

ARTICLE 10 – COLUMBIA THREADNEEDLE (LUX) SUSTAINABLE OUTCOMES GLOBAL EQUITY FUND

ARTICLE 10

Promotion of environmental or social characteristics and of sustainable investments

Social Outcomes Investment Guidelines

For the Columbia Threadneedle (Lux) Sustainable Outcomes Global Equity fund, the investment manager has developed its own Sustainable Outcomes Investment criteria as set out in its Sustainable Outcomes Investment Guidelines, which may be amended from time to time. These are specific to the fund and are over and above the investment manager's normal responsible investment practices.

There are two main components to the Sustainable Outcomes Investment Guidelines as outlined below:

Within the universe of approved investments, the framework against which the investment manager will assess its investments may include the extent to which companies:

- 1.** currently have the potential and are seeking to develop products and services that contribute towards a better future (such as in education, clean technology, healthcare, safety and security);
- 2.** evidence strong or improving ESG practices within their sectors which means that the investment manager may invest in companies that at the time of investment do not demonstrate strong ESG practices, but are in the process of transitioning to such practices.

The investment manager will apply sustainable outcome-based exclusion screening to the funds' investments, which aims to exclude companies that are unable to satisfy the Sustainable Outcomes Investment Guidelines from time to time. By way of example, it may seek to exclude companies where a substantial element of the business revenue is derived from products or services such as alcohol, tobacco, gambling, adult entertainment, the manufacture of military weapons, civilian firearms and nuclear power.



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