

News Release

Contact:

Nicolas Duperrier
Tel: +44 77 8639 6113
Nicolas.Duperrier@columbiathreadneedle.com

Felicita Gimenez
Tel: +44 79 7653 9756
Felicita.Gimenez@columbiathreadneedle.com

Campbell Hood
Tel: +44 78 6091 1622
Campbell.Hood@columbiathreadneedle.com

Columbia Threadneedle upgrades two funds to Article 9 and eight funds to Article 8; announces changes to SICAV fund names

LUXEMBOURG – 20 November 2023 - Columbia Threadneedle Investments (Columbia Threadneedle), a leading global asset management group, is upgrading two funds to Article 9 and eight funds to Article 8 in its Luxembourg-SICAV range of funds. Following the reclassification, effective today, Columbia Threadneedle's offering will include 24 Article 8 funds and four Article 9 funds, with 84% of AUM in the SICAV classified as either Article 8 or Article 9 financial products. In addition, Columbia Threadneedle will consider the principle adverse impacts (PAIs) of its investment decisions that may negatively harm sustainability factors through a combination of exclusions, investment research and monitoring and engaging with investee companies.

The two funds being reclassified as **Article 9** are:

- **CT (Lux) European Social Bond**

The CT (Lux) European Social Bond fund is actively managed and seeks to achieve a total return from income and capital appreciation by investing in debt securities that are considered to provide positive social outcomes by supporting or funding socially beneficial activities and development principally in Europe. Managed by Tammie Tang, the fund was launched in 2017 with the aim to use the power of the bond market to deliver positive impact for society without sacrificing financial returns. To achieve the social objective, the investment process follows a clear process:

1. Creating the social universe of investments by identifying categories of bonds with higher potential for delivering one of seven areas of social outcome (such as affordable housing, health and welfare, economic regeneration and development) while excluding those with negative social characteristics.
2. Adhering to particular social norms to ensure that no bond held violates set standards.

3. A focus on positive inclusion by carrying out a detailed assessment of the bond's contribution to positive social outcomes.
4. Ongoing governance, monitoring, engagement and reporting to maintain and enhance the fund's social focus and standards.

INCO (<http://inco-group.co>), an organisation specialising in impact investment, provides assistance in assessing and reporting each year on the social outcomes of the fund and providing research on trends and practices relevant to impact investment.

- **CT (Lux) Sustainable Outcomes Global Equity**

Launched in 2021 and managed by Pauline Grange, the CT (Lux) Sustainable Outcomes Global Equity fund aims to generate capital growth by investing in equity securities of companies globally that are delivering positive sustainable outcomes for the environment and/or society through their products and services, and display strong environmental, social and governance (ESG) practices in line with one or more of the fund's eight environmental or social themes, as set out below:

- Good health and well-being;
- Reduced inequalities;
- Decent work and economic growth;
- Sustainable cities and communities;
- Quality education;
- Affordable and clean energy;
- Industry, innovation and infrastructure; and
- Responsible consumption and production.

The funds will continue to invest in sustainable investments. However, the investment policy and SFDR RTS Annex will be amended to commit explicitly the funds to invest at least 80% of their total assets in sustainable investments within the meaning of Article 2(17) of SFDR and will be classified under Article 9 of SFDR.

A full List of Article 8 and 9 Funds is available in the Notes to Editors (page 4).

Fund names changing

Effective today, the individual fund names of 'Threadneedle (Lux)' and 'Columbia Threadneedle (Lux)' will be renamed and will use the prefix 'CT (Lux)'. The changes are intended to better reflect the Columbia Threadneedle branding and provide consistency with its other fund ranges. This follows the large rebranding exercise that was undertaken last year across various ranges following the acquisition of BMO's EMEA asset management business.

The prefix of all individual fund names and share class names will change to start with “CT (Lux)”. For example:

Current Name	New Name
Threadneedle (Lux) - European Social Bond	CT (Lux) European Social Bond
Columbia Threadneedle (Lux) - Sustainable Outcomes Global Equity	CT (Lux) Sustainable Outcomes Global Equity

Florian Uleer, Head of EMEA Wholesale at Columbia Threadneedle, said: “We’re excited to bring all our products under a simplified name for the benefit of our clients and distributors and are proud of the broad offering we have built over the years as we continue to meet the evolving needs of our clients.

