Guernsey 19-May-21 | | | |
| Accept Financial Statements and Statutory Reports | For | Against | Remuneration vote concerns |
| Re-elect Eli Papouchado as Director | For | Against | Attendance concerns |
| Re-elect Ken Bradley as Director | For | Against | Remuneration concerns |
| Re-elect Nigel Keen as Director | For | Against | Remuneration concerns |
| PQ Group Holdings Inc. USA 29-Apr-21 | | | |
| Elect Director Greg Brenneman | For | Withhold | Independence concerns |
| Prada SpA Italy 27-May-21 | | | |
| Appoint Antonino Parisi as Internal Statutory Auditor | For | Against | Independence concerns |
| Appoint David Terracina as Internal Statutory Auditor | For | Against | Independence concerns |
| Appoint Roberto Spada as Internal Statutory Auditor | For | Against | Independence concerns |
| Elect Miuccia Prada Bianchi as Director | For | Against | Attendance concerns |
| Preferred Bank USA 18-May-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| Premier, Inc. USA 3-Dec-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Richard J. Statuto | For | Withhold | Remuneration concerns |
| Press Kogyo Co., Ltd. Japan 29-Jun-21 | | | |
| Elect Director Mino, Tetsushi | For | Abstain | Independence concerns |
| PriceSmart, Inc. USA 4-Feb-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor overall design |
| Elect Director Edgar Zurcher | For | Withhold | Related party transactions |
| Pro Medicus Limited Australia 23-Nov-21 | | | |
| Elect Peter Kempen as Director | For | Against | Independence concerns |
| Procter & Gamble Hygiene and Health Care Limited India 17-Nov-21 | | | |
| Reelect Karthik Natarajan as Director | For | Against | Attendance concerns |
| Professional Holding Corp. USA 21-May-21 | | | |
| Elect Director Lawrence Schimmel | For | Withhold | Corporate Governance concerns |
| Profire Energy, Inc. USA 16-Jun-21 | | | |
| Elect Director Colleen Larkin Bell | For | Against | Corporate governance concerns |
| Prologis, Inc. USA 29-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director George L. Fotiadis | For | Against | Overboarding concerns |
| Elect Director Jeffrey L. Skelton | For | Abstain | Combined CEO/Chair |
| Proofpoint, Inc. USA 15-Jun-21 | | | |
| Elect Director Dana Evan | For | Against | Overboarding concerns |
| ProPetro Holding Corp. USA 17-May-21 | | | |
| Elect Director Spencer D. Armour, III | For | Withhold | Independence and Corporate Governance concerns |
| PROS Holdings, Inc. USA 12-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Elect Director William Russell | For | Withhold | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Prosperity Bancshares, Inc. USA 20-Apr-21 | | | |
| C | Elect Director Robert Steelhammer | For | Withhold | Gender diversity concerns |
| D | Prosus NV Netherlands 9-Jul-21 | | | |
| E | Approve Proposed Transaction | For | Against | Not in shareholders best interest |
| F | Prosus NV Netherlands 24-Aug-21 | | | |
| G | Approve Remuneration Policy for Executive and Non-Executive Directors | For | Against | Remuneration concerns |
| H | Approve Remuneration Report | For | Against | Remuneration concerns |
| I | Protalix BioTherapeutics, Inc. USA 7-Jul-21 | | | |
| J | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| K | Protara Therapeutics, Inc. USA 9-Jun-21 | | | |
| L | Elect Director Richard Levy | For | Withhold | Overboarding concerns |
| M | Prothena Corporation plc Ireland 18-May-21 | | | |
| N | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| O | Provident Financial Services, Inc. USA 29-Apr-21 | | | |
| P | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Q | Proximus SA Belgium 21-Apr-21 | | | |
| R | Renew Authorization to Increase Share Capital within the Framework of Authorized Capital | For | Against | Dilutive impact |
| S | Proya Cosmetics Co., Ltd. China 9-Sep-21 | | | |
| T | Elect Hu Lina as Supervisor | For | Against | Independence concerns |
| U | Prudential Financial, Inc. USA 11-May-21 | | | |
| V | Elect Director Thomas J. Baltimore, Jr. | For | Against | Overboarding concerns |
| W | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| X | Prudential Plc United Kingdom 13-May-21 | | | |
| Y | Approve Remuneration Report | For | Against | Remuneration concerns |
| Z | Re-elect Anthony Nightingale as Director | For | Against | remuneration concerns |
| | Re-elect David Law as Director | For | Abstain | Audit concerns |
| | Re-elect David Law as Director | For | Withhold | Audit concerns |
| | Prysmian SpA Italy 28-Apr-21 | | | |
| | Slate 1 Submitted by Management | For | Abstain | Bundled election |
| | PT ACE Hardware Indonesia Tbk Indonesia 9-Jun-21 | | | |
| | Amend Articles of Association | For | Against | Lack of disclosure |
| | Approve Auditors and Authorize Board to Fix Their Remuneration | For | Against | Lack of disclosure |
| | Approve Changes in the Boards of the Company | For | Against | Independence concerns |
| | Approve Remuneration of Directors and Commissioners | For | Against | Lack of disclosure |
| | PT Bank BTPN Syariah Tbk Indonesia 21-Apr-21 | | | |
| | Amend Articles of Association | For | Against | Lack of disclosure |
| | Approve Auditors and Authorize Board to Fix Their Remuneration | For | Against | Lack of disclosure |
| | Approve Remuneration of Directors, Commissioners and Sharia Supervisory Board | For | Against | Remuneration concerns |
| | PT Bank Jago Tbk Indonesia 22-Sep-21 | | | |
| | Elect Sharia Supervisory Board | For | Against | Lack of disclosure |
| | PT Bank Mandiri (Persero) Tbk Indonesia 15-Mar-21 | | | |
| | Amend Articles of Association in Relation to Shareholder Meeting | For | Against | Lack of disclosure |
| | Appoint Auditors of the Company and the Partnership and Community Development Program (PCDP) | For | Against | Lack of disclosure |
| | Approve Changes in Board of Company | For | Against | Lack of disclosure |
| | Approve Remuneration and Tantiem of Directors and Commissioners | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| PT Bank Rakyat Indonesia (Persero) Tbk Indonesia 21-Jan-21 | | | |
| Amend Articles of Association | For | Against | Lack of disclosure |
| Approve Changes in Boards of Company | For | Against | Lack of disclosure |
| Approve Transfer of Treasury Stock | For | Against | Lack of disclosure |
| PT Bank Rakyat Indonesia (Persero) Tbk Indonesia 25-Mar-21 | | | |
| Approve Remuneration and Tantiem of Directors and Commissioners | For | Against | Remuneration concerns |
| PT Indocement Tungal Prakarsa Tbk Indonesia 8-Jul-21 | | | |
| Approve Auditors | For | Against | Lack of disclosure |
| Approve Changes in the Boards of the Company | For | Against | Independence concerns |
| PT Indofood CBP Sukses Makmur Tbk Indonesia 27-Aug-21 | | | |
| Approve Auditors and Authorize Board to Fix Their Remuneration | For | Against | Lack of disclosure |
| Approve Changes in the Boards of the Company | For | Against | Audit, Corporate governance, Independence and Overboarding concerns |
| PT Telkom Indonesia (Persero) Tbk Indonesia 28-May-21 | | | |
| Amend Articles of Association | For | Against | Lack of disclosure |
| Approve Changes in the Boards of the Company | For | Against | Lack of disclosure |
| Approve Remuneration and Tantiem of Directors and Commissioners | For | Against | Remuneration concerns |
| PTC Inc. USA 10-Feb-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Concerning pay practices |
| Elect Director Janice Chaffin | For | Withhold | Remuneration concerns |
| Publicis Groupe SA France 26-May-21 | | | |
| Approve Compensation of Arthur Sadoun, Chairman of the Management Board | For | Against | Remuneration concerns |
| Approve Compensation of Maurice Levy, Chairman of Supervisory Board | For | Against | Remuneration concerns |
| Approve Remuneration Policy of Chairman of Supervisory Board | For | Against | Remuneration concerns |
| PubMatic, Inc. USA 1-Jun-21 | | | |
| Elect Director Narendra (Naren) K. Gupta | For | Withhold | Corporate governance concerns |
| Pulse Biosciences, Inc. USA 20-May-21 | | | |
| Elect Director Kenneth A. Clark | For | Against | Corporate governance and Independence concerns |
| Elect Director Manmeet S. Soni | For | Against | Overboarding concerns |
| PulteGroup, Inc. USA 12-May-21 | | | |
| Elect Director Richard W. Dreiling | For | Abstain | Gender diversity concerns |
| Puma Biotechnology, Inc. USA 15-Jun-21 | | | |
| Elect Director Brian Stuglik | For | Withhold | Overboarding concerns |
| Elect Director Troy E. Wilson | For | Withhold | Overboarding concerns |
| Pure Cycle Corporation USA 13-Jan-21 | | | |
| Elect Director Arthur G. Epker, III | For | Withhold | Gender diversity concerns |
| Pure Storage, Inc. USA 15-Jun-21 | | | |
| Elect Director Anita Sands | For | Withhold | Corporate governance concerns |
| PVR Limited India 19-Jan-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Pzena Investment Management, Inc. USA 19-May-21 | | | |
| Elect Director Charles D. Johnston | For | Withhold | Gender diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Q | | | |
| C | Qantas Airways Limited Australia 5-Nov-21 | | | |
| D | Approve Remuneration Report | For | Against | Remuneration concerns |
| E | Elect Belinda Hutchinson as Director | For | Abstain | Audit concerns |
| F | Elect Todd Sampson as Director | For | Against | Remuneration concerns |
| G | QBE Insurance Group Limited Australia 5-May-21 | | | |
| H | Elect Jann Skinner as Director | For | Abstain | Audit concerns |
| I | QIAGEN NV Netherlands 29-Jun-21 | | | |
| J | Approve Partial Amendment of Remuneration Policy for Supervisory Board | For | Against | Remuneration concerns |
| K | Approve Remuneration of Supervisory Board | For | Against | Remuneration concerns |
| L | Approve Remuneration Policy for Management Board | For | Against | Remuneration concerns |
| M | Approve Remuneration Report | For | Against | Remuneration concerns |
| N | Authorize Board to Exclude Preemptive Rights from Share Issuances in Connection to Mergers, Acquisitions or Strategic Alliances | For | Against | Dilutive impact |
| O | QinetiQ Group plc United Kingdom 21-Jul-21 | | | |
| P | Approve Remuneration Report | For | Against | Remuneration concerns |
| Q | Re-elect Susan Searle as Director | For | Against | Remuneration concerns |
| R | QIWI Plc Cyprus 2-Jun-21 | | | |
| S | Elect Andrey Protopopov as Director | For | Abstain | Independence concerns |
| T | Elect Nadiya Cherkasova as Director | For | Abstain | Independence concerns |
| U | Elect Sergey Solonin as Director | For | Abstain | Independence concerns |
| V | Elect Tatiana Zharkova as Director | For | Abstain | Independence concerns |
| W | Quad/Graphics, Inc. USA 17-May-21 | | | |
| X | Elect Director Douglas P. Buth | For | Withhold | Auditor concerns |
| Y | Elect Director Jay O. Rothman | For | Withhold | Corporate governance concerns |
| Z | Qualtrics International Inc. USA 19-May-21 | | | |
| | Elect Director Luka Mucic | For | Against | Corporate Governance concerns |
| | Qualys, Inc. USA 9-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | QuantumScape Corporation USA 15-Dec-21 | | | |
| | Approve Extraordinary Performance Award Program | For | Against | Remuneration concerns |
| | Elect Director John Doerr | For | Withhold | Gender diversity concerns |
| | Quest Diagnostics Incorporated USA 21-May-21 | | | |
| | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| | Quick Co., Ltd. Japan 22-Jun-21 | | | |
| | Elect Director and Audit Committee Member Murao, Takahide | For | Against | Independence concerns |
| | Elect Director and Audit Committee Member Saito, Makoto | For | Against | Independence concerns |
| | Elect Director Wano, Tsutomu | For | Abstain | Independence concerns |
| | Quidel Corporation USA 18-May-21 | | | |
| | Elect Director Ann D. Rhoads | For | Withhold | Overboarding concerns |
| | Quotient Limited Jersey 29-Oct-21 | | | |
| | Elect Director Brian McDonough | For | Withhold | Corporate Governance concerns |
| | Elect Director Frederick Hallsworth | For | Withhold | Corporate Governance concerns |
| | Elect Director John Wilkerson | For | Withhold | Corporate Governance concerns |
| | Elect Director Zubeen Shroff | For | Withhold | Corporate Governance concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Quotient Technology Inc. USA 3-Jun-21 | | | |
| Elect Director Christy Wyatt | For | Withhold | Overboarding concerns |
| Qurate Retail, Inc. USA 25-May-21 | | | |
| Elect Director Richard N. Barton | For | Withhold | Overboarding, Independent and Gender diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | R | | | |
| C | R.R. Donnelley & Sons Company USA 20-May-21 | | | |
| D | Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| E | R1 RCM Inc. USA 20-May-21 | | | |
| F | Elect Director Neal Moszkowski | For | Withhold | Lack of virtual-only meeting disclosure |
| G | Radius Health, Inc. USA 9-Jun-21 | | | |
| H | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| I | RadNet, Inc. USA 10-Jun-21 | | | |
| J | Elect Director Christine N. Gordon | For | Withhold | Independence concerns |
| K | Raia Drogasil SA Brazil 30-Apr-21 | | | |
| L | Elect Fiscal Council Members | For | Abstain | Corporate governance concerns |
| M | Ralph Lauren Corporation USA 29-Jul-21 | | | |
| N | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| O | Elect Director Michael A. George | For | Withhold | Remuneration concerns |
| P | Rambus Inc. USA 29-Apr-21 | | | |
| Q | Elect Director Emiko Higashi | For | Against | Audit concerns |
| R | Ramsay Health Care Limited Australia 24-Nov-21 | | | |
| S | Elect David Ingle Thodey as Director | For | Abstain | Audit concerns |
| T | Ranpak Holdings Corp. USA 26-May-21 | | | |
| U | Elect Director Thomas F. Corley | For | Withhold | Corporate governance concerns |
| V | Rapid7, Inc. USA 10-Jun-21 | | | |
| W | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| X | Ratch Group Public Company Limited Thailand 5-Apr-21 | | | |
| Y | Approve KPMG Phoomchai Audit Limited as Auditors and Authorize Board to Fix Their Remuneration | For | Against | Audit concerns |
| Z | Approve Remuneration of Directors | For | Against | Remuneration concerns |
| | Elect Nutthavutthi Chamchang as Director | For | Against | Independence concerns |
| | Elect Ratanachai Namwong as Director | For | Against | Independence concerns |
| | Elect Suthon Boonprasong as Director | For | Against | Independence concerns |
| | Other Business | For | Against | Technical Vote |
| | Ratch Group Public Company Limited Thailand 21-Oct-21 | | | |
| | Other Business | For | Against | Technical vote |
| | Rathbone Brothers Plc United Kingdom 6-May-21 | | | |
| | Approve Remuneration Policy | For | Against | Remuneration concerns |
| | Re-elect Sarah Gentleman as Director | For | Against | Remuneration concerns |
| | Ratos AB Sweden 10-Mar-21 | | | |
| | Approve Issuance of up to 35 Million Class B Shares without Preemptive Rights | For | Against | Dilutive impact |
| | Reelect Jan Soderberg as Director | For | Against | Independence concerns |
| | Raven Industries, Inc. USA 15-Sep-21 | | | |
| | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| | RBB Bancorp USA 19-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Peter M. Chang | For | Withhold | Remuneration concerns |
| | RBC Bearings Incorporated USA 8-Sep-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior year dissent |
| | Elect Director Richard R. Crowell | For | Withhold | Ongoing compensation issues |
| | RCI Hospitality Holdings, Inc. USA 14-Sep-21 | | | |
| | Elect Director Luke Lirot | For | Withhold | Corporate Governance concerns |
| | Other Business | For | Against | Technical vote |

| Company Name | Management Recommendation | Our Vote | Rationale |
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| RCS MediaGroup SpA Italy 29-Apr-21 | | | |
| Adopt Double Voting Rights for Long-Term Registered Shareholders | For | Against | Remuneration concerns |
| Approve Remuneration Policy | For | Against | Strategic concerns |
| REA Group Ltd Australia 11-Nov-21 | | | |
| Approve Issuance of 2,660 Additional Performance Rights to Owen Wilson under the 2023 REA Group Long-Term Incentive Plan | For | Against | Remuneration concerns |
| Approve Issuance of 7,959 Performance Rights to Owen Wilson under the 2024 REA Group Long-Term Incentive Plan | For | Against | Remuneration concerns |
| Approve Technology Amendments to the Company's Constitution | For | Against | Not in shareholders best interest |
| Elect Hamish McLennan as Director | For | Against | Independence concerns |
| Reach Plc United Kingdom 6-May-21 | | | |
| Re-elect Nick Prettejohn as Director | For | Abstain | Independence concerns |
| Ready Capital Corporation USA 14-Jul-21 | | | |
| Elect Director Andrea Petro | For | Withhold | Independence concerns |
| Realogy Holdings Corp. USA 5-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Reata Pharmaceuticals, Inc. USA 10-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Reckitt Benckiser Group Plc United Kingdom 28-May-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Chris Sinclair as Director | For | Abstain | Independence concerns |
| Re-elect Mary Harris as Director | For | Abstain | Remuneration concerns |
| Re-elect Mary Harris as Director | For | Against | Remuneration concerns |
| Re-elect Sara Mathew as Director | For | Against | Overboarding concerns |
| Recordati SpA Italy 20-Apr-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Recruit Holdings Co., Ltd. Japan 17-Jun-21 | | | |
| Amend Articles to Allow Virtual Only Shareholder Meetings | For | Against | Not in shareholders best interest |
| Amend Articles to Allow Virtual Only Shareholder Meetings | For | Against | Not in shareholders interest |
| Approve Trust-Type Equity Compensation Plan | For | Against | Remuneration concerns |
| Elect Director Idekoba, Hisayuki | For | Abstain | Independence concerns |
| Red Rock Resorts, Inc. USA 3-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| Elect Director Robert A. Cashell, Jr. | For | Withhold | Gender Diversity concerns |
| Redbubble Limited Australia 26-Oct-21 | | | |
| Approve Alteration of Constitution | For | Against | Not in shareholders best interest |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Elect Martin Hosking as Director | For | Against | Independence and Remuneration concerns |
| Redde Northgate Plc United Kingdom 20-Sep-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect John Davies as Director | For | Abstain | Remuneration concerns |
| Redfin Corporation USA 9-Jun-21 | | | |
| Require a Majority Vote for the Election of Directors | Against | For | Supporting better corporate governance practices |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | RedHill Biopharma Ltd. Israel 26-Jul-21 | | | |
| C | Amend Company's Amended and Restated Award Plan (2010) | For | Against | Dilutive impact |
| D | Approve Grant of Additional Option to U.S. Directors of the Company | For | Against | Not in shareholders best interest |
| E | Reelect Eric Swenden as Director | For | Against | Combined CEO/Chair |
| F | Redwood Trust, Inc. USA 20-May-21 | | | |
| G | Elect Director Jeffrey T. Pero | For | Against | Lack of virtual-only meeting disclosure |
| H | Reece Limited Australia 28-Oct-21 | | | |
| I | Elect Andrew Wilson as Director | For | Against | Independence concerns |
| J | Regeneron Pharmaceuticals, Inc. USA 11-Jun-21 | | | |
| K | Elect Director Arthur F. Ryan | For | Against | Corporate governance concerns |
| L | Elect Director George L. Sing | For | Against | Remuneration concerns |
| M | Elect Director N. Anthony Coles | For | Against | Overboarding concerns |
| N | Regis Corporation USA 26-Oct-21 | | | |
| O | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| P | Rekor Systems, Inc. USA 14-Sep-21 | | | |
| Q | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| R | Elect Director David P. Hanlon | For | Withhold | Gender diversity concerns |
| S | Elect Director Richard Nathan | For | Withhold | Independence concerns |
| T | Relaxo Footwears Limited India 26-Aug-21 | | | |
| U | Reelect Ramesh Kumar Dua as Director | For | Against | Independence concerns |
| V | Reliance Industries Ltd. India 24-Jun-21 | | | |
| W | Elect Nikhil R. Meswani as Director | For | Against | Independence concerns |
| X | Elect Pawan Kumar Kapil as Director | For | Against | Independence concerns |
| Y | Reelect Shumeet Banerji as Director | For | Abstain | Gender diversity concerns |
| Z | Reliance Industries Ltd. India 19-Oct-21 | | | |
| | Elect Yasir Othman H. Al Rumayyan as Director | For | Against | Independence concerns |
| | Reliance Steel & Aluminum Co. USA 19-May-21 | | | |
| | Elect Director Douglas W. Stotlar | For | Against | ESG risk management concerns |
| | Reliance Worldwide Corporation Limited Australia 28-Oct-21 | | | |
| | Approve Grant of Performance Rights to Heath Sharp | For | Against | Remuneration concerns |
| | Reliant Bancorp, Inc. USA 13-May-21 | | | |
| | Elect Director William Ronald (Ron) DeBerry | For | Against | Independence concerns |
| | Remy Cointreau SA France 22-Jul-21 | | | |
| | Approve Remuneration Policy of CEO | For | Against | Remuneration concerns |
| | Authorize up to 2 Percent of Issued Capital for Use in Stock Option Plans | For | Against | Remuneration concerns |
| | Renesas Electronics Corp. Japan 31-Mar-21 | | | |
| | Approve Performance Share Plan and Restricted Stock Plan | For | Against | Remuneration concerns |
| | ReneSola Ltd. Virgin Isl (UK) 10-Dec-21 | | | |
| | Amend Share Incentive Plan | For | Against | Remuneration concerns |
| | Elect Julia Xu and Sam (Kaiheng) Feng as Directors | For | Against | Independence concerns, Bundled election and Corporate Governance concerns |
| | Elect Yuanyuan Ma as Director | For | Against | Independence concerns |
| | Rengo Co., Ltd. Japan 29-Jun-21 | | | |
| | Elect Director Oku, Masayuki | For | Against | Independence concerns |
| | Elect Director Otsubo, Kiyoshi | For | Abstain | Independence concerns |
| | Elect Director Sato, Yoshio | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Renishaw Plc United Kingdom 24-Nov-21 | | | |
| Re-elect John Deer as Director | For | Abstain | Independence concerns |
| Re-elect Sir David McMurtry as Director | For | Abstain | Independence concerns |
| Renren Inc. Cayman Islands 17-Dec-21 | | | |
| Approve Omnibus Stock Plan | For | Against | Remuneration concerns |
| Rentokil Initial Plc United Kingdom 12-May-21 | | | |
| Amend Performance Share Plan | For | Against | Remuneration concerns |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Cathy Turner as Director | For | Against | Remuneration concerns |
| Re-elect Linda Yueh as Director | For | Against | Overboarding concerns |
| Replimune Group, Inc. USA 8-Sep-21 | | | |
| Elect Director Hyam Levitsky | For | Withhold | Gender diversity concerns |
| Reply SpA Italy 26-Apr-21 | | | |
| Authorize Share Repurchase Program and Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Republic First Bancorp, Inc. USA 27-Apr-21 | | | |
| Elect Director Harris Wildstein | For | Withhold | Independence concerns |
| Resideo Technologies, Inc. USA 9-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Resonant Inc. USA 8-Jun-21 | | | |
| Elect Director Jack H. Jacobs | For | Withhold | Attendance concerns |
| Elect Director Ruben Caballero | For | Withhold | Attendance concerns |
| Retail Opportunity Investments Corp. USA 26-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Eric S. Zorn | For | Withhold | Corporate governance concerns |
| Retractable Technologies, Inc. USA 11-May-21 | | | |
| Elect Director Marco Laterza | For | Withhold | Audit concerns |
| REV Group, Inc. USA 4-Mar-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Donn Viola | For | Withhold | Remuneration concerns |
| Elect Director Jean Marie "John" Canan | For | Withhold | Gender diversity concerns |
| Revenio Group Oyj Finland 17-Mar-21 | | | |
| Elect Pekka Ronka, Pekka Tammela, Ann-Christine Sundell, Arne Boye Nielsen and Bill Ostman as Directors | For | Abstain | Gender diversity concerns |
| Revolution Medicines, Inc. USA 22-Jun-21 | | | |
| Elect Director Elizabeth McKee Anderson | For | Withhold | Remuneration concerns |
| REX American Resources Corporation USA 16-Jun-21 | | | |
| Elect Director Charles A. Elcan | For | Against | Attendance concerns |
| Elect Director David S. Harris | For | Against | Audit concerns |
| Elect Director Edward M. Kress | For | Against | Potential conflict of interest |
| Rexford Industrial Realty, Inc. USA 17-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Richard S. Ziman | For | Against | Independence concerns |
| RH USA 15-Jul-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Rheon Automatic Machinery Co., Ltd. Japan 28-Jun-21 | | | |
