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# Complaints handling procedure

## Introduction

The purpose of this document is to provide investors with information on the Complaints Handling Policy applicable to Columbia Threadneedle (Lux) I and its management company Threadneedle Management Luxembourg S.A.

## Definition

This procedure shall apply in relation to a complaint filed to recognize a right or to redress harm, where a complainant expresses dissatisfaction with the management or distribution of sub-funds of Columbia Threadneedle (Lux) I or with Threadneedle Management Luxembourg S.A.'s products or services. Requests for information or clarification are not considered as complaints. Non-investors may also raise a complaint.

## Complaints procedure

Investors may file a complaint free of charge in their own language, stating their name, contact details and details about the product, service and entity the complaint refers to.

Investors can file a complaint:

- by sending a letter to the Hong-Kong representative of the SICAV:  
HSBC Institutional Trust Services (Asia) Limited  
17/F Tower 2 & 3, HSBC Centre  
1 Sham Mong Road  
Kowloon  
Hong Kong
- by sending an email to the Management Company:  
[Lux.Complaints@columbiathreadneedle.com](mailto:Lux.Complaints@columbiathreadneedle.com)
- by sending a letter to the Management Company:  
Threadneedle Management Luxembourg S.A.  
Attn.: Complaints Officer  
44, rue de la Vallée  
L-2661 Luxembourg.

## Processing

We will respond in writing within ten (10) business days after the receipt of the complaint, to either acknowledge the receipt of the complaint or provide a response. This letter will also inform the complainant about the name of the person handling the complaint.

An answer will be sent to the complainant without undue delay and in any case within one month as of the date of receipt of the complaint. If more time is required to examine the complaint, the Complaints Officer shall inform the complainant of the causes of the delay and indicate the date at which the examination is likely to be achieved.

## Escalation

Where the complainant did not obtain an answer or a satisfactory answer from the Complaints Officer, he/she has got the opportunity to raise the complaint up to the management of Columbia Threadneedle Investments. The relevant contact details will be provided with the response of the Complaints Officer.

### **Out-of-court complaint resolution procedure at the CSSF**

If, for any reasons, the complainant does not receive a satisfactory response, he/she can contact the *Commission de Surveillance du Secteur Financier* (“CSSF”) at the latest one year after the date on which he/she first filed the complaint with Columbia Threadneedle Investments:

- by filling in the **online complaint** form where all relevant documents can be attached;
- or by sending the completed **complaint form (PDF)**:
  - either by **mail** (simple mailing, no registered letter required) to the following address:  
Commission de Surveillance du Secteur Financier  
Département Juridique CC  
283, route d’Arlon  
L-2991 Luxembourg
  - or by **email** to the following address: [reclamation@cssf.lu](mailto:reclamation@cssf.lu)

Further information from the CSSF can be found on <https://www.cssf.lu/en/customer-complaints/>