We know that how we invest and what we invest in is as important as the financial returns we generate for our clients. For that reason, we have been developing our fund range to meet the sustainability requirements of our clients since we launched the first ethical fund in Europe, in 1987. Since the introduction of the EU Sustainable Finance Disclosure Regulation (SFDR) in 2021, our priority has been to build on our responsible investment capabilities, while adapting our product range to the new rules. We are pleased to add eight funds to Article 8 and two funds to Article 9 to our existing offering with over 80% of our AUM in our Luxembourg SICAV range now classified as either Article 8 or 9 funds. We believe this creates more choice of investments for our clients”.

- ENDS -

Notes to Editors

Columbia Threadneedle Fund – Article 9	SFDR Article	SFDR Article from 20 Nov
CT (Lux) European Social Bond	8	8 > 9
CT (Lux) Sustainable Outcomes Global Equity	8	8 > 9
CT (Lux) Sustainable Multi-Asset Income	9	9
CT (Lux) Sustainable Opportunities European Equity	9	9

Columbia Threadneedle Fund – Article 8	SFDR Article	SFDR Article From 20 Nov
CT (Lux) American	6	6 > 8
CT (Lux) American Select	6	6 > 8
CT (Lux) European Corporate Bond	6	6 > 8
CT (Lux) Global Corporate Bond	6	6 > 8
CT (Lux) Global Emerging Market Equities	6	6 > 8
CT (Lux) Pan European Small Cap Opportunities	6	6 > 8
CT (Lux) UK Equities	6	6 > 8
CT (Lux) US Contrarian Core Equities	6	6 > 8
CT (Lux) American Smaller Companies	8	8
CT (Lux) Asia Equities	8	8
CT (Lux) European High Yield Bond	8	8
CT (Lux) European Select	8	8
CT (Lux) European Smaller Companies	8	8
CT (Lux) Global Equity Income	8	8
CT (Lux) Global Focus	8	8
CT (Lux) Global Select	8	8
CT (Lux) Global Smaller Companies	8	8
CT (Lux) Pan European ESG Equities	8	8
CT (Lux) Pan European Smaller Companies	8	8
CT (Lux) Responsible Euro Corporate Bond	8	8
CT (Lux) Responsible Global Equity	8	8
CT (Lux) Responsible Global Emerging Markets Equity	8	8
CT (Lux) SDG Engagement Global Equity	8	8
CT (Lux) Sustainable Global Equity Enhanced Income	8	8

About Columbia Threadneedle Investments

Columbia Threadneedle Investments is a leading global asset manager, entrusted with US\$587bn / €554bn / £481bn¹ on behalf of individual, institutional and corporate clients around the world.

We have more than 2500 people including over 650 investment professionals based in North America, Europe and Asia². We offer our clients a wide range of strategies across equities, fixed income and alternatives, as well as specialist responsible investment capabilities and a comprehensive suite of solutions.

Columbia Threadneedle Investments is the global asset management group of Ameriprise Financial, Inc. (NYSE:AMP), a leading US-based financial services provider. As part of Ameriprise, we are supported by a large and well-capitalised diversified financial services firm.

www.columbiathreadneedle.com

Follow us on [LinkedIn](#)

© 2023 Columbia Threadneedle Investments. For marketing purposes. Your capital is at risk. Columbia Threadneedle Investments is the global brand name of the Columbia and Threadneedle group of companies.

IMPORTANT INFORMATION. FOR PROFESSIONAL INVESTORS ONLY (not to be used with or passed on to any third party). Your capital is at risk.

This material should not be considered as an offer, solicitation, advice or an investment recommendation. An investment may not be suitable for all investors and independent professional advice, including tax advice, should be sought where appropriate. This communication is valid at the date of publication and may be subject to change without notice. Information from external sources is considered reliable but there is no guarantee as to its accuracy or completeness.

Columbia Threadneedle (Lux) I and Columbia Threadneedle (Lux) II, are Luxembourg domiciled investment companies with variable capital ("SICAV"), managed by Threadneedle Management Luxembourg S.A..

The SICAV's current Prospectus, the Key Investor Information Document (KIID)/Key Information Document (KID) and the summary of investor rights are available in English and/ or in local languages (where applicable) from the Management Company Threadneedle Management Luxembourg S.A., International Financial Data Services (Luxembourg) S.A., your financial advisor and/or on our website www.columbiathreadneedle.com. Threadneedle Management Luxembourg S.A. may decide to terminate the arrangements made for the marketing of the SICAV.