| Elect Director Kobayashi, Mikio | For | Abstain | Independence and gender diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | RHI Magnesita NV Netherlands 10-Jun-21 | | | |
| C | Elect Sigalia Heifetz as Director | For | Against | Overboarding concerns |
| D | Ribbon Communications Inc. USA 27-May-21 | | | |
| E | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| F | Rightmove Plc United Kingdom 7-May-21 | | | |
| G | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| H | Re-elect Andrew Findlay as Director | For | Abstain | Audit concerns |
| I | Re-elect Lorna Tilbian as Director | For | Abstain | Remuneration concerns |
| J | RingCentral, Inc. USA 4-Jun-21 | | | |
| K | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| L | Rinnai Corp. Japan 29-Jun-21 | | | |
| M | Elect Director Naito, Hiroyasu | For | Abstain | Independence and gender diversity concerns |
| N | Rio Tinto Limited Australia 6-May-21 | | | |
| O | Approve Remuneration Report for Australian Law Purposes | For | Against | Remuneration concerns |
| P | Approve Remuneration Report for UK Law Purposes | For | Against | Remuneration concerns |
| Q | Elect Megan Clark as Director | For | Against | Corporate governance concerns |
| R | Elect Sam Laidlaw as Director | For | Against | Remuneration concerns |
| S | Rio Tinto Plc United Kingdom 9-Apr-21 | | | |
| T | Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| U | Approve Remuneration Policy | For | Withhold | Remuneration concerns |
| V | Approve Remuneration Report for Australian Law Purposes | For | Against | Remuneration concerns |
| W | Approve Remuneration Report for UK Law Purposes | For | Against | Remuneration concerns |
| X | Re-elect Megan Clark as Director | For | Against | ESG risk management concerns |
| Y | Re-elect Sam Laidlaw as Director | For | Against | Remuneration concerns |
| Z | RioCan Real Estate Investment Trust Canada 26-May-21 | | | |
| | Advisory Vote on Executive Compensation Approach | For | Against | Remuneration concerns |
| | Elect Trustee Bonnie Brooks | For | Withhold | Overboarding concerns |
| | Elect Trustee Richard Dansereau | For | Withhold | Remuneration concerns |
| | Riot Blockchain, Inc. USA 19-Oct-21 | | | |
| | Elect Director Benjamin Yi | For | Withhold | Independence concerns |
| | Riso Kagaku Corp. Japan 24-Jun-21 | | | |
| | Elect Director Hayama, Akira | For | Abstain | Independence and gender diversity concerns |
| | Rocket Companies, Inc. USA 25-May-21 | | | |
| | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| | Rocket Pharmaceuticals, Inc. USA 14-Jun-21 | | | |
| | Elect Director Naveen Yalamanchi | For | Withhold | Corporate governance and Independence concerns |
| | Rockwell Medical, Inc. USA 17-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Robert S. Radie | For | Against | Remuneration concerns |
| | Rockwool International A/S Denmark 7-Apr-21 | | | |
| | Disclose Report on Political Contributions | Against | For | Supporting better corporate governance practices |
| | Ratify PricewaterhouseCoopers as Auditors | For | Abstain | Audit concerns |
| | Reelect Carsten Bjerg as Director | For | Abstain | Gender diversity concerns |
| | Roku, Inc. USA 10-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Rolls-Royce Holdings Plc United Kingdom 13-May-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Irene Dorner as Director | For | Against | Remuneration concerns |
| Re-elect Sir Ian Davis as Director | For | Abstain | Overboarding concerns |
| Roper Technologies, Inc. USA 14-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Laura G. Thatcher | For | Against | Remuneration concerns |
| Ross Stores, Inc. USA 19-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Rotork Plc United Kingdom 30-Apr-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Tim Cobbold as Director | For | Abstain | Remuneration concerns |
| ROUND ONE Corp. Japan 26-Jun-21 | | | |
| Elect Director Sugino, Masahiko | For | Abstain | Independence concerns |
| Royal Caribbean Cruises Ltd. Liberia 2-Jun-21 | | | |
| Report on Political Contributions Disclosure | Against | For | Supporting better ESG risk management disclosures |
| Royal Dutch Shell Plc United Kingdom 18-May-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Approve the Shell Energy Transition Strategy | For | Abstain | ESG Concerns |
| Approve the Shell Energy Transition Strategy | For | Withhold | ESG risk management concerns |
| Approve the Shell Energy Transition Strategy | For | Abstain | Strategic concerns |
| Re-elect Neil Carson as Director | For | Against | Remuneration concerns |
| Royalty Pharma Plc United Kingdom 24-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Elect Director Errol De Souza | For | Against | Overboarding concerns |
| Elect Director Ted Love | For | Against | Overboarding concerns |
| RPM International Inc. USA 7-Oct-21 | | | |
| Elect Director Bruce A. Carbonari | For | Withhold | Gender diversity concerns |
| RPT Realty USA 28-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Arthur H. Goldberg | For | Withhold | Remuneration concerns |
| RTL Group SA Luxembourg 28-Apr-21 | | | |
| Approve Discharge of Directors | For | Against | Poor response to prior dissent |
| Approve Remuneration Report | For | Against | Remuneration Concerns |
| Reelect Non-Executive Directors | For | Against | Independence concerns |
| Reelect Thomas Rabe and Elmar Heggen as Executive Directors | For | Abstain | Bundled election |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | RUMO SA Brazil 27-Apr-21 | | | |
| C | Amend Indemnity Policy | For | Against | Corporate governance concerns |
| D | Approve Remuneration of Company's Management | For | Against | Remuneration concerns |
| E | Elect Burkhard Otto Cordes as Director | For | Against | Independence concerns |
| F | Elect Cristina Anne Betts as Fiscal Council Member and Guido Barbosa de Oliveira as Alternate | For | Abstain | Corporate governance concerns |
| G | Elect Francisco Silverio Morales Cespede as Fiscal Council Member and Helio Ribeiro Duarte as Alternate | For | Abstain | Corporate governance concerns |
| H | Elect Julio Fontana Neto as Director | For | Against | Independence concerns |
| I | Elect Luis Claudio Rapparini Soares as Fiscal Council Member and Carla Alessandra Trematore as Alternate | For | Abstain | Corporate governance concerns |
| J | Elect Luis Henrique Cals De Beauclair Guimaraes as Director | For | Against | Independence concerns |
| K | Elect Marcelo Curti as Fiscal Council Member and Nadir Dancini Barsanulfo as Alternate | For | Abstain | Corporate governance concerns |
| L | Elect Marcelo Eduardo Martins as Director | For | Against | Independence concerns |
| M | Elect Maria Rita de Carvalho Drummond as Director | For | Against | Independence concerns |
| N | Elect Riccardo Arduini as Director and Giancarlo Arduini as Alternate | For | Against | Independence concerns |
| O | Elect Rubens Ometto Silveira Mello as Director | For | Against | Independence concerns |
| P | Rush Enterprises, Inc. USA 18-May-21 | | | |
| Q | Elect Director Kennon H. Guglielmo | For | Withhold | Corporate governance concerns |
| R | RWS Holdings Plc United Kingdom 10-Feb-21 | | | |
| S | Authorise Board to Fix Remuneration of Auditors | For | Against | Audit concerns |
| T | Reappoint PricewaterhouseCoopers LLP as Auditors | For | Against | Audit concerns |
| U | Ryanair Holdings Plc Ireland 16-Sep-21 | | | |
| V | Re-elect Dick Milliken as Director | For | Against | Independence concerns |
| W | Re-elect Emer Daly as Director | For | Against | Independence concerns |
| X | Re-elect Howard Millar as Director | For | Against | Independence concerns |
| Y | Re-elect Julie O'Neill as Director | For | Against | Independence concerns |
| Z | Re-elect Louise Phelan as Director | For | Against | Independence concerns |
| | Re-elect Michael Cawley as Director | For | Against | Independence and Overboarding concerns |
| | Re-elect Michael O'Brien as Director | For | Against | Independence concerns |
| | Re-elect Roisin Brennan as Director | For | Against | Independence concerns |
| | Re-elect Stan McCarthy as Director | For | Against | Independence concerns |
| | Ryder System, Inc. USA 7-May-21 | | | |
| | Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | Supporting better corporate governance practices |
| | Ryerson Holding Corporation USA 28-Apr-21 | | | |
| | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| | Ryobi Ltd. Japan 26-Mar-21 | | | |
| | Elect Director Ikaga, Masahiko | For | Against | Independence concerns |
| | Elect Director Urakami, Akira | For | Abstain | Independence and gender diversity concerns |
| | Ryoden Corp. Japan 24-Jun-21 | | | |
| | Approve Deep Discount Stock Option Plan | For | Against | Remuneration concerns |
| | Elect Director Miyagishi, Masamitsu | For | Against | Independence concerns |
| | Elect Director Shogaki, Nobuo | For | Abstain | Independence concerns |
| | Ryohin Keikaku Co., Ltd. Japan 26-Nov-21 | | | |
| | Amend Articles to Add Provisions on Corporate Philosophy – Amend Business Lines – Allow Virtual Only Shareholder Meetings – Disclose Shareholder Meeting Materials on Internet – Reduce Directors' Term – Amend Provisions on Director Titles | For | Against | Not in shareholders interest |
| | Elect Director Yoshikawa, Atsushi | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|---|
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| S&P Global Inc. USA 11-Mar-21 | | | |
| Issue Shares in Connection with Merger | For | Against | Not in shareholders best interest |
| Issue Shares in Connection with Merger | For | Against | Not in shareholders interest |
| S&P Global Inc. USA 5-May-21 | | | |
| Approve Greenhouse Gas (GHG) Emissions Reduction Plan | For | Abstain | Not in shareholders best interest |
| S&TAG Austria 8-Jun-21 | | | |
| Approve Remuneration Policy for Management Board | For | Against | Remuneration concerns |
| Elect Yu-Mei Wu as Alternate Supervisory Board Member | For | Against | Remuneration concerns |
| S&T Bancorp, Inc. USA 17-May-21 | | | |
| Elect Director Christine J. Toretta | For | Withhold | Independence concerns |
| Elect Director Steven J. Weingarten | For | Withhold | Corporate governance concerns |
| S.F. Holding Co., Ltd. China 2-Mar-21 | | | |
| Approve Feasibility Analysis Report on the Use of Proceeds | For | Against | Not in shareholders best interest |
| Approve Provision of Guarantees for Debt Financing Products Issue by Wholly-Owned Subsidiary | For | Against | Excessive guarantees |
| Approve Use of Proceeds | For | Against | Discount Excessive |
| S.F. Holding Co., Ltd. China 9-Apr-21 | | | |
| Approve External Guarantee Provision Plan | For | Against | Dilutive Impact |
| Elect Wang Jia as Supervisor | For | Against | Independence concerns |
| S4 Capital Plc United Kingdom 7-Jun-21 | | | |
| Authorise Issue Equity without Pre-emptive Rights Reserved to Overseas Shareowners | For | Against | Excessive issuance |
| Re-elect Daniel Pinto as Director | For | Against | Independence concerns |
| Re-elect Paul Roy as Director | For | Against | Combined CEO/Chair and Gender diversity concerns |
| Saab AB Sweden 13-Apr-21 | | | |
| Reelect Cecilia Stego Chilo as Director | For | Against | Attendance concerns |
| Reelect Daniel Nodhall as Director | For | Against | Overboarding concerns |
| Sabre Corporation USA 28-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Karl Peterson | For | Against | Corporate governance and Independence concerns |
| Safe Bulkers, Inc. Marshall Isl 13-Sep-21 | | | |
| Elect Director Ole Wikborg | For | Withhold | Gender diversity concerns |
| Safeguard Scientifics, Inc. USA 19-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Safran SA France 26-May-21 | | | |
| Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 23-26, Only in the Event of a Public Tender Offer | For | Against | Anti-takeover device |
| Reelect Sophie Zurquiyah as Director | For | Against | Overboarding concerns |
| Sage Therapeutics, Inc. USA 10-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Geno Germano | For | Withhold | Gender diversity concerns |
| Elect Director Geno Germano | For | Withhold | Gender diversity concerns and Lack of virtual-only meeting disclosure |
| Elect Director Steven Paul | For | Withhold | Independence and Overboarding concerns |
| Saipem SpA Italy 30-Apr-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | salesforce.com, Inc. USA 10-Jun-21 | | | |
| C | Amend Certificate of Incorporation to Become a Public Benefit Corporation | Against | For | Supporting better corporate governance practices |
| D | Elect Director Sanford Robertson | For | Abstain | Combined CEO/Chair |
| E | Salvatore Ferragamo SpA Italy 14-Dec-21 | | | |
| F | Amend Remuneration Policy | For | Against | Remuneration concerns |
| G | Approve Restricted Shares Plan | For | Against | Remuneration concerns |
| H | Approve Special Award 2022-2026 | For | Against | Remuneration concerns |
| I | Elect Two Directors | For | Abstain | Bundled election |
| J | Samhallsbyggnadsbolaget I Norden AB Sweden 5-May-21 | | | |
| K | Approve Creation of Pool of Capital without Preemptive Rights | For | Against | Excessive issuance |
| L | Authorize Chairman of Board and Representatives of Three of Company's Largest Shareholders to Serve on Nominating Committee | For | Against | Independence concerns |
| M | Sampo Oyj Finland 19-May-21 | | | |
| N | Approve Remuneration of Auditors | For | Against | Concerns regarding audit fees |
| O | Ratify Deloitte as Auditors | For | Against | Concerns regarding audit fees |
| P | Samsung Electro-Mechanics Co., Ltd. South Korea 17-Mar-21 | | | |
| Q | Elect Kim Doo-young as Inside Director | For | Against | Independence concerns |
| R | Elect Kim Yong-gyun as Outside Director to Serve as an Audit Committee Member | For | Abstain | Gender diversity concerns |
| S | Samsung Electronics Co., Ltd. South Korea 17-Mar-21 | | | |
| T | Elect Kim Jeong as Outside Director | For | Against | Gender diversity concerns |
| U | Elect Kim Jeong as Outside Director | For | Against | Gender diversity concerns. Abstaining is not an option. |
| V | Samsung SDI Co., Ltd. South Korea 17-Mar-21 | | | |
| W | Approve Total Remuneration of Inside Directors and Outside Directors | For | Against | Remuneration concerns |
| X | Sanderson Farms, Inc. USA 18-Feb-21 | | | |
| Y | Report on Human Rights Due Diligence Process | Against | For | Supporting better ESG risk management disclosures |
| Z | Sands China Ltd. Cayman Islands 21-May-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| | Sangamo Therapeutics, Inc. USA 25-May-21 | | | |
| | Elect Director Karen L. Smith | For | Against | Overboarding concerns |
| | Sankyo Co., Ltd. Japan 29-Jun-21 | | | |
| | Elect Director Busujima, Hideyuki | For | Abstain | Independence and gender diversity concerns |
| | Elect Director Yamasaki, Hiroyuki | For | Against | Independence concerns |
| | Sankyu Inc. Japan 25-Jun-21 | | | |
| | Elect Director Hori, Keijiro | For | Against | Independence concerns |
| | Elect Director Nakamura, Kimikazu | For | Abstain | Independence and gender diversity concerns |
| | Sanmina Corporation USA 15-Mar-21 | | | |
| | Elect Director Mario M. Rosati | For | Against | Independence concerns |
| | Santander Consumer USA Holdings Inc. USA 3-Jun-21 | | | |
| | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| | Elect Director William Rainer | For | Withhold | Gender diversity concerns |
| | Santos Limited Australia 15-Apr-21 | | | |
| | Approve Capital Protection | Against | For | In shareholders best interest |
| | Elect Keith Spence as Director | For | Against | Audit concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Sartorius Stedim Biotech SA France 24-Mar-21 | | | |
| Approve Auditors' Special Report on Related-Party Transactions | For | Against | Poor response to prior dissent |
| Approve Compensation of Corporate Officers | For | Against | Poor response to prior dissent and Remuneration concerns |
| Approve Compensation of Joachim Kreuzburg, Chairman and CEO | For | Against | Poor response to prior dissent, Lack of disclosure and Remuneration concerns |
| Approve Remuneration Policy of Corporate Officers | For | Against | Poor response to prior dissent, Lack of disclosure and Remuneration concerns |
| Satsuma Pharmaceuticals, Inc. USA 1-Jun-21 | | | |
| Elect Director Ken Takanashi | For | Withhold | Overboarding concerns |
| Saul Centers, Inc. USA 7-May-21 | | | |
| Elect Director Philip D. Caraci | For | Withhold | Independence concerns |
| Savara Inc. USA 10-Jun-21 | | | |
| Elect Director Joseph S. McCracken | For | Against | Lack of virtual-only meeting disclosure |
| Elect Director Richard J. Hawkins | For | Against | Overboarding concerns |
| Savills Plc United Kingdom 12-May-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Authorise the Company to Call General Meeting with Two Weeks' Notice | For | Against | Supporting better corporate governance practices |
| Scandi Standard AB Sweden 7-May-21 | | | |
| Amend Articles Re: Set Minimum (SEK 500 Million) and Maximum (SEK 2,000 Million) Share Capital; Set Minimum (50.5 Billion) and Maximum (202.1 Billion) Number of Shares | For | Against | Not in shareholders interest |
| Scandic Hotels Group AB Sweden 21-Apr-21 | | | |
| Approve Issuance of Convertible Bonds 2021/2024 without Preemptive Rights up to Aggregate Nominal Amount of SEK 1.8 Billion; Approve Creation of SEK 10.4 Million Pool of Capital to Guarantee Conversion Rights | For | Against | Dilutive impact |
| ScanSource, Inc. USA 28-Jan-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Concerning pay practices |
| Scentre Group Australia 8-Apr-21 | | | |
| Approve Grant of Performance Rights to Peter Allen | For | Against | Disclosure issues and Remuneration concerns |
| Approve Remuneration Report | For | Against | Disclosure issues and Remuneration concerns |
| Elect Margaret Seale as Director | For | Against | Remuneration concerns |
| Schindler Holding AG Switzerland 23-Mar-21 | | | |
| Appoint Adam Keswick as Member of the Compensation Committee | For | Against | Overboarding concerns |
| Approve Variable Remuneration of Directors in the Amount of CHF 4.6 Million | For | Against | Remuneration concerns |
| Approve Variable Remuneration of Executive Committee in the Amount of CHF 11.3 Million | For | Against | Remuneration concerns |
| Elect Adam Keswick as Director | For | Against | Overboarding concerns |
| Reelect Alfred Schindler as Director | For | Against | Independence Concerns |
| Reelect Erich Ammann as Director | For | Against | Independence concerns |
| Reelect Luc Bonnard as Director | For | Against | Independence concerns |
| Reelect Silvio Napoli as Director and Board Chairman | For | Against | Independence concerns |
| Transact Other Business (Voting) | For | Against | Non-transparent voting item |
| Schneider National, Inc. USA 26-Apr-21 | | | |
| Elect Director James L. Welch | For | Withhold | Gender diversity concerns |
| Schnitzer Steel Industries, Inc. USA 26-Jan-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | SCOR SE France 30-Jun-21 | | | |
| C | Approve Compensation of Denis Kessler, Chairman and CEO | For | Against | Remuneration concerns |
| D | Approve Remuneration Policy of Denis Kessler, Chairman and CEO | For | Against | Remuneration concerns |
| E | Authorize Issuance of up to 3 Million Shares for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| F | Authorize up to 1.5 Million Shares for Use in Stock Option Plans | For | Against | Remuneration concerns |
| G | Reelect Bruno Pfister as Director | For | Against | Corporate governance concerns |
| H | Reelect Claude Tendil as Director | For | Against | Corporate governance concerns |
| I | Reelect Denis Kessler as Director | For | Against | Corporate governance concerns |
| J | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 17-24, 26 and 28 at EUR 770,880,186 | For | Against | Excessive issuance |
| K | ScS Group Plc United Kingdom 26-Nov-21 | | | |
| L | Re-elect Alan Smith as Director | For | Abstain | Gender Diversity concerns |
| M | Re-elect Ronald McMillan as Director | For | Abstain | Overboarding concerns |
| N | SCSK Corp. Japan 23-Jun-21 | | | |
| O | Elect Director Kubo, Tetsuya | For | Against | Independence concerns |
| P | Elect Director Matsuda, Kiyoto | For | Against | Independence concerns |
| Q | Seagate Technology Holdings plc Ireland 20-Oct-21 | | | |
| R | Elect Director Mark W. Adams | For | Against | Overboarding concerns |
| S | Seagen Inc. USA 14-May-21 | | | |
| T | Elect Director Felix J. Baker | For | Against | Overboarding concerns |
| U | Elect Director Nancy A. Simonian | For | Against | Overboarding and corporate governance concerns |
| V | Sealed Air Corporation USA 18-May-21 | | | |
| W | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| X | SeaWorld Entertainment, Inc. USA 11-Jun-21 | | | |
| Y | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Z | Elect Director James Chambers | For | Against | Corporate governance concerns |
| | SEB SA France 20-May-21 | | | |
| | Amend Article 8 of Bylaws Re: Shareholding Disclosure Thresholds | For | Against | Not in shareholders best interest |
| | Authorize Capitalization of Reserves of Up to EUR 11 Million for Bonus Issue or Increase in Par Value | For | Against | Anti-takeover device |
| | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | Against | Anti-takeover device |
| | Reelect PEUGEOT INVEST ASSETS as Director | For | Against | Combined CEO/Chair |
| | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 15-17 at EUR 11 Million | For | Against | Anti-takeover device |
| | SEB SA France 6-Aug-21 | | | |
| | Revoke Federactive as Director | For | Against | Not in shareholders best interest |
| | SECOM Co., Ltd. Japan 25-Jun-21 | | | |
| | Elect Director Ozeki, Ichiro | For | Abstain | Independence concerns |
| | Seek Limited Australia 17-Nov-21 | | | |
| | Approve Grant of Equity Right to Ian Narev | For | Against | Remuneration concerns |
| | Elect Andrew Bassat as Director | For | Against | Independence concerns |
| | Sega Sammy Holdings, Inc. Japan 24-Jun-21 | | | |
| | Elect Director Katsukawa, Kohei | For | Against | Independence concerns |
| | Elect Director Satomi, Haruki | For | Abstain | Independence concerns |
| | SEGR0 Plc United Kingdom 22-Apr-21 | | | |
| | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| | Re-elect Christopher Fisher as Director | For | Abstain | Remuneration concerns |
| | SEI Investments Company USA 2-Jun-21 | | | |
| | Elect Director Carl A. Guarino | For | Against | Lack of virtual-only meeting disclosure |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Seibu Holdings, Inc. Japan 22-Jun-21 | | | |
| Elect Director Arima, Atsumi | For | Against | Independence concerns |
| Seino Holdings Co., Ltd. Japan 24-Jun-21 | | | |
| Elect Director Ichimaru, Yoichiro | For | Against | Independence concerns |
| Elect Director Taguchi, Yoshitaka | For | Abstain | Independence concerns |
| Sekisui Chemical Co., Ltd. Japan 23-Jun-21 | | | |
| Elect Director Koge, Teiji | For | Abstain | Independence concerns |
| Select Bancorp, Inc. USA 25-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Select Energy Services, Inc. USA 7-May-21 | | | |
| Elect Director Douglas J. Wall | For | Against | Gender diversity concerns |
| Select Interior Concepts, Inc. USA 19-Oct-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| Select Medical Holdings Corporation USA 29-Apr-21 | | | |
| Elect Director James S. Ely, III | For | Against | Corporate governance concerns |
| Elect Director Thomas A. Scully | For | Against | Independence concerns |
| Semperit Holding AG Austria 27-Apr-21 | | | |
| Elect Birgit Noggler as Supervisory Board Member | For | Against | Independence concerns and Lack of audit committee meetings |
| Elect Stefan Fida as Supervisory Board Member | For | Against | Independence concerns |
| Sempra Energy USA 14-May-21 | | | |
| Report on Corporate Climate Lobbying Aligned with Paris Agreement | Against | For | Supporting better ESG risk management disclosures |
| Sendas Distribuidora SA Brazil 28-Apr-21 | | | |
| Amend Article 43 Re: Indemnity Provision | For | Against | Lack of disclosure |
| Seneca Foods Corporation USA 11-Aug-21 | | | |
| Elect Director Keith A. Woodward | For | Withhold | Corporate governance concerns |
| Senior Plc United Kingdom 23-Apr-21 | | | |
| Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Celia Baxter as Director | For | Abstain | Remuneration concerns |
| Re-elect Celia Baxter as Director | For | Against | Remuneration concerns |
| Re-elect Giles Kerr as Director | For | Against | Overboarding concerns |
