

**Threadneedle (Lux)**  
Société d'Investissement à Capital Variable  
Registered Office: 31 Z.A. Bourmicht, L-8070 Bertrange  
Grand Duchy of Luxembourg  
R.C.S. Luxembourg B 50 216  
(the “**SICAV**”)

## **NOTICE TO THE SHAREHOLDERS OF THE SICAV**

### **IMPORTANT**

**This document is important and requires your immediate attention. If you are in any doubt about the content of this document, you should seek independent professional financial advice.**

**If you have sold or transferred all of your Shares in Threadneedle (Lux), please pass this document at once to the purchaser or transferee or to the stockbroker, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee as soon as possible.**

**The board of directors of the SICAV accept responsibility for the information contained in this notice.**

4 May 2023

Dear Shareholder,

As you are an investor in one or more of the Portfolios of the SICAV, we are writing to inform you of certain changes to the SICAV and the Portfolios.

For any capitalised terms that are not specifically defined in this letter, please refer to the definition in the Prospectus.

These changes will not affect the way the Portfolios are managed nor change the risk profile of the Portfolios.

### **What is changing?**

#### **1. Enhancement of disclosures relating to investment policy of the Portfolios**

The investment policies of the Portfolios have been enhanced to provide that for the purposes of managing liquidity, each Portfolio may hold ancillary liquid assets (i.e. bank deposit at sight), and may hold bank deposits, money market instruments or money market funds for treasury purposes. In normal market conditions, investments in ancillary liquid assets, bank deposits, money market instruments or money market funds will not exceed 10% of the relevant Portfolio's net asset value.

Each Portfolio may also hold up to 20% of its total net assets in ancillary liquid assets (i.e. on demand bank deposit accounts) which are held in order to cover current or exceptional payments (such as redemptions or to meet other liquidity needs), or for the period of time necessary to reinvest in eligible assets provided under article 41(1) of the Luxembourg law of 17 December 2010 relating to UCI, as amended, or for a period of time strictly necessary in case of unfavourable market conditions. Such restriction may temporarily be exceeded for a period of time strictly necessary in case of exceptionally unfavourable market conditions if the SICAV considers this to be in the best interest of the Shareholders. Under exceptional circumstances (e.g. market crash or

major crisis), the Portfolios may be invested temporarily up to 100% of their respective net asset value in liquid assets such as bank deposits, certificates of deposit, commercial paper and treasury bills for cash flow management.

## **2. Other enhancement and miscellaneous updates**

In accordance with SFDR and the Regulation (EU) 2020/852 on the establishment of a framework to facilitate sustainable investments (the “**EU Taxonomy Regulation**”), additional information about the environmental or social characteristics of Threadneedle (Lux) Global Focus are set out in the Annex to the Appendix X of the Prospectus.

The Hong Kong offering documents has also been updated to contain other enhancement of disclosures and miscellaneous updates, including but not limited to the addition of general sustainability disclosures of the Portfolios. Please refer to the Hong Kong offering documents for further details.

### **What do I need to do?**

You do not need to do anything as a result of these changes, which does not result in a change to the way in which the Portfolios are managed. Shareholders who are unsure of the impact of the relevant changes should consult their professional advisor.

### **What can I do if I disagree with the changes?**

Shareholders may redeem their Shares in the Portfolios, or switch their Shares into Shares of an alternative Portfolio of the SICAV which is authorised by the SFC in Hong Kong, free of any charges, by submitting a written request to the Authorised Sub-Distributor. Such redemption or exchange requests will be processed in the normal manner in accordance with the Hong Kong offering documents. The SFC’s authorisation is not a recommendation or endorsement of the SICAV or its Portfolios nor does it guarantee the commercial merits of the SICAV or its Portfolios or their performance. It does not mean the SICAV or its Portfolios are suitable for all investors nor is it an endorsement of the suitability of the SICAV or its Portfolios for any particular investor or class of investors. Please refer to the Hong Kong offering documents of the SICAV and its Portfolios for further details. If Shareholders take no action, they will retain their Shares in the Portfolio after the changes become effective.

### **Will there be an impact on the fees I pay?**

No, these changes will not affect the fees you pay. Columbia Threadneedle will cover any costs related to these changes.

### **Additional information**

For more information on the above changes, please refer to the updated version of the Hong Kong offering documents which reflect the amendments detailed above and are available at the office of the Hong Kong Representative and on the website [www.columbiathreadneedle.com](http://www.columbiathreadneedle.com). Please note that this website has not been reviewed by the SFC. The website is not specifically directed to Hong Kong residents and may contain information with respect to non-SFC authorised funds of the SICAV which are not available for public offer in Hong Kong.

Should you have any questions on this notice, please contact the Hong Kong Representative, HSBC Institutional Trust Services (Asia) Limited, at 1 Queen's Road Central, Hong Kong, Attention: SIFS Investor Services, tel: (852) 3663 5500, fax: (852) 3409 2697. Alternatively, for further assistance, please contact your financial adviser.

Yours faithfully,

The Board