Columbia Threadneedle (Lux) I:

These documents are available in Switzerland from the Swiss Representative and Paying Agent CACEIS Investor Services Bank SA, Esch-sur Alzette, Zurich branch, Bleicherweg 7, CH 8027 Zurich. Threadneedle Management Luxembourg S.A. may decide to terminate the arrangements made for the marketing of the SICAV. Pursuant to article 1:107 of the Act of Financial Supervision, the subfund is included in the register that is kept by the AFM.

Columbia Threadneedle (Lux) I is authorised in Spain by the Comisión Nacional del Mercado de Valores (CNMV) and registered with the relevant CNMV's Register with number 177.

Past performance is calculated according to the BVI method in Germany.

For Columbia Threadneedle (Lux) II

Columbia Threadneedle (Lux) II is a collective investment scheme pursuant to Section 235 of the United Kingdom's Financial Services and Markets Act 2000, as amended ("FSMA"). Notification has been made to the FCA under the National Private Placement Regime

¹ Source: Ameriprise Financial Q3 2023 earnings release

² As at 30 September 2023, Columbia Threadneedle Investments

(NPPR) to market this fund in the UK. Prospective Investors are advised that all, or most, of the protections afforded by the United Kingdom regulatory system will not apply to an investment in the Fund and that compensation will not be available under the United Kingdom Financial Services Compensation Scheme.

Neither the SICAV nor any compartment has been approved by the Swiss Financial Market Supervisory Authority (“FINMA”) for offering to non-qualified investors pursuant to Art. 120 para. 1 of the Swiss Federal Act on Collective Investment Schemes of 23 June 2006, as amended (“CISA”). In addition, the management company has not and does not intend to appoint a Swiss representative or a Swiss paying agent for the shares offered in Switzerland. Accordingly, the shares may only be offered or advertised, and this information may only be made available, in Switzerland to qualified investors within the meaning of CISA who are not high-net-worth individuals (or private investment structures established for high-net-worth individuals) having opted out to professional client status under Art. 5 para. 1 of the Swiss Federal Act on Financial Services. Investors in the shares do not benefit from the specific investor protection provided by CISA and the supervision by the FINMA in connection with the approval for offering.

In Spain, Columbia Threadneedle (Lux) II is registered with the CNMV under No. 2022. The Fund is a non-Spanish collective investment scheme duly registered with the CNMV for marketing in Spain. The fund should be subscribed to through locally authorised appointed distributors. Investors must read the relevant Prospectus and KID for each fund they want to invest before subscribing. All other statutory documentation, as well as the NAV can be obtained from www.columbiathreadneedle.com.

In the EEA and Switzerland: Issued by Threadneedle Management Luxembourg S.A. registered with the Registre de Commerce et des Sociétés (Luxembourg), Registered No. B 110242, 44 rue de la Vallée, L-2661 Luxembourg, Grand Duchy of Luxembourg. **In the UK:** Issued by Threadneedle Asset Management Limited. Registered in England and Wales, No. 573204. Registered Office: 78 Cannon Street, London EC4N 6AG, United Kingdom. Authorised and regulated in the UK by the Financial Conduct Authority. **In the Middle East:** This document is distributed by Columbia Threadneedle Investments (ME) Limited, which is regulated by the Dubai Financial Services Authority (DFSA). For Distributors: This document is intended to provide distributors with information about Group products and services and is not for further distribution. For Institutional Clients: The information in this document is not intended as financial advice and is only intended for persons with appropriate investment knowledge and who meet the regulatory criteria to be classified as a Professional Client or Market Counterparties and no other Person should act upon it.

This document may be made available to you by an affiliated company which is part of the Columbia Threadneedle Investments group of companies: Columbia Threadneedle Management Limited in the UK; Columbia Threadneedle Netherlands B.V. in the EEA; Columbia Threadneedle Investments (Swiss) GmbH in Switzerland, acting as representative office of Columbia Threadneedle Management Limited. Certain funds and/or share classes may not be available in all jurisdictions.

© 2023 Columbia Threadneedle Investments. Columbia Threadneedle Investments is the global brand name of the Columbia and Threadneedle group of companies.