| Sensient Technologies Corporation USA 22-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Sequans Communications SA France 25-Jun-21 | | | |
| Approve Auditors' Special Report on Related-Party Transactions | For | Against | Not in shareholders best interest |
| Approve Issuance of Equity or Equity-Linked Securities Reserved for Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 2 Million | For | Against | Dilutive impact and Anti-takeover device |
| Authorize Issuance of 980,000 Warrants (BSA) without Preemptive Rights | For | Against | Remuneration concerns |
| Authorize up to 5 Million Shares for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| Authorize up to 5 Million Shares for Use in Stock Option Plans | For | Against | Remuneration concerns |
| Reelect Zvi Slonimsky as Director | For | Against | Independence Concerns and Combined CEO/Chair |
| Service Corporation International USA 12-May-21 | | | |
| Elect Director Alan R. Buckwalter | For | Withhold | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | SES SA Luxembourg 1-Apr-21 | | | |
| C | Approve Discharge of Directors | For | Against | Poor response to prior dissent |
| D | Approve Remuneration Policy | For | Against | Poor response to prior dissent |
| E | Approve Remuneration Report | For | Against | Poor response to prior dissent and Remuneration concerns |
| F | Seven & I Holdings Co., Ltd. Japan 27-May-21 | | | |
| G | Elect Director Isaka, Ryuichi | For | Abstain | Independence concerns |
| H | Severn Trent Plc United Kingdom 8-Jul-21 | | | |
| I | Approve Remuneration Policy | For | Against | Remuneration concerns |
| J | Approve Remuneration Report | For | Against | Remuneration concerns |
| K | Re-elect Philip Remnant as Director | For | Against | Remuneration concerns |
| L | SFL Corporation Ltd. Bermuda 26-May-21 | | | |
| M | Elect Director Gary Vogel | For | Against | Corporate governance concerns and Lack of disclosure |
| N | Elect Director James O'Shaughnessy | For | Against | Overboarding concerns |
| O | SG Holdings Co., Ltd. Japan 25-Jun-21 | | | |
| P | Elect Director Kuriwada, Eiichi | For | Abstain | Independence concerns |
| Q | SGS SA Switzerland 23-Mar-21 | | | |
| R | Reelect Paul Desmarais as Director | For | Against | Overboarding concerns |
| S | Transact Other Business (Voting) | For | Against | Technical vote |
| T | Shangri-La Asia Limited Bermuda 28-May-21 | | | |
| U | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| V | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| W | Elect Kuok Hui Kwong as Director | For | Against | Independence concerns |
| X | Sharp Corp. Japan 29-Jun-21 | | | |
| Y | Approve Compensation Ceiling for Directors Who Are Audit Committee Members and Restricted Stock Plan | For | Against | Remuneration concerns |
| Z | Approve Compensation Ceiling for Directors Who Are Not Audit Committee Members and Restricted Stock Plan | For | Against | Remuneration concerns |
| | Elect Director Tai Jeng-Wu | For | Abstain | Independence and gender diversity concerns |
| | SharpSpring, Inc. USA 17-Jun-21 | | | |
| | Elect Director Scott Miller | For | Withhold | Gender diversity concerns |
| | SharpSpring, Inc. USA 25-Aug-21 | | | |
| | Advisory Vote on Golden Parachutes | For | Against | Remuneration concerns |
| | Shenzhou International Group Holdings Limited Cayman Islands 28-May-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| | Authorize Reissuance of Repurchased Shares | For | Against | Excessive issuance |
| | Authorize Reissuance of Repurchased Shares | For | Against | Remuneration concerns and Lack of disclosure |
| | Shimadzu Corp. Japan 25-Jun-21 | | | |
| | Elect Director Nakamoto, Akira | For | Abstain | Independence concerns |
| | SHIMAMURA Co., Ltd. Japan 14-May-21 | | | |
| | Elect Director Suzuki, Makoto | For | Abstain | Independence concerns |
| | Shimizu Corp. Japan 29-Jun-21 | | | |
| | Appoint Statutory Auditor Watanabe, Hideto | For | Against | Independence concerns |
| | Elect Director Miyamoto, Yoichi | For | Abstain | Audit concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Shin-Etsu Chemical Co., Ltd. Japan 29-Jun-21 | | | |
| Elect Director Frank Peter Popoff | For | Against | Independence concerns |
| Elect Director Miyazaki, Tsuyoshi | For | Against | Independence concerns |
| Elect Director Saito, Yasuhiko | For | Abstain | Independence and gender diversity concerns |
| Elect Director Saito, Yasuhiko | For | Abstain | Independence concerns |
| Shinhan Financial Group Co., Ltd. South Korea 25-Mar-21 | | | |
| Elect Sung Jae-ho as Outside Director | For | Abstain | Gender diversity concerns |
| Shinko Electric Industries Co., Ltd. Japan 25-Jun-21 | | | |
| Elect Director Kurashima, Susumu | For | Abstain | Independence concerns |
| SHIP HEALTHCARE HOLDINGS, INC. Japan 29-Jun-21 | | | |
| Elect Director Furukawa, Kuniyoshi | For | Abstain | Independence concerns |
| Elect Director Nishio, Shinya | For | Against | Independence concerns |
| Shoei Co. Ltd. (7839) Japan 23-Dec-21 | | | |
| Appoint Statutory Auditor Miyakawa, Atsuyuki | For | Against | Independence concerns |
| Elect Director Ishida, Kenichiro | For | Abstain | Independence and gender diversity concerns |
| Elect Director Ishida, Kenichiro | For | Abstain | Independence concerns |
| Shop Apotheke Europe NV Netherlands 21-Apr-21 | | | |
| Approve Discharge of Jan Pyttel from Supervisory Board | For | Against | Poor response to prior dissent |
| Approve Discharge of Supervisory Board | For | Against | Poor response to prior dissent |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Authorize Board to Exclude Preemptive Rights from Share Issuances under Item 7.c | For | Against | Dilutive impact |
| Grant Board Authority to Issue Shares Up to 20 Percent of Issued Capital | For | Against | Dilutive impact |
| Shopify Inc. Canada 26-May-21 | | | |
| Advisory Vote on Executive Compensation Approach | For | Against | Remuneration concerns |
| Elect Director John Phillips | For | Withhold | Attendance concerns |
| Re-approve Long Term Incentive Plan | For | Against | Remuneration concerns |
| Re-approve Stock Option Plan | For | Against | Remuneration concerns |
| Shoprite Holdings Ltd. South Africa 15-Nov-21 | | | |
| Re-elect Dr Christo Wiese as Director | For | Against | Overboarding concerns |
| Sibanye Stillwater Ltd. South Africa 25-May-21 | | | |
| Approve Remuneration Implementation Report | For | Against | Remuneration concerns |
| SI-BONE, Inc. USA 10-Jun-21 | | | |
| Elect Director Keith C. Valentine | For | Withhold | Corporate governance concerns |
| Siemens AG Germany 3-Feb-21 | | | |
| Amend Articles Re: Allow Shareholder Questions during the Virtual Meeting | Against | For | Not in shareholders best interest |
| Siemens Energy AG Germany 10-Feb-21 | | | |
| Elect Ralf Thomas to the Supervisory Board | For | Against | Audit concerns |
| Siemens Healthineers AG Germany 12-Feb-21 | | | |
| Approve Creation of EUR 537.5 Million Pool of Capital without Preemptive Rights | For | Against | Dilutive impact |
| Sierra Wireless, Inc. Canada 2-Jun-21 | | | |
| Amend 2011 Treasury Based Restricted Share Unit Plan | For | Against | Remuneration concerns |
| SIG Combibloc Group AG Switzerland 21-Apr-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Sika AG Switzerland 20-Apr-21 | | | |
| Reelect Justin Howell as Director | For | Abstain | Gender diversity concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Silgan Holdings, Inc. USA 1-Jun-21 | | | |
| Elect Director Joseph M. Jordan | For | Withhold | Corporate Governance concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Silicon Motion Technology Corp. Cayman Islands 29-Sep-21 | | | |
| C | Elect Kuan-Ming Lin and Shii-Tyng Duann as Directors | For | Abstain | Bundled election |
| D | Silverback Therapeutics, Inc. USA 4-Jun-21 | | | |
| E | Elect Director Robert Hershberg | For | Withhold | Corporate governance concerns |
| F | Simmons First National Corporation USA 20-May-21 | | | |
| G | Elect Director William E. Clark, II | For | Against | Corporate governance concerns |
| H | Simulations Plus, Inc. USA 25-Feb-21 | | | |
| I | Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| J | Elect Director David L. Ralph | For | Withhold | Independence concerns |
| K | Sinclair Broadcast Group, Inc. USA 28-Jun-21 | | | |
| L | Amend Qualified Employee Stock Purchase Plan | For | Against | Strategic concerns |
| M | Elect Director Robert E. Smith | For | Withhold | Attendance concerns |
| N | Singapore Airlines Limited Singapore 29-Jul-21 | | | |
| O | Elect Gautam Banerjee as Director | For | Abstain | Climate risk management concerns |
| P | Elect Lee Kim Shin as Director | For | Against | Professional services relationship |
| Q | Singapore Press Holdings Ltd. Singapore 18-Nov-21 | | | |
| R | Elect Janet Ang Guat Har as Director | For | Against | Independence concerns |
| S | Elect Tan Yen Yen as Director | For | Against | Overboarding concerns |
| T | Singapore Technologies Engineering Ltd. Singapore 22-Apr-21 | | | |
| U | Approve Kwa Chong Seng to Continue Office as Independent Director for Purposes of Rule 210(5) (d)(iii)(A) of the Listing Manual of Singapore Exchange Securities Trading Limited (Tier 1) | For | Against | Not in shareholders interest |
| V | Approve Kwa Chong Seng to Continue Office as Independent Director for Purposes of Rule 210(5) (d)(iii)(B) of the Listing Manual of Singapore Exchange Securities Trading Limited (Tier 2) | For | Against | Not in shareholders interest |
| W | Elect Lim Sim Seng as Director | For | Against | Independence concerns |
| X | Elect Ng Bee Bee (May) as Director | For | Against | Overboarding concerns |
| Y | Elect Ong Su Kiat Melvyn as Director | For | Against | Independence concerns |
| Z | Sino Biopharmaceutical Limited Cayman Islands 7-Jun-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance |
| | Authorize Reissuance of Repurchased Shares | For | Against | Excessive issuance and Lack of disclosure |
| | Authorize Reissuance of Repurchased Shares | For | Against | Remuneration concerns and Lack of disclosure |
| | Sino Land Company Limited Hong Kong 27-Oct-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| | Elect Allan Zeman as Director | For | Against | Combined CEO/Chair, Gender Diversity and Overboarding concerns |
| | Elect Ronald Joseph Arculli as Director | For | Against | Independence and Corporate governance concerns |
| | Sinopec Shanghai Petrochemical Company Limited China 16-Jun-21 | | | |
| | Amend Articles of Association and Related Transactions | For | Against | Not in shareholders best interest |
| | Approve Authorization to the Board to Decide on the Issuance of Debt Financing Instruments | For | Against | Lack of disclosure |
| | Sirius XM Holdings Inc. USA 3-Jun-21 | | | |
| | Elect Director David M. Zaslav | For | Withhold | Overboarding concerns |
| | SJM Holdings Limited Hong Kong 28-May-21 | | | |
| | Approve Grant of Options Under the Share Option Scheme | For | Against | Remuneration concerns |
| | Elect Tse Hau Yin as Director | For | Against | Overboarding concerns |
| | SK hynix, Inc. South Korea 30-Mar-21 | | | |
| | Elect Cho Hyun-Jae as Outside Director | For | Abstain | Gender diversity concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| SK Telecom Co., Ltd. South Korea 25-Mar-21 | | | |
| Elect Yoon Young-min as Outside Director to Serve as an Audit Committee Member | For | Against | Audit concerns |
| Skandinaviska Enskilda Banken AB Sweden 30-Mar-21 | | | |
| Reelect Marcus Wallenberg as Board Chairman | For | Against | Independence concerns |
| Reelect Marcus Wallenberg as Director | For | Against | Independence concerns |
| Skanska AB Sweden 30-Mar-21 | | | |
| Reelect Fredrik Lundberg as Director | For | Against | Overboarding concerns |
| Reelect Par Boman as Director | For | Against | Overboarding concerns |
| Skechers U.S.A., Inc. USA 26-May-21 | | | |
| Elect Director Morton Erlich | For | Withhold | Audit concerns |
| Elect Director Thomas Walsh | For | Withhold | Gender diversity concerns and Lack of disclosure |
| SKSHU Paint Co., Ltd. China 23-Apr-21 | | | |
| Amend the Administrative Measures on the Use of Raised Funds | For | Abstain | Lack of disclosure |
| Approve Authorization of Board to Handle All Related Matters | For | Against | Not in shareholders interest |
| Approve Company's Eligibility for Private Placement of Shares | For | Against | Not in shareholders interest |
| Approve Distribution Arrangement of Undistributed Earnings | For | Against | Dilutive impact |
| Approve Feasibility Analysis Report on the Use of Proceeds | For | Against | Not in shareholders interest |
| Approve Impact of Dilution of Current Returns on Major Financial Indicators, the Relevant Measures to be Taken and Commitment from Relevant Parties | For | Against | Dilutive impact |
| Approve Issue Manner and Issue Time | For | Against | Dilutive impact |
| Approve Issue Size | For | Against | Dilutive impact |
| Approve Listing Exchange | For | Against | Not in shareholders interest |
| Approve Lock-up Period | For | Against | Not in shareholders interest |
| Approve Plan on Private Placement of Shares | For | Against | Not in shareholders interest |
| Approve Pricing Reference Date and Issue Price | For | Against | Dilutive impact |
| Approve Resolution Validity Period | For | Against | Not in shareholders interest |
| Approve Share Type and Par Value | For | Against | Dilutive impact |
| Approve Target Subscribers and Subscription Method | For | Against | Dilutive impact |
| Approve the Scale and Use of Raised Funds | For | Against | Not in shareholders interest |
| SKSHU Paint Co., Ltd. China 20-May-21 | | | |
| Approve External Guarantees | For | Against | Strategic concerns |
| SKSHU Paint Co., Ltd. China 13-Jul-21 | | | |
| Approve Authorization of the Board to Handle All Matters Related to Employee Share Purchase Plan | For | Against | Not in shareholders best interest |
| Approve Draft and Summary of Employee Share Purchase Plan | For | Against | Remuneration concerns |
| Approve Management Method of Employee Share Purchase Plan | For | Against | Not in shareholders best interest |
| SkyWest, Inc. USA 4-May-21 | | | |
| Elect Director Steven F. Udvar-Hazy | For | Withhold | Corporate governance concerns |
| Skyworks Solutions, Inc. USA 12-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| SL Green Realty Corp. USA 8-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Lauren B. Dillard | For | Against | Remuneration concerns |
| SLC Agrícola SA Brazil 29-Apr-21 | | | |
| Approve Remuneration of Company's Management and Fiscal Council | For | Against | Lack of disclosure |
| SM Investments Corp. Philippines 28-Apr-21 | | | |
| Approve Other Matters | For | Against | Technical vote |
| Elect Henry T. Sy, Jr. as Director | For | Withhold | Overboarding concerns |
| Elect Teresita T. Sy as Director | For | Withhold | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | SMART Global Holdings, Inc. Cayman Islands 12-Feb-21 | | | |
| C | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| D | SmartFinancial, Inc. USA 27-May-21 | | | |
| E | Elect Director Ottis H. Phillips, Jr. | For | Withhold | Independence concerns |
| F | Smartfit Escola de Ginastica e Danca SA Brazil 27-Sep-21 | | | |
| F | Elect Leonardo Lujan Gonzalez as Director | For | Against | Independence concerns |
| G | Smartsheet Inc. USA 17-Jun-21 | | | |
| H | Elect Director Brent Frei | For | Withhold | Independence concerns |
| I | Smith & Nephew Plc United Kingdom 14-Apr-21 | | | |
| I | Re-elect Robin Freestone as Director | For | Against | Overboarding concerns |
| J | Smith & Wesson Brands, Inc. USA 27-Sep-21 | | | |
| K | Adopt a Comprehensive Human Rights Policy | Against | For | Supporting better corporate governance practices |
| L | Smiths Group Plc United Kingdom 17-Sep-21 | | | |
| M | Approve Share Buyback Programme | For | Against | Dilutive impact |
| N | Approve the Sale of Smiths Medical 2020 Limited to Trulli Bidco Limited Pursuant to the Sale and Purchase Agreement | For | Against | Not in shareholders interest |
| O | Smurfit Kappa Group Plc Ireland 30-Apr-21 | | | |
| P | Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| Q | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| R | Re-elect Frits Beurskens as Director | For | Against | Independence concerns |
| R | Re-elect John Moloney as Director | For | Abstain | Remuneration concerns |
| S | SNAM SpA Italy 2-Feb-21 | | | |
| T | Amend Company Bylaws Re: Article 12 | For | Against | Not in shareholders best interest |
| U | Sociedad Quimica y Minera de Chile SA Chile 22-Jan-21 | | | |
| U | Amend Articles to Reflect Changes in Capital | For | Against | Insufficient information |
| V | Sociedad Quimica y Minera de Chile SA Chile 23-Apr-21 | | | |
| W | Approve Remuneration of Board of Directors and Board Committees | For | Against | Remuneration concerns |
| X | Other Business | For | Against | Technical vote |
| Y | Societe BIC SA France 19-May-21 | | | |
| Y | Approve Compensation of Gonzalve Bich, CEO | For | Against | Remuneration concerns |
| Z | Approve Remuneration Policy of Executive Corporate Officers | For | Against | Poor response to prior dissent |
| | Authorize up to 4 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| | Authorize up to 4 Percent of Issued Capital for Use in Stock Option Plans | For | Against | Remuneration concerns |
| | Sodexo SA France 14-Dec-21 | | | |
| | Approve Compensation of Denis Machuel, CEO Until 30 September 2021 | For | Against | Remuneration concerns |
| | Approve Remuneration Policy of CEO | For | Against | Remuneration concerns |
| | Authorize up to 2.5 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| | SoftBank Corp. Japan 22-Jun-21 | | | |
| | Amend Articles to Allow Virtual Only Shareholder Meetings | For | Against | Not in shareholders interest |
| | Elect Director Hishiyama, Reiko | For | Against | Independence concerns |
| | SoftBank Corp. Japan 22-Jun-21 | | | |
| | Elect Director Miyakawa, Junichi | For | Abstain | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| SoftBank Group Corp. Japan 23-Jun-21 | | | |
| Amend Articles to Allow Virtual Only Shareholder Meetings – Amend Business Lines – Clarify Director Authority on Shareholder Meetings – Amend Provisions on Number of Directors – Remove All Provisions on Advisory Positions | For | Against | Not in shareholders best interest |
| Amend Articles to Allow Virtual Only Shareholder Meetings – Amend Business Lines – Clarify Director Authority on Shareholder Meetings – Amend Provisions on Number of Directors – Remove All Provisions on Advisory Positions | For | Against | Not in shareholders interest |
| Elect Director Kenneth A. Siegel | For | Against | Independence concerns |
| Elect Director Matsuo, Yutaka | For | Against | Independence concerns |
| Elect Director Son, Masayoshi | For | Abstain | Independence concerns |
| Sogou, Inc. Cayman Islands 27-Jan-21 | | | |
| Elect Bin Gao as Director | For | Against | Technical vote |
| Elect Janice Lee as Director | For | Against | Technical vote |
| SOHGO SECURITY SERVICES CO., LTD. Japan 24-Jun-21 | | | |
| Elect Director Kadowaki, Hideharu | For | Against | Independence concerns |
| Elect Director Murai, Atsushi | For | Abstain | Independence concerns |
| Sohu.com Limited Cayman Islands 15-Sep-21 | | | |
| Elect Director Dave Qi | For | Withhold | Overboarding concerns |
| Elect Director Shi Wang | For | Withhold | Corporate Governance concerns |
| Sojitz Corp. Japan 18-Jun-21 | | | |
| Elect Director Otsuka, Norio | For | Against | Independence concerns |
| Solaris Oilfield Infrastructure, Inc. USA 12-May-21 | | | |
| Elect Director James R. Burke | For | Withhold | Independence concerns |
| SolarWinds Corporation USA 28-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Soliton, Inc. USA 20-Jul-21 | | | |
| Adjourn Meeting | For | Abstain | Technical vote |
| Approve Merger Agreement | For | Abstain | Technical vote |
| Solvay SA Belgium 11-May-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Somfy SA France 2-Jun-21 | | | |
| Approve Compensation of Jean Guillaume Despature, Chairman of the Management Board | For | Abstain | Remuneration concerns |
| Approve Compensation of Pierre Ribeiro, CEO | For | Abstain | Remuneration concerns |
| Approve Remuneration Policy of CEO | For | Abstain | Remuneration concerns |
| Approve Remuneration Policy of Chairman and Members of the Management Board | For | Abstain | Remuneration concerns |
| Approve Remuneration Policy of Vice-CEO | For | Abstain | Remuneration concerns |
| Authorize up to 1.5 Percent of Issued Capital for Use in Stock Option Plans | For | Abstain | Remuneration concerns |
| Sonic Healthcare Limited Australia 18-Nov-21 | | | |
| Elect Lou Panaccio as Director | For | Against | Overboarding and audit concerns |
| Sonoco Products Company USA 21-Apr-21 | | | |
| Require a Majority Vote for the Election of Directors | Against | For | Supporting better corporate governance practices |
| Sonova Holding AG Switzerland 15-Jun-21 | | | |
| Reelect Lukas Braunschweiler as Director | For | Abstain | Gender diversity concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Sorrento Therapeutics, Inc. USA 15-Nov-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Approve Compensation of Henry Ji as Executive Chairperson of Scilex Holding Company | For | Against | Remuneration concerns |
| Elect Director Kim D. Janda | For | Withhold | Independence Concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | SOS Limited Cayman Islands 26-Jul-21 | | | |
| C | Approve Omnibus Stock Plan | For | Against | Remuneration concerns |
| D | Elect Director Douglas L. Brown | For | Against | Corporate Governance and Gender diversity concerns |
| E | Elect Director Li Sing Leung | For | Against | Independence concerns |
| F | Elect Director Russell Krauss | For | Against | Independence concerns |
| G | Elect Director Wenbin Wu | For | Against | Audit concerns |
| H | Increase Authorized Common Stock | For | Against | Not in shareholders best interest |
| I | South Plains Financial, Inc. USA 18-May-21 | | | |
| J | Other Business | For | Against | Technical vote |
| K | South State Corporation USA 28-Apr-21 | | | |
| L | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| M | Elect Director John H. Holcomb, III | For | Withhold | Independence concerns |
| N | Southern Copper Corporation USA 28-May-21 | | | |
| O | Elect Director Luis Miguel Palomino Bonilla | For | Withhold | Climate risk management concerns |
| P | Elect Director Xavier Garcia de Quevedo Topete | For | Withhold | Gender diversity concerns |
| Q | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| R | Southwest Airlines Co. USA 19-May-21 | | | |
| S | Adopt Majority Vote Cast to Remove Directors With or Without Cause | Against | For | Supporting better corporate governance practices |
| T | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| U | Southwestern Energy Company USA 18-May-21 | | | |
| V | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | In shareholders best interest |
| W | Spark Networks SE Germany 11-Aug-21 | | | |
| X | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Y | Approve Creation of EUR 471,073 Pool of Capital without Preemptive Rights | For | Against | Dilutive impact |
| Z | Approve Remuneration Policy for the Managing Directors | For | Against | Remuneration concerns |
| | Spark New Zealand Ltd. New Zealand 5-Nov-21 | | | |
| | Elect Alison Barrass as Director | For | Abstain | Independence concerns |
| | Elect David Havercroft as Director | For | Against | Independence concerns and Professional services relationship |
| | Spectris Plc United Kingdom 14-May-21 | | | |
| | Re-elect Mark Williamson as Director | For | Abstain | Gender diversity concerns |
| | Speedy Hire Plc United Kingdom 9-Sep-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Rob Barclay as Director | For | Against | Remuneration concerns |
| | Spirax-Sarco Engineering Plc United Kingdom 12-May-21 | | | |
| | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| | Re-elect Jane Kingston as Director | For | Abstain | Remuneration concerns |
| | Spirit AeroSystems Holdings, Inc. USA 28-Apr-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Charles L. Chadwell | For | Abstain | ESG risk management concerns |
| | Elect Director Paul E. Fulchino | For | Against | Remuneration concerns |
| | Spirit Realty Capital, Inc. USA 19-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Splunk Inc. USA 17-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Graham Smith | For | Against | Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Sportsman's Warehouse Holdings, Inc. USA 23-Mar-21 | | | |
| Adjourn Meeting | For | Abstain | Technical vote |
| Advisory Vote on Golden Parachutes | For | Abstain | Remuneration concerns |
| Approve Merger Agreement | For | Abstain | Technical vote |
| Spotify Technology SA Luxembourg 21-Apr-21 | | | |
| Elect Christopher Marshall as B Director | For | Against | Combined CEO/Chair |
| Springworks Therapeutics, Inc. USA 20-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Freda Lewis-Hall | For | Withhold | Corporate governance and Overboarding concerns |
| Square, Inc. USA 15-Jun-21 | | | |
| Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| Elect Director Randy Garutti | For | Withhold | Combined CEO/Chair |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| SRA Holdings, Inc. Japan 24-Jun-21 | | | |
| Elect Director Kashima, Toru | For | Abstain | Independence and gender diversity concerns |
| SSE Plc United Kingdom 22-Jul-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Sue Bruce as Director | For | Abstain | Remuneration concerns |
| SSP Group Plc United Kingdom 25-Mar-21 | | | |
| Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| Re-elect Carolyn Bradley as Director | For | Abstain | Remuneration concerns |
| St. James's Place Plc United Kingdom 14-May-21 | | | |
| Authorise the Company to Call General Meeting with Two Weeks' Notice | For | Against | Supporting better corporate governance practices |
| Re-elect Roger Yates as Director | For | Abstain | Ethnic diversity concerns |
| Stagecoach Group Plc United Kingdom 3-Sep-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Gregor Alexander as Director | For | Abstain | Gender diversity concerns |
| Re-elect Lynne Weedall as Director | For | Against | Remuneration concerns |
| Re-elect Sir Brian Souter as Director | For | Against | Independence concerns and Professional services relationship |
| Stamps.com Inc. 9-Jun-21 | | | |
| Elect Director G. Bradford Jones | For | Withhold | Corporate Governance concerns |
| Standard Chartered Plc United Kingdom 12-May-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Christine Hodgson as Director | For | Abstain | Remuneration concerns |
| Star Bulk Carriers Corp. Marshall Isl 13-May-21 | | | |
| Elect Director Koert Erhardt | For | Withhold | Lack of disclosure |
| Starbucks Corporation USA 17-Mar-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Starts Corp., Inc. Japan 29-Jun-21 | | | |
| Elect Director Isozaki, Kazuo | For | Abstain | Independence and gender diversity concerns |
| Starwood Property Trust, Inc. USA 27-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Elect Director Strauss Zelnick | For | Withhold | Gender diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | State Street Corporation USA 19-May-21 | | | |
| C | Report on Racial Equity Audit | Against | For | Supporting better corporate governance practices |
| D | Steel Dynamics, Inc. USA 19-May-21 | | | |
| E | Elect Director Bradley S. Seaman | For | Withhold | Gender diversity concerns |
| F | Elect Director Keith E. Busse | For | Withhold | Climate risk management concerns |
| G | Steelcase Inc. USA 14-Jul-21 | | | |
| H | Elect Director Timothy C. E. Brown | For | Against | Interlocking directorship and Independence concerns |
| I | Stellantis NV Netherlands 15-Apr-21 | | | |
| J | Approve Discharge of Directors | For | Against | Not in shareholders interest |
| K | Approve Equity Incentive Plan and Grant Board Authority to Issue Shares and Exclude Preemptive Rights in Connection with Equity Incentive Plan | For | Against | Remuneration concerns |
| L | Approve Remuneration Report | For | Against | Remuneration concerns |
| M | Stericycle, Inc. USA 26-May-21 | | | |
| N | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| O | STERIS plc Ireland 29-Jul-21 | | | |
| P | Elect Director Jacqueline B. Kosecoff | For | Against | Overboarding concerns |
| Q | Sterling Bancorp, Inc. USA 27-May-21 | | | |
| R | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| S | Elect Director Lyle Wolberg | For | Withhold | Remuneration concerns |
| T | Stillfront Group AB Sweden 11-May-21 | | | |
| U | Approve Remuneration for Committee Work | For | Against | Excessive increase |
| V | Approve Remuneration of Auditors | For | Against | Excessive increase |
| W | Approve Remuneration of Directors in the Amount of SEK 260,000 for Other Directors | For | Against | Excessive increase |
| X | Approve Remuneration of Directors in the Amount of SEK 730,000 for Chairman | For | Against | Excessive increase |
| Y | STMicroelectronics NV Netherlands 27-May-21 | | | |
| Z | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Reelect Nicolas Dufourcq to Supervisory Board | For | Abstain | Independence concerns |
| | Stock Spirits Group Plc United Kingdom 4-Feb-21 | | | |
| | Re-elect David Maloney as Director | For | Abstain | Gender diversity concerns |
| | Stock Spirits Group Plc United Kingdom 20-Sep-21 | | | |
| | Approve Matters Relating to the Recommended Cash Acquisition of Stock Spirits by Bidco | For | Abstain | Not in shareholders best interest |
| | StoneCo Ltd. Cayman Islands 22-Nov-21 | | | |
| | Elect Directors Pedro Franceschi, Mateus Schwening, Diego F. Gutierrez, Andre Street de Aguiar, Eduardo Cunha Monnerat Solon de Pontes, Roberto Moses Thompson Motta, Thomas A Patterson, Ali Mazanderani, Silvio Jose Morais, & Luciana Ibiapina Lira Aguiar | For | Abstain | Bundled election and Gender diversity concerns |
| | StoneX Group Inc. USA 25-Feb-21 | | | |
| | Elect Director Scott J. Branch | For | Withhold | Independence concerns |
| | Stora Enso Oyj Finland 19-Mar-21 | | | |
| | Reelect Elisabeth Fleuriot, Hock Goh, Mikko Helander, Christiane Kuehne, Antti Makinen (Chair), Richard Nilsson and Hakan Buskheand (Vice Chair) as Directors; Elect Helena Hedblom and Hans Sohlstrom as New Directors | For | Against | Independence concerns/bundled election |
| | Straumann Holding AG Switzerland 9-Apr-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Approve Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 3.1 Million | For | Against | Remuneration concerns |
| | Reelect Marco Gadola as Director | For | Against | Independence and Overboarding concerns |
| | Transact Other Business (Voting) | For | Against | Technical vote |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Stride, Inc. USA 10-Dec-21 | | | |
| Elect Director Victoria D. Harker | For | Withhold | Overboarding concerns |
| Report on Lobbying Payments and Policy | Against | For | Supporting better ESG risk management disclosures |
| Strix Group Plc Isle of Man 27-May-21 | | | |
| Elect Mark Kirkland as Director | For | Abstain | Audit and Gender diversity concerns |
| Stryker Corporation USA 5-May-21 | | | |
| Elect Director Sherilyn S. McCoy | For | Against | Combined CEO/Chair and Overboarding concerns |
| Provide Right to Call A Special Meeting | Against | For | Supporting better corporate governance practices |
| Subaru Corp. Japan 23-Jun-21 | | | |
| Elect Director Hosoya, Kazuo | For | Abstain | Independence concerns |
| Subsea 7 SA Luxembourg 14-Apr-21 | | | |
| Reelect Kristian Siem as Director | For | Abstain | Gender diversity concerns |
| SUEZ SA France 30-Jun-21 | | | |
| Approve Compensation of Bertrand Camus, CEO | For | Against | Remuneration concerns |
| Sul America SA Brazil 29-Mar-21 | | | |
| Approve Remuneration of Company's Management | For | Against | Remuneration concerns |
| Sulzer AG Switzerland 14-Apr-21 | | | |
| Appoint Suzanne Thoma as Member of the Compensation Committee | For | Against | Overboarding concerns |
| Elect Suzanne Thoma as Director | For | Against | Overboarding concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Sumitomo Chemical Co., Ltd. Japan 23-Jun-21 | | | |
| Elect Director Ikeda, Koichi | For | Against | Independence concerns |
| Elect Director Tokura, Masakazu | For | Abstain | Independence concerns |
| Sumitomo Corp. Japan 18-Jun-21 | | | |
| Amend Articles to Disclose Plan Outlining Company's Business Strategy to Align Investments with Goals of Paris Agreement | Against | For | Supporting better sustainability practices |
| Elect Director Ishida, Koji | For | Against | Independence concerns |
| Elect Director Nakamura, Kuniharu | For | Abstain | Independence concerns |
| Sumitomo Dainippon Pharma Co., Ltd. Japan 24-Jun-21 | | | |
| Appoint Statutory Auditor Fujii, Junsuke | For | Against | Independence concerns |
| Appoint Statutory Auditor Oe, Yoshinori | For | Against | Independence concerns |
| Elect Director Tada, Masayo | For | Abstain | Independence concerns |
| Sumitomo Electric Industries Ltd. Japan 25-Jun-21 | | | |
| Elect Director Matsumoto, Masayoshi | For | Abstain | Independence concerns |
| Elect Director Watanabe, Katsuaki | For | Against | Independence concerns |
| Sumitomo Forestry Co., Ltd. Japan 30-Mar-21 | | | |
| Elect Director Ichikawa, Akira | For | Abstain | Independence concerns |
| Sumitomo Heavy Industries, Ltd. Japan 29-Jun-21 | | | |
| Elect Director Betsukawa, Shunsuke | For | Abstain | Independence and gender diversity concerns |
| Elect Director Takahashi, Susumu | For | Against | Independence concerns |
| Sumitomo Metal Mining Co., Ltd. Japan 25-Jun-21 | | | |
| Appoint Statutory Auditor Imai, Koji | For | Against | Independence concerns |
| Elect Director Kinoshita, Manabu | For | Against | Independence concerns |
| Elect Director Nakazato, Yoshiaki | For | Abstain | Audit concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Sumitomo Mitsui Financial Group, Inc. Japan 29-Jun-21 | | | |
| C | Amend Articles to Allow Virtual Only Shareholder Meetings | For | Against | Not in shareholders interest |
| D | Elect Director Matsumoto, Masayuki | For | Abstain | Independence concerns |
| E | Elect Director Tsutsui, Yoshinobu | For | Abstain | Independence concerns |
| F | Sumitomo Mitsui Trust Holdings, Inc. Japan 23-Jun-21 | | | |
| G | Elect Director Kawamoto, Hiroko | For | Against | Independence concerns |
| H | Elect Director Matsushita, Isao | For | Abstain | Independence concerns |
| I | Elect Director Saito, Shinichi | For | Against | Independence concerns |
| J | Sumitomo Realty & Development Co., Ltd. Japan 29-Jun-21 | | | |
| K | Elect Director Kemori, Nobumasa | For | Against | Independence concerns |
| L | Elect Director Onodera, Kenichi | For | Abstain | Independence and gender diversity concerns |
| M | Sumitomo Rubber Industries, Ltd. Japan 26-Mar-21 | | | |
| N | Elect Director Ikeda, Ikuji | For | Abstain | Independence concerns |
| O | Elect Director Kobayashi, Nobuyuki | For | Against | Independence concerns |
| P | Sun Hung Kai Properties Limited Hong Kong 4-Nov-21 | | | |
| Q | Adopt New Share Option Scheme of SmarTone Telecommunications Holdings Limited | For | Against | Remuneration concerns |
| R | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| S | Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| T | Elect Li Ka-cheung, Eric as Director | For | Against | Audit concerns |
| U | Elect Wu Xiang-dong as Director | For | Against | Attendance concerns |
| V | Sun Pharmaceutical Industries Limited India 31-Aug-21 | | | |
| W | Approve Maximum Limit of Remuneration to be Paid to Sailesh T. Desai as Whole-Time Director | For | Against | Remuneration concerns |
| X | Approve Reappointment and Remuneration of Kalyanasundaram Subramanian as Whole-Time Director | For | Against | Remuneration concerns |
| Y | Reelect Kalyanasundaram Subramanian as Director | For | Against | Independence concerns |
| Z | SUNCORP Japan 24-Jun-21 | | | |
| | Elect Director Utsumi, Ryusuke | For | Abstain | Independence and gender diversity concerns |
| | Suncorp Group Limited Australia 23-Sep-21 | | | |
| | Elect Douglas McTaggart as Director | For | Abstain | Audit and Gender diversity concerns |
| | Sunrun Inc. USA 3-Jun-21 | | | |
| | Report on the Impact of the Use of Mandatory Arbitration on Employees and Workplace Culture | Against | For | Supporting better corporate governance practices |
| | Suntec Real Estate Investment Trust Singapore 15-Apr-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| | Super Micro Computer, Inc. USA 28-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Superior Energy Services, Inc. USA 8-Jan-21 | | | |
| | Opt-Out of the Third Party Release Contained in Article X.B.2 of the Plan | For | Abstain | Technical vote |
| | Supermarket Income REIT Plc United Kingdom 24-Nov-21 | | | |
| | Re-elect Vince Prior as Director | For | Abstain | Gender Diversity concerns |
| | Surgalign Holdings, Inc. USA 4-May-21 | | | |
| | Elect Director Thomas A. McEachin | For | Withhold | Audit concerns |
| | Surgutneftegas PJSC Russia 30-Jun-21 | | | |
| | Elect Liudmila Prishchepova as Member of Audit Commission | For | Against | Corporate Governance concerns |
| | Elect Tamara Oleinik as Member of Audit Commission | For | Against | Corporate Governance concerns |
| | Elect Valentina Musikhina as Member of Audit Commission | For | Against | Corporate Governance concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Suzuken Co., Ltd. Japan 25-Jun-21 | | | |
| Elect Director and Audit Committee Member Ogasawara, Takeshi | For | Against | Independence concerns |
| Elect Director and Audit Committee Member Ueda, Keisuke | For | Against | Independence concerns |
| Elect Director Miyata, Hiromi | For | Abstain | Independence concerns |
| Svenska Handelsbanken AB Sweden 24-Mar-21 | | | |
| Approve Remuneration Policy And Other Terms of Employment For Executive Management | For | Against | Remuneration concerns |
| Reelect Fredrik Lundberg as Director | For | Against | Overboarding concerns |
| Reelect Jon-Fredrik Baksaas as Director | For | Against | Independence concerns |
| Reelect Ulf Riese as Director | For | Against | Independence concerns |
| Swedbank AB Sweden 25-Mar-21 | | | |
| Deliberation on Possible Legal Action Against Representatives | Against | For | Supporting better corporate governance practices |
| Swedish Orphan Biovitrum AB Sweden 4-May-21 | | | |
| Approve Creation of Pool of Capital without Preemptive Rights | For | Against | Dilutive impact |
| Swire Pacific Limited Hong Kong 13-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Elect M B Swire as Director | For | Against | Corporate Governance concerns |
| Swire Properties Ltd. Hong Kong 11-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Elect Martin James Murray as Director | For | Against | Audit concerns |
| Elect Patrick Healy as Director | For | Against | Overboarding concerns |
| Swiss Life Holding AG Switzerland 23-Apr-21 | | | |
| Reelect Klaus Tschuetscher as Director | For | Abstain | Gender diversity concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Swiss Prime Site AG Switzerland 23-Mar-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Swiss Re AG Switzerland 16-Apr-21 | | | |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Swisscom AG Switzerland 31-Mar-21 | | | |
| Approve Remuneration Report (Non-Binding) | For | Against | Remuneration concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Sydney Airport Australia 21-May-21 | | | |
| Elect Grant Fenn as Director | For | Against | Independence concerns |
| Syndax Pharmaceuticals, Inc. USA 13-May-21 | | | |
| Elect Director Jennifer Jarrett | For | Withhold | Overboarding concerns |
| Syneos Health, Inc. USA 12-May-21 | | | |
| Elect Director Thomas Allen | For | Abstain | Gender diversity concerns |
| Synergie SA France 24-Jun-21 | | | |
| Approve Compensation of Daniel Augereau, Chairman of the Management Board | For | Against | Remuneration concerns |
| Approve Compensation of Olga Medina, Management Board Member | For | Against | Remuneration concerns |
| Approve Compensation of Sophie Sanchez, Management Board Member and CEO | For | Against | Remuneration concerns |
| Approve Compensation of Yvon Drouet, Management Board Member and CEO | For | Against | Remuneration concerns |
| Approve Remuneration Policy of Chairman of the Management Board | For | Against | Remuneration concerns |
| Approve Remuneration Policy of Management Board Members and CEO | For | Against | Remuneration concerns |
| Approve Remuneration Policy of Other Management Board Members | For | Against | Remuneration concerns |
| Set up of a Free Share Allocation Plan | For | Against | Lack of disclosure |
| Syngene International Limited India 21-Jul-21 | | | |
| Elect Kush Parmar as Director | For | Against | Overboarding concerns |
| SYNNEX Corporation USA 16-Mar-21 | | | |
| Elect Director Matthew Miao | For | Withhold | Overboarding concerns |

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| A | Company Name | | | Management Recommendation | Our Vote | Rationale |
|---|---|--|--|---------------------------|----------|--|
| | Synopsis, Inc. USA 8-Apr-21 | | | | | |
| B | | | | | | |
| C | Reduce Ownership Threshold for Shareholders to Call Special Meeting | | | Against | For | Supporting better corporate governance practices |
| D | Synthomer Plc United Kingdom 29-Apr-21 | | | | | |
| E | Re-elect Dato' Lee Hau Hian as Director | | | For | Against | Overboarding concerns |
| F | Sysmex Corp. Japan 25-Jun-21 | | | | | |
| G | Elect Director Ietsugu, Hisashi | | | For | Abstain | Independence concerns |
| H | Elect Director Takahashi, Masayo | | | For | Against | Independence concerns |
| I | Systemax Inc. USA 7-Jun-21 | | | | | |
| J | Elect Director Robert D. Rosenthal | | | For | Withhold | Gender diversity concerns |
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| Company Name | Management Recommendation | Our Vote | Rationale |
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| T | | | |
| T. RAD Co., Ltd. Japan 29-Jun-21 | | | |
| Elect Director Miyazaki, Tomio | For | Abstain | Independence and gender diversity concerns |
| Elect Director Murata, Ryuichi | For | Against | Independence concerns |
| Elect Director Takahashi, Yoshisada | For | Against | Independence concerns |
| Taiheiyō Cement Corp. Japan 29-Jun-21 | | | |
| Elect Director Emori, Shinhachiro | For | Against | Independence concerns |
| Elect Director Fukuda, Shuji | For | Abstain | Independence concerns |
| TAISEI Corp. Japan 25-Jun-21 | | | |
| Elect Director Murakami, Takao | For | Against | Independence concerns |
| Elect Director Otsuka, Norio | For | Against | Independence concerns |
| Elect Director Yamauchi, Takashi | For | Abstain | Independence concerns |
| Taisho Pharmaceutical Holdings Co., Ltd. Japan 29-Jun-21 | | | |
| Elect Director Kunibe, Takeshi | For | Against | Independence concerns |
| Elect Director Uehara, Akira | For | Abstain | Independence and gender diversity concerns |
| Takashimaya Co., Ltd. Japan 25-May-21 | | | |
| Elect Director Murata, Yoshio | For | Abstain | Independence Concerns |
| Takeda Pharmaceutical Co., Ltd. Japan 29-Jun-21 | | | |
| Elect Director Ian Clark | For | Against | Independence concerns |
| Takeuchi Mfg. Co., Ltd. Japan 27-May-21 | | | |
| Elect Director Takeuchi, Toshiya | For | Abstain | Independence concerns |
| TAKUMA CO., LTD. Japan 25-Jun-21 | | | |
| Elect Director Nanjo, Hiroaki | For | Abstain | Independence concerns |
| Talend SA France 29-Jun-21 | | | |
| Advisory Vote to Approve Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Authorize up to 2,300,000 Shares for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| Authorize up to 2,300,000 Shares for Use in Stock Option Plans | For | Against | Remuneration concerns |
| TANSEISHA Co., Ltd. Japan 27-Apr-21 | | | |
| Elect Director Takahashi, Takashi | For | Abstain | Independence concerns |
| Targa Resources Corp. USA 25-May-21 | | | |
| Elect Director Robert B. Evans | For | Abstain | Climate risk concerns |
| Target Corporation USA 9-Jun-21 | | | |
| Elect Director Douglas M. Baker, Jr. | For | Abstain | Combined CEO/Chair |
| Tarkett SA France 30-Apr-21 | | | |
| Approve Compensation of Fabrice Barthelemy, Chairman of the Management Board | For | Against | Remuneration concerns |
| Approve Remuneration Policy of Chairman of the Management Board | For | Against | Lack of disclosure |
| Approve Remuneration Policy of Management Board Members | For | Against | Lack of disclosure |
| Authorize up to 1 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Remuneration concerns |
| Tata Consultancy Services Limited India 10-Jun-21 | | | |
| Reelect N Chandrasekaran as Director | For | Against | Gender diversity concerns |
| Tata Steel Limited India 30-Jun-21 | | | |
| Reelect Saurabh Agrawal as Director | For | Against | Audit and Gender Diversity concerns |
| Tate & Lyle Plc United Kingdom 29-Jul-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Sybella Stanley as Director | For | Abstain | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | TBS Holdings, Inc. Japan 29-Jun-21 | | | |
| C | Elect Director Kashiwaki, Hitoshi | For | Against | Independence concerns |
| D | Elect Director Sasaki, Takashi | For | Against | Corporate governance concerns |
| E | Elect Director Takeda, Shinji | For | Against | Independence and gender diversity concerns |
| F | TCS Group Holding Plc Cyprus 19-Nov-21 | | | |
| G | Eliminate Pre-emptive Rights | For | Against | No material concerns |
| H | Reelect Maria Gordon as Director | For | Against | No material concerns |
| I | Team, Inc. USA 13-May-21 | | | |
| J | Elect Director Brian K. Ferraioli | For | Withhold | Overboarding concerns |
| K | Team17 Group PLC United Kingdom 10-Jun-21 | | | |
| L | Re-elect Christopher Bell as Director | For | Abstain | Audit concerns |
| M | TeamLease Services Limited India 3-Sep-21 | | | |
| N | Accept Board of Directors' Report | For | Against | Audit concerns |
| O | Accept Consolidated Financial Statements and Statutory Reports | For | Against | Audit concerns |
| P | Accept Standalone Financial Statements and Statutory Reports | For | Against | Audit concerns |
| Q | Reelect Manish Mahendra Sabharwal as Director | For | Against | Audit concerns |
| R | Tech Mahindra Limited India 30-Jul-21 | | | |
| S | Reelect Anish Shah as Director | For | Against | Overboarding concerns |
| T | TechnipFMC plc United Kingdom 20-May-21 | | | |
| U | Approve Remuneration Policy | For | Against | Remuneration concerns |
| V | Authorise Issue of Equity | For | Against | Excessive issuance |
| W | Elect Director Eleazar de Carvalho Filho | For | Against | Overboarding concerns |
| X | Tecnicas Reunidas SA Spain 29-Jun-21 | | | |
| Y | Authorize Increase in Capital up to 50 Percent via Issuance of Equity or Equity-Linked Securities, Excluding Preemptive Rights of up to 20 Percent | For | Against | Excessive issuance |
| Z | Teekay Tankers Ltd. Marshall Isl 21-Jun-21 | | | |
| | Elect Director Richard T. du Moulin | For | Withhold | Corporate governance and gender diversity concerns |
| | Elect Director Sai W. Chu | For | Withhold | Audit concerns |
| | Telecom Argentina SA Argentina 28-Apr-21 | | | |
| | Elect Alternate Directors | For | Abstain | Lack of disclosure |
| | Elect Directors | For | Abstain | Lack of disclosure |
| | Elect Five Principal Members of Internal Statutory Auditors Committee | For | Abstain | Lack of disclosure |
| | Fix Number of and Elect Alternate Members of Internal Statutory Auditors Committee | For | Abstain | Lack of disclosure |
| | Fix Number of Directors | For | Abstain | Lack of disclosure |
| | Telefonaktiebolaget LM Ericsson Sweden 30-Mar-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration Concerns |
| | Reelect Jan Carlson as Director | For | Against | Overboarding concerns |
| | Telefonica Deutschland Holding AG Germany 20-May-21 | | | |
| | Approve Creation of EUR 1.5 Billion Pool of Capital without Preemptive Rights | For | Against | Dilutive impact |
| | Telenet Group Holding NV Belgium 28-Apr-21 | | | |
| | Approve Change-of-Control Clause Re: Performance Shares, Share Option, and Restricted Share Plans | For | Against | Not in shareholders best interest |
| | Approve Discharge of Bert De Graeve (IDw Consult BV) as Director | For | Against | Poor response to prior dissent |
| | Approve Remuneration Policy | For | Against | Poor response to prior dissent and Remuneration concerns |
| | Approve Remuneration Report | For | Against | Poor response to prior dissent and Remuneration concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|---|
| Teleperformance SE France 22-Apr-21 | | | |
| Reelect Robert Paszczak as Director | For | Abstain | Combined CEO/Chair |
| Telephone and Data Systems, Inc. USA 20-May-21 | | | |
| Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| Telia Co. AB Sweden 12-Apr-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Reelect Lars-Johan Jarnheimer as Board Chair | For | Against | Corporate governance concerns |
| Reelect Lars-Johan Jarnheimer as Director | For | Against | Corporate governance concerns |
| Telstra Corporation Limited Australia 12-Oct-21 | | | |
| Elect Roy H Chestnutt as Director | For | Abstain | Audit and Gender diversity concerns |
| Temenos AG Switzerland 20-May-21 | | | |
| Reelect Ian Cookson as Director | For | Abstain | Gender diversity concerns |
| Transact Other Business (Voting) | For | Against | Technical vote |
| Tempur Sealy International, Inc. USA 6-May-21 | | | |
| Increase Authorized Common Stock | For | Against | Excessive issuance |
| Tenaga Nasional Berhad Malaysia 10-May-21 | | | |
| Elect Gopala Krishnan K. Sundaram as Director | For | Abstain | Climate risk management concerns |
| Tenaris SA Luxembourg 3-May-21 | | | |
| Approve Discharge of Directors | For | Against | Poor response to prior dissent and Remuneration concerns and Ongoing investigations |
| Approve Remuneration Report | For | Against | Lack of disclosure and Poor response to prior dissent |
| Elect Directors (Bundled) | For | Against | Bundled election, Gender diversity, Independence and Climate risk exposure concerns |
| Tencent Holdings Limited Cayman Islands 20-May-21 | | | |
| Adopt Share Option Plan of China Literature Limited | For | Against | Not in shareholders best interest |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| TERNA Rete Elettrica Nazionale SpA Italy 30-Apr-21 | | | |
| Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |
| Ternium SA Luxembourg 3-May-21 | | | |
| Reelect Directors | For | Against | Corporate governance and Gender diversity concerns |
| Terrafina Mexico 20-Sep-21 | | | |
| Authorize Board to Ratify and Execute Approved Resolutions | For | Abstain | Lack of disclosure |
| Elect Timothy J. Pire as Technical Committee Member; Approve His Remuneration; Verify His Independence Classification | For | Abstain | Lack of disclosure |
| Terumo Corp. Japan 22-Jun-21 | | | |
| Elect Director Mimura, Takayoshi | For | Abstain | Independence concerns |
| Elect Director Ozawa, Keiya | For | Against | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | Tesla, Inc. USA 7-Oct-21 | | | |
| C | Additional Reporting on Human Rights | Against | For | Supporting better corporate governance practices |
| D | Assign Responsibility for Strategic Oversight of Human Capital Management to an Independent Board-Level Committee | Against | For | Supporting better corporate governance practices |
| E | Declassify the Board of Directors | Against | For | Supporting better corporate governance practices |
| F | Elect Director James Murdoch | For | Abstain | Climate risk management concerns |
| G | Report on Diversity and Inclusion Efforts | Against | For | Supporting better corporate governance practices |
| H | Report on Employee Arbitration | Against | For | Supporting better corporate governance practices |
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| K | Teton Advisors, Inc. USA 20-May-21 | | | |
| L | Elect Director John M. Tesoro | For | Withhold | Audit concerns |
| M | Elect Director Kevin M. Keeley | For | Withhold | Independence concerns |
| N | Elect Director Stephen G. Bondi | For | Withhold | Gender diversity concerns and Lack of disclosure |
| O | Elect Director Vincent J. Amabile | For | Withhold | Lack of disclosure |
| P | Texas Instruments Incorporated USA 22-Apr-21 | | | |
| Q | Elect Director Ronald Kirk | For | Abstain | Combined CEO/Chair |
| R | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| S | Texas Pacific Land Corp. USA 29-Dec-21 | | | |
| T | Declassify the Board of Directors | Against | For | Supporting better corporate governance practices |
| U | Textron Inc. USA 28-Apr-21 | | | |
| V | Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| W | TFS Financial Corporation USA 25-Feb-21 | | | |
| X | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Y | TG Therapeutics, Inc. USA 16-Jun-21 | | | |
| Z | Elect Director Kenneth Hoberman | For | Withhold | Remuneration concerns |
| | Elect Director Sagar Lonial | For | Withhold | Corporate governance and Gender diversity concerns |
| | Thai Beverage Public Company Limited Thailand 29-Jan-21 | | | |
| | Amend Memorandum of Association to Reflect Increase in Registered Capital | For | Against | Does not warrant support |
| | Amend Memorandum of Association to Reflect Reduction in Registered Capital | For | Against | Does not warrant support |
| | Elect Khunying Wanna Sirivadhanabhakdi as Director | For | Against | Gender diversity and Independence concerns |
| | Elect Khunying Wanna Sirivadhanabhakdi as Director | For | Against | Gender diversity concerns and Independence concerns |
| | Elect Potjanee Thanavarant as Director | For | Against | Overboarding concerns |
| | Other Business | For | Against | Non-transparent voting item |
| | Other Business | For | Against | Technical vote |
| | Thales SA France 6-May-21 | | | |
| | Reelect Bernard Fontana as Director | For | Against | Independence concerns |
| | Reelect French State as Director | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| The 77 Bank, Ltd. Japan 29-Jun-21 | | | |
| Elect Director and Audit Committee Member Inukai, Akira | For | Against | Independence concerns |
| Elect Director and Audit Committee Member Suzuki, Toshio | For | Against | Independence concerns |
| Elect Director and Audit Committee Member Ushio, Yoko | For | Against | Independence concerns |
| Elect Director and Audit Committee Member Yamaura, Masai | For | Against | Independence concerns |
| Elect Director Nakamura, Ken | For | Against | Independence concerns |
| Elect Director Okuyama, Emiko | For | Against | Independence concerns |
| Elect Director Otaki Seiichi | For | Against | Independence concerns |
| Elect Director Ujiie, Teruhiko | For | Abstain | Independence concerns |
| The Bancorp, Inc. USA 19-May-21 | | | |
| Elect Director Hersh Kozlov | For | Against | Corporate Governance concerns |
| The Bank of East Asia, Limited Hong Kong 6-May-21 | | | |
| Adopt 2021 Staff Share Option Scheme | For | Against | Remuneration concerns |
| Authorize Reissuance of Repurchased Shares | For | Against | Insufficient information |
| Elect Francisco Javier Serrado Trepas as Director | For | Against | Gender diversity concerns |
| The Bank of Kyoto, Ltd. Japan 29-Jun-21 | | | |
| Elect Director Doi, Nobuhiro | For | Abstain | Independence concerns |
| Elect Director Ueki, Eiji | For | Against | Independence concerns |
| The Bank of New York Mellon Corporation USA 13-Apr-21 | | | |
| Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | Supporting better corporate governance practices |
| The Bank of Princeton USA 27-Apr-21 | | | |
| Authorize New Class of Preferred Stock | For | Against | Anti-takeover device |
| The Boeing Company USA 20-Apr-21 | | | |
| Elect Director David L. Calhoun | For | Against | Corporate governance concerns |
| Elect Director Edmund P. Giambastiani, Jr. | For | Against | Corporate governance concerns |
| Elect Director Lawrence W. Kellner | For | Against | Corporate governance concerns |
| Elect Director Lynn J. Good | For | Against | Corporate governance concerns |
| Elect Director Robert A. Bradway | For | Against | Corporate governance concerns |
| Elect Director Ronald A. Williams | For | Against | Corporate governance concerns |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| The Boston Beer Company, Inc. USA 19-May-21 | | | |
| Elect Director Jean-Michel Valette | For | Withhold | Audit concerns |
| The Brink's Company USA 7-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| The Buckle, Inc. USA 7-Jun-21 | | | |
| Elect Director Bruce L. Hoberman | For | Withhold | Corporate governance concerns |
| Elect Director Thomas B. Heacock | For | Withhold | Independence concerns |
| The Cato Corporation USA 20-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Bailey W. Patrick | For | Withhold | Remuneration concerns |
| The Charles Schwab Corporation USA 13-May-21 | | | |
| Declassify the Board of Directors | Against | For | Supporting better corporate governance practices |
| Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| The Chiba Bank, Ltd. Japan 25-Jun-21 | | | |
| Elect Director Yonemoto, Tsutomu | For | Abstain | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | The Children's Place, Inc. USA 12-May-21 | | | |
| C | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| D | Elect Director Joseph Gromek | For | Against | Remuneration concerns |
| E | The Chugoku Bank, Ltd. Japan 25-Jun-21 | | | |
| F | Elect Director Kato, Sadanori | For | Abstain | Independence concerns |
| G | The Coca-Cola Company USA 20-Apr-21 | | | |
| H | Elect Director Maria Elena Lagomasino | For | Abstain | Combined CEO/Chair |
| I | The Estee Lauder Companies Inc. USA 12-Nov-21 | | | |
| J | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| K | Elect Director Barry S. Sternlicht | For | Withhold | Overboarding concerns |
| L | The First Bancorp, Inc. USA 28-Apr-21 | | | |
| M | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| N | Elect Director Charles R. Lightsey | For | Withhold | Corporate governance concerns |
| O | The Gap, Inc. USA 11-May-21 | | | |
| P | Elect Director Robert J. Fisher | For | Against | Independence concerns |
| Q | The GEO Group, Inc. USA 28-Apr-21 | | | |
| R | Elect Director Julie Myers Wood | For | Withhold | Corporate governance concerns |
| S | Report on Lobbying Payments and Policy | Against | For | In shareholders best interest |
| T | The Go-Ahead Group Plc United Kingdom 21-Dec-21 | | | |
| U | Re-elect Adrian Ewer as Director | For | Against | Audit concerns |
| V | The Goldman Sachs Group, Inc. USA 29-Apr-21 | | | |
| W | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| X | Report on Racial Equity Audit | Against | For | Supporting better corporate governance practices |
| Y | Report on the Impacts of Using Mandatory Arbitration | Against | For | Supporting better corporate governance practices |
| Z | The Goodyear Tire & Rubber Company USA 12-Apr-21 | | | |
| | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| | The GPT Group Australia 13-May-21 | | | |
| | Elect Robert Whitfield as Director | For | Abstain | Audit concerns |
| | Elect Vicki McFadden as Director | For | Abstain | Independence concerns |
| | The Greenbrier Companies, Inc. USA 6-Jan-21 | | | |
| | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| | The Gym Group Plc United Kingdom 11-May-21 | | | |
| | Re-elect David Kelly as Director | For | Abstain | Gender diversity concerns |
| | Re-elect Penny Hughes as Director | For | Abstain | Independence concerns |
| | The Hachijuni Bank, Ltd. Japan 25-Jun-21 | | | |
| | Elect Director Hamano, Miyako | For | Against | Independence concerns |
| | Elect Director Kanzawa, Eiji | For | Against | Independence concerns |
| | Elect Director Matsushita, Masaki | For | Abstain | Independence concerns |
| | The Hain Celestial Group, Inc. USA 28-Oct-21 | | | |
| | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| | The Home Depot, Inc. USA 20-May-21 | | | |
| | Elect Director Helena B. Foulkes | For | Abstain | Combined CEO/Chair |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| The Hong Kong and China Gas Company Limited Hong Kong 2-Jun-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect Lee Ka-shing as Director | For | Against | Independence and Gender diversity concerns |
| The Howard Hughes Corporation USA 27-May-21 | | | |
| Elect Director Jeffrey Furber | For | Against | Corporate governance concerns |
| The Hyakugo Bank, Ltd. Japan 23-Jun-21 | | | |
| Elect Director Ito, Toshiyasu | For | Abstain | Independence concerns |
| Elect Director Kawakita, Hisashi | For | Against | Independence concerns |
| Elect Director Kobayashi, Nagahisa | For | Against | Independence concerns |
| Elect Director Nishioka, Keiko | For | Against | Independence concerns |
| Elect Director Wakasa, Ichiro | For | Against | Independence concerns |
| The Interpublic Group of Companies, Inc. USA 27-May-21 | | | |
| Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| The Iyo Bank, Ltd. Japan 29-Jun-21 | | | |
| Elect Director and Audit Committee Member Joko, Keiji | For | Against | Independence concerns |
| Elect Director and Audit Committee Member Ohashi, Yuichi | For | Against | Independence concerns |
| Elect Director Otsuka, Iwao | For | Abstain | Independence concerns |
| The Kansai Electric Power Co., Inc. Japan 25-Jun-21 | | | |
| Amend Articles to Reduce Maximum Board Size and Require Majority Outsider Board | Against | For | Supporting better corporate governance practices |
| Amend Articles to Require Individual Compensation Disclosure for Directors and Executive Officers | Against | For | Supporting better corporate governance practices |
| Amend Articles to Require Individual Compensation Disclosure for Directors, Including Those Who Retire During Tenure | Against | For | Supporting better corporate governance practices |
| Amend Articles to Require Individual Compensation Disclosure for Executive Officers, Including Those Who Retire During Tenure | Against | For | Supporting better corporate governance practices |
| Amend Articles to Require Individual Disclosure of Compensation Received after Directors and Executive Officers' Retirement | Against | For | Supporting better corporate governance practices |
| The Lovesac Company USA 7-Jun-21 | | | |
| Elect Director Andrew R. Heyer | For | Withhold | Corporate governance concerns |
| Elect Director John Grafer | For | Withhold | Corporate governance concerns |
| Elect Director Shirley Romig | For | Withhold | Corporate governance concerns |
| The Manitowoc Company, Inc. USA 4-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent and Remuneration concerns |
| Elect Director Donald M. Condon, Jr. | For | Withhold | Remuneration concerns |
| The Marcus Corporation USA 6-May-21 | | | |
| Elect Director Allan H. Selig | For | Withhold | Corporate governance concerns |
| The Mosaic Company USA 20-May-21 | | | |
| Provide Right to Act by Written Consent | Against | For | In shareholders best interest |
| The ODP Corporation USA 21-Apr-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| The Okinawa Electric Power Co., Inc. Japan 29-Jun-21 | | | |
| Elect Director Omine, Mitsuru | For | Abstain | Independence concerns |
| Elect Director Yuasa, Hideo | For | Against | Independence concerns |
| The Pennant Group, Inc. USA 25-May-21 | | | |
| Elect Director Roderic E. Lewis | For | Withhold | Corporate governance concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | The PNC Financial Services Group, Inc. USA 27-Apr-21 | | | |
| C | Elect Director Andrew T. Feldstein | For | Abstain | Combined CEO/Chair |
| D | Elect Director Charles E. Bunch | For | Against | Independence concerns |
| E | The Procter & Gamble Company USA 12-Oct-21 | | | |
| F | Elect Director Angela F. Braly | For | Abstain | Combined CEO/Chair |
| G | The Restaurant Group Plc United Kingdom 29-Mar-21 | | | |
| H | Approve Issuance of Shares to Threadneedle Pursuant to the Capital Raising | For | Abstain | Technical vote |
| I | The Sherwin-Williams Company USA 21-Apr-21 | | | |
| J | Elect Director Christine A. Poon | For | Against | Overboarding concerns |
| K | Elect Director Richard J. Kramer | For | Abstain | Combined CEO/Chair |
| L | The Shizuoka Bank Ltd. Japan 18-Jun-21 | | | |
| M | Elect Director Inano, Kazutoshi | For | Against | Independence concerns |
| N | Elect Director Nakanishi, Katsunori | For | Abstain | Independence concerns |
| O | The Siam Cement Public Co. Ltd. Thailand 31-Mar-21 | | | |
| P | Approve Remuneration of Directors and Sub-Committees | For | Against | Remuneration concerns |
| Q | Elect Chumpol NaLamlieng as Director | For | Against | Independence concerns |
| R | Elect Kasem Watanachai as Director | For | Against | Independence concerns |
| S | Elect Satitpong Sukvimol as Director | For | Against | Independence concerns |
| T | The Siam Commercial Bank Public Company Limited Thailand 8-Apr-21 | | | |
| U | Elect Jareeporn Jarukornsakul as Director | For | Against | Independence concerns and Overboarding concerns |
| V | Elect Pailin Chuchottaworn as Director | For | Against | Independence concerns |
| W | Elect Pantip Sripimol as Director | For | Against | Independence concerns |
| X | Elect Vichit Suraphongchai as Director | For | Against | Independence concerns |
| Y | Elect Weerawong Chittmitrapap as Director | For | Against | Overboarding concerns |
| Z | The Southern Company USA 26-May-21 | | | |
| | Elect Director Ernest J. Moniz | For | Abstain | Combined CEO/Chair |
| | The St. Joe Company USA 18-May-21 | | | |
| | Elect Director Howard S. Frank | For | Against | Corporate governance and Gender diversity concerns |
| | The Swatch Group AG Switzerland 11-May-21 | | | |
| | Amend Articles Re: Virtual General Meeting | For | Against | Not in shareholders best interest |
| | Approve Fixed Remuneration of Executive Directors in the Amount of CHF 2.6 Million | For | Against | Remuneration concerns |
| | Approve Variable Remuneration of Executive Committee in the Amount of CHF 10.7 Million | For | Against | Lack of disclosure and Poor response to prior dissent, Strategic concerns |
| | Approve Variable Remuneration of Executive Directors in the Amount of CHF 4.2 Million | For | Against | Lack of disclosure and Poor response to prior dissent, Remuneration and Strategic concerns |
| | Reappoint Ernst Tanner as Member of the Compensation Committee | For | Against | Independence concerns and Remuneration concerns |
| | Reappoint Georges Hayek as Member of the Compensation Committee | For | Against | Independence concerns |
| | Reappoint Nayla Hayek as Member of the Compensation Committee | For | Against | Corporate governance and Independence concerns |
| | Reelect Ernst Tanner as Director | For | Against | Independence concerns and Remuneration concerns |
| | Reelect Georges Hayek as Director | For | Against | Independence concerns |
| | Reelect Nayla Hayek as Board Chairman | For | Against | Corporate governance and Independence concerns |
| | Reelect Nayla Hayek as Director | For | Against | Corporate governance and Independence concerns |
| | Transact Other Business (Voting) | For | Against | Technical vote |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| The Timken Company USA 7-May-21 | | | |
| Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
| The Towa Bank, Ltd. Japan 24-Jun-21 | | | |
| Appoint Statutory Auditor Hashimoto, Masami | For | Against | Independence concerns |
| Elect Director Mizuguchi, Takeshi | For | Against | Independence concerns |
| The Weir Group Plc United Kingdom 29-Apr-21 | | | |
| Re-elect Charles Berry as Director | For | Abstain | Independence concerns |
| Re-elect Clare Chapman as Director | For | Against | Overboarding concerns |
| The Wendy's Company USA 18-May-21 | | | |
| Elect Director Peter H. Rothschild | For | Abstain | Gender diversity concerns |
| The Western Union Company USA 14-May-21 | | | |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| The Wharf (Holdings) Limited Hong Kong 11-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect David Muir Turnbull as Director | For | Against | Attendance concerns and Combined CEO/Chair |
| The Yokohama Rubber Co., Ltd. Japan 30-Mar-21 | | | |
| Appoint Statutory Auditor Mikami, Osamu | For | Against | Independence concerns |
| Elect Director Yamaishi, Masataka | For | Abstain | gender diversity and Independence concerns |
| The9 Ltd. Cayman Islands 22-Dec-21 | | | |
| Amend Articles of Association in corresponds to Addition of the Federal Forum Provision | For | Against | Not in shareholders best interest |
| Amend Articles of Association in corresponds to Inclusion of the Voting Amendment | For | Against | Not in shareholders best interest |
| Approve Adoption of the Third Amended and Restated Memorandum and Articles of Association | For | Against | Not in shareholders best interest |
| Elect George Lai (Lai Kwok Ho) as Director | For | Against | Independence concerns |
| Elect Ka Keung Yeung as Director | For | Against | Audit and Corporate Governance concerns |
| TherapeuticsMD, Inc. USA 27-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Thermo Fisher Scientific Inc. USA 19-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Lars R. Sorensen | For | Abstain | Combined CEO/Chair |
| Provide Right to Call A Special Meeting | Against | For | In shareholders best interest |
| THK CO., LTD. Japan 20-Mar-21 | | | |
| Elect Director Kainosho, Masaaki | For | Against | Independence concerns |
| Elect Director Teramachi, Akihiro | For | Abstain | Independence and gender diversity concerns |
| Thomson Reuters Corporation Canada 9-Jun-21 | | | |
| SP 1: Produce a Human Rights Risk Report | Against | For | Supporting better corporate governance practices |
| Thor Industries, Inc. USA 17-Dec-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| 3D Systems Corporation USA 18-May-21 | | | |
| Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | In shareholders best interest |
| 3i Group Plc United Kingdom 1-Jul-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Re-elect Coline McConville as Director | For | Abstain | Remuneration concerns |
| 3M Company USA 11-May-21 | | | |
| Elect Director Muhtar Kent | For | Abstain | Combined CEO/Chair |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Thule Group AB Sweden 22-Apr-21 | | | |
| C | Reelect Bengt Baron as Board Chairman | For | Against | Corporate governance concerns |
| D | Reelect Bengt Baron as Board Chairman | For | Against | Corporate governance concerns |
| E | Reelect Bengt Baron as Director | For | Against | Corporate governance concerns |
| F | Reelect Bengt Baron as Director | For | Against | Corporate governance concerns |
| G | Thunder Bridge Acquisition II, Ltd. Cayman Islands 9-Jun-21 | | | |
| H | Adopt Supermajority Vote Requirement to Amend Certificate of Incorporation | For | Against | Not in shareholders best interest |
| I | Amend Right to Call Special Meeting | For | Against | Not in shareholders best interest |
| J | Approve Issuance of Shares for a Private Placement | For | Against | Dilutive impact |
| K | Approved Change in Authorized Capital Stock | For | Against | Not in shareholders best interest |
| L | Classify Board | For | Against | Not in shareholders best interest |
| M | Provide that Directors May be Elected by the Stockholders Only at an Annual Meeting of Stockholders by a Plurality of the Votes Cast | For | Against | Not in shareholders best interest |
| N | Restrict Right to Act by Written Consent | For | Against | Not in shareholders best interest |
| O | ThyssenKrupp AG Germany 5-Feb-21 | | | |
| P | Approve Discharge of Supervisory Board for Fiscal Year 2019/20 | For | Against | Concerning pay practices |
| Q | TIM SA Brazil 30-Mar-21 | | | |
| R | Approve Long-Term Incentive Plan | For | Against | Remuneration concerns |
| S | Approve Remuneration of Company's Management and Fiscal Council | For | Against | Remuneration concerns |
| T | Times China Holdings Limited Cayman Islands 21-May-21 | | | |
| U | Approve Ernst & Young as Auditor and Authorize Board to Fix Their Remuneration | For | Against | Audit concerns |
| V | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Remuneration concerns and Lack of disclosure |
| W | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| X | tinyBuild, Inc. USA 26-Jul-21 | | | |
| Y | Authorise Issue of Equity without Pre-emptive Rights | For | Against | Dilutive impact |
| Z | TIS, Inc. (Japan) Japan 24-Jun-21 | | | |
| | Elect Director Kuwano, Toru | For | Abstain | Independence concerns |
| | TISCO Financial Group Public Company Limited Thailand 22-Apr-21 | | | |
| | Elect Angkarat Priebjivat as Director | For | Against | Independence concerns |
| | Elect Pranee Tinakorn as Director | For | Against | Independence concerns |
| | Elect Kulpatra Sirodom as Director | For | Against | Independence and Overboarding concerns |
| | Titan Company Limited India 2-Aug-21 | | | |
| | Reelect V Arun Roy as Director | For | Against | Attendance and Independence concerns |
| | Titan International, Inc. USA 10-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent and Remuneration concerns |
| | Elect Director Gary L. Cowger | For | Withhold | Poor response to prior dissent |
| | Elect Director Max A. Guinn | For | Withhold | Corporate governance concerns |
| | Elect Director Richard M. Cashin, Jr. | For | Withhold | Independence concerns |
| | TOA Corp. (6809) Japan 22-Jun-21 | | | |
| | Elect Director Itani, Kenji | For | Abstain | Independence and gender diversity concerns |
| | Tobu Railway Co., Ltd. Japan 23-Jun-21 | | | |
| | Appoint Statutory Auditor Sugiyama, Tomoya | For | Against | Independence concerns |
| | Approve Takeover Defense Plan (Poison Pill) | For | Against | Not in shareholders best interest |
| | Elect Director Nezu, Yoshizumi | For | Abstain | Independence concerns |
| | Elect Director Yanagi, Masanori | For | Against | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Toda Corp. Japan 29-Jun-21 | | | |
| Elect Director Otani, Seisuke | For | Abstain | Independence concerns |
| Elect Director Shimomura, Setsuhiro | For | Against | Independence concerns |
| Toho Co., Ltd. (9602) Japan 27-May-21 | | | |
| Elect Director Shimatani, Yoshishige | For | Abstain | Independence concerns |
| TOHO GAS Co., Ltd. Japan 28-Jun-21 | | | |
| Appoint Statutory Auditor Kodama, Mitsuhiro | For | Against | Independence concerns |
| Elect Director Hattori, Tetsuo | For | Against | Independence concerns |
| Elect Director Tominari, Yoshiro | For | Abstain | Independence concerns |
| Tohoku Electric Power Co., Inc. Japan 25-Jun-21 | | | |
| Amend Articles to Abolish Advisory Posts | Against | For | Supporting better corporate governance practices |
| Elect Director Kawanobe, Osamu | For | Against | Independence concerns |
| Elect Director Masuko, Jiro | For | Abstain | Independence concerns |
| Elect Director Nagai, Mikito | For | Against | Independence concerns |
| Tokai Carbon Co., Ltd. Japan 30-Mar-21 | | | |
| Elect Director Nagasaka, Hajime | For | Abstain | Independence concerns |
| Tokyo Century Corp. Japan 28-Jun-21 | | | |
| Elect Director Nogami, Makoto | For | Abstain | Independence concerns |
| Tokyo Electric Power Co. Holdings, Inc. Japan 29-Jun-21 | | | |
| Amend Articles to Require Individual Compensation Disclosure for Directors and Executive Officers | Against | For | Supporting better corporate governance practices |
| Elect Director Kobayashi, Yoshimitsu | For | Against | Independence concerns |
| Tokyo Electron Ltd. Japan 17-Jun-21 | | | |
| Elect Director Eda, Makiko | For | Against | Independence concerns |
| Elect Director Tsuneishi, Tetsuo | For | Abstain | Independence concerns |
| Tokyo Tatemono Co., Ltd. Japan 25-Mar-21 | | | |
| Elect Director Nakano, Takeo | For | Against | Independence concerns |
| Elect Director Tanehashi, Makio | For | Abstain | Independence concerns |
| Tokyu Corp. Japan 29-Jun-21 | | | |
| Elect Director Nomoto, Hirofumi | For | Abstain | Independence concerns |
| Elect Director Shimizu, Hiroshi | For | Against | Independence concerns |
| Tongwei Co., Ltd. China 25-Feb-21 | | | |
| Approve Authorization of the Board to Handle All Matters Related to Employee Share Purchase Plan | For | Against | Not in shareholders best interest |
| Approve Employee Share Purchase Plan (Draft) and Summary | For | Against | Not in shareholders best interest |
| Approve Management Method of Employee Share Purchase Plan | For | Against | Not in shareholders best interest |
| Tongwei Co., Ltd. China 7-May-21 | | | |
| Approve Provision of Guarantee | For | Against | Strategic concerns |
| Approve Report of the Board of Supervisors | For | Abstain | Lack of disclosure |
| Toppan, Inc. Japan 29-Jun-21 | | | |
| Elect Director Maro, Hideharu | For | Abstain | Independence concerns |
| Elect Director Noma, Yoshinobu | For | Against | Independence concerns |
| Toray Industries, Inc. Japan 22-Jun-21 | | | |
| Appoint Statutory Auditor Tanaka, Yoshiyuki | For | Against | Independence concerns |
| Toshiba Corp. Japan 18-Mar-21 | | | |
| Appoint Three Individuals to Investigate Status of Operations and Property of the Company | Against | For | Supporting better corporate governance practices |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|---|
| B | Toshiba Corp. Japan 25-Jun-21 | | | |
| C | Elect Director Kobayashi, Nobuyuki | For | Against | Corporate governance concerns |
| D | Elect Director Nagayama, Osamu | For | Against | Corporate governance concerns |
| E | Elect Director Ota, Junji | For | Against | Corporate governance concerns |
| F | Elect Director Yamauchi, Takashi | For | Against | Corporate governance concerns |
| G | Tosoh Corp. Japan 25-Jun-21 | | | |
| H | Appoint Statutory Auditor Okayama, Makoto | For | Against | Independence concerns |
| I | Elect Director Abe, Tsutomu | For | Against | Independence concerns |
| J | Elect Director Hombo, Yoshihiro | For | Against | Independence concerns |
| K | Elect Director Miura, Keiichi | For | Against | Independence concerns |
| L | Elect Director Yamamoto, Toshinori | For | Abstain | Audit concerns |
| M | Total SE France 28-May-21 | | | |
| N | Reelect Anne-Marie Idrac as Director | For | Abstain | Combined CEO/Chair |
| O | TOTO Ltd. Japan 25-Jun-21 | | | |
| P | Elect Director Kitamura, Madoka | For | Abstain | Independence and gender diversity concerns |
| Q | TOTVS SA Brazil 20-Apr-21 | | | |
| R | Add Article 55 Re: Indemnity Provision | For | Against | Corporate governance concerns |
| S | Approve Long-Term Incentive Plan | For | Against | Remuneration concerns |
| T | Tovis Co., Ltd. South Korea 29-Mar-21 | | | |
| U | Approve Financial Statements and Allocation of Income | For | Against | Audit concerns |
| V | Tower Semiconductor Ltd. Israel 12-Aug-21 | | | |
| W | Elect Yoav Chelouche as Director | For | Against | Overboarding concerns |
| X | TowneBank USA 2-Jun-21 | | | |
| Y | Elect Director Andrew S. Fine | For | Withhold | Corporate governance and Independence concerns |
| Z | Elect Director John R. Lawson, II | For | Withhold | Corporate governance and Independence concerns |
| | Elect Director Richard S. Bray | For | Withhold | Corporate governance concerns |
| | Elect Director W. Ashton Lewis | For | Withhold | Corporate governance and Independence concerns |
| | Toyo Seikan Group Holdings Ltd. Japan 25-Jun-21 | | | |
| | Amend Articles to Add Provision on Abolition of Advisory Positions | Against | For | Supporting better corporate governance practices |
| | Amend Articles to Disclose Plan Outlining Company's Business Strategy Taking into Account Task Force on Climate-related Financial Disclosures | Against | For | Supporting better ESG risk management disclosures |
| | Initiate Share Repurchase Program | Against | For | Supporting better corporate governance practices |
| | Toyo Tire Corp. Japan 30-Mar-21 | | | |
| | Elect Director Yamada, Yasuhiro | For | Abstain | Independence concerns |
| | Elect Director Yoneda, Michio | For | Against | Independence concerns |
| | Toyoda Gosei Co., Ltd. Japan 17-Jun-21 | | | |
| | Appoint Statutory Auditor Suzuki, Yamato | For | Against | Independence concerns |
| | Elect Director Miyazaki, Naoki | For | Abstain | Audit concerns |
| | Elect Director Tsuchiya, Sojiro | For | Against | Independence concerns |
| | Toyota Boshoku Corp. Japan 11-Jun-21 | | | |
| | Appoint Statutory Auditor Fueta, Yasuhiro | For | Against | Independence concerns |
| | Elect Director Ina, Hiroyuki | For | Against | Independence concerns |
| | Elect Director Ogasawara, Takeshi | For | Against | Independence concerns |
| | Elect Director Toyoda, Shuhei | For | Abstain | Audit concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Toyota Industries Corp. Japan 10-Jun-21 | | | |
| Appoint Statutory Auditor Inagawa, Toru | For | Against | Independence concerns |
| Elect Director Maeda, Masahiko | For | Against | Independence concerns |
| Elect Director Onishi, Akira | For | Abstain | Independence and gender diversity concerns |
| Toyota Motor Corp. Japan 16-Jun-21 | | | |
| Elect Director Uchiyamada, Takeshi | For | Against | Independence concerns |
| Toyota Tsusho Corp. Japan 24-Jun-21 | | | |
| Elect Director Didier Leroy | For | Against | Independence concerns |
| Elect Director Karube, Jun | For | Abstain | Independence concerns |
| TP ICAP Plc United Kingdom 12-May-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| TPG Telecom Ltd. Australia 6-May-21 | | | |
| Elect Antony Moffatt as Director | For | Against | Independence concerns |
| Elect Frank John Sixt as Director | For | Against | Independence concerns |
| Elect Jack Teoh as Director | For | Against | Independence concerns |
| Tradeweb Markets Inc. USA 11-May-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| Elect Director Paula Madoff | For | Withhold | Overboarding concerns |
| Transcontinental Realty Investors, Inc. USA 15-Dec-21 | | | |
| Elect Director Robert A. Jakuszewski | For | Withhold | Gender diversity concerns |
| TransDigm Group Incorporated USA 18-Mar-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Mervin Dunn | For | Withhold | Corporate governance and Gender diversity concerns |
| Transocean Ltd. Switzerland 27-May-21 | | | |
| Elect Director Vanessa C.L. Chang | For | Against | Overboarding concerns |
| Transphorm, Inc. USA 29-Dec-21 | | | |
| Elect Director Cynthia (Cindi) Moreland | For | Withhold | Corporate Governance concerns |
| Transportadora de Gas del Sur SA Argentina 20-Apr-21 | | | |
| Amend Article 14 Re: Remote Shareholders' Meetings | For | Against | Insufficient information |
| Consider Budget of Audit Committee | For | Against | Insufficient information |
| Consider Remuneration of Internal Statutory Auditors Committee | For | Against | Insufficient information |
| Consolidate Bylaws | For | Against | Insufficient information |
| Elect Directors and Alternates | For | Against | Insufficient information |
| Elect Members and Alternates of Internal Statutory Auditors Committee | For | Against | Insufficient information |
| Fix Board Term for Directors | For | Against | Insufficient information |
| Transurban Group Australia 21-Oct-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Elect Mark Birrell as Director | For | Abstain | Audit concerns |
| Travelzoo USA 1-Jun-21 | | | |
| Elect Director Mary Reilly | For | Withhold | Corporate governance, Independence and Audit concerns |
| Traverse Therapeutics, Inc. USA 14-May-21 | | | |
| Elect Director John A. Orwin | For | Withhold | Overboarding concerns |
| Travis Perkins Plc United Kingdom 27-Apr-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Re-elect Coline McConville as Director | For | Against | Remuneration concerns |
| Approve Deferred Annual Bonus Plan, Long Term Incentive Plan, Share Incentive Plan and Savings Related Share Option Scheme | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | Trelleborg AB Sweden 22-Apr-21 | | | |
| C | Reelect Johan Malmquist as Director | For | Against | Overboarding concerns |
| D | Trend Micro, Inc. Japan 25-Mar-21 | | | |
| E | Amend Articles to Reduce Directors' Term – Authorize Board to Determine Income Allocation | For | Against | Not in shareholders interest |
| F | Tri Pointe Homes, Inc. USA 21-Apr-21 | | | |
| G | Elect Director Steven J. Gilbert | For | Against | Overboarding concerns |
| H | Tribune Publishing Company USA 21-May-21 | | | |
| I | Advisory Vote on Golden Parachutes | For | Against | Not in shareholders interest |
| J | Tricida, Inc. USA 10-Jun-21 | | | |
| K | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| L | Elect Director Kathryn Falberg | For | Withhold | Overboarding concerns |
| M | Trident Royalties Plc United Kingdom 8-Jun-21 | | | |
| N | Accept Financial Statements and Statutory Reports | For | Abstain | Independence and Remuneration concerns |
| O | Elect Helen Pein as Director | For | Abstain | Independence concerns |
| P | Trimble Inc. USA 12-May-21 | | | |
| Q | Elect Director Borje Ekholm | For | Withhold | Overboarding concerns |
| R | Trinity Biotech Plc Ireland 29-Sep-21 | | | |
| S | Authorise Issue of Equity | For | Against | Dilutive impact |
| T | Authorise Issue of Equity without Pre-emptive Rights | For | Against | Dilutive impact |
| U | Authorize Overseas Market Purchases of Ordinary Shares | For | Against | Not in shareholders interest |
| V | Elect Director Clint Severson | For | Against | Corporate governance and Gender diversity concerns |
| W | Trinseo S.A. Luxembourg 14-Jun-21 | | | |
| X | Approve Change in Advance Notice Requirements for Shareholder Proposals | For | Against | Not in shareholders best interest |
| Y | Approve Changes in Share Capital | For | Against | Corporate Governance concerns |
| Z | Approve Changes to Director Compensation Program | For | Against | Remuneration concerns |
| | TripAdvisor, Inc. USA 8-Jun-21 | | | |
| | Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| | Elect Director Jane Jie Sun | For | Withhold | Overboarding concerns |
| | Elect Director Jay C. Hoag | For | Withhold | Attendance and Overboarding concerns |
| | trivago NV Netherlands 30-Jun-21 | | | |
| | Grant Board Authority to Issue Shares and Exclude Preemptive Rights | For | Against | Dilutive impact |
| | Tryg A/S Denmark 26-Mar-21 | | | |
| | Ratify PricewaterhouseCoopers as Auditor | For | Abstain | Audit concerns |
| | Reelect Lene Skole as Member of Board | For | Abstain | Overboarding concerns |
| | TT Electronics Plc United Kingdom 13-May-21 | | | |
| | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| | Re-elect Alison Wood as Director | For | Abstain | Remuneration concerns |
| | TTEC Holdings, Inc. USA 26-May-21 | | | |
| | Elect Director Ekta Singh-Bushell | For | Against | Overboarding concerns |
| | TTM Technologies, Inc. USA 12-May-21 | | | |
| | Elect Director John G. Mayer | For | Withhold | Corporate governance concerns |
| | Tuas Limited Australia 3-Dec-21 | | | |
| | Elect Alan Latimer as Director | For | Abstain | Audit concerns |
| | TUI AG Germany 5-Jan-21 | | | |
| | Approve Creation of EUR 509 Million Pool of Capital with Preemptive Rights | For | Against | Remuneration concerns |
| | TUI AG Germany 25-Mar-21 | | | |
| | Elect Alexey Mordashov to the Supervisory Board | For | Against | Attendance concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Tullow Oil Plc United Kingdom 16-Jun-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Jeremy Wilson as Director | For | Against | Remuneration concerns |
| TURKCELL İletişim Hizmetleri AS Turkey 15-Apr-21 | | | |
| Approve Director Remuneration | For | Against | Remuneration concerns |
| Grant Permission for Board Members to Engage in Commercial Transactions with Company and Be Involved with Companies with Similar Corporate Purpose | For | Against | Lack of disclosure |
| Ratify Director Appointment and Elect Directors | For | Against | Corporate governance concerns |
| Türkiye Petrol Rafinerileri AS Turkey 31-Mar-21 | | | |
| Amend Company Articles 4, 6 and 14 | For | Against | Dilutive impact |
| Approve Director Remuneration | For | Against | Lack of disclosure |
| Approve Upper Limit of Donations for 2021 and Receive Information on Donations Made in 2020 | For | Against | Lack of disclosure |
| Elect Directors | For | Against | Overboarding concerns |
| Grant Permission for Board Members to Engage in Commercial Transactions with Company and Be Involved with Companies with Similar Corporate Purpose | For | Against | Corporate governance concerns |
| Türkiye Sise ve Cam Fabrikaları AS Turkey 30-Mar-21 | | | |
| Approve Director Remuneration | For | Against | Insufficient information |
| Authorize Share Capital Increase with Preemptive Rights | For | Against | Dilutive impact |
| Elect Directors | For | Against | Insufficient information |
| Grant Permission for Board Members to Engage in Commercial Transactions with Company and Be Involved with Companies with Similar Corporate Purpose | For | Against | Lack of disclosure |
| Ratify External Auditors | For | Against | Insufficient information |
| Tutor Perini Corporation USA 19-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns/Poor response to prior dissent |
| Elect Director Michael R. Klein | For | Withhold | Corporate Governance issues |
| Elect Director Peter Arkley | For | Withhold | Remuneration concerns/Poor response to prior dissent |
| TVS Motor Company Limited India 29-Jul-21 | | | |
| Elect Ralf Dieter Speth as Director | For | Against | Independence concerns |
| Reelect Sudarshan Venu as Director | For | Against | Independence concerns |
| Twilio Inc. USA 16-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent and Remuneration concerns |
| Elect Director Byron Deeter | For | Withhold | Combined CEO/Chair |
| Twitter, Inc. USA 24-Jun-21 | | | |
| Require Independent Director Nominee with Human and/or Civil Rights Experience | Against | For | Supporting better corporate governance practices |
| Tyler Technologies, Inc. USA 11-May-21 | | | |
| Elect Director Dustin R. Womble | For | Against | Independence concerns |
| Tyme Technologies, Inc. USA 24-Aug-21 | | | |
| Elect Director Steve Hoffman | For | Withhold | Gender diversity concerns |
| Tyson Foods, Inc. USA 11-Feb-21 | | | |
| Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| Report on Human Rights Due Diligence | Against | For | Significant vote: Poor response to engagement |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | U | | | |
| C | U.S. Bancorp USA 20-Apr-21 | | | |
| D | Elect Director Kimberly J. Harris | For | Abstain | Combined CEO/Chair |
| E | U.S. Xpress Enterprises, Inc. USA 26-May-21 | | | |
| F | Elect Director John C. Rickel | For | Withhold | Lack of virtual-only meeting disclosure |
| G | Ube Industries Ltd. Japan 29-Jun-21 | | | |
| H | Elect Director Yamamoto, Yuzuru | For | Abstain | Gender diversity concerns |
| I | Ubiquiti Inc. USA 9-Dec-21 | | | |
| J | Elect Director Rafael Torres | For | Withhold | Gender Diversity concerns |
| K | Ubisoft Entertainment SA France 1-Jul-21 | | | |
| L | Authorize up to 0.10 Percent of Issued Capital for Use in Restricted Stock Plans Reserved for Executive Corporate Officers | For | Against | Not in shareholders best interest |
| M | Reelect Laurence Hubert-Moy as Director | For | Against | Combined CEO/Chair |
| N | UCB SA Belgium 29-Apr-21 | | | |
| O | Approve Remuneration Report | For | Against | Remuneration concerns |
| P | Uchida Yoko Co., Ltd. Japan 16-Oct-21 | | | |
| Q | Appoint Statutory Auditor Akiyama, Shingo | For | Against | Independence concerns |
| R | Appoint Statutory Auditor Yamada, Akio | For | Against | Independence concerns |
| S | Elect Director Okubo, Noboru | For | Abstain | Independence and gender diversity concerns |
| T | UDR, Inc. USA 27-May-21 | | | |
| U | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| V | Ultra Electronics Holdings Plc United Kingdom 12-May-21 | | | |
| W | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| X | Re-elect Martin Broadhurst as Director | For | Abstain | Remuneration concerns |
| Y | Re-elect Tony Rice as Director | For | Abstain | Gender diversity concerns |
| Z | Ultralife Corporation USA 21-Jul-21 | | | |
| | Elect Director Ranjit C. Singh | For | Withhold | Gender diversity and Corporate Governance concerns |
| | UMH Properties, Inc. USA 16-Jun-21 | | | |
| | Elect Director Anna T. Chew | For | Withhold | Independence concerns |
| | Umicore Belgium 29-Apr-21 | | | |
| | Approve Change-of-Control Clause Re: Convertible Bonds Maturing on 23 June 2025 (ISIN BE6322623669) | For | Against | Remuneration concerns |
| | Approve Change-of-Control Clause Re: Finance Contract with European Investment Bank | For | Against | Remuneration concerns |
| | Approve Change-of-Control Clause Re: Revolving Facility Agreement with J.P. Morgan AG | For | Against | Remuneration concerns |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Under Armour, Inc. USA 13-May-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Unibail-Rodamco-Westfield NV Netherlands 29-Jun-21 | | | |
| | Elect Fabrice Mouchel to Supervisory Board | For | Against | Independence concerns |
| | Unibail-Rodamco-Westfield SE France 12-May-21 | | | |
| | Other Resolutions (Amendments to the Resolutions as Described in the Notice of Meeting or New Resolutions Proposed During the Meeting) – VOTE FOR (If you wish to vote as proxy seems fit) or ABSTAIN | For | Abstain | Technical vote |
| | Unicaja Banco SA Spain 30-Mar-21 | | | |
| | Authorize Issuance of Convertible Bonds, Debentures, Warrants, and Other Debt Securities up to EUR 500 Million with Exclusion of Preemptive Rights up to 20 Percent of Capital | For | Against | Dilutive impact |
| | Unicharm Corp. Japan 26-Mar-21 | | | |
| | Elect Director Takahara, Takahisa | For | Abstain | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| UniCredit SpA Italy 15-Apr-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Severance Payments Policy | For | Against | Remuneration concerns |
| Union Pacific Corporation USA 13-May-21 | | | |
| Elect Director Michael R. McCarthy | For | Abstain | Independence concerns |
| Publish Annually a Report Assessing Diversity and Inclusion Efforts | Against | For | Supporting better corporate governance practices |
| Report on EEO | Against | For | Supporting better corporate governance practices |
| Uniper SE Germany 19-May-21 | | | |
| Approve Discharge of Supervisory Board for Fiscal Year 2020 | For | Against | Not in shareholders best interest |
| Elect Nora Steiner-Forsberg to the Supervisory Board | For | Against | Independence Concerns |
| Unipol Gruppo SpA Italy 29-Apr-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Second Section of the Remuneration Report | For | Against | Remuneration concerns |
| Authorize Share Repurchase Program and Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect Mario Cifiello as Director and Approve His Remuneration | For | Abstain | Bundled and Independence concerns |
| uniQure N.V. Netherlands 16-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Grant Board Authority to Issue Shares and Restrict/Exclude Preemptive Rights | For | Against | Excessive issuance |
| United Airlines Holdings, Inc. USA 26-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | remuneration concerns |
| Elect Director James M. Whitehurst | For | Against | Remuneration concerns |
| Report on Global Warming-Related Lobbying Activities | Against | For | Supporting better sustainability practices |
| Report on Political Contributions and Expenditures | Against | For | Supporting better ESG risk management disclosures |
| United Breweries Limited India 9-Apr-21 | | | |
| Approve Payment of Remuneration to Rishi Pardal as Managing Director | For | Against | Remuneration concerns and Not in shareholders best interest |
| Approve Payment of Remuneration to Shekhar Ramamurthy as Former Managing Director | For | Against | Remuneration concerns and Not in shareholders best interest |
| United Breweries Limited India 29-Jul-21 | | | |
| Approve Appointment and Remuneration of Berend Cornelis Roelof Odink as Director & Chief Financial Officer (in Executive Capacity) | For | Against | Non-retiring director |
| Approve Payment of Commission to Non-Executive Directors including Independent Directors | For | Against | Not in shareholders best interest |
| Elect Berend Cornelis Roelof Odink as Director | For | Against | Independence concerns and Non-retiring director |
| United Community Banks, Inc. USA 12-May-21 | | | |
| Elect Director David H. Wilkins | For | Withhold | Independence concerns |
| United Insurance Holdings Corp. USA 4-May-21 | | | |
| Elect Director Kent G. Whittemore | For | Against | Gender diversity concerns |
| United Microelectronics Corp. Taiwan 8-Jun-21 | | | |
| Approve Issuance Plan of Private Placement for Common Shares, DRs or Euro/Domestic Convertible Bonds | For | Against | Dilutive impact and Not in the best interest of shareholders |
| United Overseas Bank Limited (Singapore) Singapore 30-Apr-21 | | | |
| Elect Wong Kan Seng as Director | For | Abstain | Gender diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | United Parcel Service, Inc. USA 13-May-21 | | | |
| C | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Supporting better corporate governance practices |
| D | Publish Annually a Report Assessing Diversity and Inclusion Efforts | Against | For | Supporting better corporate governance practices |
| E | Report on Climate Change | Against | For | Supporting better corporate governance practices |
| F | | | | |
| G | United Rentals, Inc. USA 6-May-21 | | | |
| H | Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | Against | For | In shareholders best interest |
| I | United Spirits Limited India 26-Aug-21 | | | |
| J | Approve Appointment and Remuneration of Hina Nagarajan as Managing Director and Chief Executive Officer | For | Against | Remuneration concerns |
| K | Approve Mahendra Kumar Sharma to Continue Office as Independent Director | For | Against | Not in shareholders best interest |
| L | Reelect John Thomas Kennedy as Director | For | Against | Audit Concerns |
| M | Reelect Vegulaparanan Kasi Viswanathan as Director | For | Against | Gender diversity concerns |
| N | United States Cellular Corporation USA 18-May-21 | | | |
| O | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| P | United States Lime and Minerals, Inc. USA 30-Apr-21 | | | |
| Q | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| R | Elect Director Antoine M. Doumet | For | Withhold | Gender diversity concerns |
| S | Elect Director Edward A. Odishaw | For | Withhold | Remuneration concerns |
| T | Elect Director Richard W. Cardin | For | Withhold | Audit concerns |
| U | Increase Authorized Common Stock | For | Against | Insufficient rationale from the company |
| V | United States Steel Corporation USA 27-Apr-21 | | | |
| W | Elect Director David S. Sutherland | For | Abstain | Climate risk management concerns |
| X | United Utilities Group Plc United Kingdom 23-Jul-21 | | | |
| Y | Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Z | Re-elect Alison Goligher as Director | For | Abstain | Remuneration concerns |
| | Re-elect Mark Clare as Director | For | Against | Overboarding concerns |
| | Unity Bancorp, Inc. USA 13-May-21 | | | |
| | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| | Unity Biotechnology, Inc. USA 24-Jun-21 | | | |
| | Elect Director Kristina M. Burrow | For | Withhold | Overboarding concerns |
| | Unity Software Inc. USA 17-Jun-21 | | | |
| | Elect Director Roelof Botha | For | Withhold | Overboarding concerns |
| | Universal Display Corporation USA 17-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Universal Entertainment Corp. Japan 30-Mar-21 | | | |
| | Amend Articles to Add Provisions of Preferred Shares | For | Against | Not in shareholders interest |
| | Elect Director Fujimoto, Jun | For | Abstain | Independence concerns |
| | Universal Insurance Holdings, Inc. USA 11-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | remuneration concerns |
| | Elect Director Jon W. Springer | For | Against | Independence concerns |
| | Elect Director Michael A. Pietrangelo | For | Against | Remuneration concerns |
| | Universal Logistics Holdings, Inc. USA 29-Apr-21 | | | |
| | Elect Director Matthew J. Moroun | For | Withhold | Corporate governance and Strategic concerns |
| | Elect Director Matthew T. Moroun | For | Withhold | Gender diversity concerns |
| | Elect Director Tim Phillips | For | Withhold | Independence concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Universal Stainless & Alloy Products, Inc. USA 5-May-21 | | | |
| Ratify NOL Rights Plan (NOL Pill) | For | Against | Corporate governance concerns and Anti-takeover device |
| Univest Financial Corporation USA 28-Apr-21 | | | |
| Elect Director Charles H. Zimmerman | For | Withhold | Corporate governance concerns |
| UOL Group Limited Singapore 23-Apr-21 | | | |
| Approve Grant of Options and Issuance of Shares Under the UOL 2012 Share Option Scheme | For | Against | Remuneration concerns |
| Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| Elect Sim Hwee Cher as Director | For | Against | Independence concerns |
| Elect Wee Cho Yaw as Director | For | Against | Gender diversity concerns |
| Elect Wee Ee-chao as Director | For | Against | Overboarding concerns |
| UPL Limited India 6-Aug-21 | | | |
| Reelect Arun Ashar as Director | For | Against | Independence concerns |
| Urban Outfitters, Inc. USA 8-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Harry S. Cherken, Jr. | For | Against | Corporate governance concerns |
| UroGen Pharma Ltd. Israel 7-Jun-21 | | | |
| Elect Director Kathryn E. Falberg | For | Withhold | Overboarding concerns |
| Elect Director Shawn C. Tomasello | For | Withhold | Overboarding concerns |
| Urstadt Biddle Properties Inc. USA 17-Mar-21 | | | |
| Elect Director Noble O. Carpenter, Jr. | For | Against | Governance concerns |
| USANA Health Sciences, Inc. USA 10-May-21 | | | |
| Elect Director Gilbert A. Fuller | For | Withhold | Corporate governance concerns |
| USS Co., Ltd. Japan 15-Jun-21 | | | |
| Elect Director Ando, Yukihiro | For | Abstain | Independence concerns |
| Utah Medical Products, Inc. USA 7-May-21 | | | |
| Elect Director Paul O. Richins | For | Withhold | Independence concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|---|---------------------------|----------|--|
| B | V | | | |
| C | Vail Resorts, Inc. USA 8-Dec-21 | | | |
| D | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| E | Vale SA Brazil 12-Mar-21 | | | |
| F | Amend Article 11 Re: Election of the Board Members | For | Against | Lack of disclosure |
| G | Vale SA Brazil 30-Apr-21 | | | |
| H | Approve Remuneration of Company's Management and Fiscal Council | For | Against | Remuneration concerns |
| I | Elect Elaine Dorward-King as Independent Director | For | Against | Overboarding concerns |
| J | Elect Ken Yasuhara as Director | For | Against | Independence concerns |
| K | Valeo SA France 26-May-21 | | | |
| L | Approve Compensation of Jacques Aschenbroich, Chairman and CEO | For | Against | Remuneration concerns |
| M | Reelect Mari-Noelle Jego-Laveissiere as Director | For | Against | Overboarding concerns |
| N | Valero Energy Corporation USA 29-Apr-21 | | | |
| O | Elect Director Robert A. Profusek | For | Abstain | Climate risk management concerns |
| P | Valhi, Inc. USA 27-May-21 | | | |
| Q | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| R | Elect Director Thomas E. Barry | For | Withhold | Audit concerns |
| S | Valley National Bancorp USA 19-Apr-21 | | | |
| T | Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| U | Elect Director Jeffrey S. Wilks | For | Against | Independence and Corporate Governance concerns |
| V | Vantage Towers AG Germany 28-Jul-21 | | | |
| W | Approve Remuneration Policy | For | Against | Remuneration concerns |
| X | Varonis Systems, Inc. USA 25-May-21 | | | |
| Y | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Z | VARTA AG Germany 17-Jun-21 | | | |
| | Elect Harald Sommerer to the Supervisory Board | For | Against | Audit concerns |
| | Elect Michael Tojner to the Supervisory Board | For | Against | Corporate governance, Gender diversity and Independence concerns |
| | VAT Group AG Switzerland 18-May-21 | | | |
| | Ratify KPMG AG as Auditors | For | Against | Audit concerns |
| | Reappoint Martin Komischke as Member of the Nomination and Compensation Committee | For | Abstain | Gender Diversity concerns |
| | Reelect Martin Komischke as Director and Board Chairmam | For | Abstain | Gender Diversity concerns |
| | Transact Other Business (Voting) | For | Against | Technical vote |
| | Vector Group Ltd. USA 3-Jun-21 | | | |
| | Require a Majority Vote for the Election of Directors | Against | For | Supporting better corporate governance practices |
| | Vedanta Limited India 10-Aug-21 | | | |
| | Elect Dindayal Jalan as Director | For | Against | Independence concerns |
| | Reelect Upendra Kumar Sinha as Director | For | Against | Independence concerns |
| | Veeva Systems Inc. USA 23-Jun-21 | | | |
| | Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| | Provide Right to Call a Special Meeting at a 15 Percent Ownership Threshold | Against | For | Supporting better corporate governance practices |
| | Provide Right to Call Special Meeting | For | Abstain | Not in shareholders best interest |
| | Elect Director Paul Sekhri | For | Against | Overboarding and Gender diversity concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Venture Corporation Limited Singapore 29-Apr-21 | | | |
| Approve Grant of Options and Issuance of Shares Under the Venture Corporation Executives' Share Option Scheme 2015 | For | Against | Dilutive impact |
| Elect Kay Kuok Oon Kwong as Director | For | Against | Combined CEO/Chair and Overboarding concerns |
| Veolia Environnement SA France 22-Apr-21 | | | |
| Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 868 Million | For | Against | Dilutive impact |
| Veoneer, Inc. USA 10-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Verallia SA France 15-Jun-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 83 Million | For | Against | Dilutive impact |
| Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above Under Items 13-15 | For | Against | Dilutive impact |
| Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind | For | Against | Dilutive impact |
| Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 83 Million | For | Against | Dilutive impact |
| VeriSign, Inc. USA 27-May-21 | | | |
| Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| Veritex Holdings, Inc. USA 18-May-21 | | | |
| Elect Director John T. Sughrue | For | Withhold | Corporate governance concerns |
| Elect Director Manuel J. Mehos | For | Withhold | Independence concerns |
| Veritone, Inc. USA 24-Jun-21 | | | |
| Elect Director Jeff P. Gehl | For | Withhold | Corporate governance concerns |
| Verizon Communications Inc. USA 13-May-21 | | | |
| Elect Director Shellye L. Archambeau | For | Abstain | Combined CEO/Chair |
| Lower Ownership Threshold for Action by Written Consent | Against | For | Supporting better corporate governance practices |
| Verona Pharma Plc United Kingdom 27-Apr-21 | | | |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Authorise Issue of Equity | For | Against | Dilutive impact |
| Authorise Issue of Equity without Pre-emptive Rights | For | Against | Dilutive impact |
| Re-elect Martin Edwards as Director | For | Against | Overboarding concerns |
| Vertex Pharmaceuticals Incorporated USA 19-May-21 | | | |
| Elect Director Terrence Kearney | For | Against | Audit concerns |
| Ratify Ernst & Young LLP as Auditors | For | Against | Audit concerns |
| Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practice |
| Report on Lobbying Payments and Policy | Against | For | Supporting better corporate governance practices |
| Report on Political Contributions and Expenditures | Against | For | Supporting better corporate governance practice |
| Report on Political Contributions and Expenditures | Against | For | Supporting better corporate governance practices |
| Vertex, Inc. USA 11-May-21 | | | |
| Elect Director Amanda Westphal Radcliffe | For | Withhold | Corporate governance concerns |
| Elect Director Kevin Robert | For | Withhold | Corporate governance concerns |
| Elect Director Stefanie Westphal Thompson | For | Withhold | Corporate governance concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
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| B | Vertiv Holdings Co. USA 15-Jun-21 | | | |
| C | Elect Director Jacob Kotzubei | For | Against | Independence concerns |
| D | Elect Director Joseph van Dokkum | For | Against | Corporate Governance and Gender diversity concerns |
| E | ViacomCBS Inc. USA 25-May-21 | | | |
| F | Adopt Proxy Access Right | Against | For | Supporting better corporate governance practices |
| G | Viatis Inc. USA 10-Dec-21 | | | |
| H | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| I | Viavi Solutions Inc. USA 10-Nov-21 | | | |
| J | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| K | Vicinity Centres Australia 10-Nov-21 | | | |
| L | Approve Technology Amendments to the Company's Constitution | For | Against | Corporate Governance concerns |
| M | Approve Technology Amendments to the Trust's Constitution | For | Against | Corporate Governance concerns |
| N | Elect Clive Appleton as Director | For | Against | Independence concerns |
| O | Elect Tim Hammon as Director | For | Abstain | Gender Diversity concerns |
| P | Vicor Corporation USA 25-Jun-21 | | | |
| Q | Elect Director Estia J. Eichten | For | Withhold | Gender Diversity concerns |
| R | Elect Director Jason L. Carlson | For | Withhold | Audit concerns |
| S | Victoria Plc United Kingdom 7-Sep-21 | | | |
| T | Accept Financial Statements and Statutory Reports | For | Against | Remuneration concerns |
| U | Elect Blake Ressel as Director | For | Against | Independence concerns |
| V | Re-elect Gavin Petken as Director | For | Against | Audit and Independence concerns |
| W | Vidler Water Resources, Inc. USA 10-Jun-21 | | | |
| X | Elect Director Maxim C.W. Webb | For | Withhold | Independence concerns |
| Y | Vifor Pharma AG Switzerland 6-May-21 | | | |
| Z | Transact Other Business (Voting) | For | Against | Technical vote |
| | Vir Biotechnology, Inc. USA 20-May-21 | | | |
| | Elect Director Robert Nelsen | For | Withhold | Overboarding concerns |
| | Virgin Galactic Holdings, Inc. USA 25-Aug-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Adam Bain | For | Withhold | Corporate governance concerns |
| | Virgin Money UK Plc United Kingdom 25-Feb-21 | | | |
| | Approve Remuneration Report | For | Against | Remuneration concerns |
| | Re-elect Darren Pope as Director | For | Against | Remuneration concerns |
| | Re-elect David Bennett as Director | For | Abstain | Gender diversity concerns |
| | VirnetX Holding Corporation USA 3-Jun-21 | | | |
| | Elect Director Robert D. Short, III | For | Withhold | Independence concerns |
| | Elect Director Thomas M. O'Brien | For | Withhold | Gender diversity concerns |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Visa Inc. USA 26-Jan-21 | | | |
| | Elect Director John A. C. Swainson | For | Abstain | Combined CEO/Chair |
| | Provide Right to Act by Written Consent | Against | For | Supporting better corporate governance practices |
| | Vista Oil & Gas SAB de CV Mexico 14-Dec-21 | | | |
| | Approve Reduction in Variable Portion of Company's Capital | For | Abstain | Insufficient information |
| | Vistra Corp. USA 28-Apr-21 | | | |
| | Elect Director Scott B. Helm | For | Abstain | Climate risk management concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|---|
| Vital Farms, Inc. USA 9-Jun-21 | | | |
| Elect Director Brent Drever | For | Withhold | Corporate governance concerns |
| Vitasoy International Holdings Limited Hong Kong 23-Aug-21 | | | |
| Authorize Reissuance of Repurchased Shares | For | Against | Issue price discount not disclosed |
| Elect Anthony John Liddell Nightingale as Director | For | Against | Overboarding concerns |
| Elect David Kwok-po Li as Director | For | Against | Gender diversity, Independence concerns and Overboarding concerns |
| Vivendi SE France 22-Jun-21 | | | |
| Approve Compensation of Arnaud de Puyfontaine, Chairman of the Management Board | For | Against | Remuneration concerns |
| Approve Compensation of Cedric de Baillencourt, Management Board Member | For | Against | Remuneration concerns |
| Approve Compensation of Frederic Crepin, Management Board Member | For | Against | Remuneration concerns |
| Approve Compensation of Gilles Alix, Management Board Member | For | Against | Remuneration concerns |
| Approve Compensation of Herve Philippe, Management Board Member | For | Against | Remuneration concerns |
| Approve Compensation of Simon Gillham, Management Board Member | For | Against | Remuneration concerns |
| Approve Compensation of Stephane Roussel, Management Board Member | For | Against | Remuneration concerns |
| Approve Compensation of Yannick Bollore, Chairman of the Supervisory Board | For | Against | Remuneration concerns/Poor response to prior dissent |
| Approve Compensation Report | For | Against | Remuneration concerns/Poor response to prior dissent |
| Approve Remuneration Policy of Chairman of the Management Board | For | Against | Remuneration concerns |
| Approve Remuneration Policy of Management Board Members | For | Against | Remuneration concerns |
| Approve Remuneration Policy of Supervisory Board Members and Chairman | For | Against | Remuneration concerns |
| Authorize Specific Buyback Program and Cancellation of Repurchased Share | For | Against | Not in shareholders best interest |
| Authorize up to 1 Percent of Issued Capital for Use in Restricted Stock Plans | For | Against | Not in shareholders best interest |
| Vivint Smart Home, Inc. USA 2-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Peter F. Wallace | For | Withhold | Gender diversity concerns |
| VollitionRx Limited USA 17-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Lack of disclosure |
| Classify the Board of Directors | For | Against | Not in shareholders best interest |
| Elect Director Edward Fitcher | For | Withhold | Corporate Governance concerns |
| Elect Director Guy Innes | For | Withhold | Audit concerns |
| Restrict Right to Act by Written Consent | For | Against | Not in shareholders best interest |
| Restrict Right to Call Special Meeting | For | Against | Not in shareholders best interest |

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| A B C D E F G H I J K L M N O P Q R S T U V W X Y Z | Company Name | | | Management Recommendation | Our Vote | Rationale |
|--|--|---------|-----------|---------------------------|----------|---|
| | Volkswagen AG | Germany | 22-Jul-21 | | | |
| | Approve Discharge of Management Board Member A. Renschler (until July 15, 2020) for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Management Board Member A. Schot (until March 31, 2020) for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Management Board Member F. Witter for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Management Board Member G. Kilian for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Management Board Member H. D. Werner for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Management Board Member H. Diess for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Management Board Member M. Duesmann (from April 1, 2020) for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Management Board Member O. Blume for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Management Board Member S. Sommer (until June 30, 2020) for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member A. Stimoniari for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member B. Althusmann for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member B. Murkovic for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member B. Osterloh for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member C. Schoenhardt for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member F.O. Porsche for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member H. S. Al Jaber for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member H.A. Al Abdulla for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member H.D. Poetsch for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member H.M. Piech for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member H.-P. Fischer for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |
| | Approve Discharge of Supervisory Board Member J. Hofmann for Fiscal Year 2020 | | | For | Against | Corporate governance concerns and Not in shareholders best interest |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|---|
| Approve Discharge of Supervisory Board Member J. Jaervklo (until May 29, 2020) for Fiscal Year 2020 | For | Against | Corporate governance concerns and Not in shareholders best interest |
| Approve Discharge of Supervisory Board Member K. Bliesener (from June 20, 2020) for Fiscal Year 2020 | For | Against | Corporate governance concerns and Not in shareholders best interest |
| Approve Discharge of Supervisory Board Member L. Kiesling for Fiscal Year 2020 | For | Against | Corporate governance concerns and Not in shareholders best interest |
| Approve Discharge of Supervisory Board Member M. Heiss for Fiscal Year 2020 | For | Against | Corporate governance concerns and Not in shareholders best interest |
| Approve Discharge of Supervisory Board Member P. Mosch for Fiscal Year 2020 | For | Against | Corporate governance concerns and Not in shareholders best interest |
| Approve Discharge of Supervisory Board Member S. Weil for Fiscal Year 2020 | For | Against | Corporate governance concerns and Not in shareholders best interest |
| Approve Discharge of Supervisory Board Member U. Jakob for Fiscal Year 2020 | For | Against | Corporate governance concerns and Not in shareholders best interest |
| Approve Discharge of Supervisory Board Member W. Porsche for Fiscal Year 2020 | For | Against | Corporate governance concerns and Not in shareholders best interest |
| Approve Discharge of Supervisory Board Member W. Weresch for Fiscal Year 2020 | For | Against | Corporate governance concerns and Not in shareholders best interest |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Elect Hans Poetsch to the Supervisory Board | For | Against | Independence concerns |
| Elect Louise Kiesling to the Supervisory Board | For | Against | Independence concerns |
| Ratify Ernst & Young GmbH as Auditors for Fiscal Year 2021 | For | Against | Concerns regarding audit fees |
| Vonage Holdings Corp. USA 3-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Stephen Fisher | For | Against | Remuneration concerns |
| Vontier Corporation USA 19-May-21 | | | |
| Elect Director Karen C. Francis | For | Against | Governance concerns |
| voxeljet AG Germany 26-May-21 | | | |
| Approve Creation of EUR 3 Million Pool of Capital without Preemptive Rights | For | Against | Excessive issuance |
| Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 31 Million; Approve Creation of EUR 1.9 Million Pool of Capital to Guarantee Conversion Rights | For | Against | Excessive issuance |
| VOXX International Corporation USA 29-Jul-21 | | | |
| Elect Director Denise Waund Gibson | For | Withhold | Corporate Governance Concerns |
| VPC Impact Acquisition Holdings Cayman Islands 14-Oct-21 | | | |
| Adopt Supermajority Vote Requirement for Removal of Directors | For | Against | Not in shareholders best interest |
| Adopt Supermajority Vote Requirement to Amend Certificate of Incorporation | For | Against | Not in shareholders best interest |
| Approve Issuance of Shares for a Private Placement | For | Against | Not in shareholders best interest |
| Approve SPAC Transaction | For | Against | Not in shareholders best interest |
| Change Jurisdiction of Incorporation from Cayman Islands to the State of Delaware | For | Against | Not in shareholders best interest |
| Elect Michelle Goldberg, Gavin Michael, Kristyn Cook, Gordon Watson, David C. Clifton, Sean Collins, Andrew A. Main and Richard Lumb as Directors | For | Abstain | Bundled election |
| Eliminate Right to Act by Written Consent | For | Against | Not in shareholders best interest |
| Vroom, Inc. USA 24-Jun-21 | | | |
| Elect Director Robert J. Mylod, Jr. | For | Withhold | Corporate Governance concerns |
| Elect Director Scott A. Dahnke | For | Withhold | Corporate Governance concerns |

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| A | Company Name | | | Management Recommendation | Our Vote | Rationale |
|---|--|--|--|---------------------------|----------|---------------------------|
| | VSTECs Holdings Limited Cayman Islands 25-May-21 | | | | | |
| B | | | | | | |
| C | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | | | For | Against | Excessive issuance |
| D | Authorize Reissuance of Repurchased Shares | | | For | Against | Excessive issuance |
| E | Elect Wang Xiaolong as Director | | | For | Against | Combined CEO/Chair |
| F | VZ Holding AG Switzerland 12-Apr-21 | | | | | |
| G | Reelect Albrecht Langhart as Director | | | For | Against | Independence concerns |
| H | Reelect Fred Kindle as Director and Board Chairman | | | For | Against | Gender diversity concerns |
| I | Transact Other Business (Voting) | | | For | Against | Technical vote |
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| Company Name | Management Recommendation | Our Vote | Rationale |
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| W | | | |
| W&T Offshore, Inc. USA 4-May-21 | | | |
| Elect Director Virginia Boulet | For | Withhold | Corporate governance concerns |
| W. R. Berkley Corporation USA 15-Jun-21 | | | |
| Elect Director Mark L. Shapiro | For | Against | Corporate governance concerns |
| W. R. Grace & Co. USA 17-Sep-21 | | | |
| Advisory Vote on Golden Parachutes | For | Against | Not in shareholders best interest |
| Waitr Holdings Inc. USA 15-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Elect Director Jonathan Green | For | Withhold | Independence and Gender diversity concerns |
| Wakita & Co., Ltd. Japan 27-May-21 | | | |
| Approve Additional Allocation of Income so that Payout Ratio Will Come to 100 Percent | Against | For | Supporting better corporate governance practices |
| Elect Director and Audit Committee Member Ishikura, Hirokatsu | For | Against | Independence concerns |
| Elect Director Wakita, Teiji | For | Abstain | Independence concerns |
| Walgreens Boots Alliance, Inc. USA 28-Jan-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Pay and performance disconnect |
| Elect Director Nancy M. Schlichting | For | Against | Remuneration concerns |
| Require Independent Board Chair | Against | For | Supporting better corporate governance practices |
| Wal-Mart de Mexico SAB de CV Mexico 23-Mar-21 | | | |
| Approve Board of Directors' Report | For | Abstain | Insufficient information |
| Approve CEO's Report and Board Opinion on CEO's Report | For | Abstain | Insufficient information |
| Approve Consolidated Financial Statements | For | Abstain | Insufficient information |
| Approve Discharge of Board of Directors and Officers | For | Abstain | Insufficient information |
| Approve Report of Audit and Corporate Practices Committees | For | Abstain | Insufficient information |
| Walmart Inc. USA 2-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Report on Lobbying Payments and Policy | Against | For | Supporting better ESG risk management disclosures |
| Want Want China Holdings Limited Cayman Islands 17-Aug-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect Tsai Shao-Chung as Director | For | Against | Independence and Gender diversity concerns |
| Warehouse REIT Plc United Kingdom 13-Sep-21 | | | |
| Re-elect Neil Kirton as Director | For | Against | Corporate Governance concerns |
| Re-elect Simon Hope as Director | For | Against | Independence concerns |
| Warrior Met Coal, Inc. USA 27-Apr-21 | | | |
| Elect Director Alan H. Schumacher | For | Withhold | Overboarding concerns |
| Elect Director Stephen D. Williams | For | Withhold | ESG risk management concerns |
| Wartsila Oyj Abp Finland 4-Mar-21 | | | |
| Reelect Maarit Aarni-Sirvio, Karen Bomba, Karin Falk, Johan Forssell, Tom Johnstone (Chair), Risto Murto (Vice Chair) and Mats Rahmstrom as Directors; Elect Tiina Tuomela as New Director | For | Abstain | Bundled elections and Independence concerns |
| Washington H. Soul Pattinson and Company Limited Australia 10-Dec-21 | | | |
| Approve the Amendments to the Company's Constitution | For | Against | Lack of disclosure |
| Elect Michael John Hawker as Director | For | Abstain | Gender Diversity concerns/ Climate Risk concerns |
| Elect Warwick Martin Negus as Director | For | Against | Overboarding concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|---|
| B | Watsco, Inc. USA 7-Jun-21 | | | |
| C | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| D | Approve Omnibus Stock Plan | For | Against | Remuneration concerns |
| E | Elect Director George P. Sape | For | Withhold | Gender diversity and Remuneration concerns |
| F | Elect Director George P. Sape | For | Withhold | Gender diversity concerns and Remuneration concerns |
| G | Wayfair Inc. USA 11-May-21 | | | |
| H | Elect Director Michael Kumin | For | Abstain | Corporate governance concerns |
| I | WEG SA Brazil 27-Apr-21 | | | |
| J | Elect Fiscal Council Members | For | Abstain | Corporate governance concerns |
| K | Weingarten Realty Investors USA 26-Apr-21 | | | |
| L | Elect Director Douglas W. Schnitzer | For | Abstain | Gender diversity concerns |
| M | Weis Markets, Inc. USA 29-Apr-21 | | | |
| N | Elect Director Gerald B. Silverman | For | Withhold | Gender diversity concerns |
| O | Require a Majority Vote for the Election of Directors | Against | For | Supporting better corporate governance practices |
| P | Require Independent Board Chairman | Against | For | Supporting better corporate governance practices |
| Q | Welbilt, Inc. USA 23-Apr-21 | | | |
| R | Elect Director Andrew Langham | For | Against | Overboarding concerns |
| S | Wesfarmers Limited Australia 21-Oct-21 | | | |
| T | Elect Simon William (Bill) English as Director | For | Abstain | Audit concerns |
| U | West Japan Railway Co. Japan 23-Jun-21 | | | |
| V | Elect Director Tsutsui, Yoshinobu | For | Against | Independence concerns |
| W | Western Alliance Bancorp. USA 15-Jun-21 | | | |
| X | Elect Director Donald Snyder | For | Withhold | Gender diversity concerns |
| Y | Western Digital Corporation USA 16-Nov-21 | | | |
| Z | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | Elect Director Stephanie A. Streeter | For | Against | Remuneration Concerns |
| | Westlake Chemical Corporation USA 13-May-21 | | | |
| | Elect Director James Y. Chao | For | Withhold | Independence concerns |
| | Elect Director John T. Chao | For | Withhold | Independence concerns |
| | Elect Director R. Bruce Northcutt | For | Withhold | Gender diversity concerns |
| | Westpac Banking Corp. Australia 15-Dec-21 | | | |
| | Elect Nerida Caesar as Director | For | Against | Corporate Governance concerns |
| | WEX Inc. USA 4-Jun-21 | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| | WH Group Limited Cayman Islands 1-Jun-21 | | | |
| | Authorize Reissuance of Repurchased Shares | For | Against | Lack of disclosure |
| | Elect Ma Xiangjie as Director | For | Against | Gender diversity concerns |
| | Wharf Real Estate Investment Company Limited Cayman Islands 6-May-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Excessive issuance and Lack of disclosure |
| | Authorize Reissuance of Repurchased Shares | For | Against | Excessive issuance and Lack of disclosure |
| | Elect Paul Yiu Cheung Tsui as Director | For | Against | Gender diversity concerns |
| | Whirlpool Of India Limited India 17-Aug-21 | | | |
| | Elect Arvind Uppal as Director | For | Abstain | Gender diversity concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|--|---------------------------|----------|--|
| Whitbread Plc United Kingdom 17-Jun-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Frank Fiskers as Director | For | Abstain | Remuneration concerns |
| Re-elect Frank Fiskers as Director | For | Against | Remuneration concerns |
| Whitehaven Coal Limited Australia 27-Oct-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Elect Fiona Robertson as Director | For | Abstain | Climate risk management concerns |
| Elect Lindsay Ward as Director | For | Against | Remuneration concerns |
| Whitestone REIT USA 13-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Elect Director Jack L. Mahaffey | For | Withhold | Corporate Governance concerns |
| Whiting Petroleum Corporation USA 11-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Williams-Sonoma, Inc. USA 2-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Willis Lease Finance Corporation USA 10-Nov-21 | | | |
| Elect Director Austin C. Willis | For | Withhold | Corporate Governance concerns |
| Elect Director Robert J. Keady | For | Withhold | Remuneration concerns |
| WillScot Mobile Mini Holdings Corp. USA 11-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Wilmar International Limited Singapore 15-Apr-21 | | | |
| Approve Grant of Options and Issuance of Shares Under the Wilmar Executives Share Option Scheme 2019 | For | Against | Not in shareholders best interest |
| Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Dilutive impact |
| Approve Renewal of Mandate for Interested Person Transactions | For | Against | Not in shareholders best interest |
| Elect Lim Siong Guan as Director | For | Abstain | Combined CEO/Chair and Gender diversity concerns |
| Wintrust Financial Corporation USA 27-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| WiseKey International Holding Ltd. Switzerland 25-May-21 | | | |
| Approve Creation of CHF 1.6 Million Pool of Conditional Capital without Preemptive Rights | For | Against | Dilutive impact |
| Approve Creation of CHF 1.7 Million Pool of Authorized Capital without Preemptive Rights | For | Against | Dilutive impact |
| Reappoint David Fergusson as Member of the Nomination and Compensation Committee | For | Against | Corporate Governance concerns |
| Reelect Carlos Moreira as Board Chairman | For | Against | Corporate governance concerns |
| Reelect David Fergusson as Director | For | Against | Combined CEO/Chair and Corporate Governance concerns |
| Reelect Peter Ward as Director | For | Against | Independence concerns |
| Wisetech Global Limited Australia 19-Nov-21 | | | |
| Approve the Amendments to the Company's Constitution | For | Against | Not in shareholders best interest |
| Elect Charles Gibbon as Director | For | Against | Independence concerns |
| Elect Teresa Engelhard as Director | For | Abstain | Independence concerns |
| Wix.com Ltd. Israel 8-Nov-21 | | | |
| Reelect Ron Gutler as Director | For | Against | Lack of disclosure |
| Wm Morrison Supermarkets Plc United Kingdom 10-Jun-21 | | | |
| Approve Remuneration Report | For | Abstain | Remuneration concerns |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Re-elect Kevin Havelock as Director | For | Abstain | Remuneration concerns |
| Re-elect Kevin Havelock as Director | For | Against | Remuneration concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | WNS (Holdings) Limited Jersey 23-Sep-21 | | | |
| C | Reelect Francoise Gri as a Director | For | Against | Corporate Governance concerns |
| D | Wolfspeed, Inc. USA 25-Oct-21 | | | |
| E | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| F | Elect Director Thomas H. Werner | For | Withhold | Remuneration concerns |
| G | Woodside Petroleum Ltd. Australia 15-Apr-21 | | | |
| H | Approve Capital Protection | Against | For | In shareholders best interest |
| I | Elect Christopher Haynes as Director | For | Abstain | Independence concerns |
| J | Elect Richard Goyder as Director | For | Abstain | Gender diversity concerns |
| K | Woolworths Group Limited Australia 27-Oct-21 | | | |
| L | Elect Gordon Cairns as Director | For | Abstain | Audit concerns |
| M | Woori Financial Group, Inc. South Korea 26-Mar-21 | | | |
| N | Elect Chang Dong-woo as Outside Director | For | Against | Gender diversity concerns |
| O | Workday, Inc. USA 8-Jun-21 | | | |
| P | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Q | World Fuel Services Corporation USA 21-May-21 | | | |
| R | Elect Director Richard A. Kassar | For | Withhold | Independence concerns |
| S | World Wrestling Entertainment, Inc. USA 27-May-21 | | | |
| T | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| U | Worldline SA France 20-May-21 | | | |
| V | Approve Compensation of Gilles Grapinet, Chairman and CEO | For | Against | Remuneration concerns |
| W | Approve Compensation of Marc-Henri Desportes, Vice-CEO | For | Against | Remuneration concerns |
| X | Renew Appointment of Johannes Dijsselhof as Censor | For | Abstain | Not in shareholders best interest |
| Y | Worley Limited Australia 3-Nov-21 | | | |
| Z | Elect Andrew Liveris as Director | For | Against | Overboarding concerns |
| | Worthington Industries, Inc. USA 29-Sep-21 | | | |
| | Report on Climate Policy | Against | For | Supporting better corporate governance practices |
| | Wuliangye Yibin Co., Ltd. China 18-Jun-21 | | | |
| | Amend Articles of Association | For | Against | Not in shareholders best interest |
| | Elect Jiang Wenchun as Supervisor | For | Against | Independence concerns |
| | WuXi AppTec Co., Ltd. China 13-May-21 | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for A Shares and/or H Shares | For | Against | Excessive issuance |
| | WuXi AppTec Co., Ltd. China 30-Aug-21 | | | |
| | Approve Adoption of the 2021 H Share Award and Trust Scheme | For | Against | Not in shareholders best interest |
| | Approve Adoption of the 2021 Shareholder Alignment Incentive H Share Scheme | For | Against | Not in shareholders best interest |
| | Approve Grant of Awards to the Connected Selected Participants Under the 2021 H Share Award and Trust Scheme | For | Against | Not in shareholders best interest |
| | Approve Grant of SAI Awards to the SAI Connected Selected Participants Under the 2021 Shareholder Alignment Incentive H Share Scheme | For | Against | Not in shareholders best interest |
| | Authorize Board and/or the Delegatee to Handle Matters Pertaining to the 2021 H Share Award and Trust Scheme with Full Authority | For | Against | Not in shareholders best interest |
| | Authorize Board and/or the SAI Delegatee to Handle Matters Pertaining to the 2021 Shareholder Alignment Incentive H Share Scheme with Full Authority | For | Against | Not in shareholders best interest |
| | Wuxi Biologics (Cayman) Inc. Cayman Islands 16-Jun-21 | | | |
| | Authorize Reissuance of Repurchased Shares | For | Against | Excessive issuance |
| | Wuxi Biologics (Cayman) Inc. Cayman Islands 23-Nov-21 | | | |
| | Adopt Subsidiary Share Option Schemes of WuXi Vaccines (Cayman) Inc. and WuXi XDC Cayman Inc. | For | Against | Not in shareholders best interest |

| Company Name | Management Recommendation | Our Vote | Rationale |
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| Wynn Macau Limited Cayman Islands 27-May-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Remuneration concerns |
| Approve Issuance of Shares Under the Employee Ownership Scheme | For | Against | Remuneration concerns |
| Authorize Reissuance of Repurchased Shares | For | Against | Remuneration concerns |
| Elect Jeffrey Kin-fung Lam as Director | For | Against | Overboarding and Gender diversity concerns |
| Elect Jeffrey Kin-fung Lam as Director | For | Against | Overboarding concern and Gender diversity concerns |

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| A B C D E F G H I J K L M N O P Q R S T U V W X Y Z | Company Name | | | Management Recommendation | Our Vote | Rationale |
|--|---|--|--|---------------------------|----------|--|
| | X | | | | | |
| | X4 Pharmaceuticals, Inc. USA 2-Jun-21 | | | | | |
| | Elect Director Michael S. Wyzga | | | For | Withhold | Overboarding concerns |
| | X5 Retail Group NV Netherlands 12-May-21 | | | | | |
| | Approve Remuneration Report | | | For | Against | Remuneration concerns |
| | Reelect Stephan DuCharme to Supervisory Board | | | For | Abstain | Gender diversity concerns |
| | Xenia Hotels & Resorts, Inc. USA 18-May-21 | | | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | | | For | Against | Remuneration concerns |
| | Elect Director Thomas M. Gartland | | | For | Against | Remuneration concerns |
| | Xerox Holdings Corporation USA 20-May-21 | | | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | | | For | Against | Remuneration concerns |
| | Provide Right to Act by Written Consent | | | Against | For | Supporting better corporate governance practices |
| | Xiaomi Corporation Cayman Islands 25-Oct-21 | | | | | |
| | Adopt Xiaomi EV Share Option Scheme and Related Transactions | | | For | Against | Remuneration concerns |
| | Xinyi Glass Holdings Ltd. Cayman Islands 28-May-21 | | | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | | | For | Against | Excessive issuance |
| | Authorize Reissuance of Repurchased Shares | | | For | Against | Lack of disclosure |
| | XP, Inc. Cayman Islands 1-Oct-21 | | | | | |
| | Re-elect Current Board Members as Directors | | | For | Abstain | Bundled election |
| | XPEL, Inc. USA 27-May-21 | | | | | |
| | Elect Director John A. Constantine | | | For | Withhold | Gender diversity concerns |
| | XPeng, Inc. Cayman Islands 8-Dec-21 | | | | | |
| | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Additional Class A Ordinary Shares | | | For | Against | Dilutive impact |
| | Authorize Reissuance of Repurchased Shares | | | For | Against | Dilutive impact |
| | XPO Logistics, Inc. USA 11-May-21 | | | | | |
| | Advisory Vote to Ratify Named Executive Officers' Compensation | | | For | Against | Remuneration concerns |
| | Limit Accelerated Vesting of Equity Awards Upon a Change in Control | | | Against | For | Supporting better corporate governance practices |
| | Report on Lobbying Payments and Policy | | | Against | For | Supporting better corporate governance practices |
| | Require Independent Board Chair | | | Against | For | Supporting better corporate governance practices |
| | Xylem Inc. USA 12-May-21 | | | | | |
| | Elect Director Victoria D. Harker | | | For | Against | Overboarding concerns |

| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Y | | | |
| Yageo Corp. Taiwan 7-Jul-21 | | | |
| Elect Shih-Chien Yang, a Representative of Hsu Chang Investment Ltd with Shareholder No. 99108 as Non-independent Director | For | Against | Attendance concerns |
| Elect Tzone-Yeong Lin, a Representative of Hsu Chang Investment Ltd with Shareholder No. 99108 as Non-independent Director | For | Against | Attendance concerns |
| Yamaguchi Financial Group, Inc. Japan 25-Jun-21 | | | |
| Amend Articles to Require Individual Compensation Disclosure for Directors | Against | For | Supporting better corporate governance practices |
| Yamaha Motor Co., Ltd. Japan 24-Mar-21 | | | |
| Elect Director Nakata, Takuya | For | Against | Independence concerns |
| Elect Director Yanagi, Hiroyuki | For | Abstain | Independence concerns |
| Yandex NV Netherlands 28-Jun-21 | | | |
| Authorize Board to Exclude Preemptive Rights from Share Issuances | For | Against | Dilutive impact |
| Grant Board Authority to Issue Class A Shares | For | Against | Dilutive impact |
| Reelect John Boynton as Non-Executive Director | For | Abstain | Gender diversity concerns |
| Yangzijiang Shipbuilding (Holdings) Ltd. Singapore 30-Apr-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights | For | Against | Strategic concerns |
| Elect Chen Timothy Teck-Leng @ Chen Teck Leng as Director | For | Abstain | Gender diversity and Combined CEO/Chair |
| yappli, Inc. Japan 30-Mar-21 | | | |
| Approve Stock Option Plan | For | Against | Remuneration concerns |
| Elect Director Ihara, Yasubumi | For | Abstain | Independence concerns |
| YASKAWA Electric Corp. Japan 26-May-21 | | | |
| Elect Director Tsuda, Junji | For | Abstain | Independence concerns |
| Yellow Corporation USA 27-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Yext, Inc. USA 8-Jun-21 | | | |
| Elect Director Julie Richardson | For | Withhold | Audit concerns |
| YIT Oyj Finland 18-Mar-21 | | | |
| Approve Remuneration Report | For | Against | Remuneration concerns |
| Reelect Harri-Pekka Kaukonen (Chairman), Eero Heliovaara (Vice Chair), Alexander Ehrnrooth, Frank Hyldmar, Olli-Petteri Lehtinen, Kristina Pentti-von Walzel, Barbara Topolska and Tiina Tuomela as Directors | For | Against | Independence concerns |
| Y-mAbs Therapeutics, Inc. USA 10-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| Yorozu Corp. Japan 22-Jan-21 | | | |
| Amend Articles to Authorize Shareholder Meeting to Determine Abolition of Takeover Defense Plan (Poison Pill) | Against | For | Sufficient rationale from the company |
| Yorozu Corp. Japan 29-Jun-21 | | | |
| Approve Takeover Defense Plan (Poison Pill) | For | Against | Not in shareholders best interest |
| Elect Director Moriya, Hiroshi | For | Against | Independence concerns |
| Elect Director Shido, Akihiko | For | Abstain | Independence concerns |
| YouGov Plc United Kingdom 7-Dec-21 | | | |
| Re-elect Roger Parry as Director | For | Against | Gender diversity and Independence concerns |
| Youngone Corp. South Korea 31-Mar-21 | | | |
| Elect Cho Whan-bok as a Member of Audit Committee | For | Against | Attendance concerns |
| Elect Cho Whan-bok as Outside Director | For | Against | Attendance concerns |
| Elect Seo Jin-seok as Outside Director to Serve as an Audit Committee Member | For | Against | Independence concerns |
| Elect Seong Rae-eun as Inside Director | For | Against | Independence concerns |

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| A | Company Name | | | Management Recommendation | Our Vote | Rationale |
|---|--|--|--|---------------------------|----------|-----------------------|
| B | YPF SA Argentina 30-Apr-21 | | | | | |
| C | Elect Directors and Their Alternates for Class D Shares and Determination of their Tenure | | | For | Abstain | Bundled election |
| D | Elect One Member of Internal Statutory Auditors Committee and Alternate for Class D Shares | | | For | Abstain | Lack of disclosure |
| E | Yue Yuen Industrial (Holdings) Limited Bermuda 28-May-21 | | | | | |
| F | Authorize Reissuance of Repurchased Shares | | | For | Against | Lack of disclosure |
| G | Elect Wong Hak Kun as Director | | | For | Against | Overboarding concerns |
| H | YUM! Brands, Inc. USA 11-May-21 | | | | | |
| I | Advisory Vote to Ratify Named Executive Officers' Compensation | | | For | Against | Remuneration concerns |
| J | Elect Director Tanya L. Domier | | | For | Against | Overboarding concerns |
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| Company Name | Management Recommendation | Our Vote | Rationale |
|---|---------------------------|----------|--|
| Z | | | |
| Z Holdings Corp. Japan 18-Jun-21 | | | |
| Amend Articles to Allow Virtual Only Shareholder Meetings | For | Against | Not in shareholders best interest |
| Amend Articles to Allow Virtual Only Shareholder Meetings | For | Against | Not in shareholders interest |
| Zalando SE Germany 19-May-21 | | | |
| Approve Remuneration Policy | For | Abstain | Remuneration concerns |
| Approve Remuneration Policy | For | Against | Remuneration concerns |
| Zedge, Inc. USA 11-Jan-21 | | | |
| Amend Omnibus Stock Plan | For | Against | Remuneration concerns |
| Elect Director Howard S. Jonas | For | Against | Overboarding concerns |
| Elect Director Mark Ghermezian | For | Against | Gender diversity concerns |
| ZEON Corp. Japan 29-Jun-21 | | | |
| Appoint Statutory Auditor Hayashi, Sachio | For | Against | Independence concerns |
| Elect Director Nagumo, Tadanobu | For | Against | Independence concerns |
| Elect Director Tanaka, Kimiaki | For | Abstain | Independence and gender diversity concerns |
| Zhejiang Sanhua Intelligent Controls Co., Ltd. China 16-Apr-21 | | | |
| Approve to Appoint Auditor | For | Against | Lack of disclosure |
| Zhejiang Sanhua Intelligent Controls Co., Ltd. China 3-Aug-21 | | | |
| Elect Mo Yang as Supervisor | For | Against | Independence concerns |
| Zhongsheng Group Holdings Limited Cayman Islands 18-Jun-21 | | | |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Authorize Reissuance of Repurchased Shares | For | Against | Dilutive impact |
| Elect Shen Jinjun as Director | For | Against | Gender diversity concerns |
| Zillow Group, Inc. USA 8-Jun-21 | | | |
| Advisory Vote on Say on Pay Frequency | Three Years | One Year | Technical vote |
| ZIOPHARM Oncology, Inc. USA 19-May-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Poor response to prior dissent |
| Zoetis Inc. USA 20-May-21 | | | |
| Adopt Simple Majority Vote | Against | For | Supporting better corporate governance practices |
| Zogenix, Inc. USA 27-May-21 | | | |
| Elect Director James B. Breitmeyer | For | Against | Overboarding concerns |
| Zomato Ltd. India 18-Sep-21 | | | |
| Approve Extension of Benefits of Foodie Bay Employee Stock Option Plan 2014 (ESOP 2014) to the Employees of the Subsidiary Company(ies) | For | Against | Not in shareholders best interest |
| Approve Extension of Benefits of Zomato Employee Stock Option Plan 2018 (ESOP 2018) to the Employees of the Subsidiary Company(ies) | For | Against | Not in shareholders best interest |
| Approve Extension of Benefits of Zomato Employee Stock Option Plan 2021 (ESOP 2021) to the Employees of the Subsidiary Company(ies) | For | Against | Not in shareholders best interest |
| Approve Grant of Options Under Foodie Bay Employee Stock Option Plan 2014 (ESOP 2014) | For | Against | Not in shareholders best interest |
| Approve Grant of Options Under Zomato Employee Stock Option Plan 2018 (ESOP 2018) | For | Against | Not in shareholders best interest |
| Approve Grant of Options Under Zomato Employee Stock Option Plan 2021 (ESOP 2021) | For | Against | Not in shareholders best interest |
| Zoom Video Communications, Inc. USA 17-Jun-21 | | | |
| Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| ZOZO, Inc. Japan 25-Jun-21 | | | |
| Elect Director Sawada, Kotaro | For | Abstain | Independence and gender diversity concerns |

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| A | Company Name | Management Recommendation | Our Vote | Rationale |
|---|--|---------------------------|----------|--|
| B | Zscaler, Inc. USA 6-Jan-21 | | | |
| C | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| D | Zuora, Inc. USA 22-Jun-21 | | | |
| E | Elect Director Kenneth A. Goldman | For | Withhold | Overboarding concerns |
| F | Zur Rose Group AG Switzerland 29-Apr-21 | | | |
| G | Transact Other Business (Voting) | For | Against | Technical vote |
| H | Zurich Insurance Group AG Switzerland 7-Apr-21 | | | |
| I | Reelect Jeffrey Hayman as Director (pro-forma vote as Jeffrey Hayman is not available for appointment) | For | Abstain | Proposal withdrawn |
| J | Transact Other Business (Voting) | For | Against | Technical vote |
| K | Zynex, Inc. USA 20-May-21 | | | |
| L | Elect Director Joshua R. Disbrow | For | Withhold | Corporate governance and Gender diversity concerns |
| M | Elect Director Michael Cress | For | Withhold | Corporate governance concerns |
| N | Zynga Inc. USA 17-May-21 | | | |
| O | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Remuneration concerns |
| P | Elect Director William "Bing" Gordon | For | Against | Corporate Governance concerns |
| Q | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Supporting better corporate governance practices